

***UNITED STATES SENTENCING COMMISSION***

**VARIABLE CODEBOOK FOR  
WHAT DOES FEDERAL ECONOMIC CRIME REALLY  
LOOK LIKE?**



**FISCAL YEARS 2013 - 2017**

## Introduction

This codebook is for the U.S. Sentencing Commission's analysis datafile used for the publication *What Does Federal Economic Crime Really Look Like?*. The analysis datafile is a subset of the Commission's individual offender datafiles, comprised of the 37,362 offenders from the Commission's 2013-2017 datafiles sentenced under USSG §2B1.1 with complete guideline application information and sufficient documentation to determine specific offense type.

The analysis datafile only includes variables used for the analyses in the publication. Some of variables were created from the special data collection project that determined the specific offense types. This project is detailed in the report and involved using either statute of conviction or offense conduct descriptions to assign offenders to specific offense types. In addition, some analysis variables were recodes of variables from the Commission's individual offender datafile. The source of these variables is identified as "ECON Datafile" in the ORIGIN column in the codebook.

Analysis variables from the Commission's individual offender datafiles are identified as "USSCFY Datafiles" in the ORIGIN column in the codebook. Additional information for those variables can be found in the Commission's *Variable Codebook for Individual Offenders: Standardized Research Data Documentation for Fiscal Years 1999-2017* at [www.ussc.gov](http://www.ussc.gov).

VARIABLE NAME	ORIGIN	CODES	DESCRIPTION
ABUSHI	USSCFY Datafiles	0 = Adjustment Not Applied 1 = Increase (Not in USSG Manual) 2 = Abuse Applied . = Missing, Indeterminable, or Inapplicable	This field indicates the number of levels added due to the defendant's abuse of a position of trust or use of a special skill under the Abuse of Trust Chapter Three Adjustment (§3B1.3). For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
ADJ_BHI thru ADJ_THI	USSCFY Datafiles	Range: 0 thru 30 0 = No Adjustment Applied . = Missing, Indeterminable, or Inapplicable	These variables are the Specific Offense Characteristics (SOCs). These SOC's will add or subtract levels from the corresponding base offense level (BOL). SOC's are unique to each guideline. There are up to 19 SOC's (B thru T) applied under each Chapter Two guideline. The letter B represents the 1 <sup>st</sup> SOC under the guideline, the letter C represents the second SOC, etc. For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
AGE	USSCFY Datafiles	Range: 18 thru 88 . = Missing/Indeterminable	Identifies the age of the defendant at the time of sentencing. It is generated based on the date of birth provided either in the PSR or at case submission to USSC, and the sentencing date from the J&C. For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
AGGROLHI	USSCFY Datafiles	0 = No Adjustment Applied 1 = Applied (Not in USSG Manual) 2 = Adjustment Applied (mgr, organizer, supervisor, leader) 3 = Adjustment Applied (mgr or supervisor of 5+ participants) 4 = Adjustment Applied (leader or organizer of 5+ participants) . = Missing, Indeterminable, or Inapplicable	This field contains the number of levels added due to the defendant's aggravating role in the offense pursuant to Aggravated Role Adjustment (§3B1.1). For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
AMENDYR	USSCFY Datafiles	Range: 2001 thru 2016 . = Missing, Indeterminable, or Inapplicable	The guideline manual used to calculate the guideline calculations. For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
BOOKERCD	USSCFY Datafiles	0 = Within Range 1 = Upward Departure 2 = Upward Departure w/Booker 3 = Above Range w/Booker 4 = Remaining Above Range 5 = 5K1.1/Substantial Assistance 6 = Early Disposition/5K3.1 7 = Government Sponsored Below Range 8 = Downward Departure 9 = Downward Departure w/Booker 10 = Below Range w/Booker 11 = Remaining Below Range . = Missing, Indeterminable, or Inapplicable	Assigns cases to one of the 12 post- <i>Booker</i> reporting categories based on relationship between the sentence and guideline range and the reason(s) given for being outside of the range. For more detailed information on the <i>Booker</i> reporting categories, please see Appendix A of the Commission's most recent <i>Sourcebook of Federal Sentencing Statistics</i> . For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .

CIRCDIST	USSCFY Datafiles	01 = Dist of Columbia 02 = Maine 03 = Massachusetts 04 = New Hampshire 05 = Puerto Rico 06 = Rhode Island 07 = Connecticut 08 = New York East 09 = New York North 10 = New York South 11 = New York West 12 = Vermont 13 = Delaware 14 = New Jersey 15 = Penn. East 16 = Penn. Mid 17 = Penn. West 18 = Virgin Islands 19 = Maryland 20 = N Carolina East 21 = N Carolina Mid 22 = N Carolina West 23 = South Carolina 24 = Virginia East 25 = Virginia West 26 = W Virginia North 27 = W Virginia South 28 = Louisiana East 29 = Louisiana Middle 30 = Louisiana West 31 = Miss. North 32 = Miss. South 33 = Texas East 34 = Texas North 35 = Texas South 36 = Texas West 37 = Kentucky East 38 = Kentucky West 39 = Michigan East 40 = Michigan West 41 = Ohio North 42 = Ohio South 43 = Tennessee East 44 = Tennessee Mid 45 = Tennessee West 46 = Illinois Cent 47 = Illinois North 48 = Illinois South 49 = Indiana North 50 = Indiana South 51 = Wisconsin East 52 = Wisconsin West 64 = Arizona 65 = California Cent 66 = California East 67 = California North 68 = California South 69 = Guam	Districts in the order in which they appear in the Commission's <i>Sourcebook of Federal Sentencing Statistics</i> . For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
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VARIABLE NAME	ORIGIN	CODES	DESCRIPTION
		70 = Hawaii 71 = Idaho 72 = Montana 73 = Nevada 74 = N Mariana Island 75 = Oregon 76 = Washington East 77 = Washington West 78 = Colorado 79 = Kansas 80 = New Mexico 81 = Oklahoma East 82 = Oklahoma North 83 = Oklahoma West 84 = Utah 85 = Wyoming 86 = Alabama Mid 87 = Alabama North 88 = Alabama South 89 = Florida Mid 90 = Florida North 91 = Florida South 92 = Georgia Mid 93 = Georgia North 94 = Georgia South 53 = Arkansas East 54 = Arkansas West 55 = Iowa North 56 = Iowa South 57 = Minnesota 58 = Missouri East 59 = Missouri West 60 = Nebraska 61 = North Dakota 62 = South Dakota 63 = Alaska	
CODEMEYN	ECON Datafiles	0 = No, specific offense type was assigned based on statute of conviction 1 = Yes, specific offense type was assigned based on review of documentation . = Specific statute missing, cases reviewed, or non-USSG §2B1.1 case	Indicator of whether case review was used to assign the case to a specific offense category.

VARIABLE NAME	ORIGIN	CODES	DESCRIPTION
ECON_DESCENDING	ECON_D atafiles	1=Embezzlement/Theft 2=Credit Card 3=Financial Institution 4=Government Benefits 5=Health Care 6=Identity Theft 7=Mail Related 8=Tax 9=Securities/Investment 10=Mortgage 11=False Advertising/product substitution 12=Advanced Fee 13=False Statements 14=Counterfeiting/Forgery 15=Insurance 16=Government Procurement 17=Computer 18=All Other 19=Bankruptcy 20=Contract Violation 21=Antitrust 22=Money Laundering 23=Immigration 24=Disaster 25=Intellectual Property 26=Campaign Finance 27=Education Funds 28=Retirement/Unemployment 29=Weapons	Indicates most serious specific type of offense for each case.
FY	ECON_D atafiles	2013 – 2017	Four-digit year indicating the USSCFY datafile for the case.
LOSSHI	USSCFY Datafiles	Range: 0- 9,999,999,997 0 = No loss 9,999,999,997 =Some Loss, Amount Not Specified/Range of Amounts . = Missing, Indeterminable, or Inapplicable	The dollar amount of loss for which the offender is held responsible. Amounts are rounded off to the nearest whole dollar. Loss is often used in various economic crime guidelines to determine either the base offense level or levels of an SOC. Cases not involving dollar loss (ex. Drug cases) are coded as zero for the amount. For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
MITROLHI	USSCFY Datafiles	0 =No Adjustment -1 = Adjustment (Not in <i>USSG</i> Manual) -2 = Minor Participation -3 = Between Minor and Minimal Participation -4 = Minimal Participation . = Missing, Indeterminable, or Inapplicable	Mitigating Role Adjustment (§3B1.2). This field is the number of levels subtracted due to the defendant's mitigating role in the offense. For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .

VARIABLE NAME	ORIGIN	CODES	DESCRIPTION
MONCIRC	USSCFY Datafiles	Range: 0 thru 11 . = Missing, Indeterminable, or Inapplicable	Indicates the judicial circuit in which the defendant was sentenced. This variable is generated from the entry for judicial district. For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
MONRACE	USSCFY Datafiles	1 =White/Caucasian 2 =Black/African American 3 =American Indian\Alaskan Native 4 =Asian or Pacific Islander 5 =Multi-racial 7 =Other 8 =Info on Race Not Available in Docs (This code only available FY07 and on) 9 = Non-US American Indians 10 = American Indians Citizenship Unknown . = Missing, Indeterminable, or Inapplicable	Offender's race (self-reported to the probation officer). Code 8 is not available prior to FY2007. Code 9 is not available prior to FY2009. NOTE: Hispanic ethnicity is coded in the variable HISPORIG. Race and ethnicity are combined in NEWRACE. For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
MONSEX	USSCFY Datafiles	0 =Male 1 =Female . = Missing, Indeterminable, or Inapplicable	Indicates the offender's gender. For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
NEWCIT	USSCFY Datafiles	0 =U.S. 1 =Non-U.S. (Includes Legal and Illegal Aliens) . = Missing, Indeterminable, or Inapplicable	Citizenship of the defendant (Recode of CITIZEN for the <i>Sourcebook of Federal Sentencing Statistics</i> publication). For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
NEWEDUC	USSCFY Datafiles	1 = Less Than H.S. Graduate 3 = H.S. Graduate 5 = Some College 6 = College Graduate . = Missing, Indeterminable, or Inapplicable	Highest level of education for offender. For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
NEWRACE	USSCFY Datafiles	1 =White 2 =Black 3 =Hispanic 6 =Other . = Missing, Indeterminable, or Inapplicable	Race of defendant (Recode of MONRACE and HISPORIG for the <i>Sourcebook of Federal Sentencing Statistics</i> publication). For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .

VARIABLE NAME	ORIGIN	CODES	DESCRIPTION
SENSPLT0	USSCFY Datafiles	Range: 0 thru 9997 0 = Probation 470 = Life . = Missing, Indeterminable, or Inapplicable	The total prison sentence, in months, plus alternatives, with zeros (probation). This field includes sentences of time imposed, time served, and §5G1.3 credit. See <a href="#">Federal Sentencing Data Analysis.pdf</a> for a complete explanation of the Commission's sentencing variables. <i>Alternatives include home detention, community confinement, and intermittent confinement. SENSPLT0 is missing if any of monitoring's alternative variables are coded 97. This variable does not take ZONE into account.</i> For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
SENTIMP	USSCFY Datafiles	0 =No Prison/Probation (Fine Only) 1 =Prison Only (No Alternatives) 2 =Prison & Confinement Conditions (Alternatives, Including Zone C Split Sentences) 3 =Probation & Confinement Conditions (Alternatives) 4 =Probation Only . =Missing, Indeterminable, or Inapplicable	Indicates what type of sentence was given (prison, probation, probation plus alternatives, or prison/split sentence). <i>Alternatives with values of 97 are included in categories with alternatives.</i> For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
SOPHISTICATED_L	ECON Datafiles	Range A-C	Indicates subsection applied of Sophisticated Means enhancement in USSG §2B1.1. Created using ADJL_ variable from USSCFY individual offender datafile. For more information on the ADJL_ variables, see the Commission's <i>Variable Codebook for Individual Offenders</i>
USSCIDN	USSCFY Datafiles	Range: 6 Numbers	Unique sequential identification number given to cases upon receipt at USSC. For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
XCRHISSR	USSCFY Datafiles	Range: 1 thru 6 . = Missing, Indeterminable, or Inapplicable	Defendant's final criminal history category (I-VI), as determined by the court. For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .
YEARS	USSCFY Datafiles	1 = < 21 2 = 21 thru 25 3 = 26 thru 30 4 = 31 thru 35 5 = 36 thru 40 6 = 41 thru 50 7 = > 50 . = Missing	Categories of age ranges (Recode of AGE for USSC <i>Sourcebook</i> ). For more information on this variable, see the Commission's <i>Variable Codebook for Individual Offenders</i> .