UNITED STATES SENTENCING COMMISSION

VARIABLE CODEBOOK
FOR ORGANIZATIONAL CASES

FISCAL YEAR 2000-2017

INTRODUCTION

The United States Sentencing Commission is an independent agency in the judicial branch of government. Its principal purposes are: (1) to establish sentencing policies and practices for the federal courts, including guidelines to be consulted regarding the appropriate form and severity of punishment for offenders convicted of federal crimes; (2) to advise and assist Congress and the executive branch in the development of effective and efficient crime policy; and (3) to collect, analyze, research, and distribute a broad array of information on federal crime and sentencing issues, serving as an information resource for Congress, the executive branch, the courts, criminal justice practitioners, the academic community, and the public.

Understanding the federal sentencing guidelines is crucial to properly using the Commission's data. To learn more about the Commission and the federal sentencing guidelines, please visit the Commission's website, http://www.ussc.gov/index.cfm. The Commission's website makes available ALL of the prior guideline manuals (http://www.ussc.gov/Guidelines/archives.cfm). ***Using multiple years of guideline manuals is necessary for most guideline analysis due to yearly amendments in the guidelines***.

In addition the Commission's website has publications which discuss:

1) the Commission's data and data collection (http://www.ussc.gov/Research/Research_Projects/Miscellaneous/200905_Research_Notes.pdf).
2) data analysis issues (http://www.ussc.gov/Research/Research_Publications/2010/20100825_Federal_Sentencing_Data_Analysis.pdf).
3) Guideline training materials (http://www.ussc.gov/Education_and_Training/Guidelines_Educational_Materials/index.cfm).

The United States Sentencing Commission collects demographic, sentencing, and guideline application information on cases which are sentenced under the guidelines in the federal court system. The Commission has many data publications available such as:

1) the *Annual Report and Sourcebook of Federal Sentencing Statistics* (http://www.ussc.gov/Data_and_Statistics/Annual_Reports_and_Sourcebooks/index.cfm).
2) district or circuit based analysis as compared with national statistics (http://www.ussc.gov/Data_and_Statistics/Federal_Sentencing_Statistics/State_District_Circuit/index.cfm).
3) frequencies about guideline application information (http://www.ussc.gov/Data_and_Statistics/Federal_Sentencing_Statistics/Guideline_Application_Frequencies/index.cfm).

4) additional research publications
   (http://www.ussc.gov/Research/Research_Publications/publications.cfm).
5) Commission reports which were sent to Congress
   (http://www.ussc.gov/Publications/Reports_to_Congress/index.cfm).

This codebook contains information on variables which come directly from the organizational offender's database created in the Office of Research and Data (ORD). The Commission switched data entry systems for organizations from FoxPro to Oracle in fiscal year 2000, and several significant variable and coding changes were instituted. Therefore, this codebook covers only the fiscal years since the 2000 datafile. The Commission has an older standardized research codebook for fiscal years 1992-1999 which is available upon request.

The Commission's most current ten years of datafiles are available for download in either SPSS or SAS formats at http://www.ussc.gov/Research_and_Statistics/Datafiles/index.cfm.

How to Use the USSC Codebook

The first column in the codebook, NAME, lists the name of the each variable in alphabetical order. Under the variable names, the corresponding research variable name (if one exists) will be listed as: R=Research variable name. The research variables are generally those used in the Commission's publications such as the *Sourcebook of Federal Sentencing Statistics*.

The second column, ORIGIN, lists the source of the variable. Variables are either taken from specific documents submitted by the courts, or produced by ORD based on data collected from the source documents. This column also includes information on the source document of the raw data. The following list defines the codes for variable origins.

- JC means the information for the variable is obtained solely from the Judgment and Conviction Order.

- PSR states the information for this variable comes only from the Pre-sentencing Report generated by the probation officer.

- JC/PSR indicates the information may be found either on the Judgment and Conviction Order or in the Presentencing Report, with the Judgment and Conviction Order given preference if the information conflicts.

- SOR indicates that the information for the variable is taken only from the Statement of Reasons.

- PSR/SOR means the information for this variable comes from the PSR, but the SOR information is used if it is present and/or conflicts with the PSR.

- PLEA indicates the information comes primarily from the plea agreement.

- IND indicates the information is taken mainly from the indictment/information.

- R indicates the information is generated from other variables. These are referred to as the "research variables".

The third column, FORM, describes whether the variable is coded as numeric (NUM), character (CHAR), or date (DATE). This column also lists the length of each variable.

The fourth column, CODES, lists all the values for each variable and describes what those values mean. Some of the variables have a large number of values, so these values are listed in Attachment A at the end of the codebook.

The final column, DESCRIPTION, describes the uses and creation of each variable. This column also contains notes which caution users about variables which have changed definitions from previous years, or are not contained on all years of the data sets. Format statements are also included in bold at the end of each description. These formats will allow users to access the format statements. Because SAS format statements cannot end in a number, all variables which end in a number "1" will have format statements that end with an "O". Variables which end with a "2" will have format statements ending in "T".

A code attachment which provides values of lengthy variables follows the codebook.

NEW TO THE 2017 CODEBOOK

No new variables.

- **EXPLANATION OF THE CURRENT GUIDELINE CODING SYSTEM**

In order to have access to more guideline cross reference information, the types and quantity of guideline-related data changed in FY2004. From FY2004 through FY2011, Commission staff recorded guideline application information about four types of guidelines: Statutory, Reference, 2nd Cross-Reference, and Sentencing. In FY2012, a third Cross-Reference Guideline was added. Please see Chapter One in the *USSG Manual* (http://www.ussc.gov/Guidelines/2012_Guidelines/Manual_PDF/Chapter_1.pdf) for more information about the federal guideline system, determining the appropriate guideline, and guideline application order.

The first guideline coded is known as the statutory guideline (GDSTAT1-GDSTATX, GDSTATHI). This guideline is based on the statute(s) of conviction. GDSTAT is the first guideline checked for applicability by the probation officer from the statutory reference list in the back of the *USSG Manual*. All aspects of the statutory guideline are coded (*i.e.*, base offense level and adjustments).

The next guideline is the 1st reference guideline (GDREF1-GDREFX, GDREFHI), coded when applicable. This guideline is only used when the statutory guideline (GDSTAT) refers the probation officer to another guideline (ex. If the statute of conviction is 21:843(b), then the probation officer would go in the *USSG Manual* to 2D1.6 (the GDSTAT1 guideline)). This guideline then refers the probation officer to 2D1.1 (the GDREF1 guideline) in order to find the correct base offense level. All aspects of the reference guideline are captured (*i.e.*, base offense level, specific offense characteristics, and Chapter Three adjustments).

There is also an option to code a 2nd reference guideline (GDCROS1-GDCROSX, GDCROSHI). A second cross reference occurs when the probation officer (PO) starts out at one guideline and gets cross-referenced to another guideline which then cross-references to a third guideline. All aspects of the cross reference guideline are coded (*i.e.*, base offense level, specific offense characteristics, and Chapter Three adjustments).

The fourth guideline captured is the 3rd reference guideline (GDUNDR1-GDUNDRX, GDUNDRHI). A second cross reference occurs when the probation officer (PO) starts out at one guideline and gets cross-referenced to a 2nd guideline which then cross-references to a 3rd guideline, and then a 4th guideline. All aspects of the 3rd cross reference guideline are coded (*i.e.*, base offense level, specific offense characteristics, and Chapter Three adjustments).

The guideline which best explains the sentence (*i.e.*, the last guideline which increases or decreases the offense level) is known as the sentencing guideline (GDLINE1-GDLINEX, GDLINEHI). The GDLINE will always be the same as one of the other three guidelines (GDSTAT, GDREF, or GDCROS). The GDLINE will be the same as the GDSTAT if no reference guideline (GDREF) is used. All aspects of the sentencing guideline are coded (*i.e.*, base offense level, specific offense characteristics, and Chapter Three adjustments). Most cases do not contain any cross-references so the

GDSTATHI and the GDLINEHI will be the same and the GDREFHI and the GDCROSHI will both be blank.

Note that cases can have multiple guideline computations (these will not necessarily match up with the number of counts of conviction - see *USSG Manual* for grouping rules). The first computation is denoted with a "1" at the end of the guideline fields (*i.e.*, GDSTAT1, GDREF1, GDCROS1, GDUNDR1, and GDLINE1). The case on the fiscal year datafile with the largest number of guideline computations determines the "top" number for the cases in that year (*e.g.*, If in FY2004 there was a case with 27 computations then all cases on the datafile would have GDSTAT1-GDSTAT27, GDREF1-GDREF27, GDCROS1-GDCROS27, and GDLINE1-GDLINE27). **Since the "top" or highest number of computations changes every year, the guideline variable names end in "X". Users can view the variable NOCOMP (number of computations) to determine how many computations occurred in each case as well as the "top" number for a given year.**

The "high" or primary guideline is determined by the computation with the highest adjusted offense level for the sentencing guideline (ADJOFL1-X) and is called GDLINEHI. GDLINEHI is often used as selection criteria in the Commission's data and research publications.

EXAMPLES OF THE GUIDELINE CODING SYSTEM:

1. If the defendant was convicted of one count of selling drugs near a school, then the GDSTAT1 would be §2D1.2, the GDREF1 would be §2D1.1, and the GDLINE1 would be §2D1.2. The GDCROS1 and GDUNDR1 guidelines would be blank since there was not another cross-reference. The GDLINEHI would be §2D1.2 since this guideline adds levels to the underlying offense levels from §2D1.1, so §2D1.2 is the primary sentencing guideline.

2. If a defendant was convicted of one count of selling drugs near a protected location while on supervised release, then the PO starts at §2J1.7 (Commission of an offense while on release) which says to go to the underlying offense. §2J1.7 is the GDSTATHI. The underlying offense (GDREFHI) is §2D1.2 (Drug Offenses near a protected location) which cross-references (GDCROSHI) the PO to §2D1.1 (Drug Trafficking) to get the offense level based on the drug quantity table. The GDUNDRHI variable will be blank since there is not another cross-reference guideline. Since the PO must go back to §2J1.7 to add the three points from this guideline <u>after</u> applying both §2D1.1 and §2D1.2, then the final sentencing guideline (GDLINEHI) is §2J1.7.

COLOR CODING CONVENTIONS FOR GUIDELINE COMPUTATION VARIABLES:

The variable name column in the codebook uses the following color convention for variables associated with the guideline application: black text for the sentencing

variables, red text for the statutory variables, blue text for the reference variables, green text for the cross-reference variables, and purple text for the 3rd reference variables. Here is an example using the guideline application variables:

GDLINE1-GDLINEX,
GDLINEHI

GDSTAT1-GDSTATX,
GDSTATHI

GDREF1-GDREFX,
GDREFHI

GDCROS1-GDCROSX,
GDCROSHI

GDUNDR1-GDUNDRX,
GDUNDRHI

When doing a guideline analysis, choose variables that correspond to one another. For example, if the analysis is using the primary sentencing guideline (GDLINEHI), then choose the corresponding base offense level variable for the primary sentencing guideline (BASEHI). *Pairing non-corresponding variables (ex. Primary sentencing guideline (GDLINEHI) with the primary reference base offense level (BASERHI)), will result in incorrect analyses.*

NAME	ORIGIN	FORM	CODES	DESCRIPTION
ACCEPT	PSR/SOR	NUM 3-8	-5 = Org Self-Disclosed -2 = Fully Cooperated in Investigation -1 = Clearly Demonstrated Acceptance of Responsibility 0 = Adjustment Not Applied • = Missing, Indeterminable, or Inapplicable	Acceptance of Responsibility is an index which measures the degree to which the organization accepted responsibility for the offense conduct as measured by self-reporting of the offense, cooperation with the investigation, or acceptance of responsibility for the offense. See USSG §8C2.5(g) for more information. **FORMAT: ACCEPT.**
ADJ_B1-ADJ_BX thru ADJ_T1-ADJ_TX and ADJ_BHI thru ADJ_THI **ADJS_B1-ADJS_BX thru ADJS_T1-ADJS_TX and ADJS_BHI thru ADJS_THI** **ADJR_B1-ADJR_BX thru ADJR_T1-ADJR_TX and ADJR_BHI thru ADJR_THI** **ADJC_B1-ADJC_BX thru ADJC_T1-ADJC_TX and ADJC_BHI thru ADJC_THI** **ADJU_B1-ADJU_BX thru ADJU_T1-ADJU_TX and ADJU_BHI thru ADJU_THI**	PSR	NUM 3-8	Range: -30 thru 90 0 = No Adjustment Applied • = Missing, Indeterminable, or Inapplicable	These variables are the Specific Offense Characteristics (SOCs). These SOCs will add or subtract levels from the corresponding base offense level (BOL). SOCs are unique to each guideline. There are up to eighteen SOC's (B thru T) applied under each Chapter Two guideline. The letter B represents the 1st SOC under the guideline, the letter C represents the second SOC, etc. *The values of variables change from year to year as well as the location of the SOC (i.e., it may be the 2nd SOC in 2005 and the 3rd SOC in 2006), so AMENDYR should be referenced for all data runs using these variables. Use the variable NOCOMP to determine how many guideline computations are present in each case.* <u>SOC information is not useful unless used in conjunction with the specific corresponding guideline. For example, select cases where the GDLINEHI is 2D1.1 and the amendyr is 2010 and the SOC is ADJ_DHI to see cases where the object of the offense was the distribution of a controlled substance in a prison, correctional facility, or a detention facility.</u> *Not all guideline variables are available prior to FY2012.* **NO SAS FORMAT.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
ADJL_B1-ADJL_BX **thru** **ADJL_T1-ADJL_TX** **and** **ADJL_BHI-ADJL_THI** **ADSL_B1-ADSL_BX** **thru** **ADSL_T1-ADSL_TX** **and** **ADSL_BHI-ADSL_THI** **ADRL_B1-ADRL_BX** **thru** **ADRL_T1-ADRL_TX** **and** **ADRL_BHI-ADRL_THI** **ADCL_B1-ADCL_BX** **thru** **ADCL_T1-ADCL_TX** **and** **ADCL_BHI-ADCL_THI** **ADUL_B1-ADUL_BX** **thru** **ADUL_T1-ADUL_TX** **and** **ADUL_BHI-ADUL_THI**	PSR	CHAR 3-5	Range: A-P, A1-G2, A1A-F7F	This field identifies which subsection or label was applied within the corresponding Specific Offense Characteristic (SOC). See ADJ_B1-ADJ_BX, etc., for more information about SOCs. There are up to eighteen SOC's (B thru T) applied under each Chapter Two guideline. The letter B represents the 1st SOC under the guideline, the letter C represents the second SOC, etc. Note that this variable is not applicable for all SOCs (some SOCs do not contain any subsections). **If the SOC does not contain any subsections then ALL of the cases will have the value "A".** *The values of variables change from year to year as well as the location of the SOC (i.e., it may be the 2nd SOC in 2005 and the 3rd SOC in 2006), so AMENDYR should be referenced for all data runs using guideline computation variables. Use the variable NOCOMP to determine how many guideline computations are present in each case.* <u>SOC information is not useful unless used in conjunction with the specific corresponding guideline. For example, select cases where the GDLINEHI is 2D1.1 and the amendyr is 2010 and the SOC is ADJ_DHI to see cases where the object of the offense was the distribution of a controlled substance in a prison, correctional facility, or a detention facility.</u> *Not all guideline variables are available prior to FY2012.* **NO SAS FORMAT.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
ADJOFL1-ADJOFLX and ADJOFLHI **ADJOFS1-ADJOFSX and ADJOFSHI** **ADJOFR1-ADJOFRX and ADJOFRHI** **ADJOFC1-ADJOFCX and ADJOFCHI** **ADJOFU1-ADJOFUX and ADJOFUHI**	R	NUM 3-8	Range: 0 thru 90 • = Missing, Indeterminable, or Inapplicable	This field is the adjusted offense level. This subtotal is derived after the base offense level, all relevant SOCs and chapter three adjustments (except multiple count units and acceptance of responsibility) have been applied. This variable is used to determine the "highest" or primary guideline computation. ***Use the variable NOCOMP to determine how many guideline computations are present in each case. Not all guideline variables available prior to FY2012.*** **NO SAS FORMAT.**
AMENDYR	PSR	NUM 4-8	Range: 1987 thru current year • = Missing, Indeterminable, or Inapplicable	The guideline amendment year used to calculate the guideline calculations. ***Use of AMENDYR is <u>critical</u> whenever analysis is performed on guidelines. Base offense levels, SOCs, etc. change from year to year.*** **NO SAS FORMAT.**
BASADJ1-BASADJX and BASADJHI **BASADS1-BASADSX and BASADSHI** **BASADR1-BASADRX and BASADRHI** **BASADC1-BASADCX and BASADCHI** **BASADU1-BASADUX and BASADUHI**	PSR	NUM 3-8	Range: -30 thru 90 0 = No Adjustment Applied • = Missing, Indeterminable, or Inapplicable	Base Offense Level (BOL) Adjustment for those Chapter 2 guidelines that require an increase or decrease in levels to the BOL. One example of a guideline using an adjustment to the BOL is §2D1.2. ***The values of this variable may change from year to year, so AMENDYR should be referenced for all data runs using guideline computation variables. Use the variable NOCOMP to determine how many guideline computations are present in each case.*** **<u>BOL information is not useful unless used in conjunction with the specific corresponding guideline. For example, select cases where the GDLINEHI is §2D1.2 and the amendyr is 2010 to see the adjustments for BASADJHI.</u>** ***Not all guideline variables are available prior to FY2012.*** **NO SAS FORMAT.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
BASE1-BASEX and **BASEHI** **BASES1-BASESX** and **BASESHI** **BASER1-BASERX** and **BASERHI** **BASEC1-BASECX** and **BASECHI** **BASEU1-BASEUX** and **BASEUHI**	PSR/SOR	NUM 3-8	Range: 0 thru 90 • = Missing, Indeterminable, or Inapplicable	Base Offense Level (BOL) is the starting point for computing a guideline computation. Note that this field <u>includes</u> any values recorded in the corresponding base adjust fields (see BASADJ1-BASADJX, etc.). *The values of this variable may change from year to year, so AMENDYR should be referenced for all data runs using guideline computation variables. Use the variable NOCOMP to determine how many guideline computations are present in each case.* **<u>BOL information is not useful unless used in conjunction with the specific corresponding guideline.</u>** *Not all guideline variables are available prior to FY2012.* **NO SAS FORMAT.**
BASEL1-BASELX and **BASELHI** **BASESL1-BASESLX** and **BASESLHI** **BASERL1-BASERLX** and **BASERLHI** **BASECL1-BASECLX** and **BASECLHI** **BASEUL1-BASEULX** and **BASEULHI**	PSR	CHAR 3-5	Range: A-C	This field identifies which subsection or label of the BOL was applied if applicable (some BOLs do not contain any subsections). *The values of this variable may change from year to year, so AMENDYR should be referenced for all data runs using guideline computation variables. Use the variable NOCOMP to determine how many guideline computations are present in each case.* **<u>BOL information is not useful unless used in conjunction with the specific corresponding guideline.</u>** *Not all guideline variables are available prior to FY2012.* **NO SAS FORMAT.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
BASLN1-BASLNX and **BASLNHI** **BASLNS1-BASLNSX** and **BASLNSHI** **BASLNR1-BASLNRX** and **BASLNRHI** **BASLNC1-BASLNCX** and **BASLNCHI** **BASLNU1-BASLNUX** and **BASLNUHI**	PSR	NUM 3	Range: 1 – 8 • = Missing, Indeterminable, or Inapplicable	This field identifies which base offense level line number was applied if applicable (some BOLs do not contain any line numbers). *The values of this variable may change from year to year, so AMENDYR should be referenced for all data runs using guideline computation variables. Use the variable NOCOMP to determine how many guideline computations are present in each case.* <u>BOL information is not useful unless used in conjunction with the specific corresponding guideline.</u> *Not all guideline variables are available prior to FY2012, and not all guideline variables are available in all fiscal years.* **NO SAS FORMAT.**
BASEFINE	PSR	NUM 8	Range: 0 - $999,999,999	Identifies the base fine amount used to determine the guideline fine range (see GLFINMIN and GLFINMAX). This is a dollar amount figure. The base fine amount is the greater of: the amount in the fine table that corresponds to the offense level; the pecuniary gain or the pecuniary loss to the extent that the loss was caused intentionally, knowingly, or recklessly. (See DETBFINE.) **NO SAS FORMAT.**
BLAKPOST	R	NUM 8	0 = Pre-Blakely Time Period (Oct.1, 2003 thru June 24, 2004) 1 = Post-Blakely Time Period (June 25, 2004 thru Sept. 30, 2004)	This field indicates which time period a case falls within in the FY2004 datafile. *This field can be used to separate cases for pre and post-Blakely analyses and only exists on the FY2004 datafile.* **FORMAT: BLAKPOST.**
BOOKER2	R	NUM 3	0 = Within Range 1 = Above Departure 2 = Government Sponsored 3 = Below Range • = Missing, Indeterminable, or Inapplicable	This variable is a collapsed version of BOOKERCD: BOOKERCD values of 0 get collapsed into BOOKER2=0; BOOKERCD values of 1, 2, 3, or 4 get collapsed into BOOKER2=1; BOOKERCD values of 5, 6, or 7 get collapsed into BOOKER2=2; BOOKERCD values of 8, 9, 10, or 11 get collapsed into BOOKER2=3. *Field available starting in FY2005.* **FORMAT: BOOKTWO.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
BOOKER3	R	NUM 3	0 = Within Range 1 = Upward Departure 2 = Above Range 3 = Government Sponsored 4 = Downward Departure 5 = Below Range • = Missing, Indeterminable, or Inapplicable	This variable is a collapsed version of BOOKERCD: BOOKERCD values of 0 get collapsed into BOOKER3=0; BOOKERCD values of 1 or 2 get collapsed into BOOKER3=1; BOOKECD values of 3 or 4 get collapsed into BOOKER3=2. BOOKERCD values of 5, 6, or 7 get collapsed into BOOKER3=3; BOOKERCD values of 8 or 9 get collapsed into BOOKER3=4; BOOKERCD values of 10 or 11 get collapsed into BOOKER3=5. *Field available starting in FY2005.* **FORMAT: BOOKTHRE.**
BOOKERCD	R	NUM 3-8	0 = Within Range 1 = Upward Departure 2 = Upward Departure w/Booker 3 = Above Range w/Booker 4 = Remaining Above Range 5 = 5K1.1/Substantial Assistance 6 = Early Disposition/5K3.1 7 = Government Sponsored - Below Range 8 = Downward Departure 9 = Downward Departure w/Booker 10 = Below Range w/Booker 11 = Remaining Below Range • = Missing, Indeterminable, or Inapplicable	Assigns cases to one of the 12 post-*Booker* reporting categories based on relationship between the sentence and guideline range and the reason(s) given for being outside of the range. For more detailed information on the *Booker* reporting categories, please see Appendix A of the Commission's most recent *Sourcebook of Federal Sentencing Statistics*. ***This field is only available for cases sentenced since the U.S. v Booker decision (1/12/05).*** **FORMAT: BOOKERCD.**
BOOKPOST	R	NUM 8	0 = Pre-Booker Time Period (Oct.1, 2004 thru January 11, 2005) 1 = Post-Booker Time Period (January 12, 2005 thru Sept. 30, 2005)	This field indicates which time period a case falls within in the FY2005 datafile. ***This field can be used to separate cases for pre and post-Booker analyses and only exists on the FY2005 datafile.*** **FORMAT: BOOKPOST.**
BUSCLAS	PSR	NUM 3-8	Range: 100-1205 777 = Other (See BUSTEXT) (See Code Attachment)	Indicates the primary industry in which the offender organization conducts business. **FORMAT: BUSCLAS.**
BUSTEXT	PSR	CHAR 100	Text Field	Text field explanation to accompany cases where 777/Other was coded for the field BUSCLAS.

NAME	ORIGIN	FORM	CODES	DESCRIPTION
CANTPAY	JC SOR/PSR	NUM 3-8	1 = Unable to Pay Entire Fine 2 = Unable to Pay Portion of the Fine 3 = Able to Pay Fine • = Missing, Indeterminable, or Inapplicable	Identifies whether the proposed fine was reduced due to the offender organization's inability to pay. A reduction in the proposed fine range based on inability to pay is authorized by the guidelines at §8C3.3. Interest does not count. **FORMAT: CANTPAY.**
CCULPSCO	CG	NUM 3-8	Valid Range: 0-17 • = Missing, Indeterminable, or Inapplicable	The computer generated (CG) total culpability score based on all of the sub-components entered. See §8C2.5 in *USSG Manual* for more information about the calculation of the culpability score. See FCULPSCO for the analyst-entered version of this field. ***Note that CCULPSCO and FCULPSCO may differ if the SOR details a culpability score which does not match the PSR total and the reason for the difference is not documented on the SOR.*** **NO SAS FORMAT.**
CH2APP	PSR	NUM 3-8	0 = No, Not Used 1 = No because Offender Determined Unable to Pay pursuant to USSG §8C2.2 (*e.g.*, offender unable to pay fine therefore fine not computed) 2 = Yes • = Missing, Indeterminable, or Inapplicable	Indicates whether the Chapter 2 guidelines were applied in this case. This field is also used as a screener: If yes is answered, the program will move to the next question about Source of Chapter Two Information. It will then proceed to the Enter Computation Screen and Computation Detail Screen. If no or missing, then the analyst goes directly to the Chapter 8 Fine Guidelines. **FORMAT: CH2APP.**
CH8FINGD	PSR	NUM 3-8	1 = Yes 2 = No - Chapter Eight Fine Guidelines were applicable but court did not use them. 3 = Offender unable to pay 4 = Stipulated by parties 5 = Too difficult to compute 8 = CH8 Fine GL N/A Because Offense Not Listed Under §8C2.1 • = Missing, Indeterminable, or Inapplicable	Identify whether the Chapter Eight application included a fine calculation under USSG §§8C2.2 thru 8C2.9. The fine guidelines apply only to those offenses listed under §8C2.1. **FORMAT: CH8FINGD.**

NAME	ORIGIN	FORM	CODES		DESCRIPTION
CMSRVORD	JC	NUM 3-8	0 = No 1 = Yes • = Missing, Indeterminable, or Inapplicable		Identifies (as Part of the Sentence or Plea) whether the court has ordered the offender organization to provide community service. A community service order is authorized by the guidelines at §8B1.3. **FORMAT: CMSVORD.**
COFI	JC	NUM 3-8	0 = No 1 = Yes • = Missing, Indeterminable, or Inapplicable		Dummy indicator of as part of the sentence or Plea, was the offender ordered to provide financial information or access to financial information. **FORMAT: COFI**
COMPLY	PSR	NUM 3-8	0 = Not Received -3 = Offense occurred even though org had effective compliance program in place as provided in §8B2.1 • = Missing, Indeterminable, or Inapplicable		Identifies the score given for this culpability factor. See USSG §8C2.5(f) for more information. See also ORDERCE. **FORMAT: COMPLY.**
COMPLYPR	PSR	NUM 3-8	0 = No 1 = Yes • = Missing, Indeterminable, or Inapplicable		Identifies whether the probation officer indicated that the offender organization had any type of compliance program, ethics program or policy in effect before or at the time of the offense. If the offender organization was given points pursuant to USSG §8C2.5(f), then this field is coded as "yes." Even if the offender organization was not given points under §8C2.5(f), if the PSR mentions that the organization made certain efforts towards compliance, including a "code of conduct," then this field is coded as "yes." If no points were given and there was no discussion, or if there was a discussion about adding a compliance program after the time of offense, then this field is coded as missing. **FORMAT: COMPLYPR.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
COSTASSM	JC	NUM 8	Range: 0 - $9,999,999,996 9,999,999,997 = Payment Required, Amount Not Specified • = Missing, Indeterminable, or Inapplicable	Identifies the assessment of costs amount. The court may order the organization to pay the costs of prosecution pursuant to USSG §8E1.3. This is not the "Special Assessments" prescribed by statute. <u>Only if the JC orders the cost of prosecution pursuant to §8E1.3</u>, does the analyst enter the amount the offender organization was ordered to pay. **NO SAS FORMAT.**
CPIDN	CG	NUM 6-8	Range: 4 or 7 digits (USSC started using 7-digit numbers during the middle of FY2006)	The unique identification number that is assigned sequentially as cases are processed at USSC. **NO SAS FORMAT.**
CRIMPURP	PSR	NUM 3-8	0 = No 1 = Yes • = Missing, Indeterminable, or Inapplicable	Identifies whether the offender organization was identified as a criminal purpose organization. Under §8C1.1, the court may determine that an organization was established and operated primarily for criminal purpose or by criminal means. The fine in such cases is set at an amount "sufficient to divest the organization of all its net assets." (Organizations meeting these criteria may include, but are not limited to, organizations established as a front for a scheme to commit fraud or organizations in the business of hazardous waste disposal but with no legitimate means of disposing the hazardous waste.) **FORMAT: CRIMPURP.**
DEPSOR	SOR	NUM 3-8	0 = No Departure 1 = Upward Departure 2 = Other Downward Departure 3 = Substantial Assistance/§5K1.1 Only 8 = Not Applicable/Fine Guidelines Not Used • = Missing, Indeterminable, or Inapplicable	Indicates offender organization's departure status. ***This variable is coded based on the SOR documentation, and does not verify whether the fine is within the guideline range (for non-departure cases), or out of the guideline range (for departure cases).*** After the U.S. v Booker decision, USSC also started coding information on sentences outside the guideline system. Please see BOOKERCD for additional information. ***Only available prior to 2006.*** **FORMAT: DEPSOR.**

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NAME	ORIGIN	FORM	CODES	DESCRIPTION
DETBFINE	PSR	NUM 3-8	1 = Offense Level Fine Table 2 = Pecuniary Gain 3 = Pecuniary Loss 4 = Method for Determining Base Fine Not Specified in PSR 5 = Other • = Missing, Indeterminable, or Inapplicable	USSG §8C2.4 provides that the base fine is the greatest of Pecuniary Gain, Pecuniary Loss or the Amount from the Offense Level Fine Table in §8C2.4(d). This field indicates how the base fine was determined. If Gain & Loss are the same, then Gain is coded. **FORMAT: DETBFINE.**
DISGORGE	PSR	NUM 3-8	Range: 0 - $9,999,999,996 9,999,999,997 = Disgorgement Indicated, Amount Not Specified • = Missing, Indeterminable, or Inapplicable	Identifies the amount of gain to the offender organization that was disgorged as part of the fine imposed. Disgorgement is authorized by the guideline at §8C2.9. Disgorgement requires that any gain to the offender organization resulting from the offense be forfeited. **NO SAS FORMAT.**
DISPOSIT **R= NEWCNVTN**	JC/PSR	NUM 3-8	0 = No Imprisonment 1 = Guilty Plea 2 = Nolo Contendere 3 = Jury Trial 4 = Trial by Judge or Bench Trial 5 = Guilty Plea and Trial (>1 Count) • = Missing or Indeterminable	Disposition of the defendant's case. Note that if there is information that the case went to trial but it does not specify whether the trial was a jury trial or a bench trial, then USSC assumes jury trial since these are more common. **FORMAT: DISPOSIT.**
EMPLOYNM	PSR	NUM 3	1 = <50 Employees 2 = 50-99 Employees 3 = 100-499 Employees 4 = 500-999 Employees 5 = >= 1,000 Employees	Identifies the number of persons employed by the offender organization. If the organization has undergone a significant down-sizing since the offense was detected by the authorities, the number of employees at the time of the offense is coded. Include full-time workers, part-time workers, hourly workers, seasonal workers, and contractors as employees. *Field available starting in FY2012. In previous years see FTEMNUM and FTEMSIGN.*

NAME	ORIGIN	FORM	CODES	DESCRIPTION
FCULPSCO	SOR	NUM 3-8	Valid Range: 0-17 • = Missing, Indeterminable, or Inapplicable	The total culpability score as reported in the SOR. See §8C2.5 in *USSG Manual* for more information about the calculation of the culpability score. See CCULPSCO for the computer generated version of this field. ***Note that CCULPSCO and FCULPSCO may differ if the SOR details a culpability score which does not match the PSR total and the reason for the difference is not documented on the SOR.*** **NO SAS FORMAT.**
FINDOTXT	PSR	CHAR 100	Text Field	Text field accompanying FINSTDO when code 7/Other is used. This field will contain a text description of the financial status. ***Field not available after FY2011.***
FINECAT	JC	NUM 3	1 = $0 to $99,999 2 = $100,000 to $999,999 3 = $1,000,000 or More 4 = Amount Unknown	The dollar category of fine ordered. This amount does not include a suspended fine or a suspended portion of a fine. S*ee also SUSFNCAT.* **FORMAT: AMNTCAT.**
FINOFFST	JC	NUM 8	Range: 0 - $9,999,999,996 9,999,999,997 = Offset Indicated, Amount Not Specified • = Missing, Indeterminable, or Inapplicable	Identifies the amount by which the fine was reduced due to criminal fines imposed on the co-convicted "Owners" of the offender organization. An offset for fines paid by owners of closely-held organizations is authorized by the guidelines at §8C3.4. The offset applies when individuals who own at least five percent of the offender organization have been fined in a criminal proceeding for the same offense conduct for which the offender organization was sentenced. **NO SAS FORMAT.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
FINSTDO	PSR	NUM 3-8	0 = Defunct / Dormant / Not Operating / Out of Business 1 = Solvent and Operating 2 = Bankrupt (Chapter 7 proceeding designed to liquidate debt, pay off creditors, and discharge debtor from debt) 3 = Business Reorganization (Chapter 11 proceeding where organization is permitted to continue operations under court supervision until plan of reorganization is approved by creditors) 4 = Evidence of Substantial Financial Stress 7 = Other (See FINDOTXT) • = Missing, Indeterminable, or Inapplicable	Financial status of the organization at the time of the offense (if offense spans multiple years then the year closest to the conclusion of the offense is recorded if multiple are provided). This field serves to identify whether or not the organization is still operating or in financial jeopardy. The PSR generally reports whether the organization is defunct, undergoing Chapter 7 bankruptcy, or undergoing Chapter 11 reorganization, but it may not indicate that the organization is solvent or is experiencing financial stress. *Field not available after FY2011.* **FORMAT: FINSTDO.**
FINSTDS	PSR	NUM 3-8	0 = Defunct / Dormant / Not Operating / Out of Business 1 = Solvent and Operating 2 = Bankrupt (Chapter 7 proceeding designed to liquidate debt, pay off creditors, and discharge debtor from debt) 3 = Business Reorganization (Chapter 11 proceeding where organization is permitted to continue operations under court supervision until plan of reorganization is approved by creditors) 4 = Evidence of Substantial Financial Stress 7 = Other (See FINSTXT) • = Missing, Indeterminable, or Inapplicable	Financial status of the organization at the time of sentencing (or most current year available in PSR). This field serves to identify whether or not the organization is still operating or in financial jeopardy. The PSR generally reports whether the organization is defunct, undergoing Chapter 7 bankruptcy, or undergoing Chapter 11 reorganization but it may not indicate that the organization is solvent or is experiencing financial stress. **FORMAT: FINSTDS.**
FINSTXT	PSR	CHAR 100	Text Field	Text field accompanying FINSTDS when code 7/Other is used. This field will contain a text description of the financial status.
FORFEITR		NUM 8	Range: 0 - $9,999,999,996 9,999,999,997 = Forfeiture Indicated, Amount Not Specified • = Missing, Indeterminable, or Inapplicable	Identifies the total dollar value of criminal forfeiture the offender organization was ordered to forfeit. Forfeiture of assets is authorized by the guidelines at §8E1.2 (referencing §5E1.4). Where <u>no</u> forfeiture is indicated, the analyst codes actual value as 0 (zero). **NO SAS FORMAT.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
FRAUDTYP	PSR/JC	NUM 3	(See Code Attachment)	More detailed offense type for the case with a primary offense type of fraud. *Field available starting in FY2012. See PRIMOFF for primary offense type.* FORMAT: FRAUDTYP.
FTEMNUM	PSR	NUM 8	Range: 1-999,999 • = Missing, Indeterminable, or Inapplicable	Identifies the number of persons employed by the offender organization. If the organization has undergone a significant down-sizing since the offense was detected by the authorities, the number of employees at the time of the offense is coded. Includes full-time workers, part-time workers, hourly workers, seasonal workers, and contractors as employees. *Use this field with FTEMSIGN to determine if number in this field is an actual number or high/low range. Field available from FY2002 through FY2011. See EMPLOYNM.*
FTEMPLY	PSR	NUM 8	Range: 1-999,999 • = Missing, Indeterminable, or Inapplicable	Identifies the number of persons employed by the offender organization. Includes full-time workers, part-time workers, hourly workers, seasonal workers, and contractors as employees. *Not available after FY2002. This variable is replaced by FTEMNUM and FTEMSIGN in FY2002.*
FTEMSIGN	PSR	NUM 8	1 = Equal to 2 = Greater Than (>) 3 = Less Than (<) • = Missing, Indeterminable, or Inapplicable	Identifies if the number of employees reported in the field FTEMNUM is an actual number or a range value (minimal or maximal estimate). *Field available from FY2002 through FY2011. See EMPLOYNM.* FORMAT: FTEMSIGN.

NAME	ORIGIN	FORM	CODES	DESCRIPTION
GDLINE1-GDLINEX and **GDLINEHI** **GDSTAT1-GDSTATX** and **GDSTATHI** **GDREF1-GDREFX** and **GDREFHI** **GDCROS1-GDCROSX** and **GDCROSHI** **GDUNDR1-GDUNDRX** and **GDUNDRHI**	PSR	CHAR 8	2_#.# = Guideline Applied	The Chapter Two Guideline applied in a case. *Use the variable NOCOMP to determine how many guideline computations are present in each case. For further information on USSC guideline coding system, see Introduction. Not all guideline variables available prior to FY2012.* **NO SAS FORMAT.**
GLFINMAX	PSR/SOR	NUM 8	Range: 0 - $9,999,999,996 9,999,999,998 = Chapter Eight Fine Guidelines Not Applicable • = Missing, Indeterminable, or Inapplicable	Identifies the maximum guideline fine. This field is the product of base fine amount (see BASEFINE) and the maximum multiplier associated with the culpability score (MAXFINML). **NO SAS FORMAT.**
GLFINMIN	PSR/SOR	NUM 8	Range: 0 - $9,999,999,996 9,999,999,998 = Chapter Eight Fine Guidelines Not Applicable • = Missing, Indeterminable, or Inapplicable	Identifies the minimum guideline fine. This field is the product of base fine amount (see BASEFINE) and the minimum multiplier associated with the culpability score (MINFINML). **NO SAS FORMAT.**
HISTORY	PSR	NUM 3-8	0 = Not applied 1 = Instant offense <10 years after prior offense 2 = Instant offense <5 years after prior offense • = Missing, Indeterminable, or Inapplicable	Identifies the score for this culpability factor. Prior History is an index which measures previous misconduct that is similar to the instant offense. See USSG §8C2.5(c) for more information. **FORMAT: HISTORY.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
HSMISCND	PSR	NUM 3-8	0 = No 1 = Yes • = Missing, Indeterminable, or Inapplicable	Indicates (according to the PSR or, where there is no PSR, the transcript or SOR) if org had a history of misconduct or pending charges. This would include any previous criminal or civil adjudications against the organization. A criminal adjudication is a criminal conviction or plea. A civil adjudication is a civil judgment against the offender organization in a non-criminal lawsuit brought by an administrative agency or private party. Answer "yes" only if clearly stated in the PSR, transcript or SOR. **FORMAT: HSMISCND.**
INCORLOC	PSR	NUM 3-8	0 = Inside U.S. 1 = Outside U.S. • = Missing, Indeterminable, or Inapplicable	Indicates if the organization was incorporated inside or outside of the U.S. If organization is not incorporated then this field is coded as missing. **FORMAT: INCORLOC.**
INCORPYR	PSR	NUM 3-8	Range: 4 digits thru current year • = Missing, Indeterminable, or Inapplicable	Identify the four-digit code for the year in which the corporation was incorporated. If the pre-sentence report indicates that the organization has recently been re-incorporated, the entry should reflect the date the organization was first incorporated. If organization is not incorporated then this field is coded as missing.
INDICT	IND	NUM 3-8	0 = Not Received 1 = Received Complete, Not Sealed 3 = Received Partial or Alternate Doc, Not Sealed 8 = Will Never Receive (Not used after FY2004) • = Missing or Indeterminable	Document status indicator for Indictment or Information. *Note that Code 8 was added back in FY2004 (it existed in some earlier data years). Code 8 was dropped in FY2005. Prior to FY2005 the "Not Sealed" and "Complete" portions of the descriptions were not included.* **FORMAT: DSIND.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
INNOPL1-INNOPLX	SOR	NUM 3-8	1 = Based on gov't 5K1.1 motion 2 = Based on gov't motion for a departure 3 = Pursuant to a defense motion to which gov't has NOT objected 4 = Pursuant to a defense motion to which the gov't HAS objected 5 = Other than a plea agreement or a motion by the parties 6 = §5K3.1 Early Disposition Program	Indicates (from the new SOR form AO245B Rev 12/3 or later) the origin of the outside the range sentences not generated in Plea Agreements. The end points for this variable are denoted with X because the total number varies from case to case and year to year. ***This field not available until FY2005. See BOOKERCD and REAS1-REASXX for more information on outside of the range sentences.*** **FORMAT: INNOPL.**
INPLEA1-INPLEAX	SOR	NUM 3-8	1 = Based on gov't 5K1.1 motion 2 = Based on gov't motion of 5K3.1 EDP/Fast Track program 3 = Based on BINDING Plea Agreement which court has accepted 4 = Based on Plea Agreement which cites reason for departure which court finds to be justified 5 = Based on Plea Agreement which states gov't will NOT oppose defense motion for departure	Indicates (checkboxes available on the newer SOR forms AO245B Rev 12/3 or later) the origin of the outside the range sentences generated in Plea Agreements. ***Not available until FY2005. See BOOKERCD for outside the range status and REAS1-REASXX for further information on the reasons.*** **FORMAT: INPLEA.**
JANDC	JC	NUM 3-8	0 = Not Received 1 = Received Complete JC, Not Sealed 2 = Received Partial/Alternate Doc, Not Sealed 8 = Order Will Never Be Available (Not used after FY2004) • = Missing or Indeterminable	Document status indicator for Judgment and Conviction Order. ***Note that Code 8 was added back in FY2004 (it existed in some earlier data years). Code 8 was dropped in FY2005. Prior to FY2005 the "Not Sealed" and "Complete" portions of the descriptions were not included.*** **FORMAT: DSJANDC.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
LCAT1-LCATX and **LCATHI** **LCATS1-LCATSX** and **LCATSHI** **LCATR1-LCATRX** and **LCATRHI** **LCATC1-LCATCX** and **LCATCHI** **LCATU1-LCATUX** and **LCATUHI**	PSR	NUM 3	1 = $0 to $99,999 2 = $100,000 to $999,999 3 = $1,000,000 or More 4 = Amount Unknown	The dollar category of loss for which the offender is held responsible. Amounts are rounded off to the nearest whole dollar. Loss is often used in various economic crime guidelines to determine either the base offense level or levels of an SOC. Cases not involving dollar loss (ex. Drug cases) are coded as zero for the amount. The end points for this variable are denoted with X because the total number of guideline computations recorded varies from case to case and year to year. ***Use variable NOCOMP to determine how many guideline computations are present in each case. Not all guideline variables available prior to FY2012.*** **FORMAT: AMNTCAT.**
MAXFINML	PSR/SOR	NUM 8	Range: 0 to 28 • = Missing, Indeterminable, or Inapplicable	The maximum fine multiplier. This value will default from the lookup table in Oracle based on the Amendment Year and the Total Culpability Score which were entered but it can be changed if necessary by the analyst. This field is multiplied by the base fine (BASEFINE) to determine the maximum guideline fine (GLFINMAX). **NO SAS FORMAT.**
MINFINML	PSR/SOR	NUM 8	Range: 0.05 to 2.00 • = Missing, Indeterminable, or Inapplicable	The minimum fine multiplier. This value will default from the lookup table in Oracle based on the Amendment Year and the Total Culpability Score which were entered but it can be changed if necessary by the analyst. This field is multiplied by the base fine (BASEFINE) to determine the minimum guideline fine (GLFINMIN). **NO SAS FORMAT.**
NEWCNVTN	R	NUM 3	0 = Plea 1 = Trial • = Missing, Indeterminable, or Inapplicable	Indicates whether the case was settled by plea agreement or trial. **FORMAT: NEWCNVTN.**
NOCOMP	R	NUM 3-8	Range: 1 thru 99 • = Missing, Indeterminable, or Inapplicable	The number of guideline computations. **NO SAS FORMAT.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
NOCOUNTS	JC/PSR	NUM 3-8	Range: 1 thru 999 • = Missing, Indeterminable, or Inapplicable	The number of counts of conviction. **NO SAS FORMAT.**
NOREAS	R	NUM 3	Range: 1 thru 99 • = Missing, Indeterminable, or Inapplicable	The number of departure reasons involved in a case. Use with REASON1-REASONX. *Only available prior to 2005.* **NO SAS FORMAT.**
NOREAVAR	R	NUM 3	Range: 1 thru 99 • = Missing, Indeterminable, or Inapplicable	The number of reasons given for why the sentence imposed was outside of the range involved in a case. Use with REAS1-REASX. *Not available until FY2014 and later.* **NO SAS FORMAT.**
NOUSTAT	R	NUM 3-8	Range: 1 thru 99 • = Missing, Indeterminable, or Inapplicable	The number of unique statutes in a case. **NO SAS FORMAT.**
NWSTAT1-NWSTATX	R	CHAR 15	Text Field	Title, Section, and Subsection number of the **UNIQUE** statutes for each case generated from <u>all</u> of the statute fields (STAT1_1 thru STAT3_XXX). Ex. If a case has a total of five counts involving four counts of 21 U.S.C. § 841 and one count of 18 U.S.C. § 924(c), then NWSTAT1 will be 21 U.S.C. § 841, NWSTAT2 will be 18 U.S.C. § 924(c) and NWSTAT3 will be missing/inapplicable. *Use with NOUSTAT.*
OBSTRUCT	PSR	NUM 3-8	0 = Not Received 1 = Adjustment (Not in *USSG* Manual) 3 = Obstructed Justice • = Missing, Indeterminable, or Inapplicable	Obstruction of Justice is an indicator of whether the offender organization willfully obstructed the investigation, prosecution, or sentencing of the instant offense. See USSG §8C2.5(e) for more information about this culpability factor. **FORMAT: OBSTRUCT.**
OFENTXT1-OFENTXT4	PSR	CHAR 100	Text Field	Text fields for OFFENSE1-OFFENSE4. These are filled in when OFFENSE1-OFFENSE4 are coded as "Other". *Field not available after FY2011.*

NAME	ORIGIN	FORM	CODES	DESCRIPTION
OFFENSE1-OFFENSE4	JC/PSR	NUM 3-100	(See Code Attachment)	These fields are the 1st-4th types of offenses. USSC codes up to four types of offenses for each case and then determines the primary offense type (see PRIMOFF). Offense types are derived from the statutes of conviction. *See also OFENTXT1-OFENTXT4.* **Field not available after FY2011.** **FORMAT: PRIMOFF.**
OFFLVL	SOR/PSR	NUM 3-8	Range: 0 thru 99 • = Missing, Indeterminable, or Inapplicable	Final Offense Level. This amount will be inserted from the Chapter Two guideline calculations if there are any, otherwise it will be missing. The offense level used to determine the base fine amount from the offense level table carried from the chapter 2 calculations. The final offense level is derived from the application of Chapter Two and Chapter Three, Part D, section 1.4, where applicable. The final offense level serves to establish one prong of the three-pronged base fine amount. **NO SAS FORMAT.**
ORDERCE	JC/ PLEA	NUM 3-8	0 = No 1 = Yes (See ORDERTXT) • = Missing, Indeterminable, or Inapplicable	Indicates (as part of the sentence or plea) if the court ordered an ethics program, compliance program, or any systemic effort or program to prevent or detect violations. This includes requiring the offender organization to implement a compliance or ethics program, hire an ethics officer or compliance monitor, sponsor a seminar, lecture or training regarding compliance with regulations or submit a report or reports to the government regarding the same. The plea agreement is also checked in case a compliance agreement was part of the plea. *See also COMPLY.* **FORMAT ORDERCE.**
ORDERTXT	PSR	CHAR 100	Text Field	Text field to accompany ORDERCE when "Yes" is answered. This field details whether the court ordered an ethics program, compliance program, or any systemic effort or program to prevent or detect violations.

NAME	ORIGIN	FORM	CODES	DESCRIPTION
ORGTYPE	PSR	NUM 3-8	0 = No 1 = Yes • = Missing, Indeterminable, or Inapplicable	Indicates whether the <u>Offender Organization</u> is a subsidiary or franchise of another organization. A "subsidiary" is one in which another corporation owns at least a majority of shares and thus has control. **FORMAT: ORGTYPE.**
OUTSOURC	N/A	CHAR 100	Text Field	If any coded information was obtained from sources outside the standard documents contained in the case (PSR, JC, SOR, Plea, Ind), this field indicates the name of that source. ***Field not available after FY2006.***
OWNSTEXT	PSR	CHAR 100	Text Field	Text field to accompany OWNSTRCT when "Other" is coded. This field will describe the ownership structure.
OWNSTRCT	PSR	NUM 3-8	0 = Closely-held or Private Corporation 1 = Openly-traded Corporation 2 = Non-Profit Organization 3 = Governmental Organization (aka Public Entity or Public-Service Corporation) 4 = Partnership 5 = Sole Proprietorship 6 = Association 7 = Other (prior to FY2012) (See OWNSTEXT) 8 = LLC (beginning in FY2012) 77 = Other (beginning in FY2012) (See OWNSTEXT) • = Missing, Indeterminable, or Inapplicable	Indicates the ownership structure of the offender organization. If an organization is incorporated and is not openly traded, then it is coded as "Closely-held or Private". If the financial statements in the pre-sentence investigation report are termed "unaudited", then the company is also coded as "Closely-held or Private". For more explanations of these categories, please see *USSC's Coding Instructions for Organization Cases.* ***Note that in FY2012 Code 8 is new and the code for "Other" changed from 7 to 77.*** **FORMAT: OWNSTRCT.**
PLEA	PLEA	NUM 3-8	0 = Not Received 1 = Received 2 = Received Alternate Document 3 = Oral Plea Agreement 5 = Straight up Plea, No Agreement 8 = Trial 9 = Guilty Plea, Type Indeterminable 10 = No Written Plea (from Submission System) • = Missing, Indeterminable, or Inapplicable	Document status indicator for Plea Agreement. ***Note that Code 9 was added in FY2004, and the description of Code 5 was changed from "Written, will never be received" in FY2004 for better clarity.*** **FORMAT: DSPLEA.**
PRCIVIL	PSR	NUM 3-8	0 = No 1 = Yes • = Missing, Indeterminable, or Inapplicable	Indicates if the organization's other misconduct is civil in nature. This field is only answered when HSMISCND is answered "Yes". **FORMAT: PRCIVIL.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
PRCRIME	PSR	NUM 3-8	0 = No 1 = Yes • = Missing, Indeterminable, or Inapplicable	Indicates if the organization's other misconduct is criminal in nature. This field is only answered when HSMISCND is answered "Yes". **FORMAT: PRCRIME.**
PRIMOFF	R	NUM 3-8	(See Code Attachment)	Primary offense type for the case generated from the count of conviction with the highest statutory fine maximum (in case of a tie, the count with the highest statutory fine minimum is used). When fraud is coded as the primary offense type a subcategory of fraud is coded as of FY2012 (see FRAUDTYPE). *Note that since the primary offense type is derived from statutes of conviction it may not match up logically with the primary guideline (or any of the guidelines applied).* *In the Annual Sourcebook tables, code 14 is recoded to 77, and 26 to 0.* **FORMAT: PRIMOFF.**
PRMONTXT	PSR	CHAR 100	Text Field	Text field that corresponds to PROBMON. This field describes the specifics of cases where a monitor was ordered as a condition of probation.
PROBATN **R=PROBDUM**	JC	NUM 3-8	Range: 0 thru 997 0 = No Probation Ordered 997 = Probation Ordered, But No Term Specified • = Missing, Indeterminable, or Inapplicable	Total probation ordered, in months. **FORMAT: PROBATN.**
PROBMON	JC/ PLEA	NUM 3-8	0 = No 1 = Yes (See PRMONTXT) • = Missing, Indeterminable, or Inapplicable	Indicates if the court specifically required the org to hire a monitor/expert as part of the sentence or plea agreement. **FORMAT: PROBMON.**
PSR	PSR	NUM 3-8	0 = Not Received 1 = Received Complete, Not Sealed 2 = Received Partial/Alternate Doc, Not Sealed 8 = Waived • = Missing, Indeterminable, or Inapplicable	Document status indicator for Pre-sentence Report. *Note that Code 8 was added back in FY2004 (it existed in some earlier data years). Prior to FY2005 the "Not Sealed" and "Complete" portions of the descriptions were not included.* **FORMAT: DSPSR.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
QUARTER	R	NUM 3-8	Range: 1-4 1 = Oct 1 thru Dec 31 2 = Jan 1 thru March 31 3 = April 1 thru June 30 4 = July 1 thru Sept 30	Fiscal Year quarter in which the case was sentenced. This field is generated based on the sentencing date for use in USSC's quarterly data reports. **FORMAT: QUARTER.**
REAS1-REASX	SOR	NUM 3-8	(See REASON1-REASONX in the Codebook Attachment)	The first, second, etc., reasons given by the court for why the sentence imposed was outside of the range. "Other" values are further described in text fields RETEXT1-RETEXTX. <u>Use this field in conjunction with BOOKERCD to select outside the range reasons for a particular subset.</u> *This field does not exist prior to FY2005. See REASON1-REASONX and REASTXT1-REASTXTX for information in earlier years.* **FORMAT: REASON.**
REASON1-REASONX	SOR	NUM 3-8	(See Codebook Attachment)	The first, second, etc., reasons given by the court for the departure sentence imposed (when applicable). "Other" values not listed are described in text fields REASTXT1-REASTXTX. *Only available prior to 2005.* **FORMAT: REASON.**
REASONS	SOR	NUM 3-8	0 = Not Received 1 = Received Complete, Not Sealed 2 = Received Partial/Alternate Doc, Not Sealed 3 = Received Blank 8 = Will Never Be Received • = Missing, Indeterminable, or Inapplicable	Document status indicator for the Statement of Reasons. *Note that Code 8 was added back in FY2004 (it existed in some earlier data years). Prior to FY2005 the "Not Sealed" and "Complete" portions of the descriptions were not included.* **FORMAT: DSSOR.**
REASTXT1-REASTXTX	SOR	CHAR 200	Text Field	Text description when reasons given by the court for departure is not included in REASON1-REASONX (REASON = 777). *Only available prior to 2005.*
REMEDORD	JC	NUM 8	Range: <0 - $9,999,999,996 $0 = No loss $9,999,999,997 = Some Loss, Amount Not Specified/Range of Amounts • = Missing, Indeterminable, or Inapplicable	Identifies the total dollar value of any remedial order to which the offender organization was ordered to comply. A remedial order is authorized by the guidelines at §8B1.2. This order requires that the organization remedy the harm caused by the offense to the extent that the harm is not remedied by restitution. **NO SAS FORMAT.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
RESTCAT	JC	NUM 3	1 = $0 to $99,999 2 = $100,000 to $999,999 3 = $1,000,000 or More 4 = Amount Unknown	The dollar category of restitution ordered. **FORMAT: AMNTCAT.**
RETEXT1-RETEXTX	SOR	CHAR 250	Text Field	Text description when reasons given by the court for a sentence outside the range is not included in REAS1-REASX (*i.e.*, REASX = 777). <u>Use this field in conjunction with BOOKERCD to select outside the range reasons for a particular subset.</u> *This field does not exist prior to FY2006. See REASON1-REASONX and REASTXT1-REASTXTX for information in earlier years.*
SELFREP	PSR	NUM 3-8	0 = No 1 = Yes • = Missing, Indeterminable, or Inapplicable	Indicates whether the organization initially reported this offense to the authorities before any investigation into the offense. **FORMAT: SELFREP.**
SENTRANS	TRANS	NUM 3-8	0 = Not Received 1 = Received (Whole or Partial) 4 = Court Order Seal	The document status for sentencing transcript. *Not available after FY2011.* **FORMAT: SENTRANS.**
SENTYR	4	NUM 4-8	Range: Applicable Fiscal Year	Sentencing year. **NO SAS FORMAT.**
SMAX1-SMAXX	JC/PSR	NUM 8	Range: 0 thru $9,999,999,999 • = Missing, Indeterminable, or Inapplicable	Statutory fine maximum for each individual count of conviction. **Use with variable NOCOUNT.** **NO SAS FORMAT.**
SMIN1-SMINX	JC/PSR	NUM 8	Range: 0 thru $9,999,999,999 • = Missing, Indeterminable, or Inapplicable	Statutory fine minimum for each individual count of conviction. **Use with variable NOCOUNT.** **NO SAS FORMAT.**

NAME	ORIGIN	FORM	CODES	DESCRIPTION
SOURCES	SOR	NUM 3-8	1 = Information Represents Known Court Findings: (a) SOR Accepts PSR (b) SOR Differs From PSR, SOR is Coded (c) Court's Factual Findings Unknown, SOR Criminal History Category and Offense Level Match PSR or SOR Guideline Range Matches PSR Guideline Range 3 = PSR is Coded (Insufficient Information in SOR) 5 = PSR is Coded (No SOR Present) 6 = 18 U.S.C. § 924(c) only 7 = Plea Agreement 8 = No Analogous guidelines (Class A misdemeanor or state assimilated offense with no analogous guidelines) 9 = PSR Waived, Missing, or Multiple Offense Levels	Indicates the extent to which information coded in detailed guideline application is based upon known court findings. This also acts as a "SKIP" field, so if certain codes are chosen then certain screens like guideline application and criminal history are never accessed. **FORMAT: SOURCES.**
STA1_1-STA1_XXX **R=NWSTAT1-NWSTATX**	JC	CHAR 15	Text Field	Title, Section, and Subsection number, respectively, of the <u>first</u> statute for each count of conviction. *For example STA1_123 is the 1st statute for the 123rd count of conviction.* USSC records up to three statutes for each count. ***Use with the variable NOCOUNT. See NWSTAT1-NWSTATX to find UNIQUE statutes from all fields.***
STA2_1-STA2_XXX **R=NWSTAT1-NWSTATX**	JC	CHAR 15	Text Field	Title, Section, and Subsection number, respectively, of the <u>second</u> statute for each count of conviction. *For example STA1_123 is the 2nd statute for the 123rd count of conviction.* USSC records up to three statutes for each count. ***Use with the variable NOCOUNT. See NWSTAT1-NWSTATX to find UNIQUE statutes from all fields.***
STA3_1-STA3_XXX **R=NWSTAT1-NWSTATX**	JC	CHAR 15	Text Field	Title, Section, and Subsection number, respectively, of the <u>third</u> statute for each count of conviction. *For example STA3_123 is the 3nd statute for the 123rd count of conviction.* USSC records up to three statutes for each count. ***Use with the variable NOCOUNT. See NWSTAT1-NWSTATX to find UNIQUE statutes from all fields.***

NAME	ORIGIN	FORM	CODES	DESCRIPTION
STATMAX	PSR	NUM 8	Range: 1 thru $9,999,999,999 • = Missing, Indeterminable, or Inapplicable	Total statutory maximum fine for all counts. *In cases with multiple counts of conviction, the maximum penalties are additive. Field available starting in FY2012.* **FORMAT: STATMAX.**
STATMIN	PSR	NUM 8	Range: 0 thru $9,999,999,999 • = Missing, Indeterminable, or Inapplicable	Total statutory minimum for all counts. *In multiple count cases, minimum penalties are not necessarily additive. Field available starting in FY2012.* **FORMAT: STATMIN.**
SUSFNCAT	JC	NUM 3	1 = $0 to $99,999 2 = $100,000 to $999,999 3 = $1,000,000 or More 4 = Amount Unknown	Identifies the amount category of the fine suspended by the court (if any). **FORMAT: AMNTCAT**
TOLERNCE	PSR	NUM 3-8	0 = No Adjustment 1 = 10+ Employees and person in substantial authority participated, condoned, or was willfully ignorant of offense 2 = 50+ Employees and person in substantial authority participated, condoned, or was willfully ignorant of offense 3 = 200+ Employees and person in substantial authority participated, condoned, or was willfully ignorant of offense OR tolerance pervasive in org 4 = 1,000+ Employees and person in substantial authority participated, condoned, or was willfully ignorant of offense OR tolerance pervasive in org 5 = 5,000+ Employees and person in substantial authority participated, condoned, or was willfully ignorant of offense OR tolerance pervasive in org	Culpability Score Factor which measures the level of managerial authority participating in or knowing of the offense and the size of the organization – as measured by the number of employees. See USSG §8C2.5 for more info. **FORMAT: TOLERNCE.**
TYPEOTHS	JC	NUM 3-8	0 = No Other Type 1 = Suspended Prison Term 2 = Pay Cost of Prosecution 3 = Denial of Federal Benefits 77 = Other Unique Sentence (See TYPEOTTX) • = Missing, Indeterminable, or Inapplicable	Other types of sentences ordered. **FORMAT: TYPEOTHS.**
TYPEOTTX	JC	CHAR 200	Text Field	Text field that accompanies codes of "Other" under the TYPEOTHS field.

NAME	ORIGIN	FORM	CODES	DESCRIPTION
VICTNOTE	JC	NUM 3-8	0 = No 1 = Yes • = Missing, Indeterminable, or Inapplicable	Identifies whether the court has ordered the offender org to give notice to victims of the offense. A notice to victims order is authorized by the guidelines at USSG §8B1.4 (referencing USSG §5F1.4). This includes ordering a public apology, newspaper ad, and other ways of informing the public. **FORMAT: VICTNOTE.**
VIOLATE	PSR	NUM 3-8	0 = No adjustment 1 = Instant offense violated a condition of probation 2 = Instant offense: (a) violated judicial order or injunction (other than condition of prob) OR (b) violated condition of probation by engaging in similar misconduct • = Missing, Indeterminable, or Inapplicable	Culpability Score Factor which measures violations of judicial orders or injunctions and violations of probation. See USSG §8C2.5(d) for more info. **FORMAT: VIOLATE.**
WRKSHT	WRKSHT	NUM 3-8	0 = Not Received 1 = Received 4 = Under Court Order Seal 8 = N/A - Worksheets do not exist	Document status indicator for guideline application worksheets. ***This variable is not available after FY2011.*** **FORMAT: WRKSHT.**

Appendix A: CODE ATTACHMENT - Table of Contents

BUSCLASS (As of fiscal year 2012, only codes 100, 200, 300, 400, 500, 600, 700, 800, 900, 1000, 1100, 1200, and 777 are used.)

100	Agriculture, Fishing And Forestry
101	Agricultural Services
102	Agricultural Production Crops
103	Agricultural Production Livestock and Animal Specialties
104	Agricultural Packaging Materials
105	Farms and Farming Equipment
106	Fishing and Fish Products, *i.e.*, retail seafood
107	Slaughterhouses and Meats Storage
108	Hunting, and Trapping
109	Forestry
110	Plant Nursery, Florists, etc.
200	Mining
201	Coal Mining
202	Metal Mining and Recycling
203	Mining and Quarrying Of Nonmetallic Minerals, Except Fuels
204	Oil and Gas Extraction
300	Construction and Related Contracting Services
301	Architecture
302	Building Construction General Contractors and Operative Builders
303	Contracting, *i.e.*, painting, repairs, construction
304	Heavy Construction other than Building Construction Contractors
305	Construction Special Trade Contractors
306	Restoration and Remodeling of Historic Buildings; other properties
400	Environmental Management
401	Asbestos, Lead Abatement or Removal
402	Collect and Dispose Industrial Sludge, Solids and Other Forms of Wastes
403	Decontamination Services, *i.e.*, sewage treatment, water treatment, recycling and monitoring, re-mediating contaminated soil...
404	Environmental Products
405	Environmental Testing
406	Hazardous Wastes Treatment, Transportation and Disposal
407	Sanitary Services, *i.e.*, janitorial services,
408	Other Environmental Services and Products
500	Manufacturing, Production, Design, Inspection, Sales & Distribution
501	Apparel and Other Finished Products Made From Fabrics and Similar Materials
502	Aerospace, Aircraft; Tools and Equipment
503	Auto Glass, Window Glass & Frames and other Glass Products
504	Chemicals and Allied Products
505	Computer Equipment and Components
506	Electronic and other Electrical Equipment
507	Food and Kindred Products, *i.e.*, contaminated meat and meat products
508	Furniture and Fixtures
509	Industrial and Commercial Machinery

510   Leather and Leather Products
511   Lumber and Wood Products, Except Furniture
512   Metal Industries and Products, (except Machinery and Transportation Equipment)
513   Paper and Allied Products
514   Petroleum Refining and Related Industries
515   Photographic Facilities and Suppliers
516   Printing, Publishing and Allied Industries; Lithography
517   Rubber and Plastics Products
518   Ship Building and related services
519   Stone, Clay and Concrete Products
520   Storage Facilities and Warehousing
521   Textile Mill Products (Woven Material)
522   Tobacco Products
523   Transportation Equipment
524   Miscellaneous Manufacturing Industries
600   Transportation, Electric, Gas
601   Aircraft and Air Transport - Parts. Repair, Maintenance, Sale and Distribution
602   Automobiles, Vehicles, Parts, Tools
603   Local and Suburban Transit and Inter-urban High Passenger Transportation
604   Motor Freight Transportation
605   Motor Vehicles, *i.e.*, automobiles, trucks, buses
606   Railroad Transportation
607   Transportation Services, *i.e.*, taxi dispatch, etc.
608   Water Transportation Vehicles, *i.e.*, ships, boats, oil freighters, etc.
609   Electric, Gas
610   Other Energy Provider
611   Pipelines, Except Natural Gas
700   Retail Trade
701   Air Conditioning and Refrigeration, *i.e.*, cold storage, etc.
702   Apparel and Accessory Stores
703   Automotive Dealers and Gasoline Service Stations
704   Building Materials, Hardware, Garden Supply and Mobile Home Dealers
705   Eating, Drinking Facilities
706   Food Stores & Confectionary Stores
707   General Merchandise Stores
708   Home Furniture, Furnishings, and Equipment Stores
709   Industrial and Business Supplies
710   Miscellaneous Retail, *i.e.*, vending machines, juke boxes, video tapes, etc.
711   Motor Vehicles; parts, tools
712   Weapons, Explosive
713   Wholesale Trade-Durable Goods, *i.e.*, lumber trading, tools, wire/cable
714   Wholesale Trade-Non-Durable Goods
715   Wholesale and Retail Imports - Exports; international business transactions
800   Services
801   Advertising, Promotions and Marketing
802   Amusement and Recreation Services

803   Automotive Repair, Services, Salvaging and Parking
804   Business Services, *i.e.*, fake ID and other certifying cards and licenses,
805   Communications - New Medium, News Service, Publishers
806   Computers: Informational, *i.e.*, computer software applications
807   Contracting Services - other, *i.e.*, secretarial, etc.
808   Demolition and Salvage - general
809   Designs & Exhibits; Promotional Materials
810   Educational Services
811   Engineering, Accounting, Research, Management, and Related Services
812   Entertainment: other
813   Funeral Homes and Related Services
814   Hotels, Rooming Houses, Camps, and Other Lodging Places
815   Industrial and Commercial Equipment
816   Interior Design & Landscaping
817   Legal Services, *i.e.*, law offices and other...
818   Mail, *i.e.*, mail order, bulk mail
819   Management Consultants
820   Miscellaneous Repair Services, *i.e.*, air conditioning, machines, equipment
821   Motion Pictures and Video
822   Museums, Art Galleries, and Botanical and Zoological Gardens
823   Personal Services
824   Private Households
825   Recycling, *i.e.*, scrap metal, plastics, paper, etc.
826   Security Systems
827   Social Services
828   Telemarketing
829   Warehousing & Storage
830   Other Travel and Leisure Services
900   Organizations, Associations, Charities
901   Benevolent Organizations
902   Community Development Corporations
903   Membership Organizations, *i.e.*, labor unions, trade associations
904   Religious Organizations
905   Other Groups
1000   Finance, Insurance, Real Estate
1001   Depository Institutions, *i.e.*, banks, credit unions, etc.
1002   Holding and Other Investment Offices
1003   Non-depository Credit Institutions
1004   Security and Commodity Brokers, Dealers. Exchanges, and Services
1005   Insurance Agents, Brokers, and Service
1006   Insurance Carriers
1007   Real Estate Services, *i.e.*, Brokerage, Investment, Management, Development
1100   Health Care Services and Supplies: Management, Inspection, Sales and
       Distribution
1101   Health Care Facilities, *i.e.*, hospitals, nursing homes, clinics...
1102   Health Care Management Service, *i.e.*, HMO's, etc.

1103   Health Care Providers, *i.e.*, Private Offices of Doctors, Dentists, etc., Labs.
1104   Medical supplies and Equipment
1105   Pharmacy
1106   Pharmaceuticals
1107   Other Health Care Services
1200   Public Administration
1201   Executive, Legislative, and Federal State and Local Governments
1202   Military: Goods; Insignias; Medals; Memorabilia; Weapons
1203   Public Utilities
1204   State & Federal Campaign Committees
1205   Quasi-Government Agencies: *i.e.*, U. S. postal Service
 777   Other

<u>FRAUDTYP</u> *(Provides more detailed offense types for cases in which the primary offense type was fraud.)*

10 = 'Mail or Wire'
20 = 'Healthcare'
30 = 'False Claims'
40 = 'False Statements'
50 = 'Bank Fraud'
60 = 'ID Theft'
70 = 'Other'

PRIMOFF

0 = 'Administration of Justice'
2 = 'Antitrust/Price Fixing'
3 = 'Archeological Damage'
4 = 'Bribery'
5 = 'Civil Rights'
7 = 'Contraband'
8 = 'Copyright/TradeMark Infringement'
9 = 'Drugs'
10 = 'Environmental (Water Discharge)'
11 = 'Environmental (Air Discharge)'
12 = 'Environmental (Hazardous Material Discharge)'
13 = 'Environmental (Wildlife)'
14 = 'Equity Skim'
15 = 'Import/Export Violations'
16 = 'Firearms'
17 = 'Food & Drugs'
18 = 'Food Stamps'
19 = 'Forgery'
20 = 'Fraud'
21 = 'Gambling'
22 = 'Immigration'
23 = 'Larceny/Theft/Embezzlement'
24 = 'Motor Vehicle Part Trafficking/Altering ID's and Tags'
25 = 'Money Laundering'
26 = 'Obstruction of Justice'
27 = 'Porn/Prostitution'
28 = 'Racketeering'
29 = 'Tax'
77 = 'Other'

Codes below were only used in 2000-2001
30 = 'Campaign Contribution'
31 = 'Recordkeeping'
32 = 'Health/Safety Standards'
33 = 'Trading with Enemy'
34 = 'Occupational Safety/Death'
35 = 'Campaign Contribution'
36 = 'Selling Eavesdropping'
37 = 'Negligent Operation of a Boat'
38 = 'Illegal Drug Possession'
39 = 'Est of Building for DT'

REASON

CODE	DESCRIPTION	DIRECTION
0	No reason given	B
1	Nature and circs of offense and history and char. of def (18 U.S.C. § 3553(a)(1))	B
2	Reflect seriousness of offense, promote respect for law, provide just punishment for the offense (18 U.S.C. § 3553(a)(2)(A))	B
3	Afford adequate deterrence to criminal conduct (18 U.S.C. § 3553(a)(2)(B))	B
4	Protect the public from further crimes of the defendant (18 U.S.C. § 3553(a)(2)(C))	B
5	Provide the def with needed educational or vocational training, medical care or other correctional treatment in most effective manner (18 U.S.C. § 3553(a)(2)(D))	B
6	Avoid unwarranted sentencing disparities among defendants	B
7	Provide restitution to any victims of the offense	B
10	(§5G1.3) Convictions on related counts	B
11	(§5H1.1) Age	D
12	(§5H1.2) Educational and vocational skills	D
13	(§5H1.3) Mental and emotional conditions	D
14	(§5H1.4) Physical condition	D
15	(§5H1.4) Drug dependence and alcohol abuse	D
16	(§5H1.5) Previous employment record	D
17	(§5H1.6) Family ties and responsibilities	D
18	(§5H1.6) Community ties	D
19	(§5K1.1) Substantial assistance WITH govt. motion	D
20	(not §5K1.1) Cooperation without motion	D
21	Cooperation (motion unknown)	D
22	Several persons injured	U
23	(§5K2.1) Death	U
24	(§5K2.2) Physical injury	U
25	(§5K2.3) Extreme psychological injury	U
26	(§5K2.4) Abduction or unlawful restraint	U
27	(§5K2.5) Property damage or loss	U
28	(§5K2.6) Weapons and dangerous instrumentalities	B
29	(§5K2.7) Disruption of governmental function	U
30	(§5K2.8) Extreme conduct	U
31	(§5K2.9) Criminal purpose	U
32	(§5K2.10) Victim's conduct	B
33	(§5K2.11) Lesser harm	D
34	(§5K2.12) Coercion and duress	D
35	(§5K2.13) Diminished capacity	D
36	(§5K2.14) Public welfare	U
37	Fast Track	D

CODE	DESCRIPTION	DIRECTION
38	Totality of Circumstances/Combination of Factors	D
39	Circumstances not Considered by the Guidelines	B
40	EDP Disparity	D
41	(§4A1.3) Criminal History Adequacy Explain	B
42	(§5K2.17) High-Capacity Semiautomatic Firearm	U
43	(§5K2.18) Violent Street Gang	U
44	(§5K2.20) Aberrant Behavior	B
45	(§5K2.21) Dismissed and Uncharged Conduct	U
46	(§5K2.22) Age or Health of Sex Offenders	D
47	(§5K2.23) Discharge Terms of Imprisonment	D
48	(§5K3.1) Early Disposition. Fast Track program	D
100	(§2A1.1) Death not caused intentionally	B
101	(§§2A2.1, 2A2.2) Degree of injury falls between two categories	B
103	(§2A3.2) Criminal sexual act for commercial purpose	U
105	(§2A6.1) Factors not incorporated in guideline	B
106	(§2B1.3) Monetary value does not reflect extent of harm	B
108	(§2B3.1) Intended to murder the victim	U
110	(§2C1.1) Systematic or pervasive corruption of governmental function	U
111	(§2D1.1) Unusually high drug purity	U
112	(§2D1.1) Unusually high drug amount	U
113	Drug Quantity	B
114	(§2D1.5) Sanctioned use of violence in enterprise	U
115	Crack/Powder Disparity	D
118	(§2F1.1) Loss substantially exceeds maximum from loss table	U
119	(§2F1.1) Dollar loss overstates seriousness of offense	D
120	(§2F1.1) Fraudulent statements could be covered by more specific statute	B
121	(§2G1.1) Offense did not involve profit nor physical force or coercion	D
124	§2H2.1 Corrupting a public official /bodily injury /property damage	U
125	(§2L1.1) Large number of aliens	U
126	(§2L1.1) Dangerous or inhumane treatment	U
127	(§§2L2.2, 2L2.4) Deported on one or more prior occasions	U
128	(§§2M3.1, 2M3.7, 2M3.9) Revelation causes little or no harm	B
131	(§§2M5.1, 2M5.2) Extreme threat to national security	U
132	(§§2M5.1, 2M5.2) Extreme volume of commerce involved	U
134	(§§2M5.1, 2M5.2) Extreme number of multiple occurrences	U
137	(§§2N2.1, 2Q1.2) Negligence involved	D
139	(§§2Q1.2, 2Q1.3) Harm resulting from emission, release or discharge	B
140	(§§2Q1.2, 2Q1.3) Harm resulting from quantity & nature of substance or pollutant	B

CODE	DESCRIPTION	DIRECTION
141	(§§2Q1.2, 2Q1.3) Harm resulting from duration of offense	B
142	(§§2Q1.2, 2Q1.3) Harm resulting from risk	B
143	(§§2Q1.2, 2Q1.3) Nature of risk	B
144	(§§2Q1.2, 2Q1.3) Number of people at risk	B
145	(§§2Q1.2, 2Q1.3) Nature of contamination	B
146	(§§2Q1.2, 2Q1.3) Similar conduct by civil adjudication	B
147	(§§2Q1.2, 2Q1.3) Failure to comply with administrative order	B
148	(§2T1.6) Tax evasion and embezzlement	B
151	(§§2T3.1, 2T3.2) Import. of drugs, obscene matter, firearms, pelts of endangered species	B
152	(§2T3.1) Duties may not adequately reflect harm	B
200	(§3A1.2) Exceptionally high level victim	B
201	(§3C1.1) Further obstruction of justice	B
202	§5C1.2 (Safety Valve)	D
250	(§3D1.4) Offenses significantly more than 5 units	B
300	(§4A1.3) Pattern of conduct	B
301	(§4A1.3) Pending cases	B
302	(§4A1.3) Related cases	B
304	Gen. adequacy of crim. history; does not reflect seriousness of crim. hist	B
305	(§4A1.3) Outside applicable time period, but similar misconduct	B
306	(§4A1.3) Outside applicable time period, but substantial portion of income	B
307	(§4A1.3) Significance or similarity of past conduct	B
308	Other adequacy of criminal history	B
309	Criminal history category over-represents the defendant's involvement	D
310	Prior criminal record; risk of future criminal conduct	U
311	Age of Priors	D
400	Unconvicted behavior added under relevant conduct	U
401	(§4B1.3) Criminal livelihood	U
402	(§4B1.1) Career offender	U
500	Pursuant to a NONbinding (or unknown type) plea agreement	B
501	Due to stipulations	B
502	Other plea agreement reason	B
503	Pursuant to a binding plea agree 11(c)(1)(C)	B
504	Statmin/Max	B
648	Alien Status	B
649	Cultural Assimilation	D
650	Mule/Role in the offense	B
651	Interpolation	B
652	Deportation	B
653	Local conditions	D
654	Adequate punishment to meet the purposes of sentencing	B

CODE	DESCRIPTION	DIRECTION
655	Deterrence	B
656	Prey to other inmates / Susceptibility to abuse in prison	D
657	Reduce disparity	B
658	Charge/Plea does not reflect the seriousness of the offense	B
659	Guidelines do not reflect the seriousness of the offense	B
660	Justifiable Reasons	B
661	No prior record/first offender	D
662	Put sentence in line with codefendant's. (*i.e.,* Reduce disparity among codefendants)	D
663	High speed chase	U
664	Lack of culpability/accountability of defendant	D
665	Lost job/punishment enough	D
666	Lack of available facilities/overcrowding	D
668	Nonviolent Offense/Offender	D
669	Indigent background	B
670	Impact on Employment of Self/Others	D
671	Time or cost involved in the investigation	B
672	Defendant's propensity for violence	U
673	Acceptance of responsibility	D
674	Limited/Minor prior record	D
675	Training /Treatment Opportunities	B
676	Rehabilitation	D
677	Restitution	D
678	Incapacitation	B
679	Sufficient punishment	B
680	Nature/Seriousness of the offense	B
681	First felony conviction	D
683	On-going nature of activity	U
684	Terminated Offense Prior to Arrest/Discovery	B
685	Low Likelihood of Recidivism	D
686	Conduct on Release/Bond/Supervision	B
687	No Loss/Monetary Benefit	D
688	Dollar amount involved in crime (general)	B
689	Minors involved	U
690	Untruthful testimony	U
691	Terrifying the victim	U
692	Influenced/Used by Others	D
693	Cost of Incarceration	D
695	Currently receiving punishment under state or federal jurisdiction	D
696	Mitigating Factors regarding Firearms	D
697	Defendant's positive background/good character	D
698	Defendant's negative background/bad character	U
699	Military record	B
700	Not representative of the 'heartland'	B

CODE	DESCRIPTION	DIRECTION
701	Guidelines too high/offense level over-represented	D
702	Guidelines too low/offense level under-represented	U
703	(§5K2.0) General aggravating or mitigating circumstance	B
704	(§4A1.3) Seriousness of criminal history substantially underrepresented by CHC	U
705	(§4A1.3) Likelihood of recidivism underrepresented by Crim Hist Cat	U
706	(§2C1.1) Payment not reflect seriousness of offense	U
707	(§2L1.1) Knowingly smuggled alien who intended to engage in serious crim. activity	U
708	(§2B1.1) Offense level understate offense seriousness	U
709	(§2B1.1) Offense Level overstates offense seriousness	D
710	(§2B1.1) Offense level disrupts critical infrastructure	U
711	(§5G1.3)	D
712	Advisory nature of guidelines	B
713	Judge specifies presence of variance / deviation	B
719	Cooperation/Attempted Cooperation (not §5K, not with prosecution, per se)	D
720	§5K1.1 Subst. Assist. WITHOUT govt. motion	B
721	§5H1.11 Military Record/Charitable Service/Good Works	B
730	Early Plea	B
731	Global Plea	B
740	Savings to government	B
741	Waiver of appeal	B
742	Waiver of indictment	B
743	Global disposition	B
744	As stated on record	B
745	SOR references an exhibit (*e.g.,* "See Exhibit C")	B
746	See Transcript/Rider/PSR	B
747	Party Motion /Agreement /Consent (Reason Unspecified)	B
750	Reasonableness	B
751	Interest of Justice	B
760	US v Booker / US v Fanfan	B
761	18 U.S.C. § 3553(a)	B
762	Language from 18 U.S.C. § 3553(a) statute text	B
777	Other (SPECIFY)	B
778	Other (SPECIFY)	B
779	Other (SPECIFY)	B
780	Other (SPECIFY)	B
781	Other (SPECIFY)	B
782	Other (SPECIFY)	B
783	Other (SPECIFY)	B
784	Other (SPECIFY)	B
785	Other (SPECIFY)	B
786	Other (SPECIFY)	B

CODE	DESCRIPTION	DIRECTION
787	Other (SPECIFY)	B
788	Other (SPECIFY)	B
789	Other (SPECIFY)	B
790	Other (SPECIFY)	B
791	Other (SPECIFY)	B
792	Other (SPECIFY)	B
793	Other (SPECIFY)	B
794	Other (SPECIFY)	B
795	Other (SPECIFY)	B
796	Other (SPECIFY)	B
797	Other (SPECIFY)	B
798	Other (SPECIFY)	B
799	Other (SPECIFY)	B
801	§8C4.2, risk of death or bodily injury	U
812	Existence of Aggravating or Mitigating Factors	B
813	Inability to Pay	B
822	Defendant is a law enforcement officer or ex-law enforcement officer	B
823	Offense behavior was an isolated incident	D
824	A lower sent. gives defend. a chance to become a productive member of society	D
825	(§5K2.16) Voluntary Disclosure	D
826	Lack of Youthful Guidance	D
827	Delay in prosecution; evidentiary concerns	B
828	Time Served	B
830	Child abuse/ childhood abuse/ battered child syndrome	B
831	Remorse	D
832	Abuse of trust/skill/position	U
833	§2L1.2 - Criminal History Issues	D
834	Limited Duration of Involvement	D
835	Conditions of Confinement	D
1200	Criminal History Issues	B
1201	Unusually High Drug Amount/Purity	U
1202	General Guideline Adequacy Issues	B
1203	Loss Issues	B
1205	Insufficient Documentation Provided on SOR to Determine Reason	B
1206	Reduce Disparity	D
1207	§5H1.11 - Military Record/Charitable Works/Good Deeds	D
1208	§5G1.3	D