

# United States Sentencing Commission



## *Statistical Information Packet*

*Fiscal Year 2009*

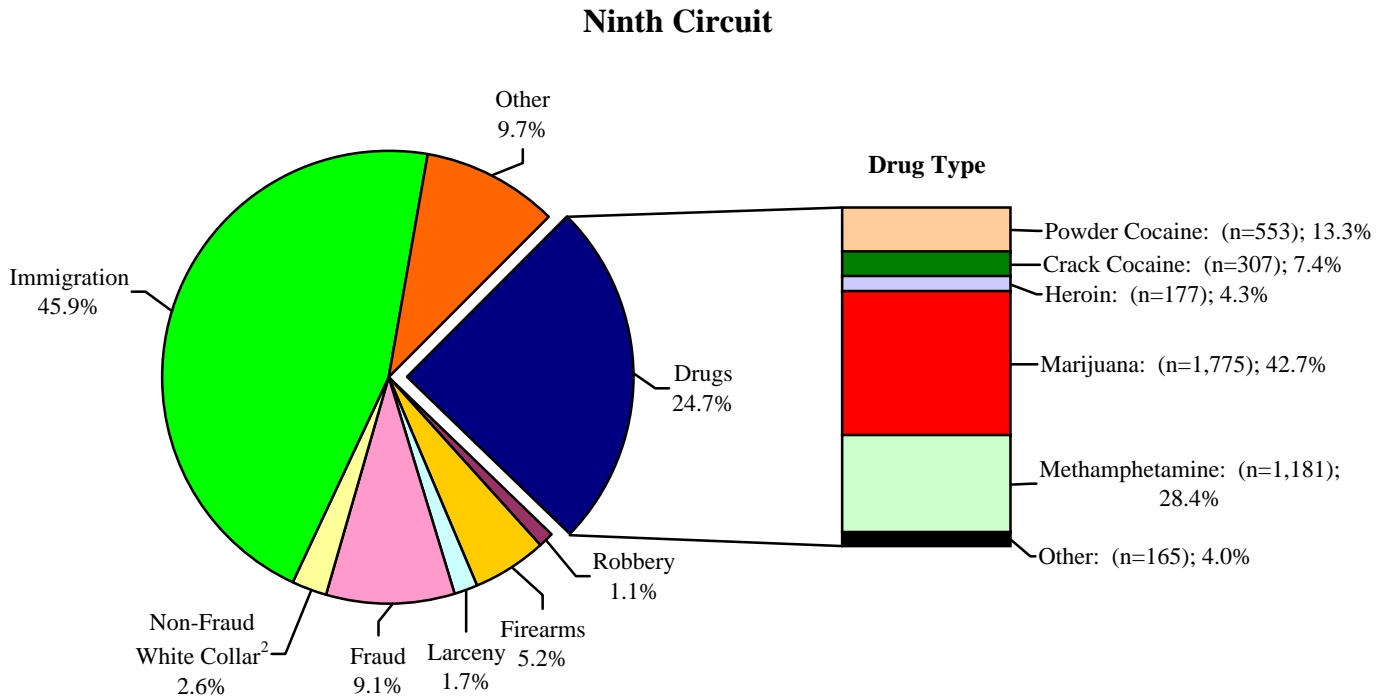
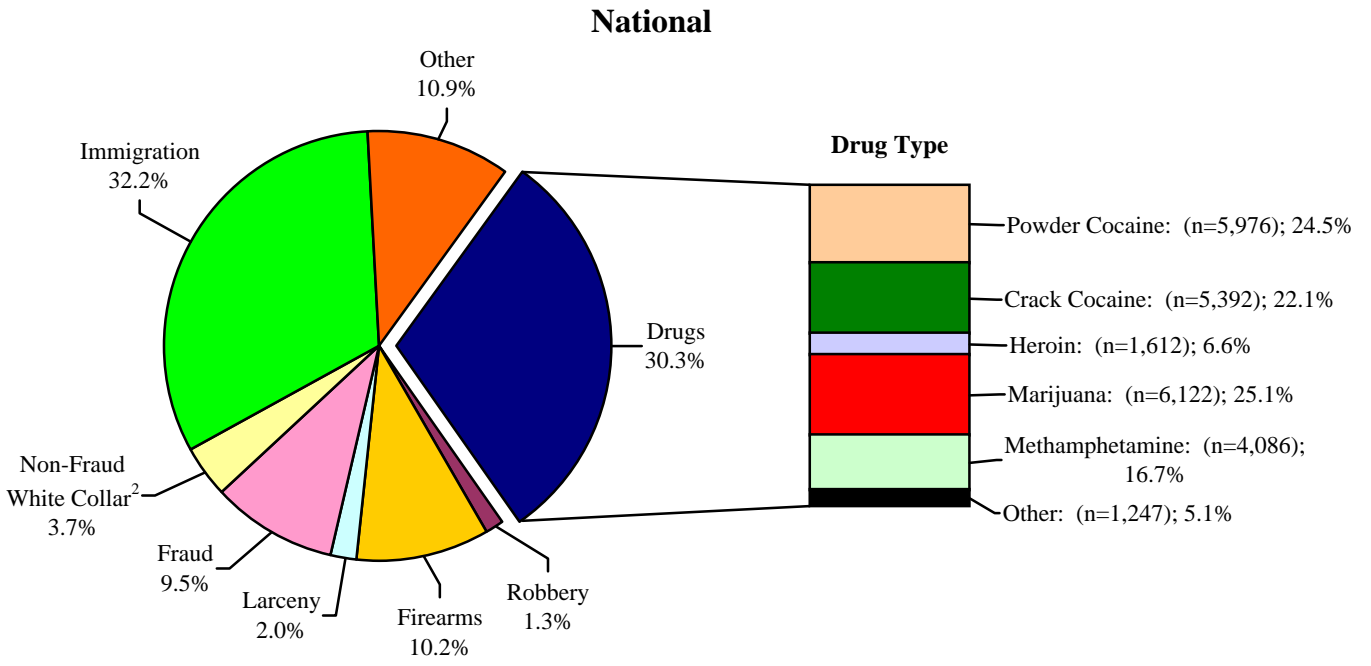
*Ninth Circuit*

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**Figure A**

**DISTRIBUTION OF GUIDELINE DEFENDANTS SENTENCED BY SELECT PRIMARY OFFENSE CATEGORY<sup>1</sup>**  
**Fiscal Year 2009**



<sup>1</sup>Of the 81,372 guideline cases, 771 cases were excluded due to missing drug type.

Of the 17,246 guideline cases from the Ninth Circuit, 378 cases were excluded due to missing drug type.

<sup>2</sup>The Non-Fraud White Collar category includes the following offense types: embezzlement, forgery/counterfeiting, bribery, money laundering, and tax.

SOURCE: U.S. Sentencing Commission, 2009 Datafile, OPAFY09.

**Table 1**

**DISTRIBUTION OF GUIDELINE DEFENDANTS SENTENCED  
BY PRIMARY OFFENSE CATEGORY  
Fiscal Year 2009**

PRIMARY OFFENSE	National		Ninth Circuit	
	N	%	N	%
<b>TOTAL</b>	<b>81,372</b>	<b>100.0</b>	<b>17,246</b>	<b>100.0</b>
Murder	93	0.1	16	0.1
Manslaughter	59	0.1	30	0.2
Kidnapping/Hostage Taking	41	0.1	7	0.0
Sexual Abuse	478	0.6	90	0.5
Assault	660	0.8	194	1.1
Robbery	1,011	1.2	185	1.1
Arson	60	0.1	11	0.1
Drugs - Trafficking	23,938	29.4	4,091	23.7
Drugs - Communication Facility	508	0.6	157	0.9
Drugs - Simple Possession	760	0.9	288	1.7
Firearms	8,243	10.1	882	5.1
Burglary/B&E	36	0.0	8	0.0
Auto Theft	77	0.1	7	0.0
Larceny	1,592	2.0	289	1.7
Fraud	7,618	9.4	1,536	8.9
Embezzlement	471	0.6	71	0.4
Forgery/Counterfeiting	887	1.1	104	0.6
Bribery	171	0.2	17	0.1
Tax	633	0.8	123	0.7
Money Laundering	812	1.0	124	0.7
Racketeering/Extortion	635	0.8	89	0.5
Gambling/Lottery	62	0.1	17	0.1
Civil Rights	63	0.1	6	0.0
Immigration	25,927	31.9	7,742	44.9
Pornography/Prostitution	1,969	2.4	364	2.1
Prison Offenses	445	0.5	112	0.6
Administration of Justice Offenses	998	1.2	178	1.0
Environmental/Wildlife	231	0.3	61	0.4
National Defense	67	0.1	6	0.0
Antitrust	21	0.0	6	0.0
Food & Drug	80	0.1	22	0.1
Other Miscellaneous Offenses	2,726	3.4	413	2.4

Of the 81,372 guideline cases, no cases were excluded due to missing information on primary offense category.

Of the 17,246 guideline cases from the Ninth Circuit, no case was excluded.

SOURCE: U.S. Sentencing Commission, 2009 Datafile, OPAFY09.

Table 2

**MODE OF CONVICTION BY CIRCUIT AND DISTRICT  
Fiscal Year 2009**

CIRCUIT District	TOTAL	PLEA		TRIAL	
		N	%	N	%
<b>TOTAL</b>	<b>81,370</b>	<b>78,398</b>	<b>96.3</b>	<b>2,972</b>	<b>3.7</b>
<b>D.C. CIRCUIT</b>	<b>378</b>	<b>352</b>	<b>93.1</b>	<b>26</b>	<b>6.9</b>
District of Columbia	378	352	93.1	26	6.9
<b>FIRST CIRCUIT</b>	<b>1,782</b>	<b>1,692</b>	<b>94.9</b>	<b>90</b>	<b>5.1</b>
Maine	227	207	91.2	20	8.8
Massachusetts	522	490	93.9	32	6.1
New Hampshire	205	200	97.6	5	2.4
Puerto Rico	697	669	96.0	28	4.0
Rhode Island	131	126	96.2	5	3.8
<b>SECOND CIRCUIT</b>	<b>4,726</b>	<b>4,529</b>	<b>95.8</b>	<b>197</b>	<b>4.2</b>
Connecticut	407	386	94.8	21	5.2
New York					
Eastern	1,213	1,159	95.5	54	4.5
Northern	547	525	96.0	22	4.0
Southern	1,649	1,572	95.3	77	4.7
Western	715	696	97.3	19	2.7
Vermont	195	191	97.9	4	2.1
<b>THIRD CIRCUIT</b>	<b>3,083</b>	<b>2,862</b>	<b>92.8</b>	<b>221</b>	<b>7.2</b>
Delaware	159	151	95.0	8	5.0
New Jersey	892	849	95.2	43	4.8
Pennsylvania					
Eastern	865	757	87.5	108	12.5
Middle	634	608	95.9	26	4.1
Western	460	441	95.9	19	4.1
Virgin Islands	73	56	76.7	17	23.3
<b>FOURTH CIRCUIT</b>	<b>6,874</b>	<b>6,462</b>	<b>94.0</b>	<b>412</b>	<b>6.0</b>
Maryland	801	738	92.1	63	7.9
North Carolina					
Eastern	659	639	97.0	20	3.0
Middle	431	408	94.7	23	5.3
Western	530	484	91.3	46	8.7
South Carolina	1,140	1,097	96.2	43	3.8
Virginia					
Eastern	2,116	1,955	92.4	161	7.6
Western	500	467	93.4	33	6.6
West Virginia					
Northern	413	394	95.4	19	4.6
Southern	284	280	98.6	4	1.4

CIRCUIT District	TOTAL	PLEA		TRIAL	
		N	%	N	%
<b>FIFTH CIRCUIT</b>	<b>19,506</b>	<b>19,148</b>	<b>98.2</b>	<b>358</b>	<b>1.8</b>
Louisiana					
Eastern	440	426	96.8	14	3.2
Middle	176	167	94.9	9	5.1
Western	351	311	88.6	40	11.4
Mississippi					
Northern	139	133	95.7	6	4.3
Southern	358	338	94.4	20	5.6
Texas					
Eastern	927	900	97.1	27	2.9
Northern	1,008	953	94.5	55	5.5
Southern	7,602	7,512	98.8	90	1.2
Western	8,505	8,408	98.9	97	1.1
<b>SIXTH CIRCUIT</b>	<b>5,235</b>	<b>5,008</b>	<b>95.7</b>	<b>227</b>	<b>4.3</b>
Kentucky					
Eastern	703	678	96.4	25	3.6
Western	425	410	96.5	15	3.5
Michigan					
Eastern	716	666	93.0	50	7.0
Western	484	468	96.7	16	3.3
Ohio					
Northern	673	645	95.8	28	4.2
Southern	619	605	97.7	14	2.3
Tennessee					
Eastern	652	622	95.4	30	4.6
Middle	320	306	95.6	14	4.4
Western	643	608	94.6	35	5.4
<b>SEVENTH CIRCUIT</b>	<b>2,927</b>	<b>2,739</b>	<b>93.6</b>	<b>188</b>	<b>6.4</b>
Illinois					
Central	349	330	94.6	19	5.4
Northern	919	837	91.1	82	8.9
Southern	314	298	94.9	16	5.1
Indiana					
Northern	452	422	93.4	30	6.6
Southern	233	213	91.4	20	8.6
Wisconsin					
Eastern	468	452	96.6	16	3.4
Western	192	187	97.4	5	2.6
<b>EIGHTH CIRCUIT</b>	<b>5,440</b>	<b>5,203</b>	<b>95.6</b>	<b>237</b>	<b>4.4</b>
Arkansas					
Eastern	416	401	96.4	15	3.6
Western	255	253	99.2	2	0.8
Iowa					
Northern	349	328	94.0	21	6.0
Southern	469	427	91.0	42	9.0
Minnesota	625	590	94.4	35	5.6
Missouri					
Eastern	1,053	1,019	96.8	34	3.2
Western	832	791	95.1	41	4.9
Nebraska	651	630	96.8	21	3.2
North Dakota	278	268	96.4	10	3.6
South Dakota	512	496	96.9	16	3.1

CIRCUIT District	TOTAL	PLEA		TRIAL	
		N	%	N	%
<b>NINTH CIRCUIT</b>	<b>17,246</b>	<b>16,836</b>	<b>97.6</b>	<b>410</b>	<b>2.4</b>
Alaska	184	170	92.4	14	7.6
Arizona	4,784	4,732	98.9	52	1.1
California					
Central	1,937	1,872	96.6	65	3.4
Eastern	1,036	1,012	97.7	24	2.3
Northern	907	892	98.3	15	1.7
Southern	4,838	4,766	98.5	72	1.5
Guam	62	58	93.5	4	6.5
Hawaii	303	283	93.4	20	6.6
Idaho	336	322	95.8	14	4.2
Montana	405	367	90.6	38	9.4
Nevada	406	378	93.1	28	6.9
Northern Mariana Islands	26	21	80.8	5	19.2
Oregon	640	626	97.8	14	2.2
Washington					
Eastern	429	413	96.3	16	3.7
Western	953	924	97.0	29	3.0
<b>TENTH CIRCUIT</b>	<b>6,777</b>	<b>6,637</b>	<b>97.9</b>	<b>140</b>	<b>2.1</b>
Colorado	524	512	97.7	12	2.3
Kansas	741	709	95.7	32	4.3
New Mexico	3,427	3,410	99.5	17	0.5
Oklahoma					
Eastern	98	88	89.8	10	10.2
Northern	218	209	95.9	9	4.1
Western	502	485	96.6	17	3.4
Utah	835	813	97.4	22	2.6
Wyoming	432	411	95.1	21	4.9
<b>ELEVENTH CIRCUIT</b>	<b>7,396</b>	<b>6,930</b>	<b>93.7</b>	<b>466</b>	<b>6.3</b>
Alabama					
Middle	236	212	89.8	24	10.2
Northern	488	460	94.3	28	5.7
Southern	430	405	94.2	25	5.8
Florida					
Middle	1,648	1,542	93.6	106	6.4
Northern	406	363	89.4	43	10.6
Southern	2,418	2,253	93.2	165	6.8
Georgia					
Middle	423	412	97.4	11	2.6
Northern	789	737	93.4	52	6.6
Southern	558	546	97.8	12	2.2

Of the 81,372 guideline cases, two cases were excluded due to missing information on mode of conviction.

SOURCE: U.S. Sentencing Commission, 2009 Datafile, OPAFY09.

**Table 3**

**MODE OF CONVICTION BY PRIMARY OFFENSE CATEGORY  
Fiscal Year 2009**

PRIMARY OFFENSE	TOTAL	National				Ninth Circuit			
		PLEA		TRIAL		PLEA		TRIAL	
		N	%	N	%	N	%	N	%
<b>TOTAL</b>	<b>81,370</b>	<b>78,398</b>	<b>96.3</b>	<b>2,972</b>	<b>3.7</b>	<b>16,836</b>	<b>97.6</b>	<b>410</b>	<b>2.4</b>
<b>Murder</b>	<b>93</b>	68	73.1	25	26.9	12	75.0	4	25.0
<b>Manslaughter</b>	<b>59</b>	55	93.2	4	6.8	30	100.0	0	0.0
<b>Kidnapping/Hostage Taking</b>	<b>41</b>	36	87.8	5	12.2	7	100.0	0	0.0
<b>Sexual Abuse</b>	<b>478</b>	418	87.4	60	12.6	72	80.0	18	20.0
<b>Assault</b>	<b>660</b>	617	93.5	43	6.5	180	92.8	14	7.2
<b>Robbery</b>	<b>1,011</b>	970	95.9	41	4.1	181	97.8	4	2.2
<b>Arson</b>	<b>60</b>	54	90.0	6	10.0	9	81.8	2	18.2
<b>Drugs - Trafficking</b>	<b>23,938</b>	22,968	95.9	970	4.1	3,991	97.6	100	2.4
<b>Drugs - Communication Facility</b>	<b>508</b>	506	99.6	2	0.4	157	100.0	0	0.0
<b>Drugs - Simple Possession</b>	<b>759</b>	734	96.7	25	3.3	283	98.3	5	1.7
<b>Firearms</b>	<b>8,243</b>	7,649	92.8	594	7.2	832	94.3	50	5.7
<b>Burglary/B&amp;E</b>	<b>36</b>	34	94.4	2	5.6	8	100.0	0	0.0
<b>Auto Theft</b>	<b>77</b>	76	98.7	1	1.3	7	100.0	0	0.0
<b>Larceny</b>	<b>1,592</b>	1,556	97.7	36	2.3	281	97.2	8	2.8
<b>Fraud</b>	<b>7,618</b>	7,231	94.9	387	5.1	1,484	96.6	52	3.4
<b>Embezzlement</b>	<b>471</b>	458	97.2	13	2.8	68	95.8	3	4.2
<b>Forgery/Counterfeiting</b>	<b>887</b>	872	98.3	15	1.7	103	99.0	1	1.0
<b>Bribery</b>	<b>171</b>	161	94.2	10	5.8	17	100.0	0	0.0
<b>Tax</b>	<b>633</b>	562	88.8	71	11.2	113	91.9	10	8.1
<b>Money Laundering</b>	<b>812</b>	759	93.5	53	6.5	119	96.0	5	4.0
<b>Racketeering/Extortion</b>	<b>635</b>	557	87.7	78	12.3	75	84.3	14	15.7
<b>Gambling/Lottery</b>	<b>62</b>	58	93.5	4	6.5	14	82.4	3	17.6
<b>Civil Rights</b>	<b>63</b>	56	88.9	7	11.1	5	83.3	1	16.7
<b>Immigration</b>	<b>25,927</b>	25,734	99.3	193	0.7	7,671	99.1	71	0.9
<b>Pornography/Prostitution</b>	<b>1,969</b>	1,859	94.4	110	5.6	346	95.1	18	4.9
<b>Prison Offenses</b>	<b>445</b>	437	98.2	8	1.8	109	97.3	3	2.7
<b>Administration of Justice Offenses</b>	<b>998</b>	948	95.0	50	5.0	171	96.1	7	3.9
<b>Environmental/Wildlife</b>	<b>231</b>	214	92.6	17	7.4	59	96.7	2	3.3
<b>National Defense</b>	<b>67</b>	61	91.0	6	9.0	5	83.3	1	16.7
<b>Antitrust</b>	<b>21</b>	21	100.0	0	0.0	6	100.0	0	0.0
<b>Food &amp; Drug</b>	<b>80</b>	78	97.5	2	2.5	21	95.5	1	4.5
<b>Other Miscellaneous Offenses</b>	<b>2,725</b>	2,591	95.1	134	4.9	400	96.9	13	3.1

Of the 81,372 guideline cases, two cases were excluded due to missing mode of conviction.

Of the 17,246 guideline cases from the Ninth Circuit, no case was excluded.

SOURCE: U.S. Sentencing Commission, 2009 Datafile, OPAFY09.



**Table 4**  
**TYPE OF SENTENCE IMPOSED BY PRIMARY OFFENSE CATEGORY**  
**Fiscal Year 2009**

**National**

PRIMARY OFFENSE	TOTAL	Prison		Prison/Community Split Sentence		Probation and Confinement		Probation Only	
		N	%	N	%	N	%	N	%
<b>TOTAL</b>	<b>80,550</b>	<b>70,090</b>	<b>87.0</b>	<b>2,072</b>	<b>2.6</b>	<b>2,491</b>	<b>3.1</b>	<b>5,897</b>	<b>7.3</b>
Murder	93	93	100.0	0	0.0	0	0.0	0	0.0
Manslaughter	59	55	93.2	2	3.4	1	1.7	1	1.7
Kidnapping/Hostage Taking	41	40	97.6	1	2.4	0	0.0	0	0.0
Sexual Abuse	478	447	93.5	14	2.9	7	1.5	10	2.1
Assault	650	540	83.1	24	3.7	27	4.2	59	9.1
Robbery	1,007	964	95.7	23	2.3	9	0.9	11	1.1
Arson	60	57	95.0	2	3.3	1	1.7	0	0.0
Drugs - Trafficking	23,931	22,522	94.1	594	2.5	354	1.5	461	1.9
Drugs - Communication Facility	508	373	73.4	16	3.1	41	8.1	78	15.4
Drugs - Simple Possession	704	401	57.0	10	1.4	26	3.7	267	37.9
Firearms	8,229	7,510	91.3	210	2.6	197	2.4	312	3.8
Burglary/B&E	36	33	91.7	0	0.0	3	8.3	0	0.0
Auto Theft	77	67	87.0	2	2.6	2	2.6	6	7.8
Larceny	1,484	551	37.1	76	5.1	229	15.4	628	42.3
Fraud	7,583	5,491	72.4	409	5.4	601	7.9	1,082	14.3
Embezzlement	459	176	38.3	49	10.7	59	12.9	175	38.1
Forgery/Counterfeiting	883	593	67.2	45	5.1	104	11.8	141	16.0
Bribery	171	115	67.3	16	9.4	17	9.9	23	13.5
Tax	632	326	51.6	66	10.4	122	19.3	118	18.7
Money Laundering	811	591	72.9	48	5.9	62	7.6	110	13.6
Racketeering/Extortion	634	572	90.2	13	2.1	17	2.7	32	5.0
Gambling/Lottery	62	22	35.5	8	12.9	11	17.7	21	33.9
Civil Rights	62	33	53.2	3	4.8	10	16.1	16	25.8
Immigration	25,914	24,692	95.3	273	1.1	163	0.6	786	3.0
Pornography/Prostitution	1,969	1,891	96.0	23	1.2	40	2.0	15	0.8
Prison Offenses	445	414	93.0	12	2.7	7	1.6	12	2.7
Administration of Justice Offenses	981	589	60.0	44	4.5	102	10.4	246	25.1
Environmental/Wildlife	206	47	22.8	15	7.3	30	14.6	114	55.3
National Defense	67	52	77.6	6	9.0	4	6.0	5	7.5
Antitrust	20	16	80.0	1	5.0	1	5.0	2	10.0
Food & Drug	76	13	17.1	3	3.9	12	15.8	48	63.2
Other Miscellaneous Offenses	2,218	804	36.2	64	2.9	232	10.5	1,118	50.4

Of the 81,372 guideline cases, 822 cases were excluded due to one or more of the following reasons: missing sentencing information (24), or cases in which the defendant received no imprisonment or probation (798).

SOURCE: U.S. Sentencing Commission, 2009 Datafile, OPAFY09.

**Table 5**  
**TYPE OF SENTENCE IMPOSED BY PRIMARY OFFENSE CATEGORY**  
**Fiscal Year 2009**

**Ninth Circuit**

PRIMARY OFFENSE	TOTAL	Prison		Prison/Community Split Sentence		Probation and Confinement		Probation Only	
		N	%	N	%	N	%	N	%
<b>TOTAL</b>	<b>17,149</b>	<b>15,346</b>	<b>89.5</b>	<b>472</b>	<b>2.8</b>	<b>368</b>	<b>2.1</b>	<b>963</b>	<b>5.6</b>
Murder	16	16	100.0	0	0.0	0	0.0	0	0.0
Manslaughter	30	29	96.7	1	3.3	0	0.0	0	0.0
Kidnapping/Hostage Taking	7	7	100.0	0	0.0	0	0.0	0	0.0
Sexual Abuse	90	83	92.2	4	4.4	2	2.2	1	1.1
Assault	193	164	85.0	6	3.1	8	4.1	15	7.8
Robbery	185	176	95.1	6	3.2	1	0.5	2	1.1
Arson	11	8	72.7	2	18.2	1	9.1	0	0.0
Drugs - Trafficking	4,089	3,807	93.1	144	3.5	43	1.1	95	2.3
Drugs - Communication Facility	157	141	89.8	2	1.3	4	2.5	10	6.4
Drugs - Simple Possession	277	232	83.8	2	0.7	4	1.4	39	14.1
Firearms	880	777	88.3	40	4.5	19	2.2	44	5.0
Burglary/B&E	8	7	87.5	0	0.0	1	12.5	0	0.0
Auto Theft	7	6	85.7	1	14.3	0	0.0	0	0.0
Larceny	270	96	35.6	12	4.4	41	15.2	121	44.8
Fraud	1,532	1,203	78.5	53	3.5	95	6.2	181	11.8
Embezzlement	71	25	35.2	7	9.9	10	14.1	29	40.8
Forgery/Counterfeiting	103	68	66.0	13	12.6	6	5.8	16	15.5
Bribery	17	7	41.2	4	23.5	1	5.9	5	29.4
Tax	123	59	48.0	18	14.6	19	15.4	27	22.0
Money Laundering	124	76	61.3	17	13.7	7	5.6	24	19.4
Racketeering/Extortion	89	80	89.9	0	0.0	1	1.1	8	9.0
Gambling/Lottery	17	10	58.8	0	0.0	1	5.9	6	35.3
Civil Rights	5	2	40.0	1	20.0	0	0.0	2	40.0
Immigration	7,741	7,480	96.6	120	1.6	33	0.4	108	1.4
Pornography/Prostitution	364	356	97.8	4	1.1	3	0.8	1	0.3
Prison Offenses	112	102	91.1	3	2.7	1	0.9	6	5.4
Administration of Justice Offenses	177	108	61.0	3	1.7	25	14.1	41	23.2
Environmental/Wildlife	56	9	16.1	2	3.6	8	14.3	37	66.1
National Defense	6	4	66.7	0	0.0	1	16.7	1	16.7
Antitrust	6	5	83.3	0	0.0	1	16.7	0	0.0
Food & Drug	19	2	10.5	0	0.0	4	21.1	13	68.4
Other Miscellaneous Offenses	367	201	54.8	7	1.9	28	7.6	131	35.7

Of the 17,246 guideline cases from the Ninth Circuit, 97 cases were excluded due to one of the following reasons: missing sentencing information (2) or cases in which the defendant received no imprisonment or probation (95).

SOURCE: U.S. Sentencing Commission, 2009 Datafile, OPAFY09.

**Table 6**

**INCARCERATION RATE OF DEFENDANTS ELIGIBLE FOR NON-PRISON SENTENCES  
BY PRIMARY OFFENSE CATEGORY  
Fiscal Year 2009**

PRIMARY OFFENSE	TOTAL	National				Ninth Circuit			
		Prison Sentence		Non-Prison Sentence		Prison Sentence		Non-Prison Sentence	
		N	%	N	%	N	%	N	%
<b>TOTAL</b>	<b>16,832</b>	<b>11,441</b>	<b>68.0</b>	<b>5,391</b>	<b>32.0</b>	<b>1,521</b>	<b>64.8</b>	<b>827</b>	<b>35.2</b>
<b>Fraud</b>	<b>2,211</b>	1,184	53.6	1,027	46.4	217	56.5	167	43.5
<b>Larceny</b>	<b>968</b>	199	20.6	769	79.4	40	22.9	135	77.1
<b>Immigration</b>	<b>9,409</b>	8,633	91.8	776	8.2	837	92.2	71	7.8
<b>Embezzlement</b>	<b>245</b>	41	16.7	204	83.3	7	20.0	28	80.0
<b>Drugs - Trafficking</b>	<b>609</b>	363	59.6	246	40.4	137	81.1	32	18.9
<b>Drugs - Simple Possession</b>	<b>445</b>	186	41.8	259	58.2	77	63.6	44	36.4
<b>Firearms</b>	<b>272</b>	98	36.0	174	64.0	10	38.5	16	61.5
<b>Forgery/Counterfeiting</b>	<b>283</b>	108	38.2	175	61.8	13	41.9	18	58.1
<b>Other Miscellaneous Offenses</b>	<b>2,390</b>	629	26.3	1,761	73.7	183	36.7	316	63.3

Of the 81,372 guideline cases, 64,540 cases were excluded due to one or more of the following reasons: defendant ineligible for non-prison alternatives (64,540), or missing sentencing information (24).

Of the 17,246 guideline cases from the Ninth Circuit, 14,898 cases were excluded due to one or both of the following reasons: defendant ineligible for non-prison alternatives (14,898) or missing sentencing information (2).

SOURCE: U.S. Sentencing Commission, 2009 Datafile, OPAFY09.

**Table 7**

**AVERAGE LENGTH OF IMPRISONMENT BY PRIMARY OFFENSE CATEGORY  
Fiscal Year 2009**

PRIMARY OFFENSE	National			Ninth Circuit		
	Mean Months	Median Months	Number	Mean Months	Median Months	Number
<b>TOTAL</b>	<b>54.0</b>	<b>30.0</b>	<b>70,103</b>	<b>36.3</b>	<b>24.0</b>	<b>15,453</b>
<b>Murder</b>	274.5	270.0	93	309.3	300.0	16
<b>Manslaughter</b>	68.7	50.0	57	62.7	46.5	30
<b>Kidnapping/Hostage Taking</b>	212.8	168.0	41	155.9	120.0	7
<b>Sexual Abuse</b>	96.0	60.0	460	96.3	61.5	86
<b>Assault</b>	43.8	30.0	554	42.8	36.0	167
<b>Robbery</b>	82.2	63.0	981	74.3	57.0	182
<b>Arson</b>	61.1	60.0	57	40.0	45.0	9
<b>Drugs - Trafficking</b>	81.2	60.0	22,867	54.4	40.0	3,895
<b>Drugs - Communication Facility</b>	42.9	46.0	383	44.7	48.0	141
<b>Drugs - Simple Possession</b>	8.5	2.0	355	3.0	2.0	193
<b>Firearms</b>	91.5	60.0	7,663	63.5	48.0	811
<b>Burglary/B&amp;E</b>	26.7	24.0	31	18.0	21.0	7
<b>Auto Theft</b>	64.2	35.0	63	19.8	19.0	6
<b>Larceny</b>	16.4	12.0	609	12.8	9.8	101
<b>Fraud</b>	28.5	18.0	5,615	19.0	6.0	1,209
<b>Embezzlement</b>	16.5	12.0	216	17.0	12.0	29
<b>Forgery/Counterfeiting</b>	21.1	17.0	619	23.9	18.0	77
<b>Bribery</b>	26.4	21.0	128	12.1	6.0	11
<b>Tax</b>	22.4	18.0	390	17.4	12.0	77
<b>Money Laundering</b>	41.8	24.0	621	22.0	14.0	91
<b>Racketeering/Extortion</b>	102.7	60.0	580	103.2	46.0	79
<b>Gambling/Lottery</b>	10.9	6.0	28	14.5	10.5	10
<b>Civil Rights</b>	44.7	30.0	35	23.3	27.0	3
<b>Immigration</b>	18.9	12.0	23,757	23.5	21.0	7,449
<b>Pornography/Prostitution</b>	121.2	78.0	1,910	92.9	63.0	360
<b>Prison Offenses</b>	16.3	12.0	418	12.7	12.0	104
<b>Administration of Justice Offenses</b>	23.9	15.0	600	22.1	18.0	101
<b>Environmental/Wildlife</b>	12.0	10.0	60	8.5	3.0	11
<b>National Defense</b>	53.8	34.0	58	33.0	30.0	4
<b>Antitrust</b>	15.2	12.0	17	8.2	7.0	5
<b>Food &amp; Drug</b>	14.9	10.5	14	--	--	1
<b>Other Miscellaneous Offenses</b>	18.7	10.0	823	12.5	6.0	181

Of the 81,372 guideline cases, 11,269 cases were excluded for one or more of the following reasons: zero months prison ordered (10,446), or missing or indeterminable sentencing information (823).

Of the 17,246 guideline cases from the Ninth Circuit, 1,793 cases were excluded due to one of the following reasons: zero prison months ordered (1,695) or missing or indeterminable sentencing information (98).

SOURCE: U.S. Sentencing Commission, 2009 Datafile, OPAFY09.

**Table 8**

**COMPARISON OF SENTENCE IMPOSED AND POSITION  
RELATIVE TO THE GUIDELINE RANGE  
Fiscal Year 2009**

	National		Ninth Circuit	
	N	%	N	%
<b>TOTAL CASES<sup>1</sup></b>	<b>79,153</b>	<b>100.0</b>	<b>16,161</b>	<b>100.0</b>
<b>CASES SENTENCED WITHIN GUIDELINE RANGE</b>	<b>44,991</b>	<b>56.8</b>	<b>6,463</b>	<b>40.0</b>
<b>CASES SENTENCED ABOVE GUIDELINE RANGE</b>	<b>1,496</b>	<b>2.0</b>	<b>270</b>	<b>1.7</b>
<b>DEPARTURE ABOVE GUIDELINE RANGE</b>	<b>533</b>	<b>0.7</b>	<b>123</b>	<b>0.8</b>
Upward Departure From Guideline Range <sup>2</sup>	382	0.5	94	0.6
Upward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>	151	0.2	29	0.2
<b>OTHERWISE ABOVE GUIDELINE RANGE</b>	<b>963</b>	<b>1.3</b>	<b>147</b>	<b>0.9</b>
Above Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup>	842	1.1	113	0.7
All Remaining Cases Above Guideline Range <sup>5</sup>	121	0.2	34	0.2
<b>GOVERNMENT SPONSORED BELOW RANGE<sup>6</sup></b>	<b>20,011</b>	<b>25.3</b>	<b>7,314</b>	<b>45.2</b>
§5K1.1 Substantial Assistance Departure	9,855	12.5	1,268	7.8
§5K3.1 Early Disposition Program Departure	7,242	9.1	5,227	32.3
Other Government Sponsored Below Range	2,914	3.7	819	5.1
<b>NON-GOVERNMENT SPONSORED BELOW RANGE</b>	<b>12,655</b>	<b>15.9</b>	<b>2,114</b>	<b>13.1</b>
<b>DEPARTURE BELOW GUIDELINE RANGE</b>	<b>2,403</b>	<b>3.0</b>	<b>485</b>	<b>3.0</b>
Downward Departure From Guideline Range <sup>2</sup>	1,542	1.9	317	2.0
Downward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>	861	1.1	168	1.0
<b>OTHERWISE BELOW GUIDELINE RANGE</b>	<b>10,252</b>	<b>12.9</b>	<b>1,629</b>	<b>10.1</b>
Below Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup>	9,358	11.8	1,386	8.6
All Remaining Cases Below Guideline Range <sup>5</sup>	894	1.1	243	1.5

<sup>1</sup>This table reflects the 81,372 cases sentenced nationally in Fiscal Year 2009, 17,246 of which were from the Ninth Circuit. Of these, 2,219 cases nationally and 1,085 cases from the Ninth Circuit were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range.

<sup>2</sup>All cases with departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

<sup>3</sup>All cases sentenced outside of the guideline range in which the court indicated both a departure (see footnote 2) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

<sup>4</sup>All cases sentenced outside of the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing outside of the guideline system.

<sup>5</sup>All cases sentenced outside of the guideline range that could not be classified into any of the three previous outside of the range categories. This category includes cases in which no reason was provided for a sentence outside of the guideline range.

<sup>6</sup>Cases in which a reason for the sentence indicated that the prosecution initiated, proposed, or stipulated to a sentence outside of the guideline range, either pursuant to a plea agreement or as part of a non-plea negotiation with the defendant.

SOURCE: U.S. Sentencing Commission, 2009 Datafile, OPAFY09.

**Table 9**

**SENTENCES RELATIVE TO THE GUIDELINE RANGE BY CIRCUIT AND DISTRICT  
Fiscal Year 2009**

CIRCUIT District	TOTAL	SENTENCED WITHIN GUIDELINE RANGE		ABOVE RANGE DEPARTURE		ABOVE RANGE DEPARTURE W/BOOKER		ABOVE RANGE W/BOOKER		REMAINING ABOVE RANGE	
		N	%	N	%	N	%	N	%	N	%
<b>TOTAL</b>	<b>79,153</b>	<b>44,991</b>	<b>56.8</b>	<b>382</b>	<b>0.5</b>	<b>151</b>	<b>0.2</b>	<b>842</b>	<b>1.1</b>	<b>121</b>	<b>0.2</b>
<b>D.C. CIRCUIT</b>	<b>373</b>	<b>147</b>	<b>39.4</b>	<b>2</b>	<b>0.5</b>	<b>0</b>	<b>0.0</b>	<b>1</b>	<b>0.3</b>	<b>1</b>	<b>0.3</b>
District of Columbia	373	147	39.4	2	0.5	0	0.0	1	0.3	1	0.3
<b>FIRST CIRCUIT</b>	<b>1,773</b>	<b>942</b>	<b>53.1</b>	<b>8</b>	<b>0.5</b>	<b>1</b>	<b>0.1</b>	<b>17</b>	<b>1.0</b>	<b>2</b>	<b>0.1</b>
Maine	227	119	52.4	1	0.4	0	0.0	2	0.9	0	0.0
Massachusetts	516	180	34.9	5	1.0	0	0.0	6	1.2	1	0.2
New Hampshire	205	90	43.9	1	0.5	0	0.0	4	2.0	0	0.0
Puerto Rico	694	491	70.7	1	0.1	1	0.1	4	0.6	1	0.1
Rhode Island	131	62	47.3	0	0.0	0	0.0	1	0.8	0	0.0
<b>SECOND CIRCUIT</b>	<b>4,655</b>	<b>2,023</b>	<b>43.5</b>	<b>7</b>	<b>0.2</b>	<b>3</b>	<b>0.1</b>	<b>17</b>	<b>0.4</b>	<b>1</b>	<b>0.0</b>
Connecticut	406	157	38.7	3	0.7	0	0.0	1	0.2	0	0.0
New York											
Eastern	1,207	422	35.0	1	0.1	1	0.1	6	0.5	0	0.0
Northern	501	318	63.5	0	0.0	0	0.0	1	0.2	0	0.0
Southern	1,631	694	42.6	1	0.1	1	0.1	3	0.2	1	0.1
Western	715	372	52.0	2	0.3	1	0.1	5	0.7	0	0.0
Vermont	195	60	30.8	0	0.0	0	0.0	1	0.5	0	0.0
<b>THIRD CIRCUIT</b>	<b>3,065</b>	<b>1,409</b>	<b>46.0</b>	<b>7</b>	<b>0.2</b>	<b>5</b>	<b>0.2</b>	<b>21</b>	<b>0.7</b>	<b>2</b>	<b>0.1</b>
Delaware	158	65	41.1	0	0.0	0	0.0	2	1.3	0	0.0
New Jersey	886	411	46.4	1	0.1	1	0.1	3	0.3	0	0.0
Pennsylvania											
Eastern	862	327	37.9	4	0.5	1	0.1	7	0.8	1	0.1
Middle	629	280	44.5	2	0.3	3	0.5	3	0.5	1	0.2
Western	460	278	60.4	0	0.0	0	0.0	1	0.2	0	0.0
Virgin Islands	70	48	68.6	0	0.0	0	0.0	5	7.1	0	0.0
<b>FOURTH CIRCUIT</b>	<b>6,467</b>	<b>4,059</b>	<b>62.8</b>	<b>49</b>	<b>0.8</b>	<b>22</b>	<b>0.3</b>	<b>64</b>	<b>1.0</b>	<b>16</b>	<b>0.2</b>
Maryland	714	332	46.5	4	0.6	4	0.6	6	0.8	4	0.6
North Carolina											
Eastern	640	360	56.3	11	1.7	3	0.5	7	1.1	1	0.2
Middle	430	303	70.5	2	0.5	0	0.0	2	0.5	0	0.0
Western	529	285	53.9	3	0.6	1	0.2	5	0.9	1	0.2
South Carolina	1,135	733	64.6	12	1.1	3	0.3	2	0.2	3	0.3
Virginia											
Eastern	1,837	1,361	74.1	13	0.7	10	0.5	17	0.9	6	0.3
Western	498	265	53.2	3	0.6	1	0.2	13	2.6	0	0.0
West Virginia											
Northern	400	249	62.3	0	0.0	0	0.0	4	1.0	0	0.0
Southern	284	171	60.2	1	0.4	0	0.0	8	2.8	1	0.4

CIRCUIT District	§5K1.1 SUBSTANTIAL ASSISTANCE		§5K3.1 EARLY DISPOSITION		OTHER GOV'T SPONSORED		BELOW RANGE DEPARTURE		BELOW RANGE DEPARTURE W/BOOKER		BELOW RANGE W/BOOKER		REMAINING BELOW RANGE	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%
<b>TOTAL</b>	<b>9,855</b>	<b>12.5</b>	<b>7,242</b>	<b>9.1</b>	<b>2,914</b>	<b>3.7</b>	<b>1,542</b>	<b>1.9</b>	<b>861</b>	<b>1.1</b>	<b>9,358</b>	<b>11.8</b>	<b>894</b>	<b>1.1</b>
<b>D.C. CIRCUIT</b>	<b>94</b>	<b>25.2</b>	<b>0</b>	<b>0.0</b>	<b>54</b>	<b>14.5</b>	<b>3</b>	<b>0.8</b>	<b>10</b>	<b>2.7</b>	<b>48</b>	<b>12.9</b>	<b>13</b>	<b>3.5</b>
District of Columbia	94	25.2	0	0.0	54	14.5	3	0.8	10	2.7	48	12.9	13	3.5
<b>FIRST CIRCUIT</b>	<b>209</b>	<b>11.8</b>	<b>35</b>	<b>2.0</b>	<b>118</b>	<b>6.7</b>	<b>33</b>	<b>1.9</b>	<b>37</b>	<b>2.1</b>	<b>339</b>	<b>19.1</b>	<b>32</b>	<b>1.8</b>
Maine	60	26.4	0	0.0	1	0.4	4	1.8	1	0.4	38	16.7	1	0.4
Massachusetts	43	8.3	0	0.0	64	12.4	20	3.9	17	3.3	173	33.5	7	1.4
New Hampshire	51	24.9	0	0.0	23	11.2	1	0.5	0	0.0	34	16.6	1	0.5
Puerto Rico	43	6.2	35	5.0	26	3.7	7	1.0	19	2.7	43	6.2	23	3.3
Rhode Island	12	9.2	0	0.0	4	3.1	1	0.8	0	0.0	51	38.9	0	0.0
<b>SECOND CIRCUIT</b>	<b>954</b>	<b>20.5</b>	<b>1</b>	<b>0.0</b>	<b>164</b>	<b>3.5</b>	<b>121</b>	<b>2.6</b>	<b>129</b>	<b>2.8</b>	<b>1,159</b>	<b>24.9</b>	<b>76</b>	<b>1.6</b>
Connecticut	78	19.2	0	0.0	14	3.4	19	4.7	26	6.4	105	25.9	3	0.7
New York														
Eastern	314	26.0	1	0.1	61	5.1	34	2.8	36	3.0	303	25.1	28	2.3
Northern	79	15.8	0	0.0	11	2.2	17	3.4	6	1.2	66	13.2	3	0.6
Southern	232	14.2	0	0.0	39	2.4	36	2.2	39	2.4	552	33.8	33	2.0
Western	201	28.1	0	0.0	26	3.6	2	0.3	3	0.4	95	13.3	8	1.1
Vermont	50	25.6	0	0.0	13	6.7	13	6.7	19	9.7	38	19.5	1	0.5
<b>THIRD CIRCUIT</b>	<b>784</b>	<b>25.6</b>	<b>0</b>	<b>0.0</b>	<b>97</b>	<b>3.2</b>	<b>39</b>	<b>1.3</b>	<b>54</b>	<b>1.8</b>	<b>608</b>	<b>19.8</b>	<b>39</b>	<b>1.3</b>
Delaware	19	12.0	0	0.0	5	3.2	0	0.0	2	1.3	65	41.1	0	0.0
New Jersey	257	29.0	0	0.0	17	1.9	12	1.4	3	0.3	165	18.6	16	1.8
Pennsylvania														
Eastern	277	32.1	0	0.0	18	2.1	9	1.0	17	2.0	189	21.9	12	1.4
Middle	176	28.0	0	0.0	50	7.9	8	1.3	4	0.6	96	15.3	6	1.0
Western	49	10.7	0	0.0	7	1.5	10	2.2	24	5.2	88	19.1	3	0.7
Virgin Islands	6	8.6	0	0.0	0	0.0	0	0.0	4	5.7	5	7.1	2	2.9
<b>FOURTH CIRCUIT</b>	<b>1,064</b>	<b>16.5</b>	<b>0</b>	<b>0.0</b>	<b>174</b>	<b>2.7</b>	<b>97</b>	<b>1.5</b>	<b>40</b>	<b>0.6</b>	<b>822</b>	<b>12.7</b>	<b>60</b>	<b>0.9</b>
Maryland	176	24.6	0	0.0	48	6.7	12	1.7	15	2.1	97	13.6	16	2.2
North Carolina														
Eastern	209	32.7	0	0.0	3	0.5	4	0.6	0	0.0	37	5.8	5	0.8
Middle	78	18.1	0	0.0	1	0.2	1	0.2	2	0.5	40	9.3	1	0.2
Western	167	31.6	0	0.0	4	0.8	8	1.5	4	0.8	45	8.5	6	1.1
South Carolina	200	17.6	0	0.0	34	3.0	17	1.5	2	0.2	121	10.7	8	0.7
Virginia														
Eastern	71	3.9	0	0.0	26	1.4	32	1.7	13	0.7	266	14.5	22	1.2
Western	113	22.7	0	0.0	9	1.8	17	3.4	1	0.2	75	15.1	1	0.2
West Virginia														
Northern	30	7.5	0	0.0	47	11.8	3	0.8	0	0.0	66	16.5	1	0.3
Southern	20	7.0	0	0.0	2	0.7	3	1.1	3	1.1	75	26.4	0	0.0

CIRCUIT District	TOTAL	SENTENCED WITHIN GUIDELINE RANGE		ABOVE RANGE DEPARTURE		ABOVE RANGE DEPARTURE W/BOOKER		ABOVE RANGE W/BOOKER		REMAINING ABOVE RANGE	
		N	%	N	%	N	%	N	%	N	%
<b>FIFTH CIRCUIT</b>	<b>19,314</b>	<b>13,843</b>	<b>71.7</b>	<b>109</b>	<b>0.6</b>	<b>37</b>	<b>0.2</b>	<b>286</b>	<b>1.5</b>	<b>28</b>	<b>0.1</b>
<b>Louisiana</b>											
Eastern	439	299	68.1	4	0.9	4	0.9	8	1.8	1	0.2
Middle	176	106	60.2	4	2.3	3	1.7	4	2.3	0	0.0
Western	345	271	78.6	5	1.4	3	0.9	13	3.8	2	0.6
<b>Mississippi</b>											
Northern	135	74	54.8	0	0.0	0	0.0	2	1.5	0	0.0
Southern	327	264	80.7	3	0.9	0	0.0	5	1.5	1	0.3
<b>Texas</b>											
Eastern	924	706	76.4	9	1.0	0	0.0	7	0.8	0	0.0
Northern	1,007	656	65.1	23	2.3	11	1.1	35	3.5	0	0.0
Southern	7,595	4,843	63.8	46	0.6	10	0.1	64	0.8	5	0.1
Western	8,366	6,624	79.2	15	0.2	6	0.1	148	1.8	19	0.2
<b>SIXTH CIRCUIT</b>	<b>5,183</b>	<b>2,641</b>	<b>51.0</b>	<b>29</b>	<b>0.6</b>	<b>14</b>	<b>0.3</b>	<b>60</b>	<b>1.2</b>	<b>9</b>	<b>0.2</b>
<b>Kentucky</b>											
Eastern	702	341	48.6	2	0.3	1	0.1	13	1.9	1	0.1
Western	418	278	66.5	1	0.2	0	0.0	3	0.7	0	0.0
<b>Michigan</b>											
Eastern	715	361	50.5	4	0.6	0	0.0	3	0.4	2	0.3
Western	481	294	61.1	6	1.2	4	0.8	13	2.7	1	0.2
<b>Ohio</b>											
Northern	671	338	50.4	2	0.3	0	0.0	5	0.7	1	0.1
Southern	618	206	33.3	5	0.8	5	0.8	6	1.0	2	0.3
<b>Tennessee</b>											
Eastern	648	375	57.9	4	0.6	0	0.0	4	0.6	2	0.3
Middle	304	133	43.8	2	0.7	2	0.7	5	1.6	0	0.0
Western	626	315	50.3	3	0.5	2	0.3	8	1.3	0	0.0
<b>SEVENTH CIRCUIT</b>	<b>2,913</b>	<b>1,532</b>	<b>52.6</b>	<b>8</b>	<b>0.3</b>	<b>9</b>	<b>0.3</b>	<b>63</b>	<b>2.2</b>	<b>3</b>	<b>0.1</b>
<b>Illinois</b>											
Central	349	179	51.3	0	0.0	0	0.0	2	0.6	0	0.0
Northern	908	410	45.2	1	0.1	3	0.3	15	1.7	2	0.2
Southern	314	228	72.6	2	0.6	5	1.6	5	1.6	0	0.0
<b>Indiana</b>											
Northern	452	290	64.2	0	0.0	1	0.2	10	2.2	0	0.0
Southern	232	135	58.2	4	1.7	0	0.0	10	4.3	0	0.0
<b>Wisconsin</b>											
Eastern	467	177	37.9	1	0.2	0	0.0	17	3.6	1	0.2
Western	191	113	59.2	0	0.0	0	0.0	4	2.1	0	0.0
<b>EIGHTH CIRCUIT</b>	<b>5,424</b>	<b>3,096</b>	<b>57.1</b>	<b>31</b>	<b>0.6</b>	<b>16</b>	<b>0.3</b>	<b>55</b>	<b>1.0</b>	<b>9</b>	<b>0.2</b>
<b>Arkansas</b>											
Eastern	414	262	63.3	2	0.5	3	0.7	9	2.2	2	0.5
Western	254	194	76.4	0	0.0	0	0.0	3	1.2	0	0.0
<b>Iowa</b>											
Northern	349	180	51.6	12	3.4	1	0.3	4	1.1	3	0.9
Southern	469	243	51.8	0	0.0	0	0.0	10	2.1	0	0.0
Minnesota	624	200	32.1	1	0.2	0	0.0	1	0.2	1	0.2
<b>Missouri</b>											
Eastern	1,048	608	58.0	1	0.1	0	0.0	7	0.7	0	0.0
Western	827	489	59.1	2	0.2	2	0.2	9	1.1	1	0.1
Nebraska	650	401	61.7	7	1.1	1	0.2	3	0.5	2	0.3
North Dakota	277	160	57.8	0	0.0	1	0.4	2	0.7	0	0.0
South Dakota	512	359	70.1	6	1.2	8	1.6	7	1.4	0	0.0



CIRCUIT District	§5K1.1 SUBSTANTIAL ASSISTANCE		§5K3.1 EARLY DISPOSITION		OTHER GOV'T SPONSORED		BELOW RANGE DEPARTURE		BELOW RANGE DEPARTURE W/BOOKER		BELOW RANGE W/BOOKER		REMAINING BELOW RANGE	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%
<b>FIFTH CIRCUIT</b>	<b>1,351</b>	<b>7.0</b>	<b>1,252</b>	<b>6.5</b>	<b>446</b>	<b>2.3</b>	<b>531</b>	<b>2.7</b>	<b>47</b>	<b>0.2</b>	<b>1,215</b>	<b>6.3</b>	<b>169</b>	<b>0.9</b>
<b>Louisiana</b>														
Eastern	54	12.3	0	0.0	19	4.3	16	3.6	1	0.2	28	6.4	5	1.1
Middle	44	25.0	0	0.0	0	0.0	0	0.0	2	1.1	13	7.4	0	0.0
Western	12	3.5	0	0.0	2	0.6	2	0.6	3	0.9	27	7.8	5	1.4
<b>Mississippi</b>														
Northern	38	28.1	0	0.0	7	5.2	1	0.7	0	0.0	11	8.1	2	1.5
Southern	18	5.5	0	0.0	1	0.3	4	1.2	0	0.0	25	7.6	6	1.8
<b>Texas</b>														
Eastern	67	7.3	0	0.0	57	6.2	16	1.7	6	0.6	49	5.3	7	0.8
Northern	146	14.5	0	0.0	11	1.1	4	0.4	5	0.5	109	10.8	7	0.7
Southern	444	5.8	1,107	14.6	230	3.0	336	4.4	10	0.1	477	6.3	23	0.3
Western	528	6.3	145	1.7	119	1.4	152	1.8	20	0.2	476	5.7	114	1.4
<b>SIXTH CIRCUIT</b>	<b>1,260</b>	<b>24.3</b>	<b>0</b>	<b>0.0</b>	<b>149</b>	<b>2.9</b>	<b>83</b>	<b>1.6</b>	<b>105</b>	<b>2.0</b>	<b>769</b>	<b>14.8</b>	<b>64</b>	<b>1.2</b>
<b>Kentucky</b>														
Eastern	264	37.6	0	0.0	7	1.0	4	0.6	1	0.1	64	9.1	4	0.6
Western	61	14.6	0	0.0	24	5.7	5	1.2	4	1.0	36	8.6	6	1.4
<b>Michigan</b>														
Eastern	142	19.9	0	0.0	21	2.9	15	2.1	10	1.4	150	21.0	7	1.0
Western	62	12.9	0	0.0	8	1.7	6	1.2	12	2.5	72	15.0	3	0.6
<b>Ohio</b>														
Northern	158	23.5	0	0.0	15	2.2	12	1.8	12	1.8	113	16.8	15	2.2
Southern	190	30.7	0	0.0	37	6.0	22	3.6	40	6.5	95	15.4	10	1.6
<b>Tennessee</b>														
Eastern	182	28.1	0	0.0	3	0.5	7	1.1	3	0.5	62	9.6	6	0.9
Middle	62	20.4	0	0.0	10	3.3	6	2.0	18	5.9	64	21.1	2	0.7
Western	139	22.2	0	0.0	24	3.8	6	1.0	5	0.8	113	18.1	11	1.8
<b>SEVENTH CIRCUIT</b>	<b>485</b>	<b>16.6</b>	<b>0</b>	<b>0.0</b>	<b>97</b>	<b>3.3</b>	<b>47</b>	<b>1.6</b>	<b>105</b>	<b>3.6</b>	<b>509</b>	<b>17.5</b>	<b>55</b>	<b>1.9</b>
<b>Illinois</b>														
Central	91	26.1	0	0.0	11	3.2	3	0.9	4	1.1	57	16.3	2	0.6
Northern	142	15.6	0	0.0	17	1.9	30	3.3	81	8.9	184	20.3	23	2.5
Southern	29	9.2	0	0.0	7	2.2	3	1.0	10	3.2	19	6.1	6	1.9
<b>Indiana</b>														
Northern	76	16.8	0	0.0	11	2.4	7	1.5	7	1.5	40	8.8	10	2.2
Southern	31	13.4	0	0.0	11	4.7	2	0.9	0	0.0	38	16.4	1	0.4
<b>Wisconsin</b>														
Eastern	91	19.5	0	0.0	39	8.4	1	0.2	2	0.4	125	26.8	13	2.8
Western	25	13.1	0	0.0	1	0.5	1	0.5	1	0.5	46	24.1	0	0.0
<b>EIGHTH CIRCUIT</b>	<b>849</b>	<b>15.7</b>	<b>28</b>	<b>0.5</b>	<b>169</b>	<b>3.1</b>	<b>85</b>	<b>1.6</b>	<b>60</b>	<b>1.1</b>	<b>970</b>	<b>17.9</b>	<b>56</b>	<b>1.0</b>
<b>Arkansas</b>														
Eastern	49	11.8	0	0.0	15	3.6	7	1.7	7	1.7	54	13.0	4	1.0
Western	33	13.0	0	0.0	1	0.4	4	1.6	0	0.0	17	6.7	2	0.8
<b>Iowa</b>														
Northern	69	19.8	0	0.0	5	1.4	1	0.3	0	0.0	74	21.2	0	0.0
Southern	81	17.3	0	0.0	13	2.8	3	0.6	3	0.6	110	23.5	6	1.3
Minnesota	193	30.9	0	0.0	10	1.6	18	2.9	14	2.2	176	28.2	10	1.6
<b>Missouri</b>														
Eastern	145	13.8	0	0.0	42	4.0	21	2.0	16	1.5	192	18.3	16	1.5
Western	178	21.5	0	0.0	25	3.0	1	0.1	3	0.4	106	12.8	11	1.3
Nebraska	17	2.6	28	4.3	37	5.7	7	1.1	5	0.8	137	21.1	5	0.8
North Dakota	63	22.7	0	0.0	6	2.2	6	2.2	3	1.1	35	12.6	1	0.4
South Dakota	21	4.1	0	0.0	15	2.9	17	3.3	9	1.8	69	13.5	1	0.2

CIRCUIT District	TOTAL	SENTENCED WITHIN GUIDELINE RANGE		ABOVE RANGE DEPARTURE		ABOVE RANGE DEPARTURE W/BOOKER		ABOVE RANGE W/BOOKER		REMAINING ABOVE RANGE	
		N	%	N	%	N	%	N	%	N	%
<b>NINTH CIRCUIT</b>	<b>16,161</b>	<b>6,463</b>	<b>40.0</b>	<b>94</b>	<b>0.6</b>	<b>29</b>	<b>0.2</b>	<b>113</b>	<b>0.7</b>	<b>34</b>	<b>0.2</b>
Alaska	182	90	49.5	1	0.5	2	1.1	1	0.5	0	0.0
Arizona	3,811	1,061	27.8	53	1.4	4	0.1	15	0.4	8	0.2
California											
Central	1,920	620	32.3	8	0.4	8	0.4	19	1.0	6	0.3
Eastern	1,031	454	44.0	3	0.3	0	0.0	7	0.7	1	0.1
Northern	888	459	51.7	2	0.2	6	0.7	17	1.9	3	0.3
Southern	4,825	2,006	41.6	13	0.3	2	0.0	10	0.2	12	0.2
Guam	60	41	68.3	0	0.0	1	1.7	1	1.7	0	0.0
Hawaii	301	142	47.2	0	0.0	0	0.0	3	1.0	0	0.0
Idaho	332	153	46.1	2	0.6	1	0.3	3	0.9	1	0.3
Montana	401	284	70.8	1	0.2	3	0.7	8	2.0	2	0.5
Nevada	406	269	66.3	1	0.2	0	0.0	4	1.0	0	0.0
Northern Mariana Islands	26	24	92.3	0	0.0	0	0.0	0	0.0	0	0.0
Oregon	625	281	45.0	2	0.3	0	0.0	3	0.5	1	0.2
Washington											
Eastern	429	183	42.7	5	1.2	2	0.5	16	3.7	0	0.0
Western	924	396	42.9	3	0.3	0	0.0	6	0.6	0	0.0
<b>TENTH CIRCUIT</b>	<b>6,727</b>	<b>4,182</b>	<b>62.2</b>	<b>25</b>	<b>0.4</b>	<b>2</b>	<b>0.0</b>	<b>46</b>	<b>0.7</b>	<b>2</b>	<b>0.0</b>
Colorado	517	285	55.1	1	0.2	1	0.2	6	1.2	0	0.0
Kansas	724	411	56.8	4	0.6	0	0.0	21	2.9	0	0.0
New Mexico	3,425	2,190	63.9	10	0.3	0	0.0	2	0.1	1	0.0
Oklahoma											
Eastern	98	63	64.3	2	2.0	0	0.0	1	1.0	0	0.0
Northern	218	153	70.2	0	0.0	0	0.0	0	0.0	0	0.0
Western	483	384	79.5	0	0.0	0	0.0	9	1.9	1	0.2
Utah	833	439	52.7	4	0.5	0	0.0	3	0.4	0	0.0
Wyoming	429	257	59.9	4	0.9	1	0.2	4	0.9	0	0.0
<b>ELEVENTH CIRCUIT</b>	<b>7,098</b>	<b>4,654</b>	<b>65.6</b>	<b>13</b>	<b>0.2</b>	<b>13</b>	<b>0.2</b>	<b>99</b>	<b>1.4</b>	<b>14</b>	<b>0.2</b>
Alabama											
Middle	235	134	57.0	1	0.4	1	0.4	6	2.6	0	0.0
Northern	478	290	60.7	0	0.0	0	0.0	9	1.9	0	0.0
Southern	429	268	62.5	0	0.0	0	0.0	0	0.0	0	0.0
Florida											
Middle	1,634	943	57.7	5	0.3	1	0.1	18	1.1	3	0.2
Northern	397	270	68.0	1	0.3	1	0.3	6	1.5	0	0.0
Southern	2,291	1,604	70.0	2	0.1	6	0.3	26	1.1	7	0.3
Georgia											
Middle	309	236	76.4	1	0.3	1	0.3	6	1.9	1	0.3
Northern	767	473	61.7	0	0.0	3	0.4	7	0.9	3	0.4
Southern	558	436	78.1	3	0.5	0	0.0	21	3.8	0	0.0

CIRCUIT District	§5K1.1 SUBSTANTIAL ASSISTANCE		§5K3.1 EARLY DISPOSITION		OTHER GOV'T SPONSORED		BELOW RANGE DEPARTURE		BELOW RANGE DEPARTURE W/BOOKER		BELOW RANGE W/BOOKER		REMAINING BELOW RANGE	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%
<b>NINTH CIRCUIT</b>	<b>1,268</b>	<b>7.8</b>	<b>5,227</b>	<b>32.3</b>	<b>819</b>	<b>5.1</b>	<b>317</b>	<b>2.0</b>	<b>168</b>	<b>1.0</b>	<b>1,386</b>	<b>8.6</b>	<b>243</b>	<b>1.5</b>
Alaska	25	13.7	0	0.0	17	9.3	1	0.5	20	11.0	15	8.2	10	5.5
Arizona	182	4.8	2,094	54.9	99	2.6	52	1.4	27	0.7	183	4.8	33	0.9
<b>California</b>														
Central	215	11.2	477	24.8	122	6.4	62	3.2	43	2.2	267	13.9	73	3.8
Eastern	125	12.1	290	28.1	37	3.6	15	1.5	7	0.7	86	8.3	6	0.6
Northern	55	6.2	117	13.2	104	11.7	10	1.1	13	1.5	88	9.9	14	1.6
Southern	186	3.9	2,049	42.5	93	1.9	133	2.8	28	0.6	216	4.5	77	1.6
Guam	10	16.7	0	0.0	1	1.7	0	0.0	1	1.7	5	8.3	0	0.0
Hawaii	102	33.9	0	0.0	0	0.0	1	0.3	0	0.0	50	16.6	3	1.0
Idaho	67	20.2	38	11.4	10	3.0	4	1.2	4	1.2	42	12.7	7	2.1
Montana	39	9.7	0	0.0	3	0.7	6	1.5	3	0.7	49	12.2	3	0.7
Nevada	25	6.2	0	0.0	24	5.9	3	0.7	1	0.2	70	17.2	9	2.2
Northern Mariana Islands	2	7.7	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Oregon	108	17.3	41	6.6	79	12.6	8	1.3	4	0.6	93	14.9	5	0.8
<b>Washington</b>														
Eastern	24	5.6	79	18.4	31	7.2	8	1.9	3	0.7	78	18.2	0	0.0
Western	103	11.1	42	4.5	199	21.5	14	1.5	14	1.5	144	15.6	3	0.3
<b>TENTH CIRCUIT</b>	<b>488</b>	<b>7.3</b>	<b>679</b>	<b>10.1</b>	<b>555</b>	<b>8.3</b>	<b>128</b>	<b>1.9</b>	<b>44</b>	<b>0.7</b>	<b>549</b>	<b>8.2</b>	<b>27</b>	<b>0.4</b>
Colorado	98	19.0	0	0.0	18	3.5	17	3.3	3	0.6	84	16.2	4	0.8
Kansas	142	19.6	0	0.0	73	10.1	1	0.1	2	0.3	69	9.5	1	0.1
New Mexico	65	1.9	506	14.8	396	11.6	79	2.3	15	0.4	153	4.5	8	0.2
<b>Oklahoma</b>														
Eastern	13	13.3	0	0.0	1	1.0	2	2.0	1	1.0	14	14.3	1	1.0
Northern	40	18.3	0	0.0	1	0.5	0	0.0	1	0.5	23	10.6	0	0.0
Western	31	6.4	0	0.0	3	0.6	0	0.0	2	0.4	52	10.8	1	0.2
Utah	45	5.4	167	20.0	38	4.6	22	2.6	4	0.5	102	12.2	9	1.1
Wyoming	54	12.6	6	1.4	25	5.8	7	1.6	16	3.7	52	12.1	3	0.7
<b>ELEVENTH CIRCUIT</b>	<b>1,049</b>	<b>14.8</b>	<b>20</b>	<b>0.3</b>	<b>72</b>	<b>1.0</b>	<b>58</b>	<b>0.8</b>	<b>62</b>	<b>0.9</b>	<b>984</b>	<b>13.9</b>	<b>60</b>	<b>0.8</b>
<b>Alabama</b>														
Middle	62	26.4	0	0.0	2	0.9	0	0.0	1	0.4	25	10.6	3	1.3
Northern	107	22.4	0	0.0	1	0.2	1	0.2	2	0.4	64	13.4	4	0.8
Southern	102	23.8	0	0.0	0	0.0	2	0.5	2	0.5	54	12.6	1	0.2
<b>Florida</b>														
Middle	319	19.5	19	1.2	14	0.9	25	1.5	18	1.1	255	15.6	14	0.9
Northern	85	21.4	0	0.0	0	0.0	0	0.0	1	0.3	30	7.6	3	0.8
Southern	188	8.2	0	0.0	28	1.2	10	0.4	19	0.8	377	16.5	24	1.0
<b>Georgia</b>														
Middle	32	10.4	0	0.0	3	1.0	1	0.3	1	0.3	26	8.4	1	0.3
Northern	114	14.9	1	0.1	18	2.3	14	1.8	17	2.2	109	14.2	8	1.0
Southern	40	7.2	0	0.0	6	1.1	5	0.9	1	0.2	44	7.9	2	0.4

Of the 81,372 cases, 2,219 were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range. Districts for which information needed to determine the relationship between the sentence imposed and the guideline range is missing in five percent or more of the cases received included: Middle Georgia (27.0%), Arizona (20.3%), Eastern Virginia (13.2%), Maryland (10.9%), Southern Mississippi (8.7%), Northern New York (8.4%), Southern Florida (5.3%), and Middle Tennessee (5.0%).

SOURCE: U.S. Sentencing Commission, 2009 Datafile, OPAFY09.

**Table 10**

**GUIDELINE DEPARTURE RATE BY PRIMARY OFFENSE CATEGORY  
Fiscal Year 2009**

**National**

PRIMARY OFFENSE	TOTAL	WITHIN GUIDELINE RANGE		ABOVE RANGE DEPARTURE		ABOVE RANGE DEPARTURE W/BOOKER		ABOVE RANGE W/BOOKER		REMAINING ABOVE RANGE	
		N	%	N	%	N	%	N	%	N	%
<b>TOTAL</b>	<b>79,153</b>	<b>44,991</b>	<b>56.8</b>	<b>382</b>	<b>0.5</b>	<b>151</b>	<b>0.2</b>	<b>842</b>	<b>1.1</b>	<b>121</b>	<b>0.2</b>
Robbery	1,006	598	59.4	14	1.4	3	0.3	26	2.6	3	0.3
Drugs - Trafficking	23,899	11,087	46.4	56	0.2	15	0.1	93	0.4	24	0.1
Drugs - Simple Possession	530	477	90.0	3	0.6	1	0.2	13	2.5	3	0.6
Firearms	8,225	5,064	61.6	76	0.9	38	0.5	135	1.6	22	0.3
Larceny	1,510	1,128	74.7	6	0.4	2	0.1	10	0.7	5	0.3
Fraud	7,016	4,107	58.5	39	0.6	15	0.2	109	1.6	14	0.2
Embezzlement	466	316	67.8	0	0.0	1	0.2	4	0.9	0	0.0
Forgery/Counterfeiting	882	600	68.0	8	0.9	2	0.2	13	1.5	5	0.6
Immigration	25,385	15,860	62.5	106	0.4	37	0.1	278	1.1	26	0.1
Other Miscellaneous Offenses	10,234	5,754	56.2	74	0.7	37	0.4	161	1.6	19	0.2

**Ninth Circuit**

PRIMARY OFFENSE	TOTAL	WITHIN GUIDELINE RANGE		ABOVE RANGE DEPARTURE		ABOVE RANGE DEPARTURE W/BOOKER		ABOVE RANGE W/BOOKER		REMAINING ABOVE RANGE	
		N	%	N	%	N	%	N	%	N	%
<b>TOTAL</b>	<b>16,161</b>	<b>6,463</b>	<b>40.0</b>	<b>94</b>	<b>0.6</b>	<b>29</b>	<b>0.2</b>	<b>113</b>	<b>0.7</b>	<b>34</b>	<b>0.2</b>
Robbery	182	84	46.2	4	2.2	0	0.0	8	4.4	2	1.1
Drugs - Trafficking	4,084	1,145	28.0	12	0.3	2	0.0	12	0.3	3	0.1
Drugs - Simple Possession	125	119	95.2	2	1.6	0	0.0	0	0.0	1	0.8
Firearms	875	488	55.8	16	1.8	4	0.5	20	2.3	7	0.8
Larceny	267	183	68.5	1	0.4	0	0.0	0	0.0	1	0.4
Fraud	1,079	625	57.9	7	0.6	3	0.3	15	1.4	4	0.4
Embezzlement	69	42	60.9	0	0.0	0	0.0	0	0.0	0	0.0
Forgery/Counterfeiting	102	60	58.8	0	0.0	1	1.0	1	1.0	1	1.0
Immigration	7,373	2,622	35.6	36	0.5	9	0.1	33	0.4	12	0.2
Other Miscellaneous Offenses	2,005	1,095	54.6	16	0.8	10	0.5	24	1.2	3	0.1

### National

PRIMARY OFFENSE	§5K1.1 SUBSTANTIAL ASSISTANCE		§5K3.1 EARLY DISPOSITION		OTHER GOV'T SPONSORED		BELOW RANGE DEPARTURE		BELOW RANGE DEPARTURE W/BOOKER		BELOW RANGE W/BOOKER		REMAINING BELOW RANGE	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%
<b>TOTAL</b>	<b>9,855</b>	<b>12.5</b>	<b>7,242</b>	<b>9.1</b>	<b>2,914</b>	<b>3.7</b>	<b>1,542</b>	<b>1.9</b>	<b>861</b>	<b>1.1</b>	<b>9,358</b>	<b>11.8</b>	<b>894</b>	<b>1.1</b>
Robbery	113	11.2	1	0.1	34	3.4	24	2.4	26	2.6	159	15.8	5	0.5
Drugs - Trafficking	6,192	25.9	1,438	6.0	867	3.6	409	1.7	297	1.2	3,223	13.5	198	0.8
Drugs - Simple Possession	10	1.9	0	0.0	4	0.8	5	0.9	0	0.0	9	1.7	5	0.9
Firearms	955	11.6	17	0.2	299	3.6	155	1.9	101	1.2	1,272	15.5	91	1.1
Larceny	88	5.8	1	0.1	35	2.3	19	1.3	15	1.0	165	10.9	36	2.4
Fraud	1,050	15.0	8	0.1	231	3.3	107	1.5	92	1.3	1,118	15.9	126	1.8
Embezzlement	14	3.0	0	0.0	11	2.4	9	1.9	10	2.1	88	18.9	13	2.8
Forgery/Counterfeiting	101	11.5	1	0.1	15	1.7	9	1.0	11	1.2	105	11.9	12	1.4
Immigration	317	1.2	5,753	22.7	713	2.8	591	2.3	126	0.5	1,336	5.3	242	1.0
Other Miscellaneous Offenses	1,015	9.9	23	0.2	705	6.9	214	2.1	183	1.8	1,883	18.4	166	1.6

### Ninth Circuit

PRIMARY OFFENSE	§5K1.1 SUBSTANTIAL ASSISTANCE		§5K3.1 EARLY DISPOSITION		OTHER GOV'T SPONSORED		BELOW RANGE DEPARTURE		BELOW RANGE DEPARTURE W/BOOKER		BELOW RANGE W/BOOKER		REMAINING BELOW RANGE	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%
<b>TOTAL</b>	<b>1,268</b>	<b>7.8</b>	<b>5,227</b>	<b>32.3</b>	<b>819</b>	<b>5.1</b>	<b>317</b>	<b>2.0</b>	<b>168</b>	<b>1.0</b>	<b>1,386</b>	<b>8.6</b>	<b>243</b>	<b>1.5</b>
Robbery	11	6.0	0	0.0	19	10.4	8	4.4	9	4.9	35	19.2	2	1.1
Drugs - Trafficking	745	18.2	1,265	31.0	259	6.3	107	2.6	62	1.5	427	10.5	45	1.1
Drugs - Simple Possession	0	0.0	0	0.0	1	0.8	1	0.8	0	0.0	1	0.8	0	0.0
Firearms	69	7.9	16	1.8	73	8.3	23	2.6	5	0.6	139	15.9	15	1.7
Larceny	19	7.1	1	0.4	11	4.1	2	0.7	4	1.5	31	11.6	14	5.2
Fraud	145	13.4	8	0.7	81	7.5	26	2.4	14	1.3	131	12.1	20	1.9
Embezzlement	1	1.4	0	0.0	5	7.2	1	1.4	1	1.4	14	20.3	5	7.2
Forgery/Counterfeiting	12	11.8	1	1.0	6	5.9	0	0.0	1	1.0	17	16.7	2	2.0
Immigration	96	1.3	3,914	53.1	132	1.8	94	1.3	38	0.5	298	4.0	89	1.2
Other Miscellaneous Offenses	170	8.5	22	1.1	232	11.6	55	2.7	34	1.7	293	14.6	51	2.5

Of the 81,372 guideline cases, 2,219 cases were excluded due to missing information from the submitted documents that prevented the comparison of the sentence and the guideline range.

Of the 17,246 guideline cases from the Ninth Circuit, 1,085 cases were excluded due to missing information needed to determine the relationship between the sentence imposed and the guideline range.

SOURCE: U.S. Sentencing Commission, 2009 Datafile, OPAFY09.