

Table 1

DOCUMENT SUBMISSION RATE BY CIRCUIT AND DISTRICT¹
(October 1, 1995, through September 30, 1996)

CIRCUIT	Number of Cases	Judgment of Conviction Order ²				Presentence Report ³						Report on the Sentencing Hearing ⁴			
		Received		Not Received		Received		Not Received		Waived		Received		Not Received	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%
TOTAL	42,436	41,990	98.9	446	1.1	39,948	94.1	1,677	4.0	811	1.9	39,878	94.0	2,558	6.0
D.C. CIRCUIT	378	345	91.3	33	8.7	373	98.7	5	1.3	0	0.0	353	93.4	25	6.6
District of Columbia	378	345	91.3	33	8.7	373	98.7	5	1.3	0	0.0	353	93.4	25	6.6
FIRST CIRCUIT	1,461	1,452	99.4	9	0.6	1,342	91.9	78	5.3	41	2.8	1,444	98.8	17	1.2
Maine	146	145	99.3	1	0.7	144	98.6	2	1.4	0	0.0	145	99.3	1	0.7
Massachusetts	461	460	99.8	1	0.2	446	96.7	6	1.3	9	2.0	459	99.6	2	0.4
New Hampshire	147	147	100.0	0	0.0	147	100.0	0	0.0	0	0.0	146	99.3	1	0.7
Puerto Rico	599	592	98.8	7	1.2	497	83.0	70	11.7	32	5.3	587	98.0	12	2.0
Rhode Island	108	108	100.0	0	0.0	108	100.0	0	0.0	0	0.0	107	99.1	1	0.9
SECOND CIRCUIT	3,840	3,834	99.8	6	0.2	3,723	97.0	34	0.9	83	2.2	3,222	83.9	618	16.1
Connecticut	259	259	100.0	0	0.0	259	100.0	0	0.0	0	0.0	258	99.6	1	0.4
New York															
Eastern	1,503	1,500	99.8	3	0.2	1,501	99.9	2	0.1	0	0.0	991	65.9	512	34.1
Northern	433	433	100.0	0	0.0	344	79.4	13	3.0	76	17.6	430	99.3	3	0.7
Southern	1,196	1,193	99.7	3	0.3	1,182	98.8	14	1.2	0	0.0	1,099	91.9	97	8.1
Western	356	356	100.0	0	0.0	348	97.8	1	0.3	7	2.0	352	98.9	4	1.1
Vermont	93	93	100.0	0	0.0	89	95.7	4	4.3	0	0.0	92	98.9	1	1.1
THIRD CIRCUIT	2,377	2,365	99.5	12	0.5	2,357	99.2	9	0.4	11	0.5	2,345	98.7	32	1.3
Delaware	94	93	98.9	1	1.1	94	100.0	0	0.0	0	0.0	93	98.9	1	1.1
New Jersey	727	724	99.6	3	0.4	723	99.5	3	0.4	1	0.1	716	98.5	11	1.5
Pennsylvania															
Eastern	818	818	100.0	0	0.0	811	99.1	0	0.0	7	0.9	817	99.9	1	0.1
Middle	362	358	98.9	4	1.1	362	100.0	0	0.0	0	0.0	359	99.2	3	0.8
Western	283	279	98.6	4	1.4	275	97.2	5	1.8	3	1.1	269	95.1	14	4.9
Virgin Islands	93	93	100.0	0	0.0	92	98.9	1	1.1	0	0.0	91	97.8	2	2.2
FOURTH CIRCUIT	4,134	4,040	97.7	94	2.3	3,989	96.5	33	0.8	112	2.7	3,919	94.8	215	5.2
Maryland	359	355	98.9	4	1.1	354	98.6	5	1.4	0	0.0	354	98.6	5	1.4
North Carolina															
Eastern	538	527	98.0	11	2.0	491	91.3	8	1.5	39	7.2	524	97.4	14	2.6
Middle	368	367	99.7	1	0.3	368	100.0	0	0.0	0	0.0	367	99.7	1	0.3
Western	554	516	93.1	38	6.9	543	98.0	10	1.8	1	0.2	515	93.0	39	7.0
South Carolina	728	713	97.9	15	2.1	724	99.5	4	0.5	0	0.0	711	97.7	17	2.3
Virginia															
Eastern	872	855	98.1	17	2.0	795	91.2	5	0.6	72	8.3	747	85.7	125	14.3
Western	318	312	98.1	6	1.9	318	100.0	0	0.0	0	0.0	307	96.5	11	3.5
West Virginia															
Northern	152	150	98.7	2	1.3	151	99.3	1	0.7	0	0.0	150	98.7	2	1.3
Southern	245	245	100.0	0	0.0	245	100.0	0	0.0	0	0.0	244	99.6	1	0.4

Table 1 (cont.)

CIRCUIT	Number of Cases	Judgment of Conviction Order ²				Presentence Report ³						Report on the Sentencing Hearing ⁴			
		Received		Not Received		Received		Not Received		Waived		Received		Not Received	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%
FIFTH CIRCUIT	6,586	6,521	99.0	65	1.0	6,303	95.7	73	1.1	210	3.2	6,489	98.5	97	1.5
Louisiana															
Eastern	395	387	98.0	8	2.0	382	96.7	13	3.3	0	0.0	383	97.0	12	3.0
Middle	83	78	94.0	5	6.0	80	96.4	3	3.6	0	0.0	77	92.8	6	7.2
Western	237	235	99.2	2	0.8	233	98.3	3	1.3	1	0.4	230	97.0	7	3.0
Mississippi															
Northern	154	152	98.7	2	1.3	152	98.7	2	1.3	0	0.0	153	99.4	1	0.6
Southern	206	205	99.5	1	0.5	204	99.0	2	1.0	0	0.0	204	99.0	2	1.0
Texas															
Eastern	522	517	99.0	5	1.0	521	99.8	0	0.0	1	0.2	519	99.4	3	0.6
Northern	980	976	99.6	4	0.4	979	99.9	1	0.1	0	0.0	976	99.6	4	0.4
Southern	2,070	2,064	99.7	6	0.3	2,030	98.1	9	0.4	31	1.5	2,055	99.3	15	0.7
Western	1,939	1,907	98.4	32	1.7	1,722	88.8	40	2.1	177	9.1	1,892	97.6	47	2.4
SIXTH CIRCUIT	3,512	3,488	99.3	24	0.7	3,458	98.5	14	0.4	40	1.1	3,473	98.9	39	1.1
Kentucky															
Eastern	307	307	100.0	0	0.0	306	99.7	0	0.0	1	0.3	307	100.0	0	0.0
Western	343	342	99.7	1	0.3	306	89.2	6	1.7	31	9.0	333	97.1	10	2.9
Michigan															
Eastern	805	797	99.0	8	1.0	804	99.9	1	0.1	0	0.0	798	99.1	7	0.9
Western	308	308	100.0	0	0.0	305	99.0	2	0.6	1	0.3	305	99.0	3	1.0
Ohio															
Northern	547	546	99.8	1	0.2	546	99.8	1	0.2	0	0.0	544	99.5	3	0.5
Southern	371	366	98.7	5	1.3	371	100.0	0	0.0	0	0.0	364	98.1	7	1.9
Tennessee															
Eastern	343	339	98.8	4	1.2	339	98.8	3	0.9	1	0.3	340	99.1	3	0.9
Middle	142	142	100.0	0	0.0	140	98.6	1	0.7	1	0.7	138	97.2	4	2.8
Western	346	341	98.6	5	1.4	341	98.6	0	0.0	5	1.4	344	99.4	2	0.6
SEVENTH CIRCUIT	2,038	2,031	99.7	7	0.3	2,024	99.3	9	0.4	5	0.2	1,983	97.3	55	2.7
Illinois															
Central	249	249	100.0	0	0.0	247	99.2	1	0.4	1	0.4	249	100.0	0	0.0
Northern	613	612	99.8	1	0.2	608	99.2	4	0.7	1	0.2	568	92.7	45	7.3
Southern	353	352	99.7	1	0.3	352	99.7	1	0.3	0	0.0	352	99.7	1	0.3
Indiana															
Northern	250	248	99.2	2	0.8	250	100.0	0	0.0	0	0.0	245	98.0	5	2.0
Southern	220	219	99.5	1	0.5	214	97.3	3	1.4	3	1.4	217	98.6	3	1.4
Wisconsin															
Eastern	252	250	99.2	2	0.8	252	100.0	0	0.0	0	0.0	251	99.6	1	0.4
Western	101	101	100.0	0	0.0	101	100.0	0	0.0	0	0.0	101	100.0	0	0.0
EIGHTH CIRCUIT	2,632	2,620	99.5	12	0.5	2,556	97.1	50	1.9	26	1.0	2,610	99.2	22	0.8
Arkansas															
Eastern	252	251	99.6	1	0.4	250	99.2	0	0.0	2	0.8	250	99.2	2	0.8
Western	119	118	99.2	1	0.8	118	99.2	1	0.8	0	0.0	118	99.2	1	0.8
Iowa															
Northern	165	165	100.0	0	0.0	164	99.4	1	0.6	0	0.0	165	100.0	0	0.0
Southern	220	219	99.5	1	0.5	214	97.3	6	2.7	0	0.0	219	99.5	1	0.5
Minnesota	291	290	99.7	1	0.3	290	99.7	1	0.3	0	0.0	288	99.0	3	1.0
Missouri															
Eastern	447	445	99.6	2	0.4	447	100.0	0	0.0	0	0.0	445	99.6	2	0.4
Western	396	395	99.7	1	0.3	394	99.5	2	0.5	0	0.0	394	99.5	2	0.5
Nebraska	263	258	98.1	5	1.9	263	100.0	0	0.0	0	0.0	258	98.1	5	1.9
North Dakota	163	163	100.0	0	0.0	127	77.9	36	22.1	0	0.0	158	96.9	5	3.1
South Dakota	316	316	100.0	0	0.0	289	91.5	3	0.9	24	7.6	315	99.7	1	0.3

Table 1 (cont.)

CIRCUIT	Number of Cases	Judgment of Conviction Order ²				Presentence Report ³						Report on the Sentencing Hearing ⁴				
		Received		Not Received		Received		Not Received		Waived		Received		Not Received		
		n	%	n	%	n	%	n	%	n	%	n	%	n	%	
NINTH CIRCUIT	8,414	8,295	98.6	119	1.4	6,850	81.4	1,309	15.6	255	3.0	7,122	84.6	1,292	15.4	
Alaska	87	81	93.1	6	6.9	83	95.4	3	3.4	1	1.1	83	95.4	4	4.6	
Arizona	1,348	1,306	96.9	42	3.1	1,229	91.2	30	2.2	89	6.6	1,282	95.1	66	4.9	
California																
Central	1,295	1,287	99.4	8	0.6	1,287	99.4	8	0.6	0	0.0	538	41.5	757	58.5	
Eastern	701	693	98.9	8	1.1	514	73.3	186	26.5	1	0.1	674	96.1	27	3.9	
Northern	592	566	95.6	26	4.4	591	99.8	1	0.2	0	0.0	490	82.8	102	17.2	
Southern	2,151	2,149	99.9	2	0.1	1,347	62.6	789	36.7	15	0.7	1,929	89.7	222	10.3	
Guam	81	81	100.0	0	0.0	76	93.8	5	6.2	0	0.0	81	100.0	0	0.0	
Hawaii	303	303	100.0	0	0.0	100	33.0	127	41.9	76	25.1	295	97.4	8	2.6	
Idaho	94	94	100.0	0	0.0	94	100.0	0	0.0	0	0.0	94	100.0	0	0.0	
Montana	191	190	99.5	1	0.5	186	97.4	0	0.0	5	2.6	184	96.3	7	3.7	
Nevada	363	343	94.5	20	5.5	344	94.8	19	5.2	0	0.0	342	94.2	21	5.8	
Northern Mariana Islands	15	15	100.0	0	0.0	10	66.7	5	33.3	0	0.0	14	93.3	1	6.7	
Oregon	544	542	99.6	2	0.4	415	76.3	129	23.7	0	0.0	473	86.9	71	13.1	
Washington																
Eastern	205	205	100.0	0	24.0	13	130	63.4	7	3.4	68	33.2	203	99.0	2	1.0
Western	444	440	99.1	4	0.9	444	100.0	0	0.0	0	0.0	440	99.1	4	0.9	
TENTH CIRCUIT	2,077	2,057	99.0	20	1.0	2,023	97.4	39	1.9	15	0.7	2,029	97.7	48	2.3	
Colorado	394	382	97.0	12	3.0	392	99.5	2	0.5	0	0.0	375	95.2	19	4.8	
Kansas	282	282	100.0	0	0.0	278	98.6	4	1.4	0	0.0	281	99.6	1	0.4	
New Mexico	623	618	99.2	5	0.8	580	93.1	29	4.7	14	2.2	615	98.7	8	1.3	
Oklahoma																
Eastern	68	68	100.0	0	0.0	68	100.0	0	0.0	0	0.0	68	100.0	0	0.0	
Northern	176	176	100.0	0	0.0	174	98.9	1	0.6	1	0.6	176	100.0	0	0.0	
Western	195	194	99.5	1	0.5	194	99.5	1	0.5	0	0.0	191	97.9	4	2.1	
Utah	239	237	99.2	2	0.8	237	99.2	2	0.8	0	0.0	225	94.1	14	5.9	
Wyoming	100	100	100.0	0	0.0	100	100.0	0	0.0	0	0.0	98	98.0	2	2.0	
ELEVENTH CIRCUIT	4,987	4,942	99.1	45	0.9	4,950	99.3	24	0.5	13	0.3	4,889	98.0	98	2.0	
Alabama																
Middle	155	154	99.4	1	0.6	152	98.1	3	1.9	0	0.0	152	98.1	3	1.9	
Northern	366	363	99.2	3	0.8	360	98.4	5	1.4	1	0.3	364	99.5	2	0.5	
Southern	378	374	98.9	4	1.1	375	99.2	3	0.8	0	0.0	373	98.7	5	1.3	
Florida																
Middle	1,119	1,117	99.8	2	0.2	1,105	98.7	3	0.3	11	1.0	1,115	99.6	4	0.4	
Northern	325	323	99.4	2	0.6	324	99.7	1	0.3	0	0.0	320	98.5	5	1.5	
Southern	1,582	1,567	99.1	15	0.9	1,580	99.9	2	0.1	0	0.0	1,522	96.2	60	3.8	
Georgia																
Middle	255	255	100.0	0	0.0	254	99.6	1	0.4	0	0.0	251	98.4	4	1.6	
Northern	620	605	97.6	15	2.4	613	98.9	6	1.0	1	0.2	608	98.1	12	1.9	
Southern	187	184	98.4	3	1.6	187	100.0	0	0.0	0	0.0	184	98.4	3	1.6	

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¹Descriptions of variables used in this table are provided in Appendix A.

²Nine of the cases for which no Judgment of Conviction Order was received were sealed by the court.

³One of the cases for which no Presentence Report was received was sealed by the court.

⁴Seven of the cases for which no Sentencing Hearing Report was received were sealed by the court.

SOURCE: U.S. Sentencing Commission, 1996 Datafile, MONFY96.