

UNITED STATES SENTENCING COMMISSION

VARIABLE CODEBOOK

Fentanyl and Fentanyl Analogues: Federal Trends and Trafficking Patterns



FISCAL YEAR 2019

INTRODUCTION

This codebook is for the U.S. Sentencing Commission's analysis datafile used for the publication *Fentanyl and Fentanyl Analogues: Federal Trends and Trafficking Patterns*. The analysis datafile comprises the 1,119 offenders from the Commission's fiscal year 2019 datafile sentenced under one of the seven drug trafficking guidelines where fentanyl or fentanyl analogue was the primary drug involved in the offense and for whom the Commission had received sufficient documentation to perform the necessary analyses. The drug trafficking guidelines (USSG §§2D1.1, 2D1.2, 2D1.5, 2D1.6, 2D1.8, 2D1.10, and 2D1.14) represent those guidelines in Chapter Two, Part D of the Commission's *Guidelines Manual* that utilize the drug quantity table in USSG §2D1.1.

The datafile includes only those variables used for the analyses in the publication. This project is detailed in the report. Fentanyl or fentanyl analogue was determined as the primary drug in the offense when (1) the quantity of fentanyl or a fentanyl analogue involved in the offense resulting in the highest guideline range under the Drug Quantity Table; (2) the fentanyl or fentanyl analogue involved in the offense resulting in a heightened base offense level under subsections §2D1.1(a)(1)—(4) for death or serious bodily injury; or (3) the fentanyl or fentanyl analogue was mixed with another drug, identified as a constituent part of the mixture, and the fentanyl or fentanyl analogue resulted in the highest guideline range.

Some of the data in this report are analysis variables from the Commission's individual offender datafile for fiscal year 2019. Those are identified as "USSCFY19 Datafile" in the ORIGIN column in the codebook. Additional information for those variables can be found in the Commission's *Variable Codebook for Individual Offenders: Standardized Research Data Documentation for Fiscal Years 1999-2021* at www.ussc.gov.

NAME	ORIGIN	CODES	DESCRIPTION
add_drug_types	FENTANYL	Range: 1 to 7 .= Missing/Indeterminable	Indicates the number of additional drug types of the offender (beyond fentanyl or fentanyl analogue(s)).
advert	FENTANYL	0 = Indeterminable 1 = Other drug 2 = Fentanyl or fentanyl analogue	Indicates how the offender sold or advertised the product(s) containing fentanyl or fentanyl analogue.
age	USSCFY19	Range: 18 thru 83	Identifies the age of the defendant at the time of sentencing. It is generated based on the date of birth provided either in the PSR or at case submission to USSC, and the sentencing date from the J&C.
aggrole	USSCFY19	0 = No Adjustment Applied 1 = Adjustment Applied	Indicates whether the court applied a guideline adjustment due to the defendant's aggravating role in the offense (§3B1.1).
amendyr	USSCFY19	Range: 2015 thru 2018 .= Missing, Indeterminable, or Inapplicable	The edition of the <i>Guideline Manual</i> used to calculate the sentencing range.
analogue_add	FENTANYL	0 = No 1 = Yes	Indicates whether a fentanyl analogue(s) was present in cases where the primary drug was fentanyl (YES/NO variable).
analogue_missing	FENTANYL	0 = Weight present 1 = No weight present	Indicates if the fentanyl analogue(s) weight is not present.
analogue_w_cat	FENTANYL	0 = Less than 1g 1 = 1g to 10g 2 = More than 10g to 50g 3 = More than 50g to 250g 4 = More than 250g to 1000g 5 = More than 1000g .= Missing, Indeterminable, or Inapplicable	Indicates the weight category of the fentanyl analogue(s) for offenders identified with fentanyl analogue as the primary drug in the offense.
border_ship	FENTANYL	1 = Yes .= Missing, Indeterminable, or Inapplicable	Indicates whether fentanyl or fentanyl analogues were shipped across the Southwest border.
co_acc	USSCFY19	0 = No Armed Career Criminal or Career Offender status applied 1 = Armed Career Criminal or Career Offender status applied	Indicates whether the offender was determined to be an Armed Career Criminal under §4B1.4 or a Career Offender under §4B1.1.
copart_dummy	FENTANYL	0 = No 1 = Yes	Indicates whether coparticipants were present in the offense (YES/NO variable).
crack_pres	FENTANYL	0 = No 1 = Yes .= Missing, Indeterminable, or Inapplicable	Indicates whether the offender was held accountable for crack cocaine (YES/NO variable).
darkweb	FENTANYL	0 = Did not use Dark Web 1 = Used Dark Web	Indicates whether the Dark Web was used in purchasing the fentanyl or fentanyl analogue.
distro	FENTANYL	0 = Not a international distributor 1 = International distributor	Indicates whether the offender was an international distributor (through the mail or as a courier).

NAME	ORIGIN	CODES	DESCRIPTION
dsbi_bol	FENTANYL	0 = Drug Quantity Table 1 = Death or Serious Bodily Injury BOL	Indicates whether the offender was assigned to a base offense level based on a death or serious bodily injury enhancement (§2D1.1(a)(1)-(4)) or based on the drug quantity table.
drug_function	FENTANYL	0 = Importer/High Level Supplier 1 = Organizer/Leader 2 = Wholesaler 3 = Manager/Supervisor 4 = Doctor/Nurse/Medical Professional 5 = Street Level Dealer 6 = Courier/Mule 7 = Renter/Storer 8 = Enabler (Passive) 9 = User Only 77 = Other 99 = Unknown, Missing, or Indeterminable	Indicates the most serious function the drug offender performed during the offense.
drugmin	USSCFY19	Range: 0 thru 9990 9996 = Life	The length of any mandatory minimum penalty (in months) associated with the drug statute(s) of conviction.
fake_pills	FENTANYL	0 = No counterfeit pills present 1 = Counterfeit pills present	Indicates whether fake/counterfeit pills containing fentanyl or fentanyl analogue were present.
fake_type	FENTANYL	Text	Indicates the brand or generic prescription the counterfeit pills were intent to mimic.
fent_cour_intl	FENTANYL	0 = No 1 = Yes, apprehended at border (or by U.S. Border Patrol) 2 = Yes, apprehended at an airport 3 = Yes, apprehended at another legal port of entry (a port) 4 = Yes, but not apprehended at border or a port of entry . = Missing, Indeterminable, or Inapplicable	Indicates whether the offender crossed international boundaries/borders (<i>i.e.</i> , walked/drove across the border, sailed through international waters, flew in from another country).
fent_courier	FENTANYL	0 = Did not transport fentanyl or fentanyl analogue 1 = Transported fentanyl or fentanyl analogue	Indicates whether the offender was a courier/mule.
fent_missing	FENTANYL	0 = Weight present 1 = No weight present	Indicates if the fentanyl weight is not present.
fent_net_txt	FENTANYL	Text	Indicates the country from which the offender or co-participant bought or sold fentanyl or fentanyl analogue.
fent_w_cat	FENTANYL	0 = Less than 1g 1 = 1g to 10g 2 = More than 10g to 50g 3 = More than 50g to 250g 4 = More than 250g to 1000g 5 = More than 1000g . = Missing, Indeterminable, or Inapplicable	Indicates the weight category of the fentanyl for offenders identified with fentanyl as the primary.

NAME	ORIGIN	CODES	DESCRIPTION
fantanyl_add	FENTANYL	0 = No 1 = Yes	Indicates whether fentanyl was present in cases where the primary drug was fentanyl analogue (YES/NO variable).
heroin_pres	FENTANYL	0 = No 1 = Yes . = Missing, Indeterminable, or Inapplicable	Indicates whether the offender was held accountable for heroin (YES/NO variable).
intent_misrep	FENTANYL	0 = No intentional misrepresentation 1 = Intentional misrepresentation	Indicates whether the offender intentionally misrepresented fentanyl or fentanyl analogue.
international_courier	FENTANYL	0 = Not an international courier 1 = International courier	Indicates whether the offender was an international courier.
internet	FENTANYL	0 = Did not use internet 1 = Used internet	Indicates whether the Internet was used to obtain the fentanyl or fentanyl analogue.
intl_mail	FENTANYL	0 = No international mail 1 = International mail	Indicates whether international mail or packaging services were used (directly or indirectly) in obtaining fentanyl or fentanyl analogue.
know_yes	FENTANYL	0 = Offender did not know or knowledge is unknown 1 = Offender knew	Indicates whether the offender, at any time, knew fentanyl or fentanyl analogue was present.
massmarket	USSCFY19	0 = No Mass Marketing SOC Applied 2 = Mass Marketing SOC Applied	Indicates the application of the specific offense characteristic at §2D1.1(b)(7) "If the defendant, or a person for whose conduct the defendant is accountable under §1B1.3 (Relevant Conduct), distributed a controlled substance through mass-marketing by means of an interactive computer service."
meth_pres	FENTANYL	0 = No 1 = Yes . = Missing, Indeterminable, or Inapplicable	Indicates whether the offender was held accountable for methamphetamine (YES/NO variable).
mitrole	USSCFY19	0 = No Adjustment Applied 1 = Adjustment Applied	Indicates whether the court applied a guideline adjustment due to the defendant's mitigating role in the offense (§3B1.2).
mixture	FENTANYL	0 = Was not mixed 1 = Mixed with heroin or other drug 2 = Mixed to look like counterfeit pills	Indicates whether fentanyl or fentanyl analogue was mixed with another drug or mixed to look like a counterfeit pill.
mj_pres	FENTANYL	0 = No 1 = Yes . = Missing, Indeterminable, or Inapplicable	Indicates whether the offender was held accountable for marijuana (YES/NO variable).
monsex	USSCFY19	0 = Male 1 = Female	The offender's gender.
multidrug	FENTANYL	0 = No 1 = Yes	Indicates whether if the offender was held accountable for more than one type of drug (YES/NO variable).
newcit	USSCFY19	0 = U.S. 1 = Non-U.S. (Includes Legal and Illegal Aliens)	The offender's citizenship.
newcnvtn	USSCFY19	0 = Plea 1 = Trial	Indicates whether the offender was convicted after pleading guilty or after a trial.

NAME	ORIGIN	CODES	DESCRIPTION
neweduc	USSCFY19	1 = Less Than H.S. Graduate 3 = H.S. Graduate 5 = Some College 6 = College Graduate	The offender's highest level of education.
newfentsoc	USSCFY19	0 = No Misrepresentation SOC Applied 4 = Misrepresentation SOC Applied	Indicates the application of the specific offense characteristic at §2D1.1(b)(13) "If the defendant knowingly misrepresented or knowingly marketed as another substance a mixture or substance containing fentanyl (N-phenyl-N-[1-(2-phenylethyl)-4-piperidinyl] propanamide) or a fentanyl analogue."
newrace	USSCFY19	1 = White 2 = Black 3 = Hispanic 6 = Other . = Missing, Indeterminable, or Inapplicable	The offender's race/ethnicity.
overdose_dummy	FENTANYL	0 = No overdose present 1 = Overdose present	Indicates whether an overdose occurred during the offense.
oxy_pres	FENTANYL	0 = No 1 = Yes . = Missing, Indeterminable, or Inapplicable	Indicates whether the offender was held accountable for oxycodone (YES/NO variable).
powder_pres	FENTANYL	0 = No 1 = Yes . = Missing, Indeterminable, or Inapplicable	Indicates whether the offender was held accountable for powder cocaine (YES/NO variable).
prescript	FENTANYL	0 = No prescription 1 = Prescription	Indicates whether the fentanyl or fentanyl analogue was in prescription form.
prisdum	USSCFY19	0 = No 1 = Yes	Indicates whether the defendant received a prison sentence (YES/NO variable).
project_primary	FENTANYL	1 = Fentanyl primary 2 = Fentanyl analogue primary	Indicates the primary drug (fentanyl or fentanyl analogue) involved in the case.
reasondbi	FENTANYL	0 = No death or physical injury reason 1 = Death or physical injury reason . = Missing, Indeterminable, or Inapplicable	Indicates whether the offender received an upward departure or variance due to a death or physical injury.
relief	USSCFY19	0 = No Relief 1 = Relief through Safety Valve 2 = Relief through Substantial Assistance 3 = Relief through both Safety Valve and Substantial Assistance . = Missing, Indeterminable, or Inapplicable	Indicates whether the offender received relief from a mandatory minimum penalty and, if so, whether that occurred through application of the application of the statutory safety valve (18 U.S.C. § 3553(f)), by providing substantial assistance to the government (18 U.S.C. § 3553(e)), or both. <i>Limited to offenders with drug mandatory minimum.</i>
reliefdum	USSCFY19	0 = No Relief 1 = Relief . = Missing, Indeterminable, or Inapplicable	Recoded from RELIEF. Indicates the offender received relief from the mandatory minimums. <i>Limited to offenders with drug mandatory minimum.</i>

NAME	ORIGIN	CODES	DESCRIPTION
safe	USSCFY19	0 = Not Applied 1 = Received -2 levels for SV SOC in §2D1.1/§2D1.11 (Guideline Safety Valve) 2 = Received statutory safety valve per 18 U.S.C. §3553(f) but did not receive SV SOC Reduction in §2D1.1/§2D1.11 case	Indicates whether the court applied the Safety Valve at §2D1.1. The statutory safety valve was enacted by Congress to provide low level offenders relief from mandatory minimum drug penalties. The Commission incorporated this into the sentencing guidelines at §5C1.2 and also provided for a two-level decrease at §2D1.1. for any drug trafficking offender meeting the safety valve criteria, regardless of whether a mandatory minimum penalty otherwise applied in the case.
senspcap	USSCFY19	Range: 0 thru 469.99 0 = Probation 470 = Life . = Missing, Indeterminable, or Inapplicable	The total prison sentence, in months, plus alternatives, Probation sentences are included as zero months. Life imprisonment sentences are assigned a value of 470 months. Sentences greater than 470 months are capped at 469.99. Missing terms are set to ".". This field includes sentences of time imposed, time served, and §5G1.3 credit. Alternatives include home detention, community confinement, and intermittent confinement.
sentrng	USSCFY19	0 = Within Range 1 = Upward Departure 2 = 5K1.1/Substantial Assistance 3 = Early Disposition/5K3.1 4 = Government Sponsored Departure 5 = Downward Departure 6 = Above Range Variance 7 = Government Sponsored Variance 8 = Below Range Variance	Assigns cases to one of the eight post-Booker reporting categories based on relationship between the sentence and guideline range and the reason(s) given for being outside of the range.
sosdistrict	USSCFY19	0 = Non-SOS District 1 = SOS District	Indicates whether the case was filed in one of the ten judicial districts the Department of Justice identified under Operation Synthetic Opioid Surge (S.O.S.): Eastern District of California, Eastern District of Kentucky, District of Maine, District of New Hampshire, Northern District of Ohio, Southern District of Ohio, Western District of Pennsylvania, Eastern District of Tennessee, Northern District of West Virginia, and Southern District of West Virginia.
stat851	USSCFY19	0 = No 1 = Yes	Indicates whether any statutory minimum penalty and/or the statutory maximum penalty was enhanced through the recidivist provisions of the drug trafficking statute (YES/NO variable).
weapon	USSCFY19	0 = No SOC Weapon Enhancement Nor 18§924(c) Charge Present 1 = SOC Weapon Enhancement or 18§924(c) Charge Present	Indicates whether the court applied a specific offense characteristic involving the presence of a weapon during the offense or whether the offender was convicted under 18 U.S.C. § 924(c) (YES/NO variable).
xcrhissr	USSCFY19	Range: 1 thru 6	The offender's final criminal history category (I-VI), as determined by the court.