

Use of Guidelines and Specific Offense Characteristics Offender Based

Fiscal Year 2013



USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS¹
Offender Based
Fiscal Year 2013²

| Guideline and SOC | Applied³ | Percent⁴ |
|---|----------------------------|----------------------------|
| Part A - Offenses Against The Person | | |
| All Offenses | 70,982 | 100.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 46,274 | 65.2 |
| §2A1.1 First Degree Murder | 201 | 0.3 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2A1.2 Second Degree Murder | 51 | 0.1 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2A1.3 Voluntary Manslaughter | 18 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2A1.4 Involuntary Manslaughter | 55 | 0.1 |
| (a)(1) Criminally negligent (Base offense level 12) | 8 | 14.6 |
| (a)(2)(A) Reckless conduct (Base offense level 18) | 9 | 16.4 |
| (a)(2)(B) Reckless operation of a means of transportation (Base offense level 22) | 38 | 69.1 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2A1.5 Conspiracy or Solicitation to Commit Murder | 62 | 0.1 |
| Chapter 2 Specific Offense Characteristic Adjustments | 14 | 22.6 |
| (b)(1) Offer/receipt of anything of pecuniary value for undertaking the murder (4 levels) | 14 | 22.6 |

¹ The information in this tables depicts information collected from only those cases in which complete guideline information is available. Of the 80,035 cases received by the Commission, 71,004 contained complete guideline information (88.7 percent), and an additional 22 cases were excluded due to missing information on the sentencing guideline used at sentencing.

² Fiscal Year 2013 data represents guideline application from guidelines effective November 1, 2012 unless otherwise specified.

³ This column represents the number of times each guideline or Specific Offense Characteristic (SOC) was applied.

⁴ The "Percent" column represents, for each guideline, the portion of all guideline calculations in which the specific guideline was involved. For each SOC, the percent represents the frequency with which the SOC was used in the calculations for the specific guideline.

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| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2A2.1 Assault with Intent to Commit Murder: Attempted Murder | 114 | 0.2 |
| (a)(1) Offense would have constituted first degree murder (Base offense level 33) | 753 | 65.8 |
| (a)(2) Otherwise (Base offense level 27) | 39 | 34.2 |
| Chapter 2 Specific Offense Characteristic Adjustments | 643 | 56.1 |
| (b)(1)(A) Permanent or life-threatening bodily injury (4 levels) | 33 | 29.0 |
| (b)(1)(B) Between permanent/life-threatening and serious bodily injury (3 levels) | 4 | 3.5 |
| (b)(1)(C) Serious bodily injury (2 levels) | 21 | 18.4 |
| (b)(2) Offer/receipt of anything of pecuniary value for undertaking the murder (4 levels) | 8 | 7.0 |
| §2A2.2 Aggravated Assault | 363 | 0.5 |
| Chapter 2 Specific Offense Characteristic Adjustments | 358 | 98.6 |
| (b)(1) More than minimal planning (2 levels) | 20 | 5.5 |
| (b)(2)(A) Firearm discharged (5 levels) | 31 | 8.5 |
| (b)(2)(B) Dangerous weapon otherwise used (4 levels) | 193 | 53.2 |
| (b)(2)(B) Dangerous weapon otherwise used (3 levels) ⁵ | 1 | 0.3 |
| (b)(2)(C) Dangerous weapon brandished or threatened (3 levels) | 9 | 2.5 |
| (b)(3)(A) "Simple" bodily injury (3 levels) | 71 | 19.6 |
| (b)(3)(B) Serious bodily injury (5 levels) | 159 | 43.8 |
| (b)(3)(C) Permanent or life-threatening injury (7 levels) | 25 | 6.9 |
| (b)(3)(C) Permanent or life-threatening injury (6 levels) ⁵ | 35 | 9.6 |
| (b)(3)(C) Permanent or life-threatening injury (5 levels) ⁵ | 2 | 0.6 |
| (b)(3)(D) Between serious and "simple" bodily injury (4 levels) | 14 | 3.9 |
| (b)(3)(E) Between permanent/life-threatening and serious bodily injury (6 levels) | 7 | 1.9 |
| (b)(4) Assault motivated by payment or offer of money or thing of value (2 levels) | 5 | 1.4 |
| (b)(5) Assault involved violation of a court protection order (2 levels) | 5 | 1.4 |
| (b)(6) If the defendant was convicted under 18 U.S.C. § 111(b) or § 115 (2 levels) | 43 | 11.8 |

⁵ This SOC level is not available under the guidelines, but court documentation reflected the court's findings that this was the proper level for this offense.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
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| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2A2.3 Minor Assault | 38 | 0.0 |
| (a)(1) Physical contact or dangerous weapon possessed/threatened (Base offense level 7) | 31 | 81.6 |
| (a)(2) Other than the behavior in (a)(1) (Base offense level 4) | 7 | 18.4 |
| Chapter 2 Specific Offense Characteristic Adjustments | 21 | 55.3 |
| (b)(1)(A) Bodily injury to victim (2 levels) | 14 | 36.8 |
| (b)(1)(B) Substantial bodily injury to victim under age of 16 (4 levels) | 7 | 18.4 |
| §2A2.4 Obstructing or Impeding Officers | 188 | 0.3 |
| Chapter 2 Specific Offense Characteristic Adjustments | 149 | 79.3 |
| (b)(1)(a) Physical contact (3 levels) | 137 | 72.9 |
| (b)(1)(b) Dangerous weapon possessed/threatened (3 levels) | 11 | 5.8 |
| (b)(2) Victim sustained bodily injury (2 levels) | 67 | 35.6 |
| §2A3.1 Criminal Sexual Abuse (Rape) | 120 | 0.2 |
| (a)(1) Conviction under 18 U.S.C. § 2241(c) (Base offense level 38) | 10 | 8.3 |
| (a)(2) Otherwise (Base offense level 30) | 101 | 84.2 |
| (a) Base offense level 27 ⁶ | 9 | 7.5 |
| Chapter 2 Specific Offense Characteristic Adjustments | 100 | 83.3 |
| (b)(1) Offense committed by means set forth in 18 U.S.C. § 2241(a) or (b) (4 levels) | 60 | 50.0 |
| (b)(2)(A) Victim under age of 12 (4 levels) | 35 | 29.2 |
| (b)(2)(B) Victim between 12 and 16 (2 levels) | 15 | 12.5 |
| (b)(3)(A) Victim in custody, care or control (2 levels) | 35 | 29.2 |
| (b)(3)(B) Victim in a correctional facility (2 levels) | 3 | 2.5 |
| (b)(4)(A) Permanent or life-threatening bodily injury (4 levels) | 6 | 5.0 |
| (b)(4)(B) Between permanent/life-threatening and serious bodily injury (3 levels) | 0 | 0.0 |
| (b)(4)(C) Serious bodily injury (2 levels) | 12 | 10.0 |
| (b)(5) Victim abducted (4 levels) | 3 | 2.5 |
| (b)(6)(A) Misrepresentation to persuade a minor (2 levels) | 0 | 0.0 |
| (b)(6)(B) Use of a computer to persuade a minor (2 levels) | 11 | 9.2 |

⁶ Base offense level was increased on November 1, 2004.

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| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2A3.2 Criminal Sexual Abuse of a Minor (Statutory Rape) | 35 | 0.0 |
| (a) Base offense level 18 | 34 | 97.1 |
| (a)(1) Violation of Chapter 117 of Title 18 and no commission of a sex act (BOL 21) ⁷ | 1 | 2.9 |
| Chapter 2 Specific Offense Characteristic Adjustments | 18 | 51.4 |
| (b)(1) Victim in custody, care or control of the defendant (4 levels) | 8 | 22.9 |
| (b)(1) Victim in custody, care or control of the defendant (2 level) ⁸ | 1 | 2.9 |
| (b)(2)(A) Misrepresentation of identity (4 levels) | 0 | 0.0 |
| (b)(2)(B) Undue influence (4 levels) | 7 | 20.0 |
| (b)(2)(A) Misrepresentation of identity (2 levels) ⁸ | 1 | 2.9 |
| (b)(3) Use of a computer to persuade the victim to engage in prohibited sexual conduct (2 levels) | 2 | 5.7 |
| §2A3.3 Criminal Sexual Abuse of a Ward | 7 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| (b)(1) Misrepresentation of identity (2 levels) | 0 | 0.0 |
| (b)(2) Use of a computer (2 levels) | 0 | 0.0 |
| §2A3.4 Abuse Sexual Contact | 44 | 0.1 |
| (a)(1) If offense committed by means in 18 U.S.C. § 2241(a) or (b) (BOL 20) | 3 | 6.8 |
| (a)(2) If offense committed by means in 18 U.S.C. § 2242 (Base offense level 16) | 8 | 18.2 |
| (a)(3) Other than (a)(1) or (a)(2) (Base offense level 12) | 32 | 72.7 |
| (a)(3) Other than (a)(1) or (a)(2) (Base offense level 10) ⁶ | 1 | 2.3 |
| Chapter 2 Specific Offense Characteristic Adjustments | 27 | 61.4 |
| (b)(1) Victim under the age of 12 (4 levels or increase to level 22) | 21 | 47.7 |
| (b)(1) Victim under the age of 12 (4 levels or increase to level 20) ⁹ | 1 | 2.3 |
| (b)(2) Victim between 12 and 16 (2 levels) | 3 | 6.8 |
| (b)(3) Victim was in custody, care or control (2 levels) | 13 | 29.6 |
| (b)(4) Misrepresentation of identity (2 levels) | 0 | 0.0 |
| (b)(5) Use of a computer (2 levels) | 0 | 0.0 |

⁷ Base offense level was eliminated on November 1, 2004.

⁸ SOC was increased on November 1, 2004.

⁹ Floor level on SOC was increased to level 22 on November 1, 2007.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
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| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2A3.5 Failure to Register as a Sex Offender | 530 | 0.8 |
| (a)(1) Defendant required to register as a Tier III offender (Base offense level 16) | 292 | 55.1 |
| (a)(2) Defendant required to register as a Tier II offender (Base offense level 14) | 147 | 27.7 |
| (a)(3) Defendant required to register as a Tier I offender (Base offense level 12) | 91 | 17.2 |
| Chapter 2 Specific Offense Characteristic Adjustments | 16 | 3.0 |
| (b)(1)(A) If while in failure to register status, sex offense against non-minor (6 levels) | 2 | 0.4 |
| (b)(1)(B) If while in failure to register status, felony offense against a minor (6 levels) | 2 | 0.4 |
| (b)(1)(C) If while in failure to register status, sex offense against a minor (8 levels) | 6 | 1.1 |
| (b)(2)(A) Defendant voluntarily corrected the failure to register (decrease 3 levels) | 6 | 1.1 |
| (b)(2)(B) Defendant voluntarily attempted to register but was unable to (decrease 3 lvls) | 0 | 0.0 |
| §2A3.6 Aggravated Offenses Relating to Registration as a Sex Offender | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2A4.1 Kidnapping, Abduction or Unlawful Restraint | 90 | 0.1 |
| Chapter 2 Specific Offense Characteristic Adjustments | 77 | 85.6 |
| (b)(1) Ransom demanded or a demand upon the government (6 levels) | 34 | 37.8 |
| (b)(2)(A) Victim sustained permanent or life-threatening bodily injury (4 levels) | 4 | 4.4 |
| (b)(2)(B) Between permanent or life-threatening and serious bodily injury (3 levels) | 0 | 0.0 |
| (b)(2)(C) Serious bodily injury (2 levels) | 19 | 21.1 |
| (b)(3) Dangerous weapon used (2 levels) | 46 | 51.1 |
| (b)(4)(A) Victim not released before 30 days (2 levels) | 1 | 1.1 |
| (b)(4)(B) Victim not release before seven days (1 level) | 2 | 2.2 |
| (b)(4)(C) Victim released before 24 hours (1 level decrease) | 0 | 0.0 |
| (b)(5) Victim sexually exploited (6 levels) | 9 | 10.0 |
| (b)(6) Victim was a minor, and in exchange for money, in custody, care or control (3 lev) | 1 | 1.1 |
| (b)(7)(A) Abduction in connection with another crime (increase to applicable g'line) | 1 | 1.1 |
| (b)(7)(B) Abduction in connection with another crime (4 levels) | 4 | 4.4 |

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|--|----------------|----------------|
| §2A4.2 Demanding or Receiving Ransom Money | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2A5.1 Aircraft Piracy or Attempted Aircraft Piracy | 0 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| (b)(1) Death resulting from act (5 levels) | 0 | 0.0 |
| §2A5.2 Interference with Flight Crew Member or Mass Transportation Vehicle or Ferry | 22 | 0.0 |
| (a)(1) Intentionally endangering safety of above stated transportation (BOL 30) | 0 | 0.0 |
| (a)(2) Recklessly endangering safety of above stated transportation (BOL 18) | 11 | 50.0 |
| (a)(3) If an assault occurred, most analogous BOL from §§ 2A2.1-2A2.4 | 0 | 0.0 |
| (a)(4) Other than behavior in (a)(1)-(a)(3) (Base offense level 9) | 11 | 50.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| (b)(1)(i) Subsection (a)(1) or (a)(2) applies, firearm discharged (5 levels) | 0 | 0.0 |
| (b)(1)(ii) Subsection (a)(1) or (a)(2) applies, dangerous weapon otherwise used (4 levels) | 0 | 0.0 |
| (b)(1)(iii) Subsection (a)(1) or (a)(2) applies, weapon brandished/threatened (3 levels) | 0 | 0.0 |
| §2A5.3 Committing Certain Crimes Aboard Aircraft | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |

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| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2A6.1 Threatening or Harassing Communication | 148 | 0.2 |
| (a)(2) Convicted of 47 U.S.C. § 223(a)(1)(C) - (E) not involving threat to injure (BOL 6) | 1 | 0.7 |
| (a)(1) Conviction other than (a)(1) (Base offense level 12) | 177 | 99.3 |
| Chapter 2 Specific Offense Characteristic Adjustments | 105 | 71.0 |
| (b)(1) Conduct evidencing an intent to carry out such threat (6 levels) | 14 | 9.5 |
| (b)(2)(A) More than two threats (2 levels) | 45 | 30.4 |
| (b)(2)(B) Convicted under 18 U.S.C. § 1521 and more than 2 false liens (2 levels) | 2 | 1.4 |
| (b)(3) Violation of a court protection order (2 levels) | 2 | 1.4 |
| (b)(4)(A) Disruption of public, governmental, or business functions (4 levels) | 25 | 16.9 |
| (b)(4)(B) Substantial expenditure of funds to clean or decontaminate (4 levels) | 5 | 3.4 |
| (b)(5)(A) Convicted under 18 U.S.C. § 115 (2 levels) | 0 | 0.0 |
| (b)(5)(B) Made a public threatening communication (2 levels) | 0 | 0.0 |
| (b)(5)(C) Knew that public threatening communication created a substantial risk of inciting others to violate 18 U.S.C. § 115 (2 levels) | 0 | 0.0 |
| (b)(6) If subsection (a)(2) and (b)(1)-(b)(4) do not apply (4 level decrease) ¹⁰ | 30 | 20.3 |
| §2A6.2 Stalking or Domestic Violence | 37 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 35 | 94.6 |
| (b)(1)(A) Violation of court protection order (2 levels) | 1 | 2.7 |
| (b)(1)(B) Bodily injury (2 levels) | 2 | 5.4 |
| (b)(1)(C) Possession, or threatened use of a dangerous weapon (2 levels) | 2 | 5.4 |
| (b)(1)(D) Pattern of activity stalking, threatening, or assaulting same victim (2 levels) | 9 | 24.3 |
| (b)(1)(A)-(D) Violation of more than one of above (4 levels) | 21 | 56.8 |

¹⁰ SOC was formerly §2A6.1(b)(5) and was redesignated §2A6.1(b)(6) on November 1, 2009. The statistics reflect all instances of the application of this SOC.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
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| Guideline and SOC | Applied | Percent |
|---|---------|---------|
| Part B - Basic Economic Offenses | | |
| §2B1.1 Larceny, Embezzlement, Theft, and Fraud | 8,205 | 11.6 |
| (a)(1) Statutory maximum of 20 years or more (Base offense level 7) | 3,243 | 39.5 |
| (a)(2) Otherwise (Base offense level 6) | 4,952 | 60.4 |
| (a)(1) Base offense level 6 ¹¹ | 8 | 0.1 |
| (a)(1) Base offense level 4 ¹² | 2 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 7,394 | 90.1 |
| (b)(1)(A) \$5,000 or less (0 levels) | 1,153 | 14.0 |
| (b)(1)(B) Between \$5,000 and \$10,000 (2 levels) | 379 | 4.6 |
| (b)(1)(C) Between \$10,000 and \$30,000 (4 levels) | 1,056 | 12.9 |
| (b)(1)(E) Between \$5,000 and \$10,000 (4 levels) ¹³ | 1 | 0.0 |
| (b)(1)(D) Between \$30,000 and \$70,000 (6 levels) | 1,039 | 12.7 |
| (b)(1)(E) Between \$70,000 and \$120,000 (8 levels) | 790 | 9.6 |
| (b)(1)(F) Between \$120,000 and \$200,000 (10 levels) | 619 | 7.5 |
| (b)(1)(G) Between \$200,000 and \$400,000 (12 levels) | 761 | 9.3 |
| (b)(1)(M) Between \$500,000 and \$800,000 (12 levels) ¹³ | 1 | 0.0 |
| (b)(1)(H) Between \$400,000 and \$1,000,000 (14 levels) | 928 | 11.3 |
| (b)(1)(I) Between \$1,000,000 and \$2,500,000 (16 levels) | 711 | 8.7 |
| (b)(1)(J) Between \$2,500,000 and \$7,000,000 (18 levels) | 441 | 5.4 |
| (b)(1)(K) Between \$7,000,000 and \$20,000,000 (20 levels) | 219 | 2.7 |
| (b)(1)(L) Between \$20,000,000 and \$50,000,000 (22 levels) | 55 | 0.7 |
| (b)(1)(M) Between \$50,000,000 and \$100,000,000 (24 levels) | 24 | 0.3 |
| (b)(1)(N) Between \$100,00,000 and \$200,000,000 (26 levels) | 14 | 0.2 |
| (b)(1)(O) Between \$200,000,000 and \$400,000,000 (28 levels) | 7 | 0.1 |
| (b)(1)(P) More than \$400,000,000 (30 levels) | 7 | 0.1 |

¹¹ The alternative base offense level of 7 was added on November 1, 2003.

¹² The base offense level of 4 was increased to level 6 on November 1, 2001.

¹³ Threshold amount was changed for this SOC on November 1, 2001.

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| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2B1.1 Larceny, Embezzlement, Theft, and Fraud (continued) | 8,205 | 11.6 |
| (b)(2)(A) More than 10 but less than 50 victims or mass marketing (2 levels) | 10 | 0.1 |
| (b)(2)(A)(i) More than 10 but less than 50 victims (2 levels) | 1,039 | 12.7 |
| (b)(2)(A)(ii) Mass marketing (2 levels) | 38 | 0.5 |
| (b)(2)(B) More than 50 victims but less than 250 victims (4 levels) | 577 | 7.0 |
| (b)(2)(B) More than 50 victims but less than 250 victims (2 levels) ¹⁴ | 1 | 0.0 |
| (b)(2)(C) More than 250 victims (6 levels) | 248 | 3.0 |
| (b)(2)(C) More than 250 victims (8 levels) ¹⁴ | 1 | 0.0 |
| (b)(3) Theft was from another person (2 levels) ¹⁵ | 21 | 0.3 |
| (b)(4) Receiving stolen property as a business (2 levels) | 68 | 0.8 |
| (b)(4)(A) Offense involved more than minimal planning (2 levels) ¹⁶ | 1 | 0.0 |
| (b)(5) Misappropriation of trade secrets or benefit foreign government (2levels) ¹⁷ | 1 | 0.0 |
| (b)(6) Theft or damage to a national cemetery (2 levels) | 0 | 0.0 |
| (b)(7) Convicted under (A) 18 U.S.C. §1037 and (B) involved e-mail addresses through improper means (2 levels) | 1 | 0.0 |
| (b)(8)(A)(i) Federal health care offense and loss to the Government was more than \$1,000,000 (2 levels) | 26 | 0.3 |
| (b)(8)(A)(ii) Federal health care offense and loss to the Government was more than \$7,000,000 (3 levels) | 1 | 0.0 |
| (b)(8)(A)(iii) Federal health care offense and loss to the Government was more than \$20,000,000 (4 levels) | 5 | 0.1 |
| (b)(9)(A) Misrepresentation of acting on behalf of charitable, educational, religious or political organization or a government agency (2 levels, if less than 10) ¹⁸ | 49 | 0.6 |
| (b)(9)(B) Misrepresentation during bankruptcy proceeding (2 levels, if less than 10) ¹⁸ | 73 | 0.9 |
| (b)(9)(C) Violation of any prior judicial/administrative order (2 levels, if less than 10) ¹⁸ | 58 | 0.7 |

¹⁴ This SOC level is not available under the guidelines, but court documentation reflected the court's findings that this was the proper level for this offense.

¹⁵ SOC was formerly §2B1.1(b)(2) and redesignated §2B1.1(b)(3) on November 1, 2001. The statistics reflect all instances of the application of this SOC.

¹⁶ This SOC was eliminated on November 1, 2001.

¹⁷ SOC was formerly §2B1.1(b)(7) and redesignated §2B1.1(b)(5) on November 1, 2001. The statistics reflect all instances of the application of this SOC.

¹⁸ SOC was formerly §2B1.1(b)(7) and redesignated §2B1.1(b)(8) on November 1, 2004, and subsequently redesignated §2B1.1(b)(9) on November 1, 2011. The statistics reflect all instances of the application of this SOC.

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|---|----------------|----------------|
| §2B1.1 Larceny, Embezzlement, Theft, and Fraud (continued) | 8,205 | 11.6 |
| (b)(9)(D) Misrepresentation in financial assistance for higher education (2 levels, if less than 10) ¹⁸ | 12 | 0.1 |
| (b)(10)(A) Defendant relocated to evade law enforcement (2 levels, increase to 12) ¹⁹ | 88 | 1.1 |
| (b)(10)(B) Most of scheme committed outside of U.S. (2 levels, increase to 12) ¹⁹ | 113 | 1.4 |
| (b)(10)(C) Offense otherwise involved sophisticated means (2 levels, increase to 12) ¹⁹ | 971 | 11.8 |
| (b)(11)(A)(i) Possession or use of any device-making equipment (2 levels, increase to 12) ²⁰ | 188 | 2.3 |
| (b)(11)(A)(ii) Possession or use of any authentication feature (2 levels, increase to 12) ²⁰ | 118 | 1.4 |
| (b)(11)(B)(i) Production/trafficking of any unauthorized access device (2 levels, increase to 12) ²⁰ | 198 | 2.4 |
| (b)(11)(B)(ii) Production/trafficking authentication feature (2 levels, increase to 12) ²⁰ | 29 | 0.4 |
| (b)(11)(C)(i) Use of means of ID unlawfully to produce/obtain (2 levels, increase to 12) ²⁰ | 327 | 4.0 |
| (b)(11)(C)(ii) Possession of five or more means of ID (2 levels, increase to 12) ²⁰ | 101 | 1.2 |
| (b)(12) Conduct described in 18 U.S.C. § 1040 (2 levels, increase to 12) ²¹ | 60 | 0.7 |
| (b)(13)(A) Organized scheme to steal vehicles or parts (two levels, increase to 14) ²² | 29 | 0.4 |
| (b)(13)(B) Organized scheme to steal goods that are part of a cargo shipment (2 levels, increase to 14) ²³ | 38 | 0.5 |

¹⁹ SOC was formerly §2B1.1(b)(8) and redesignated §2B1.1(b)(9) on November 1, 2004, and subsequently redesignated §2B1.1(b)(10) on November 1, 2011. The statistics reflect all instances of the application of this SOC.

²⁰ SOC was formerly §2B1.1(b)(9) and redesignated §2B1.1(b)(10) on November 1, 2004, and subsequently redesignated §2B1.1(b)(11) on November 1, 2011. The statistics reflect all instances of the application of this SOC.

²¹ SOC was promulgated under as an “Emergency Amendment” on May 1, 2008 as §2B1.1(b)(16) and redesignated §2B1.1(b)(11) on November 1, 2008, and subsequently redesignated §2B1.1(b)(12) on November 1, 2011. The statistics reflect all instances of the application of this SOC.

²² SOC was formerly §2B1.1(b)(5) and redesignated §2B1.1(b)(10) on November 1, 2001, subsequently redesignated §2B1.1(b)(11) on November 1, 2004, §2B1.1(b)(11)(A) on November 1, 2007, §2B1.1(b)(12)(A) on November 1, 2008, and §2B1.1(b)(13)(A) on November 1, 2011. The statistics reflect all instances of the application of this SOC.

²³ The SOC was formerly §2B1.1(b)(11)(B) and redesignated §2B1.1(b)(12)(B) on November 1, 2008, and subsequently redesignated §2B1.1(b)(13)(B) on November 1, 2011. The statistics reflect all instances of the application of this SOC.

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| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2B1.1 Larceny, Embezzlement, Theft, and Fraud (continued) | 8,205 | 11.6 |
| (b)(14)(A) Conscious/reckless risk of death/serious bodily injury (2 levels, increase to 14) ²⁴ | 43 | 0.5 |
| (b)(14)(B) Possession of a dangerous weapon (2 levels, increase to 14) ²⁴ | 19 | 0.2 |
| (b)(15)(A) More than \$1,000,000 derived from financial institution (2 levels) ²⁵ | 114 | 1.4 |
| (b)(15)(B)(i) Jeopardized the safety and soundness of financial institution (4 levels or more) ²⁶ | 19 | 0.2 |
| (b)(15)(B)(ii) Substantially endangered solvency or financial security, publicly traded or had 1,000 or more employees (4 levels or more) ²⁶ | 3 | 0.0 |
| (b)(15)(B)(iii) Substantially endangered solvency or financial security of 100 or more victims (4 levels or more) ²⁶ | 8 | 0.1 |
| (b)(16)(A) Convicted of 18 U.S.C. § 1030 and involved an intent to obtain personal information (2 levels) ²⁷ | 26 | 0.3 |
| (b)(16)(B) Involved unauthorized public dissemination of personal information (2 levels) ²⁷ | 10 | 0.1 |
| (b)(17)(A)(i) Convicted of 18 U.S.C. § 1030 and involved a computer system used to maintain an infrastructure (2 levels) ²⁸ | 12 | 0.1 |
| (b)(17)(A)(ii) Convicted of 18 U.S.C. §1030(a)(5)(A) (4 levels) ²⁹ | 15 | 0.2 |

²⁴ SOC was formerly §2B1.1(b)(11) and redesignated §2B1.1(b)(12) on November 1, 2004, subsequently redesignated §2B1.1(b)(13) on November 1, 2008, and §2B1.1(b)(14) on November 1, 2011. The statistics reflect all instances of the application of this SOC.

²⁵ SOC was formerly §2B1.1(b)(6)(A) and redesignated §2B1.1(b)(12)(A) on November 1, 2001, subsequently redesignated §2B1.1(b)(13)(A) on November 1, 2004, §2B1.1(b)(14)(A) on November 1, 2008, and §2B1.1(b)(15)(A) on November 1, 2011. The statistics reflect all instances of the application of this SOC.

²⁶ SOC was formerly §2B1.1(b)(12)(B) and redesignated §2B1.1(b)(13)(B) on November 1, 2004, subsequently redesignated §2B1.1(b)(14)(B) on November 1, 2008, and §2B1.1(b)(15)(B) on November 1, 2011. The statistics reflect all instances of the application of this SOC.

²⁷ The SOC was formerly §2B1.1(b)(15) and redesignated §2B1.1(b)(16) on November 1, 2011.

²⁸ The SOC was formerly §2B1.1(b)(16)(A)(i) and redesignated §2B1.1(b)(17)(A)(i) on November 1, 2011.

²⁹ SOC was formerly §2B1.1(b)(13)(A)(ii) and redesignated §2B1.1(b)(14)(A)(ii) on November 1, 2004, subsequently redesignated §2B1.1(b)(15)(A)(ii) on November 1, 2008, §2B1.1(b)(16)(A)(ii) on November 1, 2009, and §2B1.1(b)(17)(A)(ii) on November 1, 2011. The statistics reflect all instances of the application of this SOC.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2B1.1 Larceny, Embezzlement, Theft, and Fraud (continued) | 8,205 | 11.6 |
| (b)(17)(A)(iii) Convicted of 18 U.S.C. §1030 and substantial disruption of a critical infrastructure (6 levels) ³⁰ | 0 | 0.0 |
| (b)(18)(A)(i) Violation of securities law and defendant was an officer of a publicly traded company (4 levels, increase to 24) ³¹ | 19 | 0.2 |
| (b)(18)(A)(ii) Violation of securities law and defendant was a registered broker (4 levels, increase to 24) ³¹ | 18 | 0.2 |
| (b)(18)(A)(iii) Violation of securities law and defendant was an investment advisor (4 levels, increase to 24) ³¹ | 24 | 0.3 |
| (b)(18)(B)(i) Violation of commodities law and defendant was an officer of a futures commission merchant (4 levels, increase to 24) ³¹ | 1 | 0.0 |
| (b)(18)(B)(ii) Violation of commodities law and defendant was a commodities advisor (4 levels, increase to 24) ³¹ | 4 | 0.0 |
| (b)(18)(B)(iii) Violation of commodities law and defendant was a commodity pool operator (4 levels, increase to 24) ³¹ | 4 | 0.0 |
| §2B1.4 Insider Trading | 28 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 25 | 89.3 |
| (b)(1) Loss less than \$5,000 (0 levels) | 3 | 10.7 |
| (b)(1) Loss between \$70,000 and \$120,000 (8 levels) | 1 | 3.6 |
| (b)(1) Loss between \$120,000 and \$200,000 (10 levels) | 2 | 7.1 |
| (b)(1) Loss between \$200,000 and \$400,000 (12 levels) | 2 | 7.1 |
| (b)(1) Loss between \$400,000 and \$1,000,000 (14 levels) | 6 | 21.4 |
| (b)(1) Loss between \$1,000,000 and \$2,500,000 (16 levels) | 5 | 17.9 |
| (b)(1) Loss between \$2,500,000 and \$7,000,000 (18 levels) | 8 | 28.6 |
| (b)(1) Between \$20,000,000 and \$50,000,000 (22 levels) | 1 | 3.6 |
| (b)(1) Between \$50,000,000 and \$100,000,000 (24 levels) | 1 | 2.9 |
| (b)(2) Offense involved an organized scheme to engage in insider trading (increase to 14) | 0 | 0.0 |

³⁰ SOC was formerly §2B1.1(b)(13)(A)(iii) and redesignated §2B1.1(b)(14)(A)(iii) on November 1, 2004, subsequently redesignated §2B1.1(b)(15)(A)(iii), §2B1.1(b)(16)(A)(iii) on November 1, 2009, and §2B1.1(b)(17)(A)(iii) on November 1, 2011. The statistics reflect all instances of the application of this SOC.

³¹ SOC was formerly §2B1.1(b)(14) and redesignated §2B1.1(b)(15) on November 1, 2004, subsequently redesignated §2B1.1(b)(16) on November 1, 2008, §2B1.1(b)(17) on November 1, 2009, and §2B1.1(b)(18) on November 1, 2011. The statistics reflect all instances of the application of this SOC.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2B1.5 Theft or Damage of Cultural Heritage Resources | 17 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 15 | 88.2 |
| (b)(1)(A) Loss less than \$2,000 (0 levels) | 5 | 29.4 |
| (b)(1)(A) Loss between \$2,000 and \$5,000 (1 level) | 0 | 0.0 |
| (b)(1)(B) Loss between \$5,000 and \$10,000 (2 levels) | 2 | 11.8 |
| (b)(1)(B) Loss between \$10,000 and \$30,000 (4 levels) | 5 | 29.4 |
| (b)(1)(B) Between \$70,000 and \$120,000 (8 levels) | 2 | 11.8 |
| (b)(1)(B) Loss between \$400,000 and \$1,000,000 (14 levels) | 3 | 17.6 |
| (b)(2)(A) Offense involved national park system (2 levels) | 1 | 5.9 |
| (b)(2)(B) Offense involved National Historical Landmark (2 levels) | 1 | 5.9 |
| (b)(2)(C) Offense involved national monument or national memorial (2 levels) | 0 | 0.0 |
| (b)(2)(D) Offense involved a national marine sanctuary (2 levels) | 0 | 0.0 |
| (b)(2)(E) Offense involved a national cemetery (2 levels) | 1 | 5.9 |
| (b)(2)(F) Offense involved a museum (2 levels) | 2 | 11.8 |
| (b)(2)(G) Offense involved the World Heritage List (2 levels) | 0 | 0.0 |
| (b)(3)(A) Offense involved human remains (2 levels) | 3 | 17.6 |
| (b)(3)(B) Offense involved a funerary object (2 levels) | 3 | 17.6 |
| (b)(3)(C) Offense involved cultural patrimony (2 levels) | 0 | 0.0 |
| (b)(3)(D) Offense involved a sacred object (2 levels) | 0 | 0.0 |
| (b)(3)(E) Offense involved cultural property (2 levels) | 0 | 0.0 |
| (b)(3)(F) Offense involved designated archaeological or ethnological material (2 levels) | 3 | 17.6 |
| (b)(3)(G) Offense involved a pre-Columbian monumental/architectural mural (2 levels) | 0 | 0.0 |
| (b)(4) Committed for pecuniary gain (2 levels) | 7 | 41.2 |
| (b)(5) Pattern of misconduct involving cultural heritage resources (2 levels) | 1 | 5.9 |
| (b)(6) Dangerous weapon brandished/threatened (2 levels or increase to level 14) | 0 | 0.0 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2B1.6 Aggravated Identity Theft | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2B2.1 Burglary of a Residence | 74 | 0.1 |
| (a)(1) Burglary of a residence (Base offense level 17) | 18 | 24.3 |
| (a)(2) Burglary of a structure other than a residence (Base offense level 12) | 56 | 76.7 |
| Chapter 2 Specific Offense Characteristic Adjustments | 50 | 67.6 |
| (b)(1) More than minimal planning (2 levels) | 15 | 20.3 |
| (b)(2)(A) Loss \$2,500 or less (0 levels) | 31 | 41.9 |
| (b)(2)(B) Between \$2,500 and \$10,000 loss (one level) | 19 | 25.7 |
| (b)(2)(C) Between \$10,000 and \$50,000 loss (2 levels) | 23 | 31.1 |
| (b)(2)(D) Between \$50,000 and \$250,000 loss (3 levels) | 1 | 1.4 |
| (b)(2)(E) Between \$250,000 and \$800,000 (4 levels) | 0 | 0.0 |
| (b)(2)(F) Between \$800,000 and \$1,500,000 (5 levels) | 0 | 0.0 |
| (b)(2)(G) Between \$1,500,000 and \$2,500,000 (6 levels) | 0 | 0.0 |
| (b)(2)(H) Between \$2,500,000 and \$5,000,000 (7 levels) | 0 | 0.0 |
| (b)(2)(I) More than \$5,000,000 (8 levels) | 0 | 0.0 |
| (b)(3) Firearm, destructive device or controlled substance taken (one level) | 14 | 18.9 |
| (b)(4) Dangerous weapon possessed (2 levels) | 4 | 5.4 |
| §2B2.3 Trespass | 10 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 8 | 80.0 |
| (b)(1)(A) Occurred at a secured government facility (2 levels) | 0 | 0.0 |
| (b)(1)(B) Occurred at a nuclear energy facility (2 levels) | 0 | 0.0 |
| (b)(1)(C) Occurred on a vessel or aircraft of the United States (2 levels) | 3 | 30.0 |
| (b)(1)(D) Occurred in a secured area of an airport (2 levels) | 4 | 40.0 |
| (b)(1)(E) Occurred at a residence (2 levels) | 1 | 10.0 |
| (b)(1)(F) Occurred on a computer system used to (i) maintain/operate infrastructure or (ii) by or for a government entity in the administration of justice/national defense/ national security (2 levels) | 0 | 0.0 |
| (b)(2) Dangerous weapon possessed (2 levels) | 0 | 0.0 |
| (b)(3)(A)(B)(i) Invasion of a computer, loss between \$2,000 and \$5,000 (1 level) | 0 | 0.0 |
| (b)(3) Invasion of a computer, loss according to §2B1.1 loss table (between 2 and 26 lev) | 0 | 0.0 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2B3.1 Robbery | 1,645 | 2.3 |
| (a) Base offense level 20 | 1,643 | 99.9 |
| (a) Base offense level 43 ³² | 2 | 0.1 |
| Chapter 2 Specific Offense Characteristic Adjustments | 1,547 | 94.0 |
| (b)(1) Financial institution or post offense subject of offense (2 levels) | 925 | 56.2 |
| (b)(2)(A) Firearm discharged (7 levels) | 65 | 4.0 |
| (b)(2)(B) Firearm otherwise used (6 levels) | 147 | 8.9 |
| (b)(2)(C) Firearm brandished or possessed (5 levels) | 229 | 13.9 |
| (b)(2)(D) Dangerous weapon otherwise used (6 levels) ¹⁴ | 1 | 0.1 |
| (b)(2)(D) Dangerous weapon otherwise used (4 levels) | 92 | 5.6 |
| (b)(2)(E) Dangerous weapon brandished or possessed (3 levels) | 180 | 10.9 |
| (b)(2)(F) Threat of death (2 levels) | 269 | 16.4 |
| (b)(3)(A) Bodily injury occurred (2 levels) | 144 | 8.8 |
| (b)(3)(B) Serious bodily injury (4 levels) | 27 | 1.6 |
| (b)(3)(C) Permanent/life-threatening bodily injury (4 levels) ¹⁴ | 2 | 0.1 |
| (b)(3)(C) Permanent/life-threatening bodily injury (6 levels) | 10 | 0.6 |
| (b)(3)(D) Between bodily and serious bodily injury (3 levels) | 13 | 0.8 |
| (b)(3)(E) Between serious and permanent/life-threatening bodily injury (5 levels) | 1 | 0.1 |
| (b)(4)(A) Person abducted during offense (4 levels) | 108 | 6.6 |
| (b)(4)(B) Person physically restrained during offense (2 levels) | 226 | 13.7 |
| (b)(5) Offense involved carjacking (2 levels) | 151 | 9.2 |
| (b)(6) Obtaining firearm, destructive device or controlled substance object of offense (one level) | 146 | 8.9 |
| (b)(7)(A) Loss less than \$10,000 (0 levels) | 1,303 | 79.2 |
| (b)(7)(B) Loss between \$10,000 and \$50,000 (one level) | 198 | 12.0 |
| (b)(7)(C) Loss between \$50,000 and \$250,000 (2 levels) | 105 | 6.4 |
| (b)(7)(D) Loss between \$250,000 and \$800,000 (3 levels) | 27 | 1.6 |
| (b)(7)(E) Loss between \$800,000 and \$1,500,000 (4 levels) | 10 | 0.6 |
| (b)(7)(F) Loss between \$1,500,000 and \$2,500,000 (5 levels) | 2 | 0.1 |

³² This base offense level is not available under this guideline, but court documents reflected the court's findings that this was the proper level for this offense.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS

**Offender Based
Fiscal Year 2013**

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2B3.1 Robbery (continued) | 1,645 | 2.3 |
| (b)(7)(G) Loss between \$2,500,000 and \$5,000,000 (6 levels) | 0 | 0.0 |
| (b)(7)(H) Loss more than \$5,000,000 (7 levels) | 0 | 0.0 |
| §2B3.2 Extortion by Force | 43 | 0.1 |
| Chapter 2 Specific Offense Characteristic Adjustments | 36 | 83.7 |
| (b)(1) Express or implied threat of death, bodily injury or kidnaping (2 levels) | 29 | 67.4 |
| (b)(2) Amount less than \$10,000 (0 levels) | 24 | 55.8 |
| (b)(2) Amount demanded between \$10,000 and \$50,000 (one level) | 4 | 9.3 |
| (b)(2) Amount demanded between \$50,000 and \$250,000 (2 levels) | 12 | 27.9 |
| (b)(2) Amount demanded between \$250,000 and \$800,000 (3 levels) | 2 | 4.6 |
| (b)(2) Amount demanded more than \$5,000,000 (7 levels) | 1 | 2.3 |
| (b)(3)(A)(i) Firearm discharged (7 levels) | 0 | 0.0 |
| (b)(3)(A)(ii) Firearm otherwise used (6 levels) | 0 | 0.0 |
| (b)(3)(A)(iii) Firearm brandished or possessed (5 levels) | 5 | 11.6 |
| (b)(3)(A)(iv) Dangerous weapon otherwise used (4 levels) | 4 | 9.3 |
| (b)(3)(A)(v) Dangerous weapon brandished or possessed (3 levels) | 4 | 9.3 |
| (b)(3)(B)(i)(I) Offense involved preparation to carry out threat of death (3 levels) | 0 | 0.0 |
| (b)(3)(B)(i)(II) Offense involved preparation to carry out threat of serious bodily injury (3 levels) | 3 | 7.0 |
| (b)(3)(B)(i)(III) Offense involved preparation to carry out threat of kidnaping (3 levels) | 1 | 2.3 |
| (b)(3)(B)(i)(IV) Offense involved preparation to carry out threat of product tampering (3 levels) | 0 | 0.0 |
| (b)(3)(B)(i)(V) Offense involved preparation to carry out threat of damage to a computer system used on maintain critical infrastructure (3 levels) | 0 | 0.0 |
| (b)(3)(B)(ii) Participants demonstrated ability to carry out a threat described in (i)(I) through (i)(V)(3 levels) | 0 | 0.0 |
| (b)(4)(A) Bodily injury (2 levels) | 9 | 20.9 |
| (b)(4)(B) Serious bodily injury (4 levels) | 1 | 2.3 |
| (b)(4)(C) Permanent or life-threatening bodily injury (6 levels) | 2 | 4.6 |
| (b)(4)(D) Between bodily injury and serious bodily injury (3 levels) | 1 | 2.3 |
| (b)(4)(E) Between serious and permanent/life-threatening bodily injury (5 levels) | 0 | 0.0 |
| (b)(5)(A) Person abducted to facilitate offense or escape (4 levels) | 4 | 9.3 |
| (b)(5)(B) Person physically restrained to facilitate offense or escape (2 levels) | 1 | 2.3 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2B3.3 Blackmail and other forms of Extortion | 16 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 15 | 93.8 |
| (b)(1)(A) Amount demanded less than \$2,000 (0 levels) | 1 | 6.2 |
| (b)(1)(A) Amount demanded between \$2,000 and \$5,000 (1 level) | 1 | 6.2 |
| (b)(1)(B) Amount demanded between \$5,000 and \$10,000 (2 levels) | 2 | 12.5 |
| (b)(1)(B) Amount demanded between \$10,000 and \$30,000 (4 levels) | 5 | 31.2 |
| (b)(1)(B) Amount demanded between \$30,000 and \$70,000 (6 levels) | 3 | 18.8 |
| (b)(1)(B) Amount demanded between \$120,000 and \$200,000 (10 levels) | 1 | 6.2 |
| (b)(1)(B) Amount demanded between \$200,000 and \$400,000 (12 levels) | 1 | 6.2 |
| (b)(1)(B) Amount demanded between \$400,000 and \$1,000,000 (14 levels) | 2 | 12.5 |
| §2B4.1 Bribery in Procurement of Bank Loan and other Commercial Bribery | 117 | 0.2 |
| Chapter 2 Specific Offense Characteristic Adjustments | 116 | 99.2 |
| (b)(1) Bribe less than \$2,000 (0 levels) | 1 | 0.8 |
| (b)(1) Bribe between \$2,000 and \$5,000 (one level) | 1 | 0.8 |
| (b)(1) Bribe between \$5,000 and \$10,000 (2 levels) | 7 | 6.0 |
| (b)(1) Bribe between \$10,000 and \$20,000 (3 levels) ³³ | 1 | 0.8 |
| (b)(1) Bribe between \$10,000 and \$30,000 (4 levels) | 16 | 13.7 |
| (b)(1) Bribe between \$40,000 and \$70,000 (5 levels) ³³ | 1 | 0.8 |
| (b)(1) Bribe between \$30,000 and \$70,000 (6 levels) | 14 | 12.0 |
| (b)(1) Bribe between \$70,000 and \$120,000 (6 levels) ³³ | 1 | 0.8 |
| (b)(1) Bribe between \$70,000 and \$120,000 (8 levels) | 10 | 8.6 |
| (b)(1) Bribe between \$120,000 and \$200,000 (10 levels) | 9 | 7.7 |
| (b)(1) Bribe between \$200,000 and \$400,000 (12 levels) | 11 | 9.4 |
| (b)(1) Bribe between \$400,000 and \$1,000,000 (14 levels) | 15 | 12.8 |
| (b)(1) Bribe between \$10,000,000 and \$20,000,000 (15 levels) ³³ | 2 | 1.7 |
| (b)(1) Bribe between \$1,000,000 and \$2,500,000 (16 levels) | 18 | 15.4 |
| (b)(1) Bribe between \$2,500,000 and \$7,000,000 (18 levels) | 7 | 6.0 |
| (b)(1) Bribe between \$20,000,000 and \$50,000,000 (22 levels) | 2 | 1.7 |
| (b)(1) Bribe between \$50,000,000 and \$100,000,000 (24 levels) | 1 | 0.8 |

³³ Threshold amount was changed for this SOC on November 1, 2003.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2B4.1 Bribery in Procurement of Bank Loan and other Commercial Bribery (continued) | 117 | 0.2 |
| (b)(2)(A) Defendant derived more than \$1,000,000 from financial institution (2 levels) | 0 | 0.0 |
| (b)(2)(B) Jeopardized safety and soundness of a financial institution (4 levels or level 24) | 0 | 0.0 |
| §2B5.1 Offenses involving Counterfeit Bearer Obligations | 418 | 0.6 |
| Chapter 2 Specific Offense Characteristic Adjustments | 342 | 81.8 |
| (b)(1) Items valued less than \$2,000 (0 levels) | 154 | 36.8 |
| (b)(1) Items valued between \$2,000 and \$5,000 (one level) | 88 | 21.0 |
| (b)(1) Items valued between \$5,000 and \$10,000 (2 levels) | 69 | 16.5 |
| (b)(1) Items valued between \$10,000 and \$30,000 (4 levels) | 61 | 14.6 |
| (b)(1) Items valued between \$30,000 and \$70,000 (6 levels) | 19 | 4.6 |
| (b)(1) Items valued between \$70,000 and \$120,000 (8 levels) | 14 | 3.4 |
| (b)(1) Items valued between \$120,000 and \$200,000 (10 levels) | 6 | 1.4 |
| (b)(1) Items valued between \$200,000 and \$400,000 (12 levels) | 6 | 1.4 |
| (b)(1) Items valued between \$400,000 and \$1,000,000 (14 levels) | 1 | 0.2 |
| (b)(2)(A) Defendant manufactured/produced any actual counterfeit material (2 levels) | 54 | 12.9 |
| (b)(2)(B)(i) Defendant controlled/possessed counterfeiting paper similar to distinctive paper (2 levels) | 7 | 1.7 |
| (b)(2)(B)(ii) Defendant controlled/possessed genuine United States currency paper from which the ink has been completely or partially removed (2 levels) | 17 | 4.1 |
| (b)(2)(B)(iii) Defendant controlled/possessed a device identical to a distinctive counterfeit deterrent (2 lvs) ³⁴ | 2 | 0.5 |
| (b)(3) Subsection (b)(2)(A) applies and offense level less than 15, increase to 15 | 143 | 34.2 |
| (b)(4) Dangerous weapon possessed (2 levels, if less than 13 increase to 13) | 6 | 1.4 |
| (b)(5) Offense committed outside of United States (2 levels) | 11 | 2.6 |

³⁴ SOC was formerly §2B5.1(b)(2)(B)(ii) and redesignated §2B5.1(b)(2)(B)(iii) on November 1, 2009. The statistics reflect all instances of the application of this SOC.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2B5.3 Criminal Infringement of Copyright or Trademark | 199 | 0.3 |
| Chapter 2 Specific Offense Characteristic Adjustments | 193 | 97.0 |
| (b)(1) Infringement less than \$2,000 (0 levels) | 12 | 6.0 |
| (b)(1) Infringement amount between \$2,000 and \$5,000 (one level) | 3 | 1.5 |
| (b)(1) Infringement amount between \$5,000 and \$10,000 (2 levels) | 9 | 4.5 |
| (b)(1) Infringement amount between \$10,000 and \$30,000 (4 levels) | 19 | 9.6 |
| (b)(1) Infringement amount between \$30,000 and \$70,000 (6 levels) | 28 | 14.1 |
| (b)(1) Infringement amount between \$70,000 and \$120,000 (8 levels) | 16 | 8.0 |
| (b)(1) Infringement amount between \$120,000 and \$200,000 (10 levels) | 21 | 10.6 |
| (b)(1) Infringement amount between \$200,000 and \$400,000 (12 levels) | 27 | 13.6 |
| (b)(1) Infringement amount between \$400,000 and \$1,000,000 (14 levels) | 37 | 18.6 |
| (b)(1) Infringement amount between \$1,000,000 and \$2,500,000 (16 levels) | 18 | 9.0 |
| (b)(1) Infringement amount between \$2,500,000 and \$7,000,000 (18 levels) | 6 | 3.0 |
| (b)(1) Infringement amount between \$20,000,000 and \$50,000,000 (22 levels) | 3 | 1.5 |
| (b)(2) Display of a work being prepared for commercial distribution (2 levels) | 24 | 12.1 |
| (b)(3)(A) Manufacturing/importation/uploading infringed items (2 levels or increase to 12) ³⁵ | 105 | 52.8 |
| (b)(3)(B) Convicted under 17 U.S.C. §§ 1201 and 1204 for trafficking in circumvention devices (2 levels or increase to 12) | 5 | 2.5 |
| (b)(4) Not committed for commercial advantage or private gain (2 level decrease) ³⁶ | 0 | 0.0 |
| (b)(5)(A) Conscious/reckless risk of serious bodily injury (2 levels, increase to 14) ³⁷ | 3 | 1.5 |
| (b)(5)(B) Possession of a dangerous weapon (2 levels, increase to 14) ³⁷ | 3 | 1.5 |

³⁵ SOC was formerly §2B5.3(b)(2) and redesignated §2B5.3(b)(3) on November 1, 2005, and subsequently redesignated §2B5.3(b)(3)(A) on November 1, 2007. The statistics reflect all instances of the application of this SOC.

³⁶ SOC was formerly §2B5.3(b)(3) and redesignated §2B5.3(b)(4) on November 1, 2005. The statistics reflect all instances of the application of this SOC.

³⁷ SOC was formerly §2B5.3(b)(4) and redesignated §2B5.3(b)(5) on November 1, 2005. The statistics reflect all instances of the application of this SOC. The floor was increased from level 13 to level 14 on November 1, 2010.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2B6.1 Altering or Removing Motor Vehicle ID Numbers | 13 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 13 | 100.0 |
| (b)(1) Retail value less than \$2,000 (0 levels) | 0 | 0.0 |
| (b)(1) Retail value between \$10,000 and \$30,000 (4 levels) | 2 | 15.4 |
| (b)(1) Retail value between \$30,000 and \$70,000 (6 levels) | 1 | 7.7 |
| (b)(1) Retail value between \$70,000 and \$120,000 (8 levels) | 3 | 23.1 |
| (b)(1) Retail value between \$120,000 and \$200,000 (10 levels) | 4 | 30.8 |
| (b)(1) Retail value between \$200,000 and \$400,000 (12 levels) | 2 | 15.4 |
| (b)(1) Retail value between \$400,000 and \$1,000,000 (14 levels) | 1 | 7.7 |
| (b)(2) Defendant in the business of receiving and selling stolen property (2 levels) | 8 | 61.5 |
| (b)(3) Part of organized scheme to steal vehicles or parts (increase to level 14) | 0 | 0.0 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|---------|---------|
| Part C - Offenses Involving Public Officials | | |
| §2C1.1 Offering, Giving, Soliciting, or Receiving a Bribe | 289 | 0.4 |
| (a)(1) If the defendant was a public official (Base offense level 14) | 146 | 50.5 |
| (a)(2) Otherwise (Base offense level 12) | 142 | 49.1 |
| (a) Base offense 10 ³⁸ | 1 | 0.4 |
| Chapter 2 Specific Offense Characteristic Adjustments | 276 | 95.5 |
| (b)(1) Offense involved more than one bribe or extortion (2 levels) | 195 | 67.5 |
| (b)(2) Value of payment or loss less than \$5,000 (0 levels) | 76 | 26.3 |
| (b)(2) Value of payment or loss between \$5,000 and \$10,000 (2 levels) | 31 | 10.7 |
| (b)(2) Value of payment or loss between \$10,000 and \$30,000 (4 levels) | 44 | 15.2 |
| (b)(2) Value of payment or loss between \$30,000 and \$70,000 (6 levels) | 36 | 12.5 |
| (b)(2) Value of payment or loss between \$70,000 and \$120,000 (8 levels) | 20 | 6.9 |
| (b)(2) Value of payment or loss between \$120,000 and \$200,000 (10 levels) | 15 | 5.2 |
| (b)(2) Value of payment or loss between \$200,000 and \$400,000 (12 levels) | 21 | 7.3 |
| (b)(2) Value of payment or loss between \$400,000 and \$1,000,000 (14 levels) | 21 | 7.3 |
| (b)(2) Value of payment or loss between \$1,000,000 and \$2,500,000 (16 levels) | 10 | 3.5 |
| (b)(2) Value of payment or loss between \$2,500,000 and \$7,000,000 (18 levels) | 12 | 4.2 |
| (b)(2) Value of payment or loss between \$7,000,000 and \$20,000,000 (20 levels) | 3 | 1.0 |
| (b)(2)(B) Payment used to influence elected official (8 levels) ³⁹ | 0 | 0.0 |
| (b)(3) Offense involved an elected public official or one in sensitive position (4 levels, increase to level 18) | 150 | 51.9 |
| (b)(4)(A) Defendant was a public official who facilitated entry into U.S. for a person, vehicle or cargo (2 lev's) | 1 | 0.4 |
| (b)(4)(B) Defendant was a public official who facilitated obtaining a passport or other legal document (2 lev's) | 0 | 0.0 |
| (b)(4)(C) Defendant was a public official who facilitated the obtaining of a government identification (2 lev's) | 7 | 2.4 |

³⁸ Base offense level was changed November 1, 2004.

³⁹ SOC was formerly §2C1.1(b)(3), the level was adjusted and it was redesignated §2C1.1(b)(2)(B) on November 1, 2004. The statistic only reflects the eight level adjustment.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2C1.2 Offering, Giving, Soliciting, or Receiving a Gratuity | 19 | 0.0 |
| (a)(2) Defendant was a public official (Base offense level 11) | 11 | 57.9 |
| (a)(2) Otherwise (Base offense level 9) | 8 | 42.1 |
| Chapter 2 Specific Offense Characteristic Adjustments | 15 | 79.0 |
| (b)(1) More than one gratuity (2 levels) | 12 | 63.2 |
| (b)(2)(A) Gratuity less than \$5,000 (0 levels) | 8 | 42.1 |
| (b)(2)(A) Gratuity between \$5,000 and \$10,000 (2 levels) | 3 | 15.8 |
| (b)(2)(A) Gratuity between \$10,000 and \$30,000 (4 levels) | 2 | 10.5 |
| (b)(2)(A) Gratuity between \$30,000 and \$70,000 (6 levels) | 5 | 26.3 |
| (b)(2)(A) Gratuity between \$70,000 and \$120,000 (8 levels) | 1 | 5.3 |
| (b)(3) Offense involved an elected public official or one in sensitive position (4 levels, increase to level 15) | 6 | 31.6 |
| (b)(4)(A) Defendant was a public official who facilitated entry into U.S. for a person, vehicle or cargo (2 lev's) | 0 | 0.0 |
| (b)(4)(B) Defendant was a public official who facilitated obtaining a passport or other legal document (2 lev's) | 1 | 5.3 |
| (b)(4)(C) Defendant was a public official who facilitated the obtaining of a government identification (2 lev's) | 0 | 0.0 |
| §2C1.3 Conflict of Interest: Payment or Receipt of Unauthorized Compensation | 11 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 3 | 27.3 |
| (b)(1) Actual or planned harm to the government (4 levels) | 3 | 27.3 |
| §2C1.5 Payments to Obtain Public Office | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2C1.7 Fraud Involving Deprivation of the Intangible Right to the Honest Services Public Officials ⁴⁰ | 1 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 1 | 100.0 |
| (b)(1)(A) Loss between \$70,000 and \$120,000 (8 levels) | 1 | 100.0 |
| (b)(1)(B) Offense involved an elected official (8 levels) | 0 | 0.0 |

⁴⁰ Guideline eliminated and consolidated with §2C1.1 on November 1, 2004.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2C1.8 Making/Receiving/Failure to report a Contribution in Violation of the Federal Election Campaign Act | 8 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 8 | 100.0 |
| (b)(1) Contribution less than \$5,000 (0 levels) | 0 | 0.0 |
| (b)(1) Contribution between \$10,000 and \$30,000 (4 levels) | 2 | 25.0 |
| (b)(1) Contribution between \$30,000and \$70,000 (6 levels) | 1 | 12.5 |
| (b)(1) Contribution between \$70,000and \$120,000 (8 levels) | 1 | 12.5 |
| (b)(1) Contribution between \$120,000and \$200,000 (10 levels) | 4 | 50.0 |
| (b)(2)(A) Offense involved an illegal transaction made/received by/from a foreign national (2 levels) | 0 | 0.0 |
| (b)(2)(B) Offense involved an illegal transaction made/received by/from a government of a foreign country (4 levels) | 0 | 0.0 |
| (b)(3)(A) Offense involved contribution/donation/receipt of government funds (2 levels) | 0 | 0.0 |
| (b)(3)(B) Defendant committed the offense for the purpose of receiving non-monetary Federal benefit (2 levels) | 0 | 0.0 |
| (b)(4) Defendant engaged in 30 or more transactions (2 levels) | 4 | 50.0 |
| (b)(5) Offense involved contribution/donation made/obtained through intimidation/coercion (4 levels) | 0 | 0.0 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|---------|---------|
| Part D - Offenses Involving Drugs | | |
| §2D1.1 Drug Trafficking | 21,481 | 30.3 |
| (a)(1) Convicted under 21 U.S.C. § 841(b)(1)(A), (b)(1)(B), (b)(1)(C) or 21 U.S.C. § 960(b)(1), (b)(2), or (b)(3) and death/serious bodily injury after more than one prior conviction for similar offense (Base offense level 43) | 2 | 0.0 |
| (a)(2) Convicted under 21 U.S.C. § 841(b)(1)(A), (b)(1)(B), (b)(1)(C) or 21 U.S.C. § 960(b)(1), (b)(2), or (b)(3) and death/serious bodily injury (Base offense level 38) | 29 | 0.1 |
| (a)(3) Convicted under 21 U.S.C. § 841(b)(1)(E) or 21 U.S.C. § 960(b)(5) and death/serious bodily injury after more than one prior conviction for similar offense (Base offense level 30) | 0 | 0.0 |
| (a)(4) Convicted under 21 U.S.C. §§ 841(b)(1)(E) or 960(b)(5) and death/serious bodily injury resulted from use of substance (Base offense level 26) | 1 | 0.0 |
| (a)(5) Base offense level 38 | 1,147 | 5.3 |
| (a)(5) Base offense level 36 | 952 | 4.4 |
| (a)(5) Base offense level 34 | 1,772 | 8.2 |
| (a)(5)(B)(iii) Mitigating role received and base offense level is 38, decrease by four levels to base offense level 34 | 523 | 2.4 |
| (a)(5)(B)(ii) Mitigating role received and base offense level is 36, decrease by three levels to base offense level 33 | 316 | 1.5 |
| (a)(5) Base offense level 32 | 2,961 | 13.8 |
| (a)(5)(B)(iv) Minimal participant role received, decrease base offense level 32 | 50 | 0.2 |
| (a)(5)(B)(ii) Mitigating role received and base offense level is 34, decrease by three levels to base offense level 31 | 447 | 2.1 |
| (a)(5) Base offense level 30 | 1,164 | 5.4 |
| (a)(5)(B)(i) Mitigating role received and base offense level is 32, decrease by two levels to base offense level 30 | 512 | 2.4 |
| (a)(5) Base offense level 28 | 1,690 | 7.9 |
| (a)(5) Base offense level 26 | 4,540 | 21.1 |
| (a)(5) Base offense level 24 | 770 | 3.6 |
| (a)(5) Base offense level 22 | 589 | 2.7 |
| (a)(5) Base offense level 20 | 977 | 4.6 |
| (a)(5) Base offense level 18 | 1,303 | 6.1 |
| (a)(5) Base offense level 16 | 679 | 3.2 |
| (a)(5) Base offense level 14 | 325 | 1.5 |
| (a)(5) Base offense level 12 | 452 | 2.1 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2D1.1 Drug Trafficking (continued) | 21,481 | 30.3 |
| (a)(5) Base offense level 10 | 71 | 0.3 |
| (a)(5) Base offense level 8 | 87 | 0.4 |
| (a)(5) Base offense level 6 | 122 | 0.6 |
| Chapter 2 Specific Offense Characteristic Adjustments | 10,915 | 50.8 |
| (b)(1) Dangerous weapon possessed (two levels) | 2,513 | 11.7 |
| (b)(2) Defendant used violence, threat of violence or directed violence (2 levels) | 136 | 0.6 |
| (b)(3)(A) Aircraft used to import or export the controlled substance (2 levels or level 26) ⁴¹ | 6 | 0.0 |
| (b)(3)(B) A submersible/semi-submersible vessel was used (2 levels or level 26) ⁴¹ | 5 | 0.0 |
| (b)(3)(C) Defendant acted as pilot or any operation officer (2 levels or level 26) ⁴² | 45 | 0.2 |
| (b)(4) Distribution in a prison (2 levels) ⁴³ | 48 | 0.2 |
| (b)(5) Importation of methamphetamine from unlawfully imported material (2 levels) ⁴⁴ | 394 | 1.8 |
| (b)(6) Defendant convicted under 21 U.S.C. § 865 (2 levels) ⁴⁵ | 0 | 0.0 |
| (b)(7) Defendant distributed through mass marketing (2 levels) ⁴⁶ | 25 | 0.1 |
| (b)(8) Distribution of an anabolic steroid and a masking agent (2 levels) ⁴⁷ | 0 | 0.0 |

⁴¹ SOC was formerly §2D1.1(b)(2) and redesignated §2D1.1(b)(3) on November 1, 2010. The statistics reflect all instances of the application of this SOC.

⁴² SOC was formerly §2D1.1(b)(2)(B) and redesignated §2D1.1(b)(2)(C) on November 1, 2009 and subsequently redesignated §2D1.1(b)(3)(C) on November 1, 2010. The statistics reflect all instances of the application of this SOC.

⁴³ SOC was formerly §2D1.1(b)(3) and redesignated §2D1.1(b)(4) on November 1, 2010. The statistics reflect all instances of the application of this SOC.

⁴⁴ SOC was formerly §2D1.1(b)(4) and redesignated §2D1.1(b)(5) on November 1, 2010. The statistics reflect all instances of the application of this SOC.

⁴⁵ SOC was formerly §2D1.1(b)(5) and redesignated §2D1.1(b)(6) on November 1, 2010. The statistics reflect all instances of the application of this SOC.

⁴⁶ SOC was formerly §2D1.1(b)(5) and redesignated §2D1.1(b)(6) on March 1, 2007, and subsequently redesignated §2D1.1(b)(7) on November 1, 2010. The statistics reflect all instances of the application of this SOC.

⁴⁷ SOC was formerly §2D1.1(b)(6) and redesignated §2D1.1(b)(7) on March 1, 2007, and subsequently redesignated §2D1.1(b)(8) on November 1, 2010. The statistics reflect all instances of the application of this SOC.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2D1.1 Drug Trafficking (continued) | 21,841 | 30.3 |
| (b)(9) Distribution of an anabolic steroid to an athlete (2 levels) ⁴⁸ | 1 | 0.0 |
| (b)(10) Defendant convicted under 21 U.S.C. §841(g)(1)(A) (2 levels) ⁴⁹ | 0 | 0.0 |
| (b)(11) Bribed or attempted to bribe law enforcement to facilitate offense (2 levels) ⁵⁰ | 13 | 0.0 |
| (b)(12) Maintained a premises to manufacture/distribute controlled substance (2 levels) | 800 | 3.7 |
| (b)(13)(A)(i) Unlawful discharge of hazardous waste into the environment (2 levels) ⁵¹ | 8 | 0.0 |
| (b)(13)(A)(ii) Unlawful transportation of hazardous waste (2 levels) ⁵¹ | 17 | 0.1 |
| (b)(13)(B) Convicted under 21 U.S.C. § 860a with minor present (2 levels or level 14) | 8 | 0.0 |
| (b)(13)(C)(i) Convicted of meth under 21 U.S.C. § 860a with minor present (3 levels or level 27) | 5 | 0.0 |
| (b)(13)(C)(ii) Manufacturing of meth creating substantial risk to humans/environment (3 levels or level 27) ⁵² | 94 | 0.4 |
| (b)(13)(D) Manufacturing of meth creating substantial risk to minor (6 lvls or level 30) ⁵³ | 57 | 0.3 |

⁴⁸ SOC was formerly §2D1.1(b)(7) and redesignated §2D1.1(b)(8) on March 1, 2007, and subsequently redesignated §2D1.1(b)(9) on November 1, 2010. The statistics reflect all instances of the application of this SOC.

⁴⁹ SOC was formerly §2D1.1(b)(9) and redesignated §2D1.1(b)(10) on November 1, 2010. The statistics reflect all instances of the application of this SOC.

⁵⁰ SOC was formerly §2D1.1(b)(10) and redesignated §2D1.1(b)(11) on November 1, 2010. The statistics reflect all instances of the application of this SOC.

⁵¹ SOC was formerly §2D1.1(b)(5) and redesignated §2D1.1(b)(6) on November 1, 2004, subsequently redesignated §2D1.1(b)(8) on March 1, 2006, §2D1.1(b)(10) on March 1, 2007, and §2D1.1(b)(13) on November 1, 2010. The statistics reflect all instances of the application of this SOC.

⁵² SOC was formerly §2D1.1(b)(5) and redesignated §2D1.1(b)(6) on November 1, 2004, subsequently redesignated §2D1.1(b)(8) on March 1, 2006, §2D1.1(b)(10)(C)(ii) on March 1, 2007, and §2D1.1(b)(13)(C)(ii) on November 1, 2010. The statistics reflect all instances of the application of this SOC.

⁵³ SOC was formerly §2D1.1(b)(5) and redesignated §2D1.1(b)(6) on November 1, 2004, subsequently redesignated §2D1.1(b)(8) on March 1, 2006, §2D1.1(b)(10)(C) on March 1, 2007, §2D1.1(b)(10)(D) on November 1, 2007, and §2D1.1(b)(13)(D) on November 1, 2010. The statistics reflect all instances of the application of this SOC.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2D1.1 Drug Trafficking (continued) | 21,841 | 28.5 |
| (b)(14)(A) Aggravating Role and defendant used fear, received little compensation and had minimal knowledge (2 levels) | 9 | 0.0 |
| (b)(14)(B)(i) Aggravating Role and defendant knew an individual was less than 18 (2 levels) | 27 | 0.1 |
| (b)(14)(B)(i) Aggravating Role and defendant knew an individual was less than 18 (1 levels) ⁵⁴ | 1 | 0.0 |
| (b)(14)(B)(ii) Aggravating Role and defendant knew an individual was 65 or more year of age (2 levels) | 2 | 0.0 |
| (b)(14)(B)(iii) Aggravating Role and defendant knew an individual was pregnant (2 levels) | 1 | 0.0 |
| (b)(14)(B)(iv) Aggravating Role and defendant knew an individual was unusually vulnerable (2 levels) | 3 | 0.0 |
| (b)(14)(C) Aggravating Role and defendant directly imported substance (2 levels) | 51 | 0.2 |
| (b)(14)(D) Aggravating Role and defendant engaged in witness intimidation (2 levels) | 21 | 0.1 |
| (b)(14)(E) Aggravating Role and defendant committed the offense as part of a pattern of criminal conduct as a livelihood (2 levels) | 38 | 0.2 |
| (b)(15)(A) Minimal Participant and defendant was motivated by familial relationships and received no compensation and had minimal knowledge (decrease by two levels) | 41 | 0.2 |
| (b)(16) Safety Valve (2 level decrease) ⁵⁵ | 7,580 | 34.7 |
| §2D1.2 Drug offenses near protected location/involving underage/pregnant individuals | 693 | 1.0 |
| (a)(1) Base offense level 46 | 1 | 0.1 |
| (a)(1) Base offense level 44 | 3 | 0.4 |
| (a)(1) Base offense level 42 | 1 | 0.1 |
| (a)(2) Base offense level 41 | 1 | 0.1 |
| (a)(1) Base offense level 40 | 3 | 0.4 |
| (a)(1) Base offense level 38 | 5 | 0.7 |
| (a)(2) Base offense level 37 | 6 | 0.9 |
| (a)(1) Base offense level 36 | 20 | 2.9 |
| (a)(2) Base offense level 35 | 21 | 3.0 |
| (a)(1) Base offense level 34 | 32 | 4.6 |
| (a)(2) Base offense level 33 | 22 | 3.2 |
| (a)(1) Base offense level 32 | 56 | 8.1 |

⁵⁴ This SOC level is not available under the guidelines, but court documentation reflected the court's findings that this was the proper level for this offense.

⁵⁵ SOC was formerly §2D1.1(b)(6) and redesignated §2D1.1(b)(4) on November 1, 2004, subsequently redesignated §2D1.1(b)(7) on November 1, 1996, §2D1.1(b)(9) on March 1, 2006, §2D1.1(b)(11) on March 1, 2007, and §2D1.1(b)(16) on November 1, 2010. The statistics reflect all instances of the application of this SOC.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2D1.2 Drug offenses near protected location/involving underage/pregnant individuals (continued) | 693 | 1.0 |
| (a)(2) Base offense level 31 | 8 | 1.2 |
| (a)(1) Base offense level 30 | 77 | 11.1 |
| (a)(2) Base offense level 29 | 9 | 1.3 |
| (a)(1) Base offense level 28 | 166 | 24.0 |
| (a)(2) Base offense level 27 | 20 | 2.9 |
| (a)(1) Base offense level 26 | 47 | 6.8 |
| (a)(3) Offense involved a person less than 18 years of age (Base offense level 26) | 3 | 0.4 |
| (a)(2) Base offense level 25 | 5 | 0.7 |
| (a)(1) Base offense level 24 | 14 | 2.0 |
| (a)(2) Base offense level 23 | 5 | 0.7 |
| (a)(1) Base offense level 22 | 17 | 2.4 |
| (a)(2) Base offense level 21 | 6 | 0.9 |
| (a)(1) Base offense level 20 | 18 | 2.6 |
| (a)(2) Base offense level 19 | 3 | 0.4 |
| (a)(1) Base offense level 18 | 11 | 1.6 |
| (a)(2) Base offense level 17 | 2 | 0.3 |
| (a)(1) Base offense level 16 | 22 | 3.2 |
| (a)(1) Base offense level 15 | 10 | 1.4 |
| (a)(1) Base offense level 14 | 43 | 6.2 |
| (a)(2) Base offense level 13 | 4 | 0.6 |
| (a)(4) Otherwise (Base offense level 13) | 25 | 3.6 |
| (a) Base offense level 12 ⁵⁶ | 3 | 0.4 |
| (a) Base offense level 10 ⁵⁶ | 3 | 0.4 |
| (a)(1) Base offense level 8 ⁵⁶ | 1 | 0.1 |
| No Specific Characteristics for this guideline | NA | NA |

⁵⁶ This base offense level is not available under the guidelines, but the court documents reflected the court's findings that this was the proper offense level for this offense.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2D1.5 Continuing Criminal Enterprise | 7 | 0.0 |
| (a)(1) Base offense level 44 | 2 | 28.6 |
| (a)(1) Base offense level 42 | 1 | 14.3 |
| (a)(1) Base offense level 40 | 1 | 14.3 |
| (a)(1) Base offense level 38 | 1 | 14.3 |
| (a)(2) Base offense level 38 | 2 | 28.6 |
| No Specific Characteristics for this guideline | NA | NA |
| §2D1.6 Use of Communication Facility in Committing Drug Offense | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2D1.7 Unlawful Sale or Transportation of Drug Paraphernalia | 12 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2D1.8 Renting or Managing a Drug Establishment | 31 | 0.0 |
| (a)(1) Base offense level 26 | 3 | 9.7 |
| (a)(2) Base offense level 26 | 13 | 41.9 |
| (a)(2) Base offense level 24 | 2 | 6.4 |
| (a)(2) Base offense level 22 | 2 | 6.4 |
| (a)(2) Base offense level 20 | 4 | 12.9 |
| (a)(2) Base offense level 18 | 1 | 3.2 |
| (a)(2) Base offense level 14 | 2 | 6.4 |
| (a)(1) Base offense level 10 | 1 | 3.2 |
| (a)(1) Base offense level 8 | 1 | 3.2 |
| (a)(2) Base offense level 8 | 2 | 6.4 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2D1.9 Placing or Maintaining Dangerous Devices on Federal Property to Protect Unlawful Production of a Controlled Substance | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2D1.10 Endangering Human Life While Illegally Manufacturing a Controlled Substance | 3 | 0.0 |
| (a)(1) Base offense level 35 | 1 | 33.0 |
| (a)(1) Base offense level 31 | 2 | 66.7 |
| (a)(2) Base offense level 20 | 0 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 2 | 66.7 |
| (b)(1)(A) Involving manufacturing of methamphetamine (3 levels, increase to level 27) | 0 | 0.0 |
| (b)(1)(B) Involving manufacturing of meth creating a substantial risk to minor (6 levels, increase to level 30) | 2 | 66.7 |
| §2D1.11 Unlawfully Distributing, Importing, Exporting or Possessing a Listed Chemical | 198 | 0.3 |
| (a) Base offense level 38 | 2 | 1.0 |
| (a) Base offense level 36 | 2 | 1.0 |
| (a) Base offense level 34 | 9 | 4.6 |
| (a)(B)(iii) Mitigating role received and base offense level is 38, decrease by four levels to base offense level 34 | 0 | 0.0 |
| (a) Base offense level 32 | 67 | 33.8 |
| (a)(B)(ii) Mitigating role received and base offense level is 34, decrease by three levels to base offense level 31 | 1 | 0.5 |
| (a) Base offense level 30 | 32 | 16.2 |
| (a)(B)(ii) Mitigating role received and base offense level is 32, decrease by two levels to base offense level 30 | 0 | 0.0 |
| (a) Base offense level 28 | 26 | 13.1 |
| (a) Base offense level 26 | 46 | 23.2 |
| (a) Base offense level 24 | 4 | 2.0 |
| (a) Base offense level 22 | 1 | 0.5 |
| (a) Base offense level 20 | 4 | 2.0 |
| (a) Base offense level 18 | 4 | 2.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 69 | 34.8 |
| (b)(1) Dangerous weapon possessed (2 levels) | 4 | 2.0 |
| (b)(2) Convicted of 21 U.S.C. §§841(d)(2),(g)(1), or 960(d)(2) (3 level decrease) | 1 | 0.5 |
| (b)(3)(A) Unlawful discharge/emission/release into environment of hazardous substance (2 levels) | 5 | 2.5 |
| (b)(3)(B) Unlawful transportation of hazardous substance (2 levels) | 2 | 1.0 |
| (b)(4) Defendant distributed through mass marketing (2 levels) | 1 | 0.5 |
| (b)(5) Convicted under 21 U.S.C. § 865 (2 levels) | 0 | 0.0 |
| (b)(6) Safety Valve (2 level decrease) | 61 | 30.8 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2D1.12 Unlawful Possession/Manufacturing of Prohibited Equipment | 8 | 0.0 |
| (a)(1) Defendant intended to manufacture a controlled substance (Base Offense Level 12) | 7 | 87.5 |
| (a)(2) Reasonable believe equipment to be used for manufacturing (BOL 9) | 1 | 12.5 |
| Chapter 2 Specific Offense Characteristic Adjustments | 8 | 100.0 |
| (b)(1)(A) Defendant intended to manufacture methamphetamine (2 levels) | 4 | 50.0 |
| (b)(1)(B) Defendant knew material was used to manufacture methamphetamine (2 levels) | 4 | 50.0 |
| (b)(2)(A) Offense involved discharge of a hazardous substance (2 levels) | 1 | 12.5 |
| (b)(2)(B) Unlawful transportation of a hazardous substance (2 levels) | 0 | 0.0 |
| (b)(3) Defendant distributed through mass marketing (2 levels) | 0 | 0.0 |
| (b)(4) Offense involved stealing or transporting stolen anhydrous ammonia (6 levels) | 1 | 12.5 |
| §2D1.13 Structuring Chemical Transactions to Evade Recordkeeping Requirements | 0 | 0.0 |
| (a)(3) Otherwise (Base offense level 6) | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2D1.14 Narco-Terrorism | 0 | 0.0 |
| (a)(1) Base offense level 42 | 0 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| (b)(1) if §3A1.4 (Terrorism) does not apply (6 levels) | 0 | 0.0 |
| §2D2.1 Unlawful Possession | 175 | 0.2 |
| (a)(1) Heroin or any Schedule I or II opiate or cocaine base (Base Offense Level 8) | 41 | 23.4 |
| (a)(2) Cocaine, flunitrazepam, LSD, or PCP (Base Offense Level 6) | 24 | 13.7 |
| (a)(3) Any other controlled substance or a list I chemical (Base Offense Level 4) | 110 | 62.9 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2D2.2 Acquiring a Controlled Substance by Forgery, Fraud, Deception, or Subterfuge | 51 | 0.1 |
| No Specific Offense Characteristics for this guideline | NA | NA |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2D2.3 Operating or Directing the Operation of a Common Carrier Under the Influence | 1 | 0.0 |
| (a)(1) Death resulted (Base Offense Level 26) | 0 | 0.0 |
| (a)(2) Serious bodily injury resulted (Base Offense Level 21) | 0 | 0.0 |
| (a)(3) Otherwise (Base Offense Level 13) | 1 | 100.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2D3.1 Regulatory Offenses Involving Registration Numbers | 7 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2D3.2 Regulatory Offenses Involving Controlled Substances or Listed Chemicals | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|---------|---------|
| Part E - Offenses Involving Criminal Enterprises and Racketeering | | |
| §2E1.1 Unlawful Conduct Relating to Racketeer Influenced and Corrupt Organizations | 53 | 0.1 |
| (a)(1) Base offense level 19 | 53 | 100.0 |
| (a)(2) Base 19 - Offense level from applicable underlying racketeering offense | 0 | 0.0 |
| (a)(2) Other Base Offense level based on applicable underlying racketeering offense | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2E1.2 Interstate or Foreign Travel or Transportation in Aid of a Racketeering Enterprise | 3 | 0.0 |
| (a)(1) Base offense level 6 | 3 | 100.0 |
| (a)(2) Base offense level applicable to underlying crime of violence | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2E1.3 Violent Crimes in Aid of Racketeering Activity | 0 | 0.0 |
| (a)(1) Base offense level 12 | 0 | 0.0 |
| (a)(2) Base offense level applicable to the underlying crime or racketeering activity | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2E1.4 Use of Interstate Commerce Facilities in the Commission of Murder-For-Hire | 8 | 0.0 |
| (a)(1) Base offense level 32 | 8 | 100.0 |
| (a)(2) Base offense level applicable to the underlying unlawful conduct | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2E2.1 Making or Financing an Extortionate Extension of Credit | 22 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 5 | 22.7 |
| (b)(1)(A) Firearm discharged (5 levels) | 0 | 0.0 |
| (b)(1)(B) Dangerous weapon otherwise used (4 levels) | 1 | 4.6 |
| (b)(1)(C) Dangerous weapon brandished or possessed (3 levels) | 2 | 9.1 |
| (b)(2)(A) Bodily injury (2 levels) | 1 | 4.6 |
| (b)(2)(B) Serious bodily injury (4 level) | 0 | 0.0 |
| (b)(2)(C) Permanent or life-threatening bodily injury (6 levels) | 0 | 0.0 |
| (b)(2)(D) Between bodily injury and serious bodily injury (3 levels) | 0 | 0.0 |
| (b)(2)(E) Between serious bodily injury and permanent/life-threatening injury (5 levels) | 0 | 0.0 |
| (b)(3)(A) Person abducted to facilitate commission of the offense (4 levels) | 0 | 0.0 |
| (b)(3)(B) Person physically restrained to facilitate commission (2 levels) | 1 | 4.6 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2E3.1 Gambling Offenses | 71 | 0.1 |
| (a)(1) Offense involved a gambling business (Base offense level 12) | 63 | 88.7 |
| (a)(2) Offense involved an animal fighting venture (Base offense level 10) | 8 | 11.3 |
| (a)(3) Otherwise (Base offense level 6) | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2E4.1 Unlawful Conduct Relating to Contraband Cigarettes | 54 | 0.1 |
| (a)(1) Base offense level 9 | 7 | 13.0 |
| (a)(2) Loss between \$5,000 and \$12,500 (Base offense level 10) | 4 | 7.4 |
| (a)(2) Loss between \$12,500 and \$30,000 (Base offense level 12) | 5 | 9.3 |
| (a)(2) Loss between \$30,000 and \$80,000 (Base offense level 14) | 7 | 13.0 |
| (a)(2) Loss between \$80,000 and \$200,000 (Base offense level 16) | 7 | 13.0 |
| (a)(2) Loss between \$200,000 and \$400,000 (Base offense level 18) | 4 | 7.4 |
| (a)(2) Loss between \$400,000 and \$1,000,000 (Base offense level 20) | 10 | 18.5 |
| (a)(2) Loss between \$1,000,000 and \$2,500,000 (Base offense level 22) | 4 | 7.4 |
| (a)(2) Loss between \$2,500,000 and \$7,000,000 (Base offense level 24) | 6 | 11.1 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2E5.1 Offering, Accepting, or Soliciting a Bribe Affecting a Pension Benefit | 4 | 0.0 |
| (a)(1) Bribe involved (Base offense level 10) | 3 | 75.0 |
| (a)(2) Gratuity involved (Base offense level 6) | 1 | 25.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 4 | 100.0 |
| (b)(1) Defendant was a fiduciary of the benefit plan or labor organization (2 levels) | 4 | 100.0 |
| (b)(2)(A) Value of prohibited payment less than \$2,000 (0 levels) | 0 | 0.0 |
| (b)(2)(A) Value of prohibited payment between \$2,000 and \$5,000 (1 level) | 1 | 25.0 |
| (b)(2)(B) Value of prohibited payment between \$10,000 and \$30,000 (4 levels) | 2 | 50.0 |
| (b)(2)(B) Value of prohibited payment between \$70,000 and \$120,000 (8 levels) | 1 | 25.0 |
| §2E5.3 False Statements in Relation to Documents Required by Employee Retirement Security Act | 2 | 0.0 |
| (a)(1) Base offense level 6 | 2 | 100.0 |
| (a)(2) Theft or embezzlement concealed (base offense level applicable from §§2B1.1 or 2E5.1) | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|---------|---------|
| Part F - Offenses Involving Fraud and Deceit | | |
| §2F1.1 Fraud and Deceit ⁵⁷ | 6 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 6 | 100.0 |
| (b)(1)(A) Loss less than \$2,000 (0 levels) | 0 | 0.0 |
| (b)(1)(B) Loss between \$2,000 and \$5,000 (1 level) | 1 | 16.7 |
| (b)(1)(F) Loss between \$40,000 and \$70,000 (5 levels) | 1 | 16.7 |
| (b)(1)(H) Loss between \$120,000 and \$200,000 (7 levels) | 2 | 33.3 |
| (b)(1)(N) Loss between \$2,500,000 and \$5,000,000 (13 levels) | 1 | 16.7 |
| (b)(1)(O) Loss between \$5,000,000 and \$10,000,000 (14 levels) | 1 | 16.7 |
| (b)(2)(A) Offense involved more than minimal planning (2 levels) | 4 | 66.7 |
| (b)(2)(B) Offense involved a scheme to defraud more than one victim (2 levels) | 1 | 16.7 |
| (b)(3) Mass marketing (2 levels) | 0 | 0.0 |
| (b)(4)(A) Offense involved misrepresentation of acting on behalf of charity (2 levels) ⁵⁸ | 0 | 0.0 |
| (b)(4)(B) Offense involved misrepresentation during bankruptcy proceedings (2 levels) ⁵⁸ | 0 | 0.0 |
| (b)(4)(C) Offense involved a violation of judicial order (2 levels) ⁵⁸ | 0 | 0.0 |
| (b)(5)(A) Possession/use of any device making equipment (2 levels, increase to 12) | 0 | 0.0 |
| (b)(5)(B) Production/trafficking of unauthorized device (2 levels, increase to 12) | 0 | 0.0 |
| (b)(5)(C)(i) Unauthorized transfer/use of means of ID (2 levels, increase to 12) | 0 | 0.0 |
| (b)(5)(C)(ii) Possession of 5 or more means of ID (2 levels, increase to 12) | 0 | 0.0 |

⁵⁷ Guideline eliminated and consolidated with §2B1.1 on November 1, 2001.

⁵⁸ SOC was formerly §2F1.1(b)(3) and redesignated §2F1.1(b)(4) on November 1, 1998. The statistics reflect all instances of the application of this SOC.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|---------|---------|
| §2F1.1 Fraud and Deceit (continued) | 6 | 0.0 |
| (b)(6)(A) Defendant relocated to evade law enforcement(2 levels or level 12) ⁵⁹ | 0 | 0.0 |
| (b)(6)(B) Fraud committed outside of U.S. (2 levels or level 12) ⁵⁹ | 1 | 16.7 |
| (b)(6)(C) Offense involved sophisticated means (2 levels or level 12) ⁵⁹ | 1 | 16.7 |
| (b)(7)(A) Offense involve risk of serious bodily injury (2 levels or 13) ⁶⁰ | 0 | 0.0 |
| (b)(7)(B) Offense involved possession of dangerous weapon (2 levels or level 13) ⁶⁰ | 0 | 0.0 |
| (b)(8)(A) Offense jeopardized the safety of financial institution (4 levels or level 24) ⁶¹ | 0 | 0.0 |
| (b)(8)(B) Offense affected a financial institution and derived \$1,000,000 in receipts (4 levels or level 24) ⁶¹ | 0 | 0.0 |

⁵⁹ SOC was formerly §2F1.1(b)(5)(A), (B), and (C) and redesignated §2F1.1(b)(6)(A), (B), and (C) on November 1, 2000. The statistics reflect all instances of the application of this SOC.

⁶⁰ SOC was formerly §2F1.1(b)(4)(A) and (B) and redesignated §2F1.1(b)(7)(A) and (B) on November 1, 1998. The statistics reflect all instances of the application of this SOC.

⁶¹ SOC was formerly §2F1.1(b)(6)(A) and (B) and redesignated §2F1.1(b)(8)(A) and (B) on November 1, 1998. The statistics reflect all instances of the application of this SOC.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|---------|---------|
| Part G - Offenses Involving Commercial Sex Acts, Sexual Exploitation of Minors and Obscenity | | |
| §2G1.1 Promoting A Commercial Sex Act or Prohibited Sexual Contact | 73 | 0.1 |
| (a)(1) Offense of conviction for 18 U.S.C. § 1591(b)(1) (Base offense level 34) | 10 | 13.7 |
| (a)(1) Offense involved a minor (Base offense level 19) ⁶² | 1 | 1.4 |
| (a)(2) Otherwise (Base offense level 14) | 62 | 84.9 |
| Chapter 2 Specific Offense Characteristic Adjustments | 14 | 19.2 |
| (b)(1) Offense involved fraud or coercion (4 levels) | 13 | 17.8 |
| (b)(1) Offense involved a commercial sex act and physical force (4 levels) ⁶³ | 0 | 0.0 |
| (b)(2)(A) Victim under the age of 12 years of age (4 levels) ⁶³ | 0 | 0.0 |
| (b)(2)(B) Victim at least 12 years of age but under 16 (4 levels) ⁶³ | 1 | 1.4 |
| (b)(3)(A) If (b)(2) applies and defendant was a parent, relative or guardian (2 levels) ⁶³ | 0 | 0.0 |
| (b)(3)(B) If (b)(2) applies and victim was in custody, care or control of defendant (2 levels) ⁶³ | 0 | 0.0 |
| (b)(4)(A) Offense involved misrepresentation in order to induce a minor to engage in a commercial sex act (2 levels) ⁶³ | 0 | 0.0 |
| (b)(4)(B) Defendant otherwise unduly influenced a minor to engage in a commercial sex act (2 levels) ⁶³ | 0 | 0.0 |
| (b)(5)(A) Computer used to persuade a minor to travel to engage in a commercial sex act (2 lvs) ⁶³ | 0 | 0.0 |
| (b)(5)(B) Computer used to entice a person to engage in prohibited sexual conduct with a minor (2 levels) ⁶³ | 0 | 0.0 |
| §2G1.2 Transportation of a Minor for the Purpose of Prostitution or Prohibited Sexual Conduct ⁶⁴ | 1 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 1 | 100.0 |
| (b)(1) Offense involved physical force, or coercion by threat of drugs (4 levels) | 1 | 100.0 |
| (b)(2) Offense involved transportation of a minor under the age of 12 (4 levels) | 1 | 100.0 |
| (b)(3) Offense involved transportation of a minor at least 12 years of age but under 16 (2 levels) | 0 | 0.0 |
| (b)(4) Defendant was a parent, relative, or guardian of the minor, or minor was in custody care or supervisory control of the defendant (2 levels) | 1 | 100.0 |

⁶² This base offense level was eliminated on November 1, 2004.

⁶³ This SOC was eliminated on November 1, 2004.

⁶⁴ Guideline eliminated and consolidated with §2G1.1 on November 1, 1996.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2G1.3 Transportation of or Travel to Engage in Prohibited Sexual Contact with a Minor | 292 | 0.4 |
| (a)(1) Conviction under 18 U.S.C. § 1591(b)(1) (Base offense level 34) | 17 | 5.8 |
| (a)(2) Conviction under 18 U.S.C. § 1591(b)(2) (Base offense level 30) | 57 | 19.5 |
| (a)(3) Conviction under 18 U.S.C. § 2422(b) or § 2423(a) (Base offense level 28) | 149 | 51.0 |
| (a)(4) Otherwise (Base offense level 24) | 68 | 23.3 |
| (a) Base offense level 24 ⁶⁵ | 1 | 0.3 |
| Chapter 2 Specific Offense Characteristic Adjustments | 283 | 96.9 |
| (b)(1)(A) Defendant was a parent/relative/guardian of the minor (2 levels) | 10 | 3.4 |
| (b)(1)(B) Defendant was in custody, care or control of victim (2 levels) | 8 | 2.7 |
| (b)(2)(A) Misrepresentation of identity to persuade minor to engage in prohibited sexual conduct (2 levels) | 12 | 4.1 |
| (b)(2)(B) Defendant used undue influence on minor to engage in prohibited sexual conduct (2 levels) | 79 | 27.0 |
| (b)(3)(A) Use of a computer to persuade a minor to engage in prohibited sexual conduct (2 levels) | 100 | 34.2 |
| (b)(3)(B) Use of a computer to solicit someone to engage in prohibited sexual conduct with a minor (2 levels) | 123 | 42.1 |
| (b)(4)(A) Offense involved commission of sexual act or contact (2 levels) | 137 | 46.9 |
| (b)(4)(B) Offense involved a commercial sex act (2 levels) | 30 | 10.3 |
| (b)(5) Victim under the age of 12 (8 levels) | 29 | 9.9 |
| §2G2.1 Production of Pornography | 333 | 0.5 |
| (a) Base offense level 32 | 329 | 98.8 |
| (a) Base offense level 27 ⁶⁶ | 4 | 1.2 |
| Chapter 2 Specific Offense Characteristic Adjustments | 331 | 99.4 |
| (b)(1)(A) Victim under the age of 12 (4 levels) | 170 | 51.0 |
| (b)(1)(B) Victim between 12 and 16 (2 levels) | 128 | 38.4 |
| (b)(2)(A) Offense involved the commission of a sexual act or sexual contact (2 levels) | 213 | 64.0 |
| (b)(2)(B) Offense involved (i) the commission of a sexual act and (ii) conduct as described in 18 U.S.C. § 2241(a) or (b) (4 levels) | 26 | 7.8 |
| (b)(3) Offense involved distribution (2 levels) | 113 | 33.9 |
| (b)(4) Offense involved portrayal of sadistic/masochistic conduct or violence (4 levels) | 83 | 24.9 |

⁶⁵ Alternative base offenses levels were introduced on November 1, 2007.

⁶⁶ Base offense level increased on November 1, 2004.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2G2.1 Production of Pornography (continued) | 333 | 0.5 |
| (b)(5) Defendant was a parent/relative/legal guardian or custody/care/control (2 levels) ⁶⁷ | 197 | 59.2 |
| (b)(6)(A) Misrepresentation to persuade the travel of a minor to engage in sexually explicit conduct (2 levels) ⁶⁸ | 14 | 4.2 |
| (b)(6)(B)(i) Use of a computer to persuade the travel of a minor to engage in sexually explicit conduct (2 levels) ⁶⁸ | 35 | 10.5 |
| (b)(6)(B)(ii) Use of a computer to solicit participation with a minor in sexually explicit conduct (2 levels) ⁶⁸ | 31 | 9.3 |
| §2G2.2 Trafficking in Pornography | 1,610 | 2.3 |
| (a)(1) Defendant convicted of 18 U.S.C. §1466(A)(b), §2252(a)(4) or §2252A(a)(5) (BOL 18) | 756 | 47.0 |
| (a)(2) Otherwise (Base offense level 22) | 851 | 52.9 |
| (a)(2) Otherwise (Base offense level 20) ⁶⁹ | 1 | 0.1 |
| (a) Base offense level 17 ⁶⁶ | 2 | 0.1 |
| Chapter 2 Specific Offense Characteristic Adjustments | 1,609 | 99.9 |
| (b)(1)(A) If (a)(2) applies (B) defendant only received material and (C) did not traffic material (decrease 2 levels) | 176 | 10.9 |
| (b)(2) Victim under the age of 12 (2 levels) ⁷⁰ | 1,554 | 96.5 |
| (b)(3)(A) Done for pecuniary gain (5 levels) ⁷¹ | 4 | 0.2 |
| (b)(3)(B) In exchange for thing of value (5 levels) ⁷¹ | 308 | 19.1 |
| (b)(3)(C) Distribution to a minor (5 levels) ⁷¹ | 12 | 0.8 |
| (b)(3)(D) Distribution to a minor to coerce to facilitate travel of a minor (6 levels) | 8 | 0.5 |
| (b)(3)(E) Distribution to a minor to coerce to engage in sexual behavior (7 levels) ⁷¹ | 7 | 0.4 |
| (b)(3)(F) Distribution other than in (b)(3)(A)-(D) (2 levels) ⁷¹ | 580 | 36.0 |

⁶⁷ SOC was formerly §2G2.1(b)(2) and redesignated §2G2.1(b)(5) on November 1, 2004. The statistics reflect all instances of the application of this SOC.

⁶⁸ SOC was formerly §2G2.1(b)(3) and redesignated §2G2.1(b)(6) on November 1, 2004. The statistics reflect all instances of the application of this SOC.

⁶⁹ This base offense level is not available under the guidelines, but the court documents reflected the court's findings that this was the proper offense level for this offense.

⁷⁰ SOC was formerly §2G2.2(b)(1) and redesignated §2G2.2(b)(2) on November 1, 2004. The statistics reflect all instances of the application of this SOC.

⁷¹ SOC was formerly §2G2.2(b)(2) and redesignated §2G2.2(b)(3) on November 1, 2004. The statistics reflect all instances of the application of this SOC.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2G2.2 Trafficking in Pornography (continued) | 1,610 | 2.3 |
| (b)(4) Sadistic or masochistic conduct or other forms of violence (4 levels) ⁷² | 1,349 | 83.8 |
| (b)(4) Sadistic or masochistic conduct or other forms of violence (2 levels) ⁷³ | 1 | 0.1 |
| (b)(5) Defendant engaged in a pattern of activity involving sexual exploitation (5 lvl's) ⁷⁴ | 196 | 12.2 |
| (b)(6) Use of a computer (2 levels) ⁷⁵ | 1,543 | 95.8 |
| (b)(7)(A) At least 10 images, but fewer than 150 (2 levels) ⁷⁶ | 125 | 7.8 |
| (b)(7)(A) At least 10 images, but fewer than 150 (1 levels) ⁷³ | 1 | 0.1 |
| (b)(7)(B) At least 150 images, but fewer than 300 (3 levels) ⁷⁶ | 76 | 4.7 |
| (b)(7)(C) At least 300 images, but fewer than 600 (4 levels) ⁷⁶ | 84 | 5.2 |
| (b)(7)(D) 600 images or more (5 levels) ⁷⁶ | 1,285 | 79.8 |
| (b)(7)(D) 600 images or more (4 levels) ⁷³ | 1 | 0.1 |
| §2G2.3 Selling or Buying Children for use in the Production of Pornography | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2G2.5 Recordkeeping Offenses Involving the Production of Sexually Explicit Materials | 0 | 0.0 |
| No Specific Offense Characteristics for the guideline | NA | NA |

⁷² SOC was formerly §2G2.2(b)(3) and redesignated §2G2.2(b)(4) on November 1, 2004. The statistics reflect all instances of the application of this SOC.

⁷³ This SOC level is not available under the guidelines, but court documentation reflected the court's findings that this was the proper level for this offense.

⁷⁴ SOC was formerly §2G2.2(b)(4) and redesignated §2G2.2(b)(5) on November 1, 2004. The statistics reflect all instances of the application of this SOC.

⁷⁵ SOC was formerly §2G2.2(b)(5) and redesignated §2G2.2(b)(6) on November 1, 2004. The statistics reflect all instances of the application of this SOC.

⁷⁶ SOC was formerly §2G2.2(b)(6) and redesignated §2G2.2(b)(7) on November 1, 2004. The statistics reflect all instances of the application of this SOC.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2G2.6 Child Exploitation Enterprises | 7 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 7 | 100.0 |
| (b)(1)(A) Victim not attained the age of 12 years (4 levels) | 5 | 71.4 |
| (b)(1)(B) Victim between the ages of 12 and 16 (2 levels) | 0 | 0.0 |
| (b)(2)(A) Defendant was parent, relative, or legal guardian (2 levels) | 0 | 0.0 |
| (b)(2)(B) Minor was otherwise in custody, care, or supervisory control (2 levels) | 0 | 0.0 |
| (b)(3) Offense involved conduct described in 18 U.S.C. § 2241(a) or (b) (2 levels) | 2 | 28.6 |
| (b)(4) Computer or interactive computer service used (2 levels) | 7 | 100.0 |
| §2G3.1 Importing/Mailing/Transporting Obscene Matter | 20 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 20 | 100.0 |
| (b)(1)(A) Distribution for pecuniary gain (5 levels) | 1 | 5.0 |
| (b)(1)(A) Distribution for pecuniary gain (6 levels) ⁷³ | 1 | 5.0 |
| (b)(1)(B) Distribution in exchange for thing of value (5 levels) | 0 | 0.0 |
| (b)(1)(C) Distribution to a minor (5 levels) | 4 | 20.0 |
| (b)(1)(D) Distribution to a minor to coerce to engage in illegal activity (6 levels) | 3 | 15.0 |
| (b)(1)(E) Distribution to a minor to coerce to engage in sexual behavior (7 levels) | 9 | 45.0 |
| (b)(1)(F) Distribution other than in (b)(1)(A)-(E) (2 levels) | 1 | 5.0 |
| (b)(2)(A) Offense involved misleading domain name on the Internet (2 levels) | 0 | 0.0 |
| (b)(2)(B) Offense involved embedded words or digital images in source code or website (2 levels) | 0 | 0.0 |
| (b)(3) Offense involved use of a computer (2 levels) | 20 | 100.0 |
| (b)(4) Sadistic or masochistic conduct or other forms of violence (4 levels) | 2 | 10.0 |
| §2G3.2 Obscene Telephone Communication for Commercial Purposes | 1 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 1 | 100.0 |
| (b)(1) Victim under 18 and broadcast between 6AM and 11PM (4 levels) | 1 | 100.0 |
| (b)(2) Six plus the level in §2B1.1 | 0 | 0.0 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|---------|---------|
| Part H - Offenses Involving Individual Rights | | |
| §2H1.1 Offenses Involving Individual Rights | 56 | 0.1 |
| (a)(1) Base offense level 6 based on offense guideline applicable to underlying offense | 0 | 0.0 |
| (a)(1) Other Offense level from the offense guideline applicable to underlying offense | 21 | 37.5 |
| (a)(2) Offense involved two or more participants (Base offense level 12) | 14 | 25.0 |
| (a)(3)(A) Offense involved use/threat of force (Base Offense Level 10) | 15 | 26.8 |
| (a)(3)(B) Offense involved property damage (Base Offense Level 10) | 1 | 1.8 |
| (a)(4) Otherwise (Base offense level 6) | 5 | 8.9 |
| Chapter 2 Specific Offense Characteristic Adjustments | 42 | 75.0 |
| (b)(1)(A) Defendant was a public official at the time of the offense (6 levels) | 12 | 21.4 |
| (b)(1)(B) Offense committed under color of law (6 levels) | 30 | 53.6 |
| §2H2.1 Obstructing an Election or Registration | 7 | 0.0 |
| (a)(1) Obstruction occurred by use of force or threat against property (BOL 18) | 0 | 0.0 |
| (a)(2) Obstruction occurred by forgery/fraud/theft/bribery (Base offense level 12) | 6 | 85.7 |
| (a)(3)(A) Solicited or accepted value to vote, refrain from voting, or register to vote (BOL 6) | 0 | 0.0 |
| (a)(3)(B) Gave false information to establish eligibility to vote (BOL 6) | 1 | 14.3 |
| (a)(3)(C) Voted more than once in federal election (BOL 6) | 0 | 0.0 |
| No Specific Offense Characteristics for the guideline | NA | NA |
| §2H3.1 Interception of Communications | 9 | 0.0 |
| (a)(1) Base offense level 9 | 7 | 77.8 |
| (a)(2) Defendant convicted of 26 U.S.C. §§7231A or 7216 (Base offense level 6) | 2 | 22.2 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| (b)(1)(A) Convicted under 18 U.S.C. § 1039(d) or (e) (3 levels) | 0 | 0.0 |
| (b)(1)(B) Purpose was to obtain direct/indirect commercial advantage (3 levels) | 0 | 0.0 |
| (b)(2)(A) Convicted under 18 U.S.C. § 119 (8 levels) | 0 | 0.0 |
| (b)(2)(B) Convicted under 18 U.S.C. § 119 and involved the use of a computer to make restricted personal information of a covered person public (10 levels) | 0 | 0.0 |
| §2H3.2 Manufacturing, Distributing, Advertising Eavesdropping Device | 0 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| (b)(1) Offense committed for pecuniary gain (3 levels) | 0 | 0.0 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2H3.3 Obstructing Correspondence | 3 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2H4.1 Peonage, Involuntary Servitude and Slave Trade | 11 | 0.0 |
| (a)(1) Base offense level 22 | 11 | 100.0 |
| (a)(2)(A) Defendant convicted of 18 U.S.C. § 1592 (Base offense level 18) | 0 | 0.0 |
| (a)(2)(B) Defendant convicted of 18 U.S.C. § 1593A based on violation of 18 U.S.C. § 1592 (Base offense level 18) | 0 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 11 | 100.0 |
| (b)(1)(A) Victim sustained permanent or life-threatening bodily injury (4 levels) | 0 | 0.0 |
| (b)(1)(B) Victim sustained bodily injury (2 levels) | 5 | 45.4 |
| (b)(2)(A) Dangerous weapon used (4 levels) | 1 | 9.1 |
| (b)(2)(B) Dangerous weapon brandished or threatened (2 levels) | 0 | 0.0 |
| (b)(3)(A) Victim held in peonage/involuntary servitude for more than one year (3 levels) | 2 | 18.2 |
| (b)(3)(B) Victim held in peonage/involuntary servitude - 180 days to one year (2 levels) | 0 | 0.0 |
| (b)(3)(C) Victim held in peonage/involuntary servitude - 30 days to 180 days (one level) | 4 | 36.4 |
| (b)(4)(A) Other felony committed during offense (2 levels) | 2 | 18.2 |
| (b)(4)(B) Other felony committed during offense (2 levels above applicable offense) | 4 | 36.4 |
| §2H4.2 Willful Violations of the Migrant&Seasonal Agricultural Worker Protection Act | 0 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| (b)(1)(i) Serious bodily injury (4 levels) | 0 | 0.0 |
| (b)(1)(ii) Bodily injury (2 levels) | 0 | 0.0 |
| (b)(2) Offense committed after sustaining civil adjudication for similar act (2 levels) | 0 | 0.0 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|---------|---------|
| Part J - Offenses Involving the Administration of Justice | | |
| §2J1.1 Contempt | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2J1.2 Obstruction of Justice | 152 | 0.2 |
| (a)(1) Base offense level 14 | 151 | 99.3 |
| (a)(1) Base offense level 12 ⁷⁷ | 1 | 0.7 |
| Chapter 2 Specific Offense Characteristic Adjustments | 68 | 44.7 |
| (b)(1)(A) Convicted under 18 U.S.C. § 1001, and stat max of 8 years because of sex offense (4 levels) | 3 | 2.0 |
| (b)(1)(B) Causing/threatening to cause physical injury (8 levels) ⁷⁸ | 19 | 12.5 |
| (b)(1)(C) Convicted under 18 U.S.C. § 1001 or § 1505 and stat max of 8 years because of terrorism (12 levels) | 2 | 1.3 |
| (b)(2) Offense resulted in substantial influence with the administration of justice (3 levels) | 30 | 19.7 |
| (b)(3)(A) Offense involved destruction of records (2 levels) | 8 | 5.3 |
| (b)(3)(B) Offense involved selection of any essential document to destroy (2 levels) | 12 | 7.9 |
| (b)(3)(C) Offense involved otherwise extensive in scope or planning (2 levels) | 10 | 6.6 |
| §2J1.3 Perjury or Subornation of Perjury | 33 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 7 | 21.2 |
| (b)(1) Causing/threatening to cause physical injury (8 levels) | 0 | 0.0 |
| (b)(2) Offense resulted in substantial influence with the administration of justice (3 levels) | 7 | 21.2 |
| §2J1.4 Impersonation | 18 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| (b)(1) Offense committed to conduct an unlawful arrest, detention or search (6 levels) | 0 | 0.0 |

⁷⁷ Base offense level increased on November 1, 2003

⁷⁸ SOC was formerly §2J1.2(b)(1) and was redesignated on November 1, 2007. The statistics reflect all instances of the application of the SOC.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2J1.5 Failure to Appear by Material Witness | 1 | 0.0 |
| (a)(1) In respect to a felony (Base offense level 6) | 1 | 100.0 |
| (a)(2) In respect to a misdemeanor (Base offense level 4) | 0 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| (b)(1) Offense resulted in substantial influence with the administration of justice (3 levels) | 0 | 0.0 |
| §2J1.6 Failure to Appear by Defendant | 47 | 0.1 |
| (a)(1) Failure to report for service of sentence (Base offense level 11) | 21 | 44.7 |
| (a)(2) Otherwise (Base offense level 6) | 26 | 55.3 |
| Chapter 2 Specific Offense Characteristic Adjustments | 25 | 53.2 |
| (b)(1)(A) (a)(1) applies and voluntarily surrendered within 96 hours (5 level decrease) | 0 | 0.0 |
| (b)(1)(B) (a)(1) applies and ordered to community corrections (2 level decrease) | 1 | 2.1 |
| (b)(2)(A)(a)(2) applies and offense punishable by 15 years to death (9 levels) | 16 | 34.0 |
| (b)(2)(B) (a)(2) applies and offense punishable by 5 to 15 years (6 levels) | 9 | 17.0 |
| (b)(2)(C) (a)(2) applies and offense punishable by less than 5 years (3 levels) | 0 | 0.0 |
| §2J1.7 Commission of Offense While on Release ⁷⁹ | 2 | 0.0 |
| (a) Three levels added to level from offense committed white on release (Base Offense Level 36) | 1 | 50.0 |
| (a) Three levels added to level from offense committed white on release (Base Offense Level 18) | 1 | 50.0 |
| §2J1.9 Payment to a Witness | 0 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| Payment made/offered for refusing to testify (4 levels) | 0 | 0.0 |

⁷⁹ Guideline was deleted from Chapter Two and replaced by §3C1.3 on November 1, 2006.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|---------|---------|
| Part K - Offenses Involving Public Safety | | |
| §2K1.1 Failure to Report Theft of Explosive Materials | 5 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2K1.3 Unlawful Receipt/Possession/Transportation of Explosive Materials | 19 | 0.0 |
| (a)(1) Two prior convictions for crime of violence/controlled substance (BOL 24) | 2 | 10.5 |
| (a)(2) One prior convictions for crime of violence/controlled substance (BOL 20) | 5 | 26.3 |
| (a)(3) Defendant convicted under 18 U.S.C. § 842(p)(2) (Base offense level 18) | 0 | 0.0 |
| (a)(4)(A) Prohibited person (Base offense level 16) | 8 | 42.1 |
| (a)(4)(B) Knowingly distributed explosive materials to prohibited person (BOL 16) | 1 | 5.3 |
| (a)(5) Otherwise (Base offense level 12) | 3 | 15.8 |
| Chapter 2 Specific Offense Characteristic Adjustments | 9 | 47.4 |
| (b)(1)(A) Weight of explosives 25 to 100 pounds (one level) | 0 | 3.8 |
| (b)(1)(B) Weight of explosives 100 to 250 pounds (2 levels) | 2 | 10.5 |
| (b)(1)(C) Weight of explosives 250 to 500 pounds (3 levels) | 2 | 10.5 |
| (b)(1)(D) Weight of explosives 500 to 1,000 pounds (4 levels) | 0 | 0.0 |
| (b)(1)(E) Weight of explosives more than 1,000 pounds (5 levels) | 1 | 5.3 |
| (b)(2) Offense involved stolen explosives (2 levels) | 3 | 15.8 |
| (b)(3)(A) Convicted under 18 U.S.C. § 842(p)(2) (4 levels , increase level 18) | 0 | 0.0 |
| (b)(3)(B) Use of explosives in connection with another felony offense (4 levels or level 18) | 1 | 5.3 |
| §2K1.4 Arson | 84 | 0.1 |
| (a)(1)(A) Substantial risk of death/serious bodily injury (Base offense Level 24) | 20 | 23.8 |
| (a)(1)(B) Destruction of dwelling (Base offense Level 24) | 27 | 32.1 |
| (a)(2)(A) Substantial risk of death/serious injury of other participant (BOL 20) | 10 | 11.9 |
| (a)(2)(B) Destruction of structure other than a dwelling (Base offense level 20) | 10 | 11.9 |
| (a)(2)(C) Endangered a dwelling (Base offense level 20) | 5 | 6.0 |
| (a)(3) Involved the destruction of or tampering with aids to maritime navigation (Base offense level 16) | 0 | 0.0 |
| (a)(4) Base offense Level 16 based on 2 plus the level from §2B1.1 | 1 | 1.2 |
| (a)(4) Other, 2 plus the level from §2B1.1 | 11 | 13.1 |
| Chapter 2 Specific Offense Characteristic Adjustments | 11 | 13.1 |
| (b)(1) Committed to conceal another offense (2 levels) | 11 | 13.1 |
| (b)(2) Offense not under (a)(3) and occurred on national cemetery (2 levels) | 0 | 0.0 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS

**Offender Based
Fiscal Year 2013**

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2K1.5 Possessing Dangerous Weapon or Materials While Boarding an Aircraft | 1 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| (b)(1) Committed without regard for safety of human life (15 levels) | 0 | 0.0 |
| (b)(2) Defendant prohibited under federal law from possession weapon (2 levels) | 0 | 0.0 |
| (b)(3) Defendant's possession lawful but for 49 U.S.C. §46505 (3 level decrease) | 0 | 0.0 |
| §2K1.6 Licensee Recordkeeping Violations Involving Explosive Materials | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2K2.1 Unlawful Receipt/Possession/Transportation of Firearms or Ammunition | 6,137 | 8.6 |
| (a)(1)(A)(i) Two prior convictions and a semiautomatic firearm capable of accepting a large capacity magazine (Base Offense Level 26) | 111 | 1.8 |
| (a)(1)(A)(ii) Two prior convictions and firearm described in 26 U.S.C. §5845(a) (BOL 26) | 38 | 0.6 |
| (a)(2) Two prior convictions for crime of violence (Base offense level 24) | 1,141 | 18.6 |
| (a)(3)(A)(i) One prior convictions and a semiautomatic firearm capable of accepting a large capacity magazine (Base Offense Level 22) | 210 | 3.4 |
| (a)(3)(A)(ii) One prior convictions and firearm described in 26 U.S.C. §5845(a) (BOL 22) | 104 | 1.7 |
| (a)(4)(A) Offense committed after a felony conviction for a crime of violence or a controlled substance offense (Base offense level 20) | 1,881 | 30.6 |
| (a)(4)(B)(I)(i) Offense involved a semiautomatic firearm capable of accepting a large capacity magazine and was a prohibited person (Base offense level 20) | 182 | 3.0 |
| (a)(4)(B)(I)(ii) Offense involved a semiautomatic firearm capable of accepting a large capacity magazine and convicted under 18 U.S.C. § 922(d) (Base offense level 20) | 8 | 0.1 |
| (a)(4)(B)(I)(iii) Offense involved a semiautomatic firearm capable of accepting a large capacity magazine and was convicted under 18 U.S.C. § 922(a)(6) or § 924(a)(1)(A) and committed offense with knowledge/intent/reason to believe a transfer in firearm/ammunition to a prohibited person (Base offense level 20) | 16 | 0.3 |
| (a)(4)(B)(II)(i) Offense involved a firearm described in 26 U.S.C. § 5845(a) and was a prohibited person (Base offense level 20) | 108 | 1.8 |
| (a)(4)(B)(II)(ii) Offense involved a firearm described in 26 U.S.C. § 5845(a) and convicted under 18 U.S.C. § 922(d) (Base offense level 20) | 5 | 0.1 |
| (a)(4)(B)(II)(iii) Offense involved a firearm described in 26 U.S.C. § 5845(a) and was convicted under 18 U.S.C. § 922(a)(6) or § 924(a)(1)(A) and committed offense with knowledge/intent/reason to believe a transfer in firearm/ammunition to a prohibited person (Base offense level 20) | 2 | 0.0 |
| (a)(5) Firearm described in 26 U.S.C. §5845(a) (Base offense level 18) | 263 | 4.3 |
| (a)(6)(A) Defendant prohibited person (Base offense level 14) | 1,468 | 23.9 |
| (a)(6)(B) Defendant convicted under 18 U.S.C. § 922(d) (Base offense level 14) | 26 | 0.4 |
| (a)(6)(C) Defendant convicted under 18 U.S.C. § 922(a)(6) or § 924(a)(1)(A) and committed offense with knowledge/intent/reason to believe a transfer in firearm/ammunition to a prohibited person (Base offense level 14) | 32 | 0.5 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2K2.1 Unlawful Receipt/Possession/Transportation of Firearms or Ammunition (cont) | 6,137 | 8.6 |
| (a)(7) Except as provided in (a)(8) (Base offense level 12) | 527 | 8.6 |
| (a)(8) Offense convicted under 18 U.S.C. §922 (c), (e), (f), (m), (s), (t) or (x)(1) (BOL 6) | 15 | 0.2 |
| Chapter 2 Specific Offense Characteristic Adjustments | 3,561 | 58.0 |
| (b)(1)(A) 3-7 firearms (two levels) | 874 | 14.2 |
| (b)(1)(A) 3-7 firearms (three levels) ⁸⁰ | 1 | 0.0 |
| (b)(1)(B) 8-24 firearms (four levels) | 402 | 6.6 |
| (b)(1)(B) 8-24 firearms (two levels) ⁸⁰ | 1 | 0.0 |
| (b)(1)(C) 25-99 firearms (six levels) | 145 | 2.4 |
| (b)(1)(C) 25-99 firearms (four levels) ⁸⁰ | 1 | 0.0 |
| (b)(1)(D) 100-199 firearms (eight levels) | 18 | 0.3 |
| (b)(1)(E) 200 or more firearms (10 levels) | 17 | 0.3 |
| (b)(2) Not subject to (a)(1)-(a)(5) and solely for lawful sporting purposes (decrease to level 6) | 38 | 0.6 |
| (b)(3)(A) Destructive device that is a portable rocket or missile (15 levels) | 6 | 0.1 |
| (b)(3)(A) Destructive device that is a portable rocket or missile (2 levels) ⁸⁰ | 1 | 0.0 |
| (b)(3)(B) Destructive device (2 levels) | 118 | 1.9 |
| (b)(3)(B) Destructive device (1 level) ⁸⁰ | 1 | 0.0 |
| (b)(4)(A) Firearm stolen (2 levels) | 1,300 | 21.2 |
| (b)(4)(B) Firearm had altered/obliterated serial number (4 levels) | 487 | 7.9 |
| (b)(4)(B) Firearm had altered/obliterated serial number (6 levels) ⁸⁰ | 1 | 0.0 |
| (b)(5) Defendant engaged in trafficking of firearms (4 levels) | 418 | 6.8 |

⁸⁰ SOC level is not available under the guidelines, but court documentation reflected the court's findings that this was the proper level for this offense.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2K2.1 Unlawful Receipt/Possession/Transportation of Firearms or Ammunition (cont) | 6,137 | 8.6 |
| (b)(6)(A) Firearms possessed while leaving or attempting to leave the United States (4 levels/increase to level 18) | 160 | 2.6 |
| (b)(6)(A) Firearms possessed while leaving or attempting to leave the United States (2 levels) ⁸⁰ | 1 | 0.0 |
| (b)(6)(B) Firearms used in connection with another offense (4 levels/increase to level 18) ⁸¹ | 1,462 | 23.8 |
| (b)(6)(B) Firearms used in connection with another offense (2 levels) ⁸⁰ | 1 | 0.0 |
| (b)(7) Recordkeeping offense to conceal offense involving firearms (increase to level of underlying offense) ⁸² | 6 | 0.1 |
| §2K2.4 Use of Firearm/Armor-Piercing Ammunition or Explosive | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2K2.5 Possession of Firearm or Dangerous Weapon in Federal Facility | 10 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 4 | 40.0 |
| (b)(1)(A) Unlawful possession of firearm in a federal court facility (2 levels) | 0 | 0.0 |
| (b)(1)(B) Firearm in school zone (2 levels) | 4 | 40.0 |
| §2K2.6 Possessing, Purchasing or Owning Body Armor by Violent Felons | 4 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| (b)(1) Body armor used in connection with another felony (4 levels) | 0 | 0.0 |
| §2K3.2 Feloniously Mailing Injurious Articles | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |

⁸¹ SOC was formerly §2K2.1(b)(5) and redesignated §2K2.1(b)(6) on November 1, 2006, and subsequently redesignated §2K2.1(b)(6)(B) on November 1, 2011. The statistics reflect all instances of the application of this SOC.

⁸² SOC was formerly §2K2.1(b)(6) and redesignated §2K2.1(b)(7) on November 1, 2006. The statistics reflect all instances of the application of this SOC.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|---------|---------|
| Part L - Offenses Involving Immigration, Naturalization, and Passports | | |
| §2L1.1 Smuggling, Transporting or Harboring an Unlawful Alien | 2,224 | 3.1 |
| (a)(1) Convicted under 8 U.S.C. § 1327 for inadmissible alien under 8 U.S.C. § 1182(a)(3) (Base offense level 25) | 0 | 0.0 |
| (a)(1) Convicted under 8 U.S.C. § 1327 after being previously deported (BOL 23) | 26 | 1.2 |
| (a)(2) Otherwise (Base offense level 12) | 2,198 | 98.8 |
| Chapter 2 Specific Offense Characteristic Adjustments | 1,654 | 74.4 |
| (b)(1)(A) Offense committed for profit and (B) BOL under (a)(2) (3 level decrease) | 80 | 3.6 |
| (b)(2)(A) 6-24 aliens smuggled (3 levels) | 847 | 38.1 |
| (b)(2)(B) 25-99 aliens smuggled (6 levels) | 220 | 9.9 |
| (b)(2)(C) 100 or more aliens smuggled (9 levels) | 134 | 5.6 |
| (b)(3)(A) Prior conviction for felony immigration and naturalization offense (2 levels) | 142 | 6.4 |
| (b)(3)(B) Two or more prior convictions for felony immigration offense (4 levels) | 28 | 1.3 |
| (b)(4) Smuggled, transported, or harbored an unaccompanied minor (2 levels) | 352 | 15.8 |
| (b)(5)(A) Firearm discharged (6 levels, increase to 22) ⁸³ | 2 | 0.1 |
| (b)(5)(B) Weapon brandished or otherwise used (4 levels, increase to 20) ⁸³ | 35 | 1.6 |
| (b)(5)(C) Weapon possessed (2 levels, increase to 18) ⁸³ | 24 | 1.1 |
| (b)(6) Reckless creating risk of death or serious bodily injury (2 levels, increase to 18) ⁸⁴ | 605 | 27.2 |
| (b)(7)(A) Bodily injury (2 levels) ⁸⁵ | 28 | 1.2 |
| (b)(7)(B) Serious bodily injury (4 levels) ⁸⁵ | 21 | 0.9 |
| (b)(7)(C) Permanent or life-threatening bodily injury (6 levels) ⁸⁵ | 5 | 0.2 |
| (b)(7)(D) Death (10 levels) | 18 | 0.8 |

⁸³ SOC was formerly §2L1.1(b)(4) and redesignated §2L1.1(b)(5) on November 1, 2006. The statistics reflect all instances of the application of the SOC.

⁸⁴ SOC was formerly §2L1.1(b)(5) and redesignated §2L1.1(b)(6) on November 1, 2006. The statistics reflect all instances of the application of the SOC.

⁸⁵ SOC was formerly §2L1.1(b)(6) and redesignated §2L1.1(b)(7) on November 1, 2006. The statistics reflect all instances of the application of the SOC.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2L1.1 Smuggling, Transporting or Harboring an Unlawful Alien (continued) | 2,224 | 3.1 |
| (b)(8)(A)(i) Alien involuntarily detained, demand for payment after smuggled into U.S. (2 levels, increase to 18) ⁸⁶ | 56 | 2.5 |
| (b)(8)(A)(ii) Alien involuntarily detained, demand for payment while smuggled into U.S. (2 levels, increase to 18) ⁸⁷ | 28 | 1.2 |
| (b)(8)(B)(i) Convicted of alien harboring for the purpose of prostitution (2 levels) | 5 | 0.2 |
| (b)(8)(B)(ii) Convicted of alien harboring for the purposes of prostitution, alien under 18 (6 lvs) | 3 | 0.1 |
| (b)(9) Defendant convicted under 8 U.S.C. §1324(a)(4) (2 levels) | 3 | 0.1 |
| §2L1.2 Unlawfully Entering or Remaining in the United States | 18,498 | 26.1 |
| Chapter 2 Specific Offense Characteristic Adjustments | 13,774 | 74.5 |
| (b)(1)(A)(i) Previously deported and conviction for drug trafficking offense of greater than 13 months (16 levels) | 1,810 | 9.8 |
| (b)(1)(A)(i)(2) Previously deported and conviction for drug trafficking offense of greater than 13 months - no criminal history points (12 levels) | 281 | 1.5 |
| (b)(1)(A)(ii) Previously deported and conviction for crime of violence (16 levels) | 2,189 | 11.8 |
| (b)(1)(A)(ii) Previously deported and conviction for crime of violence - no criminal history points (12 levels) | 439 | 2.4 |
| (b)(1)(A)(iii) Previously deported and conviction for firearms offense (16 levels) | 27 | 0.1 |
| (b)(1)(A)(iii) Previously deported and conviction for firearms offense - no criminal history points (12 levels) | 8 | 0.0 |
| (b)(1)(A)(iv) Previously deported and conviction for a child pornography offense (16 levels) | 4 | 0.0 |
| (b)(1)(A)(v) Previously deported and conviction for a national security/terrorism offense (16 levels) | 0 | 0.0 |
| (b)(1)(A)(vi) Previously deported and conviction for a human trafficking offense (16 levels) | 12 | 0.1 |
| (b)(1)(A)(vi) Previously deported and conviction for a human trafficking offense - no criminal history points (12 levels) | 5 | 0.0 |
| (b)(1)(A)(vii) Previously deported and conviction for an alien smuggling offense (16 levels) | 309 | 1.7 |
| (b)(1)(A)(vii) Previously deported and conviction for an alien smuggling offense - no criminal history points (12 levels) | 83 | 0.4 |
| (b)(1)(B) Previously deported, drug offense sentence less than 13 months (16 levels) ⁸⁸ | 1 | 0.0 |
| (b)(1)(B) Previously deported, drug offense sentence less than 13 months (12 levels) | 710 | 3.8 |

⁸⁶ SOC was formerly §2L1.1(b)(8)(A) and redesignated §2L1.1(b)(8)(A)(i) on November 1, 2009. The statistics reflect all instances of the application of the SOC.

⁸⁷ SOC was formerly §2L1.1(b)(8)(B) and redesignated §2L1.1(b)(8)(A)(ii) on November 1, 2009. The statistics reflect all instances of the application of the SOC.

⁸⁸ This SOC level was not available under the guidelines, but court documents reflected the court's findings that this was the proper level for this offense.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2L1.2 Unlawfully Entering or Remaining in the United States (continued) | 18,498 | 26.1 |
| (b)(1)(B) Previously deported, drug offense sentence less than 13 months, no criminal history points (8 levels) | 330 | 1.8 |
| (b)(1)(C) Previously deported and aggravated felony conviction (8 levels) | 1,498 | 8.1 |
| (b)(1)(D) Previously deported and any other felony conviction (4 levels) | 6,046 | 32.7 |
| (b)(1)(E) Previously deported and three or more misdemeanor convictions of crimes of violence or drug trafficking (4 levels) | 22 | 0.1 |
| §2L2.1 Trafficking in Documents Relating to Naturalization | 289 | 0.4 |
| (a) Base offense level 11 | 288 | 99.6 |
| (a) Base offense level 8 ⁸⁹ | 1 | 0.4 |
| Chapter 2 Specific Offense Characteristic Adjustments | 202 | 69.9 |
| (b)(1) Committed other than for profit or only smuggling relative (3 level decrease) | 17 | 5.9 |
| (b)(2)(A) 6-24 Documents/Passports (3 levels) | 59 | 20.4 |
| (b)(2)(B) 25-99 Documents/Passports (6 levels) | 41 | 14.2 |
| (b)(2)(C) 100 or more Documents/Passports (9 levels) | 78 | 27.0 |
| (b)(2)(C) 100 or more Documents/Passports (11 levels) ⁸⁸ | 1 | 0.4 |
| (b)(3) Defendant knew passport or visa facilitated a felony offense (4 levels) | 7 | 2.4 |
| (b)(4)(A) Committed instant offense after conviction of immigration offense (2 levels) | 1 | 0.4 |
| (b)(4)(B) Committed instant offense after two or more convictions immigration (4 lvls) | 0 | 0.0 |
| (b)(5)(A) Defendant fraudulently obtained or used a United States passport (4 levels) | 1 | 0.4 |
| (b)(5)(B) Defendant fraudulently obtained or used a foreign passport (2 levels) | 12 | 4.2 |

⁸⁹ This base offense level is not available under the guidelines, but the court documents reflected the court's findings that this was the proper offense level for this offense.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2L2.2 Fraudulent Acquiring Documents Relating to Citizenship | 1,198 | 1.7 |
| (a) Base Offense Level 8 | 1,197 | 99.9 |
| (a) Base Offense Level 6 ⁹⁰ | 1 | 0.1 |
| Chapter 2 Specific Offense Characteristic Adjustments | 581 | 48.5 |
| (b)(1) Defendant an unlawful alien, previously deported (2 levels) | 261 | 21.8 |
| (b)(2)(A) Prior felony immigration and naturalization offense (2 levels) | 26 | 2.2 |
| (b)(2)(B) Two or more prior felony immigration and naturalization offenses (4 levels) | 6 | 0.5 |
| (b)(3)(A) Defendant fraudulently obtained or used a United States passport (4 levels) | 292 | 24.4 |
| (b)(3)(B) Defendant fraudulently obtained or used a foreign passport (2 levels) | 137 | 11.4 |
| §2L2.5 Failure to Surrender Canceled Naturalization Certificate | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |

⁹⁰ Base offense level increased on November 1, 1997.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|---------|---------|
| Part M - Offenses Involving National Defense and Weapons of Mass Destruction | | |
| §2M1.1 Treason | 0 | 0.0 |
| (a)(1) Conduct tantamount to waging war against the United States (Base offense level 43) | 0 | 0.0 |
| (a)(2) Offense level applicable to most analogous offense | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2M2.1 Destruction of, or Production of Defective War Material | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2M2.3 Destruction of , or Production of Detective, National Defense Material | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2M3.1 Gathering/Transmitting National Defense Information to Aid Foreign Gov't | 1 | 0.0 |
| (a)(1) Top secret information gathered or transmitted (Base offense level 42) | 0 | 0.0 |
| (a)(2) Otherwise (Base offense level 37) | 1 | 100.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2M3.2 Gathering National Defense Information | 0 | 0.0 |
| (a)(1) Top secret information gathered (Base offense level 35) | 0 | 0.0 |
| (a)(2) Otherwise (Base offense level 30) | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2M3.3 Transmitting National Defense Information | 0 | 0.0 |
| (a)(1) Top secret information (Base offense level 29) | 0 | 0.0 |
| (a)(2) Otherwise (Base offense level 24) | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2M3.4 Losing National Defense Information | 0 | 0.0 |
| (a)(1) Top secret information was lost (Base offense level 18) | 0 | 0.0 |
| (a)(2) Otherwise (Base offense level 13) | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2M3.5 Tampering with Restricted Data Concerning Atomic Energy | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2M3.9 Disclosure of Information Identifying a Covert Agent | 1 | 0.0 |
| (a)(1) Information disclosed by person with access to classified material identifying a covert agent (BOL 30) | 1 | 100.0 |
| (a)(2) Information disclosed by person with access to classified material (BOL 25) | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2M4.1 Failure to Register and Evasion of Military Service | 0 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| (b)(1) Occurred by person being inducted for compulsory military service (6 levels) | 0 | 0.0 |
| §2M5.1 Evasion of Export Controls | 27 | 0.0 |
| (a)(1)(A) National security controls relating to the proliferation of nuclear, biological, or chemical weapons were evaded (Base offense level 26) | 21 | 77.8 |
| (a)(1)(B) Offense involved financial transactions with a country supporting terrorism (Base offense level 26) | 5 | 18.5 |
| (a)(2) Otherwise (Base offense level 14) | 1 | 3.7 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2M5.2 Exportation of Arms/Munitions/Military Equipment without Validated Export License | 148 | 0.2 |
| (a)(1) Except as provided in (a)(2) (Base offense level 26) | 128 | 86.5 |
| (a)(2)(A) Involved only two or less non-fully automatic small arms (BOL 14) | 14 | 9.4 |
| (a)(2)(B) Involved only ammunition for non-fully automatic small arms, less than 500 rounds (BOL 14) | 5 | 3.4 |
| (a)(2)(C) Weapons and ammunition described in both (a)(2)(A) and (a)(2)(B) (BOL 14) | 1 | 0.7 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2M5.3 Providing Material Support/Resources to Designated Foreign Terrorist Organizations | 17 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 10 | 58.8 |
| (b)(1)(A) Offense involved the provision of dangerous weapons (2 levels) | 0 | 0.0 |
| (b)(1)(B) Offense involved the provision of firearms (2 levels) | 1 | 5.9 |
| (b)(1)(C) Offense involved the provision of explosives (2 levels) | 0 | 0.0 |
| (b)(1)(D) Offense involved the provisions of funds to purchase (A)-(C) (2 levels) | 3 | 17.6 |
| (b)(1)(E) Offense involved the provisions of funds used with the intent to commit a violent act (2 levels) | 6 | 35.3 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2M6.1 Unlawful Production of Nuclear Material | 6 | 0.0 |
| (a)(1)(A) Offense committed with the intent to injure the United States (BOL 42) | 3 | 50.0 |
| (a)(1)(B) Offense committed to aid a foreign nation (Base offense level 42) | 0 | 0.0 |
| (a)(2) Subsections (a)(1), (a)(3)-(a)(5) do not apply (Base offense level 28) | 3 | 50.0 |
| (a)(3) Convicted under 18 U.S.C. § 175b (Base offense level 22) | 0 | 0.0 |
| (a)(4)(A) Convicted under 18 U.S.C. §175(b) but did not intend to carry out threat (BOL 20) | 0 | 0.0 |
| (a)(4)(B) Offense involved a threat to use nuclear weapon but did not intend to carry out threat (BOL 20) | 0 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 3 | 50.0 |
| (b)(1)(A) Subsection (a)(2), (a)(4), or (a)(5) applies and (B) threat of biological agent (2 levels) | 0 | 0.0 |
| (b)(2)(A) Subsections (a)(2)-(a)(4) applies and (B)(i) victim died or permanent life-threatening bodily injury (4 levels) | 0 | 0.0 |
| (b)(2)(A) Subsection (a)(2)-(a)(4) applies and (ii) serious bodily injury (2 levels) | 0 | 0.0 |
| (b)(2)(A) Subsection (a)(2)-(a)(4) applies and (iii) injury between (i) and (ii) (3 levels) | 0 | 0.0 |
| (b)(3)(A) Subsection (a)(2)-(a)(5) applies and (B) disruption of government services (4 levels) | 3 | 50.0 |
| §2M6.2 Violation of Other Federal Atomic Energy Agency Statutes, Rules or Regulation | 0 | 0.0 |
| (a)(1) Committed with intent to injure the United States (Base offense level 30) | 0 | 0.0 |
| (a)(2) Otherwise (Base offense level 6) | 0 | 0.0 |
| No Specific Offense Characteristic for this guideline | NA | NA |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|---------|---------|
| Part N - Offenses Involving Food, Drugs, Agricultural Products and Odometer Laws | | |
| §2N1.1 Tampering or Attempting to Tamper Involving risk of Death or Bodily Injury | 7 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 1 | 14.3 |
| (b)(1)(A) Permanent or life-threatening bodily injury (4 levels) | 0 | 0.0 |
| (b)(1)(B) Serious bodily injury (2 levels) | 1 | 14.3 |
| (b)(1)(C) Degree of injury between serious and permanent/life-threatening (3 levels) | 0 | 0.0 |
| §2N1.2 Providing False Information or Threatening to Tamper with Consumer Products | 1 | 0.0 |
| No Specific Offense Characteristics with this guideline | NA | NA |
| §2N1.3 Tampering with Intent to Injure Business | 0 | 0.0 |
| No Specific Offense Characteristics with this guideline | NA | NA |
| §2N2.1 Violations of Statutes and Regulations Dealing with any Food, Drug, etc | 40 | 0.1 |
| Chapter 2 Specific Offense Characteristic Adjustments | 1 | 2.5 |
| (b)(1) Convicted under 21 § U.S.C. 331 after prior conviction under 21 § U.S.C. 331 (4 levels) | 1 | 2.5 |
| §2N3.1 Odometer Laws and Regulations | 0 | 0.0 |
| No Specific Offense Characteristics with this guideline | NA | NA |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|---------|---------|
| Part P - Offenses Involving Prisons and Correctional Facilities | | |
| §2P1.1 Escape, Instigating or Assisting Escape | 249 | 0.4 |
| (a)(1) Custody is by virtue of an arrest on a felony/conviction of another offense (BOL 13) | 245 | 98.4 |
| (a)(2) Otherwise (Base offense level 8) | 4 | 1.6 |
| Chapter 2 Specific Offense Characteristic Adjustments | 187 | 75.1 |
| (b)(1) Use of threat of force against any person (5 levels) | 5 | 2.0 |
| (b)(2) Escaped from non-secure custody,(a)(1) applies, return in 96 hours (7 level decrease) | 15 | 6.0 |
| (b)(2) Escaped from non-secure custody, (a)(2)applies, return in 96 hours (4 level decrease) | 15 | 6.0 |
| (b)(3) Escaped from non-secure custody and (b)(2) does not apply, (a)(1) applies (4 level decrease) | 152 | 61.0 |
| (b)(3) Escaped from non-secure custody and (b)(2) does not apply, (a)(2) applies (2 level decrease) | 1 | 0.4 |
| (b)(4) Defendant was a law enforcement officer (2 levels) | 0 | 0.0 |
| §2P1.2 Providing or Possessing Contraband in Prison | 112 | 0.2 |
| (a) Base offense level 26 ⁹¹ | 6 | 5.4 |
| (a)(1) Object was a firearm or destructive device (Base offense level 23) | 0 | 0.0 |
| (a)(2) Object was a weapon, ammunition, LSD, or a narcotic drug (Base offense level 13) | 47 | 42.0 |
| (a)(3) Object was an alcoholic beverage/currency/controlled substance (BOL 6) | 52 | 46.4 |
| (a)(4) Other object threatened order of facility (Base offense level 4) | 7 | 6.2 |
| Chapter 2 Specific Offense Characteristic Adjustments | 5 | 4.5 |
| (b)(1) Defendant was a law enforcement officer (2 levels) | 5 | 4.5 |
| §2P1.3 Engaging in a Riot Involving Persons in a Facility | 5 | 0.0 |
| (a)(1) Substantial risk of death or serious bodily injury (Base offense level 22) | 2 | 40.0 |
| (a)(2) Caused a major disruption to the operation of an institution (Base offense level 16) | 0 | 0.0 |
| (a)(3) Otherwise (Base offense level 10) | 3 | 60.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |

⁹¹ This base offense level is not available under the guidelines, but court documents reflect the court's findings that this was the proper base offense level for this offense.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|---------|---------|
| Part Q -Offenses Involving the Environment | | |
| §2Q1.1 Knowing Endangerment Resulting from Mishandling Hazardous Substances | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2Q1.2 Mishandling of Hazardous Substances | 36 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 31 | 86.1 |
| (b)(1)(A) Resulted in ongoing emission of a hazardous substance (6 levels) | 9 | 25.0 |
| (b)(1)(B) Otherwise involved discharge of a hazardous substance (4 levels) | 12 | 33.3 |
| (b)(2) Substantial likelihood of death or serious bodily injury (9 levels) | 4 | 11.1 |
| (b)(3) Disruption of public utilities or evacuation (4 levels) | 8 | 22.2 |
| (b)(4) Involved transportation without a permit or violation of a permit (4 levels) | 14 | 38.9 |
| (b)(5) Recordkeeping reflected an effort to conceal offense (Offense level of underlying offense) | 0 | 0.0 |
| (b)(6) Simple recordkeeping or reporting violation (2 level decrease) | 0 | 0.0 |
| (b)(7) Convicted under 49 U.S.C. § 5124 or § 46312 (2 levels) | 3 | 8.3 |
| §2Q1.3 Mishandling of Other Environmental Pollutants | 40 | 0.1 |
| Chapter 2 Specific Offense Characteristic Adjustments | 35 | 87.5 |
| (b)(1)(A) Ongoing/repetitive discharge of a pollutant (6 levels) | 19 | 47.5 |
| (b)(1)(B) Otherwise discharge of pollutant (4 levels) | 13 | 32.5 |
| (b)(2) Substantial likelihood of death or serious bodily injury (11 levels) | 0 | 0.0 |
| (b)(3) Resulted in disruption of public utilities or evacuation (4 levels) | 8 | 20.0 |
| (b)(4) Discharge without permit or in violation of a permit (4 levels) | 20 | 50.0 |
| (b)(5) Recordkeeping reflected an effort to conceal offense (Offense level of underlying offense) | 0 | 0.0 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2Q1.4 Tampering or Attempted Tampering with Public Water System | 0 | 0.0 |
| (a)(1) Base offense level 26 | 0 | 0.0 |
| (a)(2) Threat with intent to carry out tampering with public water (Base offense level 22) | 0 | 0.0 |
| (a)(3) Threat without intent to carry out tampering with public water (BOL 16) | 0 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| (b)(1)(A) Victim sustained permanent/life threatening bodily injury (4 levels) | 0 | 0.0 |
| (b)(1)(B) Victim sustained serious bodily injury (2 levels) | 0 | 0.0 |
| (b)(1)(C) The degree of injury is between subdivisions (A) and (B) (3 levels) | 0 | 0.0 |
| (b)(2)(A) Offense resulted in disruption of public functions/services (4 levels) | 0 | 0.0 |
| (b)(2)(B) Disruption resulted in expenditure of funds to clean up in response to offense (4 levels) | 0 | 0.0 |
| (b)(3) Ongoing release of contaminant into public water system (2 levels) | 0 | 0.0 |
| §2Q1.6 Hazardous or Injurious Devices on Federal Lands | 0 | 0.0 |
| (a)(1) Intent was to violate Controlled Substance Act (apply §2D1.9) | 0 | 0.0 |
| (a)(2) Obstruct harvesting of timber and property destruction (apply §2B1.1) | 0 | 0.0 |
| (a)(3) Reckless disregard to risk to another person (apply §2A2.2) | 0 | 0.0 |
| (a)(4) Otherwise (Base offense level 6) | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2Q2.1 Offenses Involving Fish, Wildlife and Plants | 98 | 0.1 |
| Chapter 2 Specific Offense Characteristic Adjustments | 88 | 89.8 |
| (b)(1)(A) Offense committed for pecuniary gain (2 levels) | 64 | 65.3 |
| (b)(1)(B) Offense involved a pattern of similar violations (2 levels) | 13 | 13.3 |
| (b)(2)(A) Offense involved wildlife not quarantined (2 levels) | 4 | 4.1 |
| (b)(2)(B) Offense involved significant risk of infestation/disease to human/wildlife (2 levels) | 4 | 4.1 |
| (b)(3)(A) Value of wildlife less than \$2,000 (0 levels) | 29 | 29.6 |
| (b)(3)(A) Value of wildlife between \$2,000 and \$5,000 (one level) | 21 | 21.4 |
| (b)(3)(A) Value of wildlife between \$5,000 and \$10,000 (2 levels) | 7 | 7.1 |
| (b)(3)(A) Value of wildlife between \$10,000 and \$30,000 (4 levels) | 8 | 8.2 |
| (b)(3)(A) Value of wildlife between \$30,000 and \$70,000 (6 levels) | 8 | 8.2 |
| (b)(3)(A) Value of wildlife between \$70,000 and \$120,000 (8 levels) | 5 | 5.1 |
| (b)(3)(A) Value of wildlife between \$120,000 and \$200,000 (10 levels) | 1 | 1.0 |
| (b)(3)(A) Value of wildlife between \$200,000 and \$400,000 (12 levels) | 6 | 6.1 |
| (b)(3)(A) Value of wildlife between \$400,000 and \$1,000,000 (14 levels) | 1 | 1.0 |
| (b)(3)(B)(i) Offense involved protected marine mammals (4 levels) | 1 | 1.0 |
| (b)(3)(B)(ii) Offense involved fish/wildlife/plants listed as endangered (4 levels) | 8 | 8.2 |
| (b)(3)(B)(iii) Offense involved fish/wildlife/plants endangered internationally (4 levels) | 3 | 3.1 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|---------|---------|
| Part R - Antitrust Offenses | | |
| §2R1.1 Bid-Rigging, Price-Fixing or Market-Allocation Agreements Among Competitors | 9 | 0.0 |
| (a) Base Offense Level 12 | 8 | 88.9 |
| (a) Base Offense Level 10 ⁹² | 1 | 11.1 |
| Chapter 2 Specific Offense Characteristic Adjustments | 9 | 100.0 |
| (b)(1) Involved participation in agreement to submit non-competitive bid (one level) | 5 | 55.6 |
| (b)(2)(A) Volume of commerce between \$1,000,000 and \$10,000,000 (2 levels) | 0 | 0.0 |
| (b)(2)(B) Volume of commerce between \$10,000,000 and \$40,000,000 (4 levels) | 1 | 11.1 |
| (b)(2)(C) Volume of commerce between \$40,000,000 and \$100,000,000 (6 levels) | 2 | 22.2 |
| (b)(2)(D) Volume of commerce between \$100,000,000 and \$250,000,000 (8 levels) | 1 | 11.1 |
| (b)(2)(E) Volume of commerce between \$250,000,000 and \$500,000,000 (10 levels) | 1 | 11.1 |
| (b)(2)(F) Volume of commerce between \$500,000,000 and \$1,000,000,000 (12 levels) | 0 | 0.0 |
| (b)(2)(G) Volume of commerce between \$1,000,000,000 and \$1,500,000,000 (14 levels) | 0 | 0.0 |
| (b)(2)(H) Volume of commerce more than \$1,500,000,000 (16 levels) | 1 | 11.1 |

⁹² Base level was increased on November 1, 2005.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|---------|---------|
| Part S - Money Laundering and Monetary Transactions Reporting | | |
| §2S1.1 Laundering of Monetary Instruments | 1,115 | 1.6 |
| (a)(1) Underlying offense - Base offense level 8 | 3 | 0.3 |
| (a)(2) Offense level from loss table in §2B1.1 plus eight levels - Base offense level 8 | 11 | 1.0 |
| (a)(1) Underlying offense - Base offense level 9 | 1 | 0.1 |
| (a)(1) Underlying offense - Base offense level 10 | 3 | 0.3 |
| (a)(2) Offense level from loss table in §2B1.1 plus eight levels - Base offense level 10 | 11 | 1.0 |
| (a)(1) Underlying offense - Base offense level 12 | 16 | 1.4 |
| (a)(2) Offense level from loss table in §2B1.1 plus eight levels - Base offense level 12 | 21 | 1.8 |
| (a)(1) Underlying offense - Base offense level 13 | 6 | 0.5 |
| (a)(1) Underlying offense - Base offense level 14 | 8 | 0.7 |
| (a)(2) Offense level from loss table in §2B1.1 plus eight levels - Base offense level 14 | 40 | 3.6 |
| (a)(1) Underlying offense - Base offense level 15 | 9 | 0.8 |
| (a)(1) Underlying offense - Base offense level 16 | 8 | 0.7 |
| (a)(2) Offense level from loss table in §2B1.1 plus eight levels - Base offense level 16 | 37 | 3.3 |
| (a)(1) Underlying offense - Base offense level 17 | 7 | 0.6 |
| (a)(1) Underlying offense - Base offense level 18 | 30 | 2.7 |
| (a)(2) Offense level from loss table in §2B1.1 plus eight levels - Base offense level 18 | 35 | 3.1 |
| (a)(1) Underlying offense - Base offense level 19 | 13 | 1.2 |
| (a)(1) Underlying offense - Base offense level 20 | 30 | 2.7 |
| (a)(2) Offense level from loss table in §2B1.1 plus eight levels - Base offense level 20 | 56 | 5.0 |
| (a)(2) Otherwise, Base offense level 20 ⁹³ | 2 | 0.2 |
| (a)(1) Underlying offense - Base offense level 21 | 23 | 2.1 |

⁹³ Base offense levels were expanded to incorporate the value of the laundered funds on November 1, 2001.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2S1.1 Laundering of Monetary Instruments (continued) | 1,115 | 1.6 |
| (a)(1) Underlying offense - Base offense level 22 | 23 | 2.1 |
| (a)(2) Offense level from loss table in §2B1.1 plus eight levels - Base offense level 22 | 49 | 4.4 |
| (a)(1) Underlying offense - Base offense level 23 | 25 | 2.2 |
| (a)(1) Convicted under 18 U.S.C. §§ 1956(a)(1)(A), (a)(2)(A) or (a)(3)(A) (BOL 23) ⁹³ | 1 | 0.1 |
| (a)(1) Underlying offense - Base offense level 24 | 38 | 3.4 |
| (a)(2) Offense level from loss table in §2B1.1 plus eight levels - Base offense level 24 | 38 | 3.4 |
| (a)(1) Underlying offense - Base offense level 25 | 22 | 2.0 |
| (a)(1) Underlying offense - Base offense level 26 | 72 | 6.4 |
| (a)(2) Offense level from loss table in §2B1.1 plus eight levels - Base offense level 26 | 16 | 1.4 |
| (a)(1) Underlying offense - Base offense level 27 | 21 | 1.9 |
| (a)(1) Underlying offense - Base offense level 28 | 53 | 4.8 |
| (a)(2) Offense level from loss table in §2B1.1 plus eight levels - Base offense level 28 | 12 | 1.1 |
| (a)(1) Underlying offense - Base offense level 29 | 36 | 3.2 |
| (a)(1) Underlying offense - Base offense level 30 | 61 | 5.5 |
| (a)(2) Offense level from loss table in §2B1.1 plus eight levels - Base offense level 30 | 5 | 0.4 |
| (a)(1) Underlying offense - Base offense level 31 | 15 | 1.4 |
| (a)(1) Underlying offense - Base offense level 32 | 70 | 6.3 |
| (a)(1) Underlying offense - Base offense level 33 | 6 | 0.5 |
| (a)(1) Underlying offense - Base offense level 34 | 61 | 5.5 |
| (a)(1) Underlying offense - Base offense level 35 | 6 | 0.5 |
| (a)(1) Underlying offense - Base offense level 36 | 43 | 3.9 |
| (a)(2) Offense level from loss table in §2B1.1 plus eight levels - Base offense level 36 | 1 | 0.1 |
| (a)(1) Underlying offense - Base offense level 37 | 2 | 0.2 |
| (a)(1) Underlying offense - Base offense level 38 | 42 | 3.8 |
| (a)(1) Underlying offense - Base offense level 39 | 1 | 0.1 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2S1.1 Laundering of Monetary Instruments (continued) | 1,115 | 1.6 |
| (a)(1) Underlying offense - Base offense level 40 | 13 | 1.2 |
| (a)(1) Underlying offense - Base offense level 41 | 3 | 0.3 |
| (a)(1) Underlying offense - Base offense level 42 | 8 | 0.7 |
| (a)(1) Underlying offense - Base offense level 43 | 2 | 0.2 |
| Chapter 2 Specific Offense Characteristic Adjustments | 1,097 | 98.4 |
| (b)(1)(B)(i) Subsection (a)(2) applies and defendant knew proceeds intended for distribution of a controlled substance (6 levels) | 175 | 15.7 |
| (b)(1)(B)(ii) Subsection (a)(2) applies and defendant knew proceeds were intended for a crime of violence (6 levels) | 11 | 1.0 |
| (b)(1)(B)(iii) Subsection (a)(2) applies and defendant knew proceeds intended for firearms explosives (6 levels) | 2 | 0.2 |
| (b)(1) Proceeds intended for unlawful controlled substance offense (3 levels) ⁹⁴ | 1 | 0.1 |
| (b)(2)(A) Defendant convicted under 18 U.S.C. §1957 (one level) | 183 | 16.4 |
| (b)(2)(B) Defendant convicted under 18 U.S.C. §1956 (2 levels) | 876 | 78.6 |
| (b)(2)(B) Defendant convicted under 18 U.S.C. §1956 (4 levels) ⁹⁵ | 1 | 0.1 |
| (b)(2)(C) Subsection (a)(1) applies and defendant in business of laundering money (4 levels) | 20 | 1.8 |
| (b)(2)(E) Value of funds between \$600,000 and \$1,000,000 (4 levels) ⁹⁶ | 1 | 0.1 |
| (b)(2)(J) Value of funds between \$10,000,000 and \$20,000,000 (9 levels) ⁹⁶ | 1 | 0.1 |
| (b)(3) Subsection (b)(2)(B) applies and sophisticated laundering involved (2 levels) | 71 | 6.4 |
| §2S1.2 Engaging in Monetary Transactions in Property Derived from Specified Unlawful Activity ⁹⁷ | 1 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 1 | 100.0 |
| (b)(1)(A) Unlawful activity involving manufacture/importation/distribution of narcotics (5 levels) | 0 | 0.0 |
| (b)(1)(B) Any other unlawful activity under 18 U.S.C. § 1956(c)(7) (2 levels) | 1 | 100.0 |
| (b)(2) Value of funds between \$600,000 and \$1,000,000 (4 levels) | 1 | 100.0 |

⁹⁴ SOC was expanded and level enhanced on November 1, 2001.

⁹⁵ This SOC level is not available under the guidelines, but court documents reflect the court's findings that this was the proper level for this offense.

⁹⁶ The amount of the laundered funds was incorporated into the base offense level on November 1, 2001.

⁹⁷ Guideline eliminated and consolidated with §2S1.1 on November 1, 2001.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|----------------|----------------|
| §2S1.3 Structuring Transactions to Evade Reporting Requirements | 287 | 0.4 |
| (a)(1) Defendant convicted under 31 U.S.C. §§ 5318 or 5318A (Base offense level 8) | 2 | 0.7 |
| (a)(2) Loss less than \$5,000 (Base offense level 6) | 6 | 2.1 |
| (a)(2) Loss between \$5,000 and \$10,000 (Base offense level 8) | 4 | 1.4 |
| (a)(2) Loss between \$10,000 and \$30,000 (Base offense level 10) | 51 | 17.8 |
| (a)(2) Loss between \$30,000 and \$70,000 (Base offense level 12) | 45 | 15.7 |
| (a)(2) Loss between \$70,000 and \$120,000 (Base offense level 14) | 39 | 13.6 |
| (a)(2) Loss between \$120,000 and \$200,000 (Base offense level 16) | 38 | 13.2 |
| (a)(2) Loss between \$200,000 and \$400,000 (Base offense level 18) | 29 | 10.1 |
| (a)(2) Loss between \$400,000 and \$1,000,000 (Base offense level 20) | 37 | 12.9 |
| (a)(2) Loss between \$1,000,000 and \$2,500,000 (Base offense level 22) | 22 | 7.7 |
| (a)(2) Loss between \$2,500,000 and \$7,000,000 (Base offense level 24) | 7 | 2.4 |
| (a)(2) Loss between \$7,000,000 and \$20,000,000 (Base offense level 26) | 3 | 1.0 |
| (a)(2) Loss between \$20,000,000 and \$50,000,000 (Base offense level 28) | 4 | 1.4 |
| Chapter 2 Specific Offense Characteristic Adjustments | 237 | 82.6 |
| (b)(1)(A) Defendant knew proceeds were for unlawful activity (2 levels) | 73 | 25.4 |
| (b)(1)(B) Offense involved bulk cash smuggling (2 levels) | 128 | 44.6 |
| (b)(2) Convicted under subchapter II of chapter 53 of title 31 & offense involved more than \$100,000 over a 12 month period (two levels) | 60 | 20.9 |
| (b)(3) Subsection (b)(1) does not apply (B) defendant did not act with reckless disregard of source of funds (C) funds were from lawful activity (D) funds used for legal purposes (decrease to level 6) | 13 | 4.5 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|--|---------|---------|
| Part T - Offenses Involving Taxation | | |
| §2T1.1 Tax Evasion | 519 | 0.7 |
| (a)(1) Loss less than \$2,000 (Base offense level 6) | 2 | 0.4 |
| (a)(2) No tax loss (Base offense level 6) | 6 | 1.2 |
| (a)(1) Loss between \$2,000 and \$5,000 (Base offense level 8) | 3 | 0.6 |
| (a)(1) Loss between \$5,000 and \$12,500 (Base offense level 10) | 19 | 3.7 |
| (a)(1) Loss between \$12,500 and \$30,000 (Base offense level 12) | 20 | 3.8 |
| (a)(1) Loss between \$30,000 and \$80,000 (Base offense level 14) | 74 | 14.3 |
| (a)(1) Loss between \$80,000 and \$200,000 (Base offense level 16) | 144 | 27.8 |
| (a)(1) Loss between \$325,000 and \$550,000 (Base offense level 17) ⁹⁸ | 2 | 0.4 |
| (a)(1) Loss between \$200,000 and \$400,000 (Base offense level 18) | 94 | 18.1 |
| (a)(1) Loss between \$400,000 and \$1,000,000 (Base offense level 20) | 91 | 17.5 |
| (a)(1) Loss between \$1,000,000 and \$2,500,000 (Base offense level 22) | 32 | 6.2 |
| (a)(1) Loss between \$2,500,000 and \$7,000,000 (Base offense level 24) | 17 | 3.3 |
| (a)(1) Loss between \$7,000,000 and \$20,000,000 (Base offense level 26) | 11 | 2.1 |
| (a)(1) Loss between \$20,000,000 and \$50,000,000 (Base offense level 28) | 1 | 0.2 |
| (a)(1) Loss between \$50,000,000 and \$100,000,000 (Base offense level 30) | 1 | 0.2 |
| (a)(1) Loss between \$100,000,000 and \$200,000,000 (Base offense level 32) | 1 | 0.2 |
| (a)(1) Loss greater than \$400,000,000 (Base offense level 36) | 1 | 0.2 |
| Chapter 2 Specific Offense Characteristic Adjustments | 143 | 27.6 |
| (b)(1) Failed to report exceeding \$10,000 from criminal activity (2 levels, level 12) | 76 | 14.6 |
| (b)(2) Sophisticated means (2 levels, increase to level 12) | 79 | 15.2 |

⁹⁸ Base offense level thresholds amounts and levels changed on November 1, 2001.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2T1.4 Aiding, Assisting, Procuring, Counseling or Advising Tax Fraud | 150 | 0.2 |
| (a)(2) No tax loss or loss less than \$2,000 (Base offense level 6) | 1 | 0.7 |
| (a)(1) Loss between \$12,500 and \$30,000 (Base offense level 12) | 5 | 3.3 |
| (a)(1) Loss between \$30,000 and \$80,000 (Base offense level 14) | 26 | 17.3 |
| (a)(1) Loss between \$80,000 and \$200,000 (Base offense level 16) | 43 | 28.7 |
| (a)(1) Loss between \$200,000 and \$400,000 (Base offense level 18) | 21 | 14.0 |
| (a)(1) Loss between \$400,000 and \$1,000,000 (Base offense level 20) | 22 | 14.7 |
| (a)(1) Loss between \$1,000,000 and \$2,500,000 (Base offense level 22) | 12 | 8.0 |
| (a)(1) Loss between \$2,500,000 and \$7,000,000 (Base offense level 24) | 10 | 6.7 |
| (a)(1) Loss between \$7,000,000 and \$20,000,000 (Base offense level 26) | 3 | 2.0 |
| (a)(1) Loss between \$20,000,000 and \$50,000,000 (Base offense level 28) | 1 | 0.7 |
| (a)(1) Loss between \$50,000,000 and \$100,000,000 (Base offense level 30) | 2 | 1.3 |
| (a)(1) Loss between \$100,000,000 and \$200,000,000 (Base offense level 32) | 1 | 0.7 |
| (a)(1) Loss between \$200,000,000 and \$400,000,000 (Base offense level 34) | 3 | 2.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 129 | 86.0 |
| (b)(1)(A) As part of scheme, defendant derived substantial portion of income (2 levels) | 20 | 13.3 |
| (b)(1)(B) Defendant was in business of preparing tax returns (2 levels) | 119 | 79.3 |
| (b)(2) Sophisticated means (2 levels, increase to level 12) | 25 | 16.7 |
| §2T1.6 Failing to Collect or Truthfully Account for and Pay Over Tax | 46 | 0.1 |
| (a) Loss between \$30,000 and \$80,000 (Base offense level 14) | 2 | 4.4 |
| (a) Loss between \$80,000 and \$200,000 (Base offense level 16) | 4 | 8.7 |
| (a) Loss between \$200,000 and \$400,000 (Base offense level 18) | 17 | 37.0 |
| (a) Loss between \$400,000 and \$1,000,000 (Base offense level 20) | 15 | 32.6 |
| (a) Loss between \$1,000,000 and \$2,500,000 (Base offense level 22) | 5 | 10.9 |
| (a) Loss between \$2,500,000 and \$7,000,000 (Base offense level 24) | 3 | 6.5 |
| No Specific Offense Characteristics for this guideline | NA | NA |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2T1.7 Failing to Deposit Collected Taxes in Trust Account as Required After Notice | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2T1.8 Offenses Relating to Withholding Statements | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2T1.9 Conspiracy to Impede, Impair, Obstruct | 10 | 0.0 |
| (a)(2) Base offense level 10 | 0 | 0.0 |
| (a)(1) Offense level from §2T1.1 or §2T1.4 of 16 | 1 | 10.0 |
| (a)(1) Offense level from §2T1.1 or §2T1.4 of 18 | 1 | 10.0 |
| (a)(1) Offense level from §2T1.1 or §2T1.4 of 20 | 2 | 20.0 |
| (a)(1) Offense level from §2T1.1 or §2T1.4 of 22 | 1 | 10.0 |
| (a)(1) Offense level from §2T1.1 or §2T1.4 of 24 | 1 | 10.0 |
| (a)(1) Offense level from §2T1.1 or §2T1.4 of 26 | 1 | 10.0 |
| (a)(1) Offense level from §2T1.1 or §2T1.4 of 30 | 1 | 10.0 |
| (a)(1) Offense level from §2T1.1 or §2T1.4 of 32 | 1 | 10.0 |
| (a)(1) Offense level from §2T1.1 or §2T1.4 of 38 | 1 | 10.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 8 | 80.0 |
| (b)(1) Planned or threat of violence to impede the collection of revenue (4 levels) | 1 | 10.0 |
| (b)(2) Conduct was to encourage others to impede collection of revenue (2 levels) | 7 | 70.0 |
| §2T2.1 Non-payment of Taxes | 3 | 0.0 |
| (a) Loss between \$80,000 and \$200,000 (Base offense level 16) | 1 | 33.3 |
| (a) Loss between \$400,000 and \$1,000,000 (Base offense level 20) | 2 | 66.7 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2T2.2 Regulatory Offenses | 1 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2T3.1 Evading Import Duties or Restrictions (Smuggling) | 14 | 0.0 |
| (a)(3) Loss less than \$100 (Base offense level 4) | 1 | 7.1 |
| (a)(2) Loss between \$100 and \$1,000 (Base offense level 5) | 2 | 14.3 |
| (a)(1) Loss between \$1,000 and \$2,000 (Base offense level 6) | 2 | 14.3 |
| (a)(1) Loss between \$5,000 and \$12,500 (Base offense level 10) | 1 | 7.1 |
| (a)(1) Loss between \$12,500 and \$30,000 (Base offense level 12) | 1 | 7.1 |
| (a)(1) Loss between \$30,000 and \$80,000 (Base offense level 14) | 2 | 14.3 |
| (a)(1) Loss between \$80,000 and \$200,000 (Base offense level 16) | 1 | 7.1 |
| (a)(1) Loss between \$200,000 and \$400,000 (Base offense level 18) | 1 | 7.1 |
| (a)(1) Loss between \$400,000 and \$1,000,000 (Base offense level 20) | 1 | 7.1 |
| (a)(1) Loss between \$20,000,000 and \$50,000,000 (Base offense level 28) | 2 | 14.3 |
| Chapter 2 Specific Offense Characteristic Adjustments | 3 | 21.4 |
| (b)(1) Sophisticated means (2 levels, increase to level 12) | 3 | 21.4 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|---------|---------|
| Part X - Other Offenses | | |
| §2X1.1 Attempt, Solicitation, or Conspiracy | 21 | 0.0 |
| (a) Base offense level 20 | 6 | 28.6 |
| (a) Base offense level 22 | 3 | 14.3 |
| (a) Base offense level 24 | 1 | 4.8 |
| (a) Base offense level 25 | 4 | 19.0 |
| (a) Base offense level 26 | 1 | 4.8 |
| (a) Base offense level 32 | 4 | 19.0 |
| (a) Base offense level 36 | 1 | 4.8 |
| (a) Base offense level 38 | 1 | 4.8 |
| Chapter 2 Specific Offense Characteristic Adjustments | 21 | 100.0 |
| (b)(1) If an attempt (3 level decrease) | 6 | 28.6 |
| (b)(2) If a conspiracy (3 level decrease) | 11 | 52.4 |
| (b)(3)(A) Solicitation (3 level decrease) | 4 | 19.0 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2X2.1 Aiding and Abetting | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2X3.1 Accessory After the Fact | 112 | 0.2 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 4 | 1 | 0.9 |
| (a)(2) Base offense level 4 | 6 | 5.4 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 7 | 4 | 3.6 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 8 | 1 | 0.9 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 9 | 2 | 1.8 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 11 | 1 | 0.9 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 12 | 1 | 0.9 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 13 | 1 | 0.9 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 14 | 6 | 5.4 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 16 | 6 | 5.4 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 17 | 2 | 1.8 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 18 | 9 | 8.0 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 19 | 6 | 5.4 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 20 | 8 | 7.1 |
| (a)(3) Conduct limited to harboring a fugitive and defendant convicted of 18 U.S.C. § 2339 or §2339(A), or harboring a fugitive who committed an offense listed in the above statutes or who committed any offense involving or intending to promote a federal crime of terrorism as defined in 18 U.S.C. § 2332b(g)(5) - Base offense level 20 | 2 | 1.8 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 21 | 3 | 2.7 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 22 | 7 | 6.2 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 23 | 2 | 1.8 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 24 | 4 | 3.6 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 26 | 6 | 5.4 |
| (a)(3)(A) Base offense level shall not be lower than 30 - Base offense level 26 | 1 | 0.9 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 27 | 4 | 3.6 |
| (a)(1) Six levels lower than offense level for underlying offense - Base offense level 28 | 2 | 1.8 |

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2X3.1 Accessory After the Fact (continued) | 112 | 0.2 |
| (a)(2) Conduct limited to harboring a fugitive - Base offense level 30 | 1 | 0.9 |
| (a)(3) Conduct limited to harboring a fugitive and defendant convicted of 18 U.S.C. § 2339 or §2339(A), or harboring a fugitive who committed an offense listed in the above statutes or who committed any offense involving or intending to promote a federal crime of terrorism as defined in 18 U.S.C. § 2332b(g)(5) - Base offense level 30 | 26 | 23.2 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2X4.1 Misprision of a Felony | 404 | 0.6 |
| (a) Base offense level 3 ⁹⁹ | 1 | 0.2 |
| (a) Base offense level 4 | 48 | 11.9 |
| (a) Base offense level 5 | 21 | 5.2 |
| (a) Base offense level 6 | 4 | 1.0 |
| (a) Base offense level 7 | 15 | 3.7 |
| (a) Base offense level 8 | 1 | 0.2 |
| (a) Base offense level 9 | 40 | 9.9 |
| (a) Base offense level 10 | 3 | 0.7 |
| (a) Base offense level 11 | 29 | 7.2 |
| (a) Base offense level 12 | 3 | 0.7 |
| (a) Base offense level 13 | 28 | 6.9 |
| (a) Base offense level 14 | 2 | 0.5 |
| (a) Base offense level 15 | 32 | 7.9 |
| (a) Base offense level 16 | 3 | 0.7 |
| (a) Base offense level 17 | 48 | 11.9 |
| (a) Base offense level 18 | 5 | 1.2 |
| (a) Base offense level 19 | 120 | 29.7 |
| (a) Base offense level 23 ⁹⁹ | 1 | 0.2 |
| No Specific Offense Characteristics for this guideline | NA | NA |

⁹⁹ This base offense level is not available under the guidelines, but court documents reflect the court's findings that this was the proper base offense level for this offense.

USE OF GUIDELINES AND SPECIFIC OFFENSE CHARACTERISTICS
Offender Based
Fiscal Year 2013

| Guideline and SOC | Applied | Percent |
|---|----------------|----------------|
| §2X5.1 Other Felony Offenses | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2X5.2 Other Class A Misdemeanors | 226 | 0.3 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2X6.1 Use of a Minor in Crime of Violence | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2X7.1 Border Tunnels and Subterranean Passages | 1 | 0.0 |
| (a)(1) Convicted under 18 U.S.C. § 555(c) (4 plus underlying smuggling offense, increase to 16) | 1 | 100.0 |
| (a)(2) Convicted under 18 U.S.C. § 555(a) (Base offense level 16) | 0 | 0.0 |
| (a)(3) Convicted under 18 U.S.C. § 555(b) (Base offense level 8) | 0 | 0.0 |
| No Specific Offense Characteristics for this guideline | NA | NA |
| §2X7.2 Submersible and Semi-Submersible Vessels | 4 | 0.0 |
| Chapter 2 Specific Offense Characteristic Adjustments | 0 | 0.0 |
| (b)(1)(A) Failure to heave to when directed by law enforcement (2 levels) | 0 | 0.0 |
| (b)(1)(B) Attempt to sink the vessel (4 levels) | 0 | 0.0 |
| (b)(1)(C) Sinking of the vessel (8 levels) | 0 | 0.0 |