## Analysis of Heroin Trafficking Offenses

#### STATISTICAL OVERVIEW: FISCAL YEAR 2011

In fiscal year 2011, federal courts sentenced 1,764 heroin trafficking offenders. Heroin was the primary drug type involved in the drug trafficking crime in only 7.2 percent of the drug trafficking offenses sentenced nationwide. Heroin trafficking offenders were distributed unevenly across the nation's circuit and district courts and constituted varying proportions of the circuit and district drug trafficking caseloads. Judges in four circuits sentenced over 50 percent (53.3%, n=941) of these offenders. Those circuits were: the Second Circuit with 327 heroin trafficking offenders (18.5% of heroin trafficking offenders nationwide); the Ninth Circuit with 236 offenders (13.4% of heroin trafficking offenders nationwide); the Fifth Circuit with 194 offenders (11.0% of heroin trafficking offenders nationwide); and the Fourth Circuit with 184 offenders (10.4% of heroin trafficking offenders nationwide). <sup>68</sup> In addition, heroin trafficking offenders accounted for varying proportions of the circuits' drug trafficking caseloads. The 327 heroin trafficking offenders sentenced in the Second Circuit accounted for 18.3 percent of its drug trafficking caseload, while the 236

heroin trafficking offenders in the Ninth Circuit only accounted for 4.6 percent of its drug trafficking caseload. The 184 heroin trafficking offenders in the Fourth Circuit accounted for 8.3 percent of its caseload and the 194 heroin trafficking offenders in the Fifth Circuit accounted for 3.9 percent of its drug trafficking caseload. The table below illustrates that the proportion of a circuit's drug trafficking caseload comprised of heroin trafficking offenses ranged from a low of 1.6 percent in the Eighth Circuit to a high of 18.3 percent in the Second Circuit.

#### Proportion of Caseload by Circuit Heroin Trafficking Offenses Fiscal Year 2011

| Circuit          | Number of<br>Heroin<br>Offenses | Percent of<br>Total Drug<br>Caseload |
|------------------|---------------------------------|--------------------------------------|
| TOTAL            | 1,764                           | 7.2                                  |
| Eighth Circuit   | 24                              | 1.6                                  |
| Fifth Circuit    | 194                             | 3.9                                  |
| Ninth Circuit    | 236                             | 4.6                                  |
| Eleventh Circuit | 104                             | 5.1                                  |
| Tenth Circuit    | 97                              | 6.5                                  |
| Fourth Circuit   | 184                             | 8.3                                  |
| Sixth Circuit    | 161                             | 8.3                                  |
| D.C. Circuit     | 12                              | 9.0                                  |
| First Circuit    | 124                             | 11.0                                 |
| Seventh Circuit  | 151                             | 12.8                                 |
| Third Circuit    | 150                             | 15.7                                 |
| Second Circuit   | 327                             | 18.3                                 |

SOURCE: U.S. Sentencing Commission, 2011 Booker Report Datafiles.

At the district level, judges in two districts (Eastern New York and Southern New York) sentenced more than 100 heroin trafficking offenders in fiscal year 2011. Sixteen districts sentenced no heroin trafficking offenders in fiscal year 2011 (Virgin Islands, Middle Louisiana, Northern Mississippi, Western Kentucky, Western Arkansas, Western Missouri, North Dakota,

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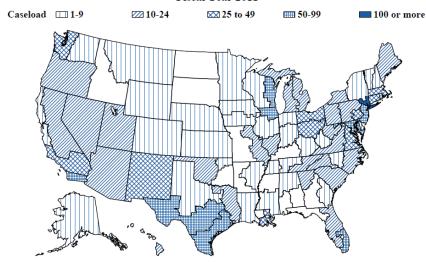
<sup>&</sup>lt;sup>68</sup> See "National Distribution of Primary Drug Type by Circuit and District, Heroin, FY 2011" Appendix Table. Notably, 57.7 percent of all drug trafficking cases in fiscal year 2011 also came from district courts in those four circuits, although the Second Circuit only accounted for 7.3 percent of drug trafficking cases nationwide. See "National Distribution of Selected Offenses by Circuit and District, Drug Trafficking Offenses, FY 2011" Appendix Table.

**HEROIN** 

South Dakota, Guam, Idaho, Northern Mariana Islands, Eastern Oklahoma, Middle Alabama, Southern Alabama, Northern Florida, and Middle Georgia). In addition to the Eastern District of New York, in which judges sentenced 135 heroin trafficking offenders (7.7% of heroin trafficking offenders nationwide), and the Southern District of New York, in which judges sentenced 134 heroin trafficking offenders (7.6% of heroin trafficking offenders nationwide), judges in eight other districts sentenced more than 50 heroin trafficking offenders. Those districts were: Western Texas with 80 offenders (4.5% of heroin trafficking offenders nationwide); Southern Texas with 65 offenders (3.7% of heroin trafficking offenders nationwide); Southern Florida with 64 offenders (3.6% of heroin trafficking offenders nationwide); Southern California with 62 offenders (3.5% of heroin trafficking offenders nationwide); Eastern Wisconsin with 61 offenders (3.5% of heroin trafficking offenders nationwide); New Jersey with 60 offenders (3.4% of heroin trafficking offenders nationwide); Northern Illinois with 59 offenders (3.3% of heroin trafficking offenders nationwide), and Maryland with 58 offenders (3.3% of heroin trafficking offenders nationwide). Judges in these ten districts sentenced over 44 percent (44.1%, n=778) of all heroin trafficking offenders sentenced nationwide in fiscal year 2011.<sup>69</sup> Over 80 percent of districts (n=76) sentenced fewer than 30 heroin trafficking offenders.

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#### Number of Heroin Trafficking Offenders by District Fiscal Year 2011



Note: Districts displayed in white had no offenders convicted of the specified offense SOURCE: U.S. Sentencing Commission, 2011 Booker Report Datafiles.

In those districts that reported sentencing heroin offenders in fiscal year 2011, heroin trafficking offenses comprised varying percentages of the districts' drug trafficking caseloads, ranging from a low of 0.7 percent (Middle North Carolina, Southern Indiana, and Southern Iowa) to a high of 32.9 percent (Eastern New York). In ten districts, heroin trafficking offenders were more than 20 percent of the district's drug trafficking caseload. Those districts were: Eastern New York (32.9% of drug trafficking offenders in the district, n=135); Northern Ohio (27.2% of drug trafficking offenders in the district, n=47); New Jersey (26.9% of drug trafficking offenders in the district, n=60); Rhode Island (24.1% of drug trafficking offenders in the district, n=20); Eastern Wisconsin (23.3% of drug trafficking offenders in the district, n=61); Maryland (23.1% of drug trafficking offenders in the district; n=58); Southern New York (23.1% of drug trafficking offenders in the district, n=134); Maine (22.9% of drug trafficking offenders in the district; n=16); Eastern Louisiana (22.5% of drug trafficking offenders in the district; n=27); and Northern Illinois (20.7% of drug trafficking offenders in the district; n=59). In contrast, heroin trafficking

<sup>&</sup>lt;sup>69</sup> See "National Distribution of Primary Drug Type by Circuit and District, Heroin, FY 2011" Appendix Table. By way of comparison, only 31.4 percent of all drug trafficking offenses in fiscal year 2011 were sentenced in those ten districts. See "National Distribution of Selected Offenses by Circuit and District, Drug Trafficking Offenses, FY 2011" Appendix Table.

offenders were one percent or less of the drug trafficking offenders sentenced in six districts that reported sentencing heroin offenders in fiscal year 2011. Those districts were: Middle North Carolina (0.7% of drug trafficking offenders in the district, n=1); Southern Indiana (0.7% of drug trafficking offenders in the district, n=1); Southern Iowa (0.7% of drug trafficking offenders in the district, n=1); Eastern Arkansas (0.8% of drug trafficking offenders in the district, n=1); Nebraska (0.9% of drug trafficking offenders in the district, n=2); and Arizona (1.0% of drug trafficking offenders in the district, n=21).

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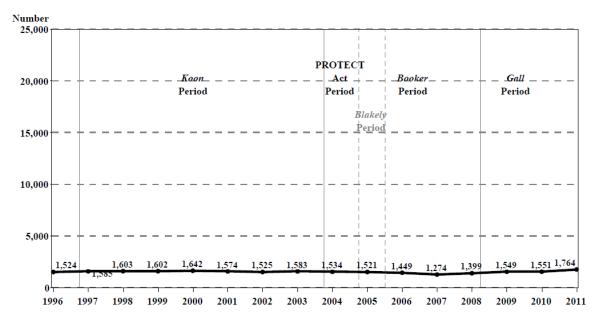
Nee "Proportion of the Drug Trafficking Caseload in Each Circuit and District by Primary Drug Type, Heroin, FY 2011" Appendix Table.

#### NATIONAL TREND ANALYSIS

This section addresses federal sentencing trends for heroin trafficking offenses over time. Much of the analysis uses time periods that are based on major changes in the legal framework governing federal sentencing rather than time periods divided by fiscal or calendar year. These four periods, the *Koon*, PROTECT Act, *Booker*, and *Gall* periods, and their significance, are discussed more fully in Part A.

The number of heroin trafficking offenders sentenced in the federal system was highest in fiscal year 2011, at 1,764 offenders. Heroin trafficking offenses have been a small percentage of the total drug trafficking caseload in each period. Heroin trafficking offenses were 7.8 percent of the drug trafficking caseload during the *Koon* period, 6.9 percent in the PROTECT Act period, 6.0 percent in the *Booker* period, and 6.6 percent in the *Gall* period.

#### Number of Offenders by Fiscal Year Heroin Trafficking Offenses Fiscal Years 1996-2011



#### NATIONAL TREND ANALYSIS

OFFENDER AND OFFENSE CHARACTERISTICS

The majority of heroin trafficking offenders in every period have been male. Hispanic offenders have been the largest group of heroin trafficking offenders in each of the four periods. The majority of heroin trafficking offenders have been non-U.S. citizens in the *Koon* and PROTECT Act periods, but the majority were United States citizens in the *Booker* and *Gall* periods.

The majority of heroin trafficking offenders have been in Criminal History Category I in each of the four periods, but the percentage of offenders in Criminal History Category I has decreased over time.

#### Selected Offender Characteristics Heroin Trafficking Offenses Koon Period through Gall Period

|                           | Koon<br>Period<br>(6/13/96 - 4/30/03) | PROTECT Act<br>Period<br>(5/1/03 - 6/24/04) | Booker<br>Period<br>(1/12/05 - 12/10/07) | Gall<br>Period<br>(12/11/07-9/30/11) |
|---------------------------|---------------------------------------|---|--|--------------------------------------|
|                           | Percent                               | Percent                                     | Percent                                  | Percent                              |
| RACE/ETHNICITY            |                                       |   |  |                                      |
| White                     | 11.6                                  | 13.3  | 12.2                                     | 15.6                                 |
| Black                     | 22.7                                  | 20.7  | 26.4                                     | 29.0                                 |
| Hispanic                  | 63.0                                  | 64.6  | 60.4                                     | 54.5                                 |
| Other                     | 2.8                                   | 1.4   | 1.0                                      | 1.0                                  |
| GENDER                    |                                       |   |  |                                      |
| Male                      | 83.1                                  | 84.6  | 84.8                                     | 85.4                                 |
| Female                    | 16.9                                  | 15.4  | 15.2                                     | 14.6                                 |
| CITIZENSHIP               |                                       |   |  |                                      |
| U.S. Citizen              | 46.8                                  | 49.9  | 57.6                                     | 64.2                                 |
| Non-U.S. Citizen          | 53.2                                  | 50.1  | 42.4                                     | 35.8                                 |
| CRIMINAL HISTORY CATEGORY |                                       |   |  |                                      |
| 1                         | 68.2                                  | 67.9  | 60.0                                     | 55.3                                 |
| П                         | 8.1                                   | 8.3   | 9.4                                      | 10.0                                 |
| III                       | 9.6                                   | 9.5   | 11.1                                     | 12.5                                 |
| IV                        | 4.3                                   | 4.4   | 5.1                                      | 5.9                                  |
| V                         | 2.1                                   | 2.5   | 3.1                                      | 3.4                                  |
| VI                        | 7.7                                   | 7.3   | 11.4                                     | 12.9                                 |

Categories may not sum to exactly 100.0 percent due to rounding. SOURCE: U.S. Sentencing Commission, 2011 Booker Report Datafiles.

#### NATIONAL TREND ANALYSIS

OFFENDER AND OFFENSE CHARACTERISTICS

More than 95 percent of heroin trafficking offenders have been sentenced to prison only in the four periods.

#### Types of Sentences Imposed Heroin Trafficking Offenses Koon Period through Gall Period

|                           | Koon Period (6/13/96 - 4/ | PROTECT<br>Period<br>(5/1/03 - 6/2 | ł     | Booke<br>Period<br>(1/12/05 - 12 | ı     | Gall<br>Period<br>(12/11/07-9/30/11) |       |      |
|---------------------------|---------------------------|------------------------------------|-------|----------------------------------|-------|--------------------------------------|-------|------|
| SENTENCE IMPOSED          | N                         | %                                  | N     | %                                | N     | %                                    | N     | %    |
| Prison Only               | 10,359                    | 95.2                               | 1,718 | 96.6                             | 3,994 | 95.2                                 | 5,679 | 95.4 |
| Prison/Community Split    | 147                       | 1.4                                | 24    | 1.4                              | 71    | 1.7                                  | 94    | 1.6  |
| Probation and Confinement | 189                       | 1.7                                | 17    | 1.0                              | 55    | 1.3                                  | 68    | 1.1  |
| Probation Only            | 186                       | 1.7                                | 20    | 1.1                              | 74    | 1.8                                  | 115   | 1.9  |

Cases in which the offender received no prison or probation and cases with missing sentencing information were excluded from the analysis. Prison and Community Split includes all cases in which offenders received prison and conditions of confinement as described in USSG §CCL1.

SOURCE: US. Sentencing Commission, 2011 Booker Report Datafiles.

#### NATIONAL TREND ANALYSIS

OFFENDER AND OFFENSE CHARACTERISTICS

The average guideline minimum can be viewed as a proxy for the seriousness of the offense, as measured by the nature of the offenses, the criminal history of the offenders, and the applicable mandatory minimum penalties. For heroin trafficking offenses, the average guideline minimum has increased across the periods, suggesting increasing severity. The average sentence length has also increased over the four periods. Changes in sentence length are influenced by various factors including the guideline range, departures, and variances.

The table includes the percentage of heroin trafficking offenders who were granted safety valve relief from the mandatory minimum penalty, pursuant to 18 U.S.C. § 3553(f) and USSG §5C1.2.

The table also includes the percentage of heroin trafficking offenses that involved a weapon, because the offender was convicted under 18 U.S.C. § 924(c) or because the offender received the weapon enhancement at §2D1.1(b)(1). An offender who possessed a firearm or other dangerous weapon (or induced another participant to do so) in connection with the offense is ineligible for safety valve relief.

Less than half of heroin trafficking offenders were sentenced within the guideline rage in the *Gall* period. Over time within range rates have decreased while rates of both government and non-government sponsored below range sentences have increased.

The extent of the reduction from the guideline minimum has varied depending on the type of below range sentence, but has been greatest for substantial assistance sentences.

#### Selected Sentencing Characteristics Heroin Trafficking Offenses Koon Period through Gall Period

|   | Koon<br>Period<br>(6/13/96 - 4/30/03) | PROTECT Act<br>Period<br>(5/1/03 - 6/24/04) | Booker<br>Period<br>(1/12/05 - 12/10/07) | Gall<br>Period<br>(12/11/07-9/30/11) |
|---|---------------------------------------|---|--|--------------------------------------|
| ·-                                      | Percent                               | Percent                                     | Percent                                  | Percent                              |
| GUILTY PLEA                             | 96.5                                  | 97.8  | 96.4                                     | 96.9                                 |
| SAFETY VALVE                            | 48.4                                  | 53.4  | 45.5                                     | 39.0                                 |
| WEAPON                                  | 6.4                                   | 8.6   | 9.4                                      | 12.4                                 |
| GUIDELINE ADJUSTMENTS                   |                                       |   |  |                                      |
| Aggravating Role (USSG §3B1.1)          | 7.2                                   | 7.7   | 6.7                                      | 8.0                                  |
| Mitigating Role (USSG §3B1.2)           | 33.8                                  | 34.4  | 27.5                                     | 21.9                                 |
| SENTENCE RELATIVE                       |                                       |   |  |                                      |
| TO GUIDELINE RANGE                      |                                       |   |  |                                      |
| Within Range                            | 60.4                                  | 66.2  | 51.5                                     | 43.7                                 |
| Above Range                             | 0.3                                   | 0.4   | 0.7                                      | 1.3                                  |
| All Gov't Sponsored Below Range         | 24.1                                  | 23.9  | 29.1                                     | 31.5                                 |
| Substantial Assistance (USSG §5K1.1)    | 23.9                                  | 21.5  | 25.2                                     | 24.9                                 |
| Early Disposition Program (USSG §5K3.1) | n/a                                   | 0.1   | 0.9                                      | 2.0                                  |
| Other Gov't Sponsored Below Range       | n/a                                   | 2.3   | 2.9                                      | 4.6                                  |
| Non-Gov't Sponsored Below Range         | 15.2                                  | 9.5   | 18.8                                     | 23.5                                 |
|   | Months                                | Months                                      | Months                                   | Months                               |
| AVERAGE GUIDELINE MINIMUM               | 74                                    | 78  | 86                                       | 91                                   |
| AVERAGE SENTENCE                        | 60                                    | 65  | 67                                       | 70                                   |
| Within Range                            | 70                                    | 74  | 80                                       | 87                                   |
| Above Range                             | 118                                   | 98  | 95                                       | 97                                   |
| All Gov't Sponsored Below Range         | 44                                    | 49  | 50                                       | 54                                   |
| Substantial Assistance (USSG §5K1.1)    | 43                                    | 49  | 47                                       | 51                                   |
| Early Disposition Program (USSG §5K3.1) | n/a                                   | 24  | 44                                       | 44                                   |
| Other Gov't Sponsored Below Range       | n/a                                   | 57  | 86                                       | 80                                   |
| Non-Gov't Sponsored Below Range         | 44                                    | 44  | 58                                       | 59                                   |

| AVERAGE EXTENT OF REDUCTION             | Percent (Months) | Percent (Months) | Percent (Months) | Percent (Months) |
|---|------------------|------------------|------------------|------------------|
| All Gov't Sponsored Below Range         | 53.0 (50)        | 48.1 (47)        | 49.1 (50)        | 48.0 (51)        |
| Substantial Assistance (USSG §5K1.1)    | 53.1 (50)        | 49.9 (50)        | 52.3 (53)        | 51.8 (55)        |
| Early Disposition Program (USSG §5K3.1) | n/a (n/a)        | 20.0 (6)         | 23.6 (14)        | 27.1 (16)        |
| Other Gov't Sponsored Below Range       | n/a (n/a)        | 29.3 (22)        | 29.3 (38)        | 36.6 (42)        |
| Non-Gov't Sponsored Below Range         | 36.6 (23)        | 44.9 (22)        | 35.7 (28)        | 35.8 (27)        |

Categories may not sum to exactly 100.0 percent due to rounding. SOURCE: U.S. Sentencing Commission, 2011 Booker Report Datafiles.

#### NATIONAL TREND ANALYSIS

INFLUENCE OF THE GUIDELINES

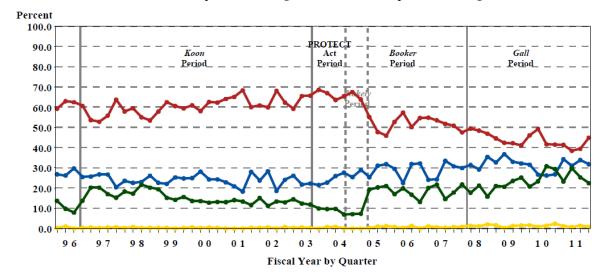
Since fiscal year 2005, the rate of within range sentences for heroin trafficking offenses has generally decreased while the rate of both government and non-government sponsored below range sentences has increased.

#### Quarterly Data for Within-Range and Out-of-Range Sentences Heroin Trafficking Offenses Fiscal Years 1996-2011

Within Range
Gov't Spons. Below Range

- Above Range

Non-Gov't Spons. Below Range



#### NATIONAL TREND ANALYSIS

INFLUENCE OF THE GUIDELINES

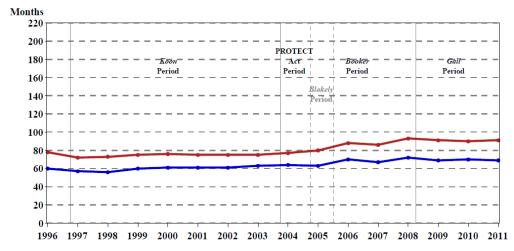
The relationship between the red and blue lines indicates that average sentences for heroin trafficking offenders have generally paralleled the average guideline minimum across the four periods.

The percentage difference between the average guideline minimum and the average sentence has been relatively stable over time. The flatness of the line indicates that the influence of the guidelines has been stable in heroin trafficking offenses.

\*Click on chart for corresponding table by period.

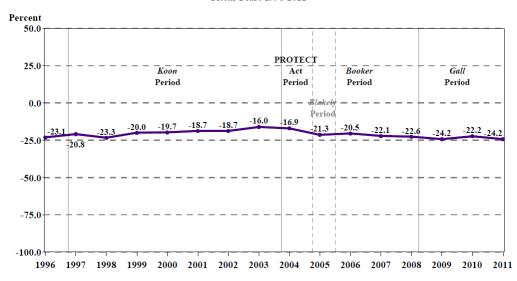
#### Average Guideline Minimum and Sentence Imposed Heroin Trafficking Offenses Fiscal Years 1996-2011

\*Average Guideline Minimum \*Average Sentence Imposed



SOURCE: U.S. Sentencing Commission, 2011 Booker Report Datafiles.

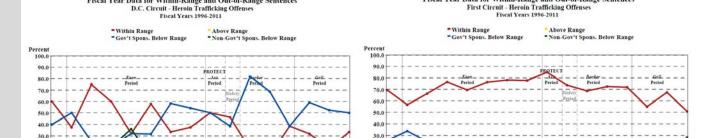
## Percent Difference Between Average Guideline Minimum and Sentence Imposed Heroin Trafficking Offenses Fiscal Years 1996-2011



#### **CIRCUIT TREND ANALYSIS**

INFLUENCE OF THE GUIDELINES

At the national level, since fiscal year 2005, the rate of within range sentences for heroin trafficking offenses has generally decreased. Within range sentence rates have also decreased in the circuits, however there has been more fluctuation in rates of government sponsored below range sentences at the circuit level than at the national level.



2010 2011

SOURCE: U.S. Sentencing Commission, 2011 Booker Report Datafiles.

1996 1997 1998 1999 2000 2001

Within Range

SOURCE: U.S. Sentracing Commission, 2011 Booker Report Datafiles

1996

#### Fiscal Year Data for Within-Range and Out-of-Range Sentences Second Circuit - Heroin Trafficking Offenses Fiscal Year, 1996, 2011

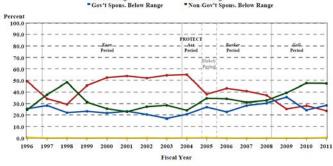
2004

Above Range

Fiscal Year

2005 2006 2007 2008 2009

Fiscal Year Data for Within-Range and Out-of-Range Sentences

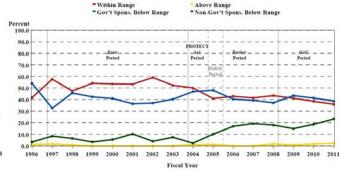


#### Fiscal Year Data for Within-Range and Out-of-Range Sentences Third Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2019

Fiscal Year

2003 2004 2005 2006 2007 2008 2009 2010 2011

Fiscal Year Data for Within-Range and Out-of-Range Sentences

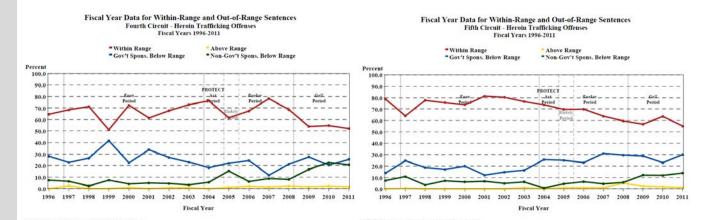


OURCE: U.S. Sentencing Commission, 2011 Booker Report Datafile

#### **CIRCUIT TREND ANALYSIS**

INFLUENCE OF THE GUIDELINES

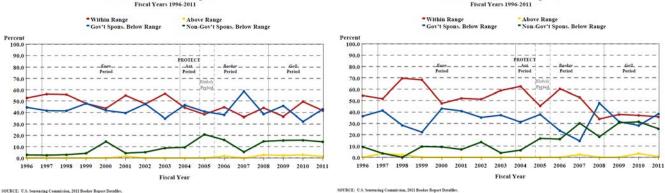
At the national level, since fiscal year 2005, the rate of within range sentences for heroin trafficking offenses has generally decreased. Within range sentence rates have also decreased in the circuits, however there has been more fluctuation in rates of government sponsored below range sentences at the circuit level than at the national level.



Fiscal Year Data for Within-Range and Out-of-Range Sentences

Sixth Circuit - Heroin Trafficking Offenses

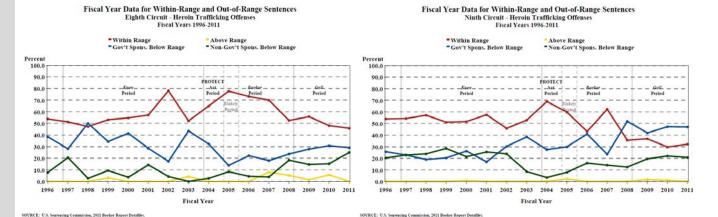
#### Fiscal Year Data for Within-Range and Out-of-Range Sentences Seventh Circuit - Heroin Trafficking Offenses



#### **CIRCUIT TREND ANALYSIS**

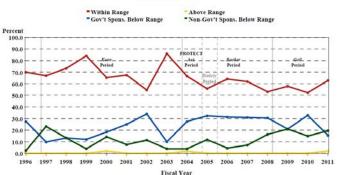
INFLUENCE OF THE GUIDELINES

At the national level, since fiscal year 2005, the rate of within range sentences for heroin trafficking offenses has generally decreased. Within range sentence rates have also decreased in the circuits, however there has been more fluctuation in rates of government sponsored below range sentences at the circuit level than at the national level.

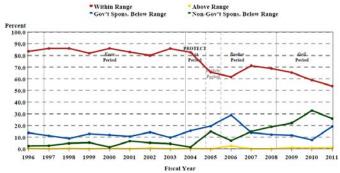


Fiscal Year Data for Within-Range and Out-of-Range Sentences

Tenth Circuit - Heroin Trafficking Offenses



Fiscal Year Data for Within-Range and Out-of-Range Sentences Eleventh Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011



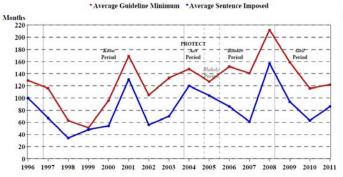
SOURCE: U.S. Seatracing Committee, 2011 Booker Report Datafiles.

#### **CIRCUIT TREND ANALYSIS**

INFLUENCE OF THE GUIDELINES

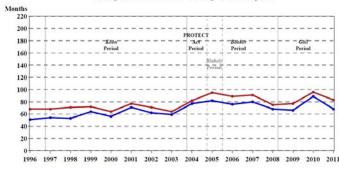
At the circuit level, average sentences for heroin trafficking offenders have generally paralleled the average guideline minimum across the four periods. The same trend has occurred in most circuits.

#### Average Guideline Minimum and Sentence Imposed D.C. Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011



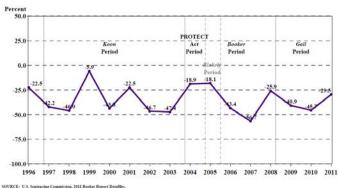
#### Average Guideline Minimum and Sentence Imposed First Circuit - Heroin Trafficking Offenses

\*Average Guideline Minimum \*Average Sentence Imposed

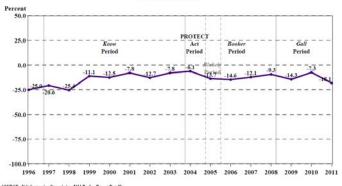


U.S. Sentenring Commission, 2011 Booker Report Datafile. SOURCE: U.S. Sentenring Commission, 2011 Booker Report Datafile.

#### Percent Difference Between Average Guideline Minimum and Sentence Imposed D.C. Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011



#### Percent Difference Between Average Guideline Minimum and Sentence Imposed First Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011



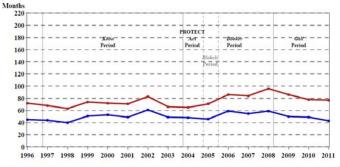
#### **CIRCUIT TREND ANALYSIS**

INFLUENCE OF THE GUIDELINES

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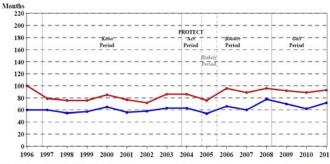
#### Average Guideline Minimum and Sentence Imposed Second Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011





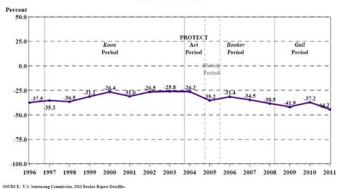
#### Average Guideline Minimum and Sentence Imposed Third Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011

\*Average Guideline Minimum \*Average Sentence Imposed

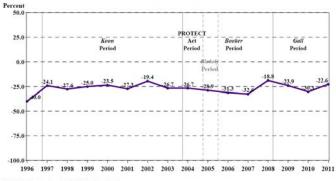


SOURCE: U.S. Sentencing Commission, 2011 Booker Percent Darteller

#### Percent Difference Between Average Guideline Minimum and Sentence Imposed Second Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2019



#### Percent Difference Between Average Guideline Minimum and Sentence Imposed Third Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011



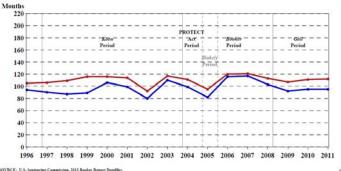
#### **CIRCUIT TREND ANALYSIS**

INFLUENCE OF THE GUIDELINES

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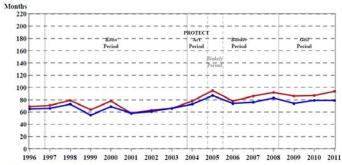
#### Average Guideline Minimum and Sentence Imposed Fourth Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011

\*Average Guideline Minimum \*Average Sentence Imposed



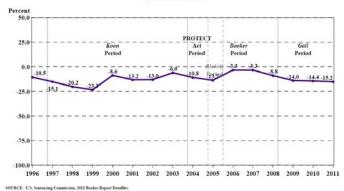
#### Average Guideline Minimum and Sentence Imposed Fifth Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011

\*Average Guideline Minimum \*Average Sentence Imposed

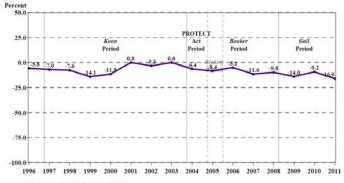


SOURCE: U.S. Sentencing Commission, 2011 Booker Report Datafil

#### Percent Difference Between Average Guideline Minimum and Sentence Imposed Fourth Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011



#### Percent Difference Between Average Guideline Minimum and Sentence Imposed Fifth Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011



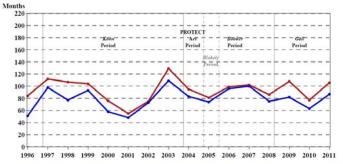
#### **CIRCUIT TREND ANALYSIS**

INFLUENCE OF THE GUIDELINES

At the circuit level, average sentences for heroin trafficking offenders have generally paralleled the average guideline minimum across the four periods. The same trend has occurred in most circuits.

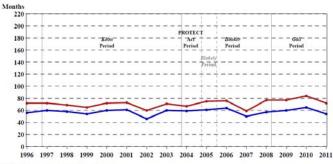
#### Average Guideline Minimum and Sentence Imposed Eighth Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011





#### Average Guideline Minimum and Sentence Imposed Ninth Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011

\*Average Guideline Minimum \*Average Sentence Imposed



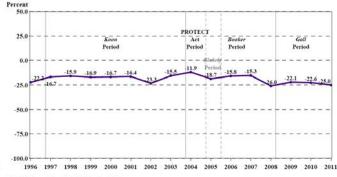
SOURCE: U.S. Sentracing Commission, 2011 Booker Report Datafile

#### Percent Difference Between Average Guideline Minimum and Sentence Imposed Eighth Circuit - Heroin Trafficking Offenses

# PROTECT | Booker | Gall | Period | Peri

SOURCE: U.S. Seutenring Commission, 2011 Booker Report Datafile

#### Percent Difference Between Average Guideline Minimum and Sentence Imposed Ninth Circuit - Heroin Trafficking Offenses



#### **CIRCUIT TREND ANALYSIS**

INFLUENCE OF THE GUIDELINES

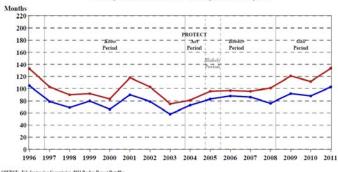
At the circuit level, average sentences for heroin trafficking offenders have generally paralleled the average guideline minimum across the four periods. The same trend has occurred in most circuits.

#### Average Guideline Minimum and Sentence Imposed Sixth Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011

#### \*Average Guideline Minimum \*Average Sentence Imposed Months 220 PROTECT 180 140 120 100

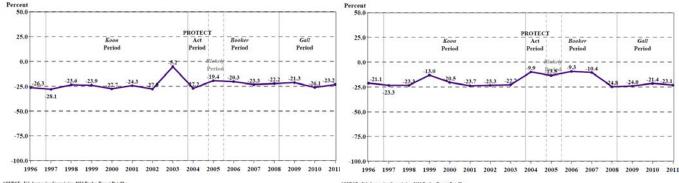
#### Average Guideline Minimum and Sentence Imposed Seventh Circuit - Heroin Trafficking Offenses

\*Average Guideline Minimum \*Average Sentence Imposed



Percent Difference Between Average Guideline Minimum and Sentence Imposed Sixth Circuit - Heroin Trafficking Offenses

Percent Difference Between Average Guideline Minimum and Sentence Imposed Seventh Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011



SOURCE: U.S. Sentencing Commission, 2011 Booker Report Datafiles

SOURCE: U.S. Sentravine Commission, 2011 Booker Report Date(6)

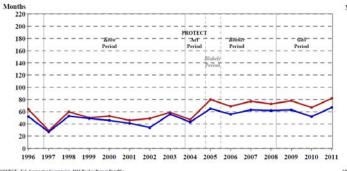
#### **CIRCUIT TREND ANALYSIS**

INFLUENCE OF THE GUIDELINES

At the circuit level, average sentences for heroin trafficking offenders have generally paralleled the average guideline minimum across the four periods. The same trend has occurred in most circuits.

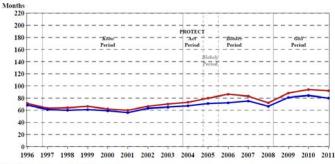
#### Average Guideline Minimum and Sentence Imposed Tenth Circuit - Heroin Trafficking Offenses





## Average Guideline Minimum and Sentence Imposed Eleventh Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011

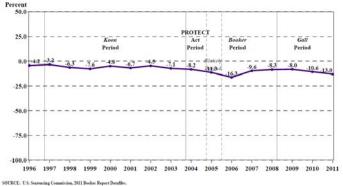
\*Average Guideline Minimum \*Average Sentence Imposed



#### Percent Difference Between Average Guideline Minimum and Sentence Imposed Tenth Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011

## 25.0 Period Period 1996 1997 1998 1999 2000 2001 2002 2003 2004 2005 2006 2007 2008 2009 2010 2011

#### Percent Difference Between Average Guideline Minimum and Sentence Imposed Eleventh Circuit - Heroin Trafficking Offenses Fiscal Years 1996-2011

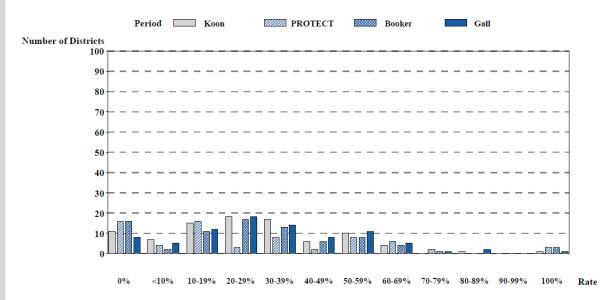


#### **DISTRICT TREND ANALYSIS**

GOVERNMENT SPONSORED BELOW RANGE SENTENCES

Most districts have clustered between government sponsored below range rates of ten to 39 percent during each period. In each period at least one district reported a government sponsored below range rate of 100 percent, which in this instance reflects that a government sponsored below range sentence was imposed in the one or two heroin trafficking offenses in those districts during the relevant period.

## Rate of Government Sponsored Below Range Sentences Heroin Trafficking Offenses Koon Period through Gall Period



#### **DISTRICT TREND ANALYSIS**

GOVERNMENT SPONSORED BELOW RANGE SENTENCES

The table lists the five districts with the highest and lowest rates of government sponsored below range sentences in heroin trafficking offenses for each of the four periods.

## Districts with the Highest and Lowest Rates of Government Sponsored Below Range Sentences Heroin Trafficking Offenses Koon Period through Gall Period

|                        | Koon         PROTECT Act           Period         Period           (6/13/96 - 4/30/03)         (5/1/03 - 6/24/04) |                 | Booker<br>Period<br>(1/12/05 - 12/ |                        |                 | Gall<br>Period<br>(12/11/07-9/30/11) |                        |                 |                        |                        |                 |
|------------------------|---|-----------------|------------------------------------|------------------------|-----------------|--------------------------------------|------------------------|-----------------|------------------------|------------------------|-----------------|
| District               | Number of<br>Offenders  | % Gov.<br>Spon. | District                           | Number of<br>Offenders | % Gov.<br>Spon. | District                             | Number of<br>Offenders | % Gov.<br>Spon. | District               | Number of<br>Offenders | % Gov.<br>Spon. |
|                        |   |                 | Distric                            | ets with the           | Highest         | Rates in Each Time Period            | ı                      |                 |                        |                        |                 |
| Southern Mississippi   | 1   | 100.0           | Northern Alabama                   | 2                      | 100.0           | Southern Iowa                        | 1                      | 100.0           | Middle Georgia         | 1                      | 100.0           |
| Northern Alabama       | 6   | 83.3            | Alaska                             | 1                      | 100.0           | Northern Alabama                     | 1                      | 100.0           | Western Kentucky       | 6                      | 83.3            |
| Western Tennessee      | 27  | 66.7            | Guam                               | 1                      | 100.0           | Southern Alabama                     | 1                      | 100.0           | Maine                  | 20                     | 80.0            |
| Idaho                  | 3   | 66.7            | Northern Ohio                      | 12                     | 75.0            | Eastern Tennessee                    | 18                     | 72.2            | Western New York       | 66                     | 75.8            |
| Western Virginia       | 30  | 60.0            | Middle Pennsylvania                | 35                     | 71.4            | Colorado                             | 26                     | 69.2            | Southern California    | 159                    | 68.6            |
|                        |   |                 | Distri                             | cts with the           | Lowest 1        | Rates in Each Time Period            | ĺ                      |                 |                        |                        |                 |
| Southern West Virginia | 25  | 8.0             | Kansas                             | 10                     | 10.0            | Northern Georgia                     | 38                     | 13.2            | Southern Florida       | 272                    | 9.9             |
| Rhode Island           | 52  | 7.7             | Eastern Virginia                   | 53                     | 9.4             | Eastern California                   | 38                     | 10.5            | Southern Illinois      | 25                     | 8.0             |
| Northern West Virginia | 17  | 5.9             | Oregon                             | 15                     | 6.7             | Western Pennsylvania                 | 78                     | 10.3            | Eastern Virginia       | 164                    | 6.7             |
| Kansas                 | 18  | 5.6             | Northern Georgia                   | 15                     | 6.7             | Central California                   | 14                     | 7.1             | Western Oklahoma       | 17                     | 5.9             |
| Southern Florida       | 1,327   | 4.8             | Eastern Louisiana                  | 42                     | 4.8             | Northern West Virginia               | 36                     | 2.8             | Southern West Virginia | 45                     | 4.4             |

Number of Offenders refers to the total number of heroin trafficking offenders sentenced during the time period. During the Koon period, four districts did not sentence any heroin trafficking offenses. During the PROTECT Act period, 26 districts did not sentence any heroin trafficking offenses. During the Booker period, 13 districts did not sentence any heroin trafficking offenses. During the Gall period, nine districts did not sentence any heroin trafficking offenses.

During the Koon period, one additional district imposed a government sponsored below range sentence in 60.0 percent of heroin trafficking offenses (Virgin Islands, N=10). Eleven districts imposed at least one sentence for a heroin trafficking offense but did not impose a government sponsored below range sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to 12 offenders.

During the PROTECT Act period, 16 districts imposed at least one sentence for a heroin trafficking offense but did not impose a government sponsored below range sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to ten offenders.

During the Booker period, 16 districts imposed at least one sentence for a heroin trafficking offense but did not impose a government sponsored below range sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to 63 offenders.

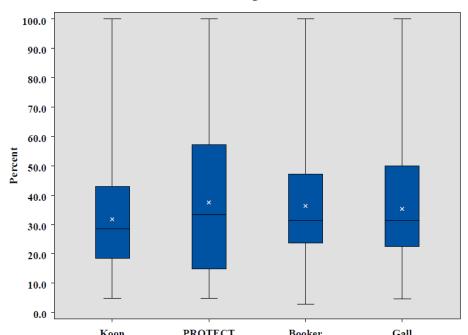
During the Gall period, eight districts imposed at least one sentence for a heroin trafficking offense but did not impose a government sponsored below range sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to 21 offenders.

#### **DISTRICT TREND ANALYSIS**

GOVERNMENT SPONSORED BELOW RANGE SENTENCES

The number of districts reporting government sponsored below range sentences in heroin trafficking cases has increased from the PROTECT Act period through the *Gall* period. With fewer districts reporting such sentences in the PROTECT Act period, the spread among the middle 50 percent of districts was greatest. With more districts reporting government sponsored below range sentences in the *Gall* period than in the *Booker* period, the spread in the rates among the middle 50 percent of districts increased.

#### Spread of Rates of Government Sponsored Below Range Sentences Heroin Trafficking Offenses Koon Period through Gall Period



|      | Koon  | PROTECT | Dooker | Gan   |
|------|-------|---------|--------|-------|
| N    | 79.0  | 52.0    | 65.0   | 77.0  |
| Min  | 4.8   | 4.8     | 2.8    | 4.4   |
| Max  | 100.0 | 100.0   | 100.0  | 100.0 |
| Q1   | 18.4  | 14.8    | 23.7   | 22.5  |
| Q3   | 42.9  | 57.1    | 47.2   | 50.0  |
| Q2   | 28.6  | 33.3    | 31.3   | 31.3  |
| Mean | 31.8  | 37.5    | 36.4   | 35.3  |

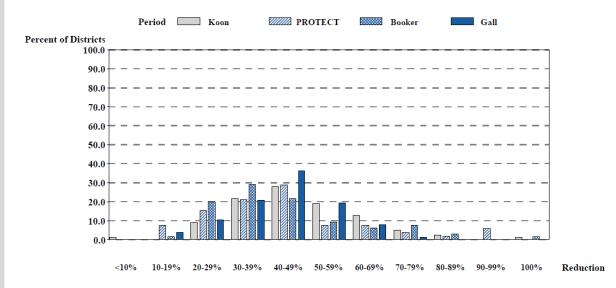
<sup>\*</sup>Click on chart for corresponding table.

#### **DISTRICT TREND ANALYSIS**

GOVERNMENT SPONSORED BELOW RANGE SENTENCES

The average extent of the reduction for government sponsored below range sentences has been between 20 and 59 percent below the guideline minimum in every period.

#### Extent of Reduction from Guideline Minimum Government Sponsored Below Range Sentences Heroin Trafficking Offenses Koon Period through Gall Period

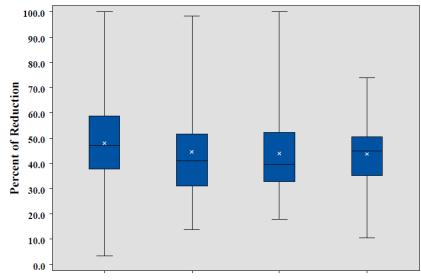


#### DISTRICT TREND ANALYSIS

GOVERNMENT SPONSORED BELOW RANGE SENTENCES

The spread in the extent of the reduction among the middle 50 percent of districts has decreased over time. However, the middle 50 percent of districts averaged slightly higher reductions in the *Gall* period than in the PROTECT Act or *Booker* periods.

#### Spread of Average Extent of Departure Government Sponsored Below Range Sentences Heroin Trafficking Offenses Koon Period through Gall Period



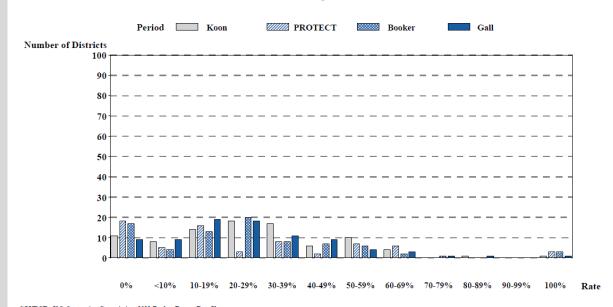
|      | Koon  | PROTECT | Booker | Gall |
|------|-------|---------|--------|------|
| N    | 79.0  | 52.0    | 65.0   | 77.0 |
| Min  | 3.5   | 13.8    | 17.8   | 10.5 |
| Max  | 100.0 | 98.3    | 100.0  | 73.7 |
| Q1   | 37.7  | 31.2    | 33.0   | 35.2 |
| Q3   | 58.8  | 51.8    | 52.3   | 50.6 |
| Q2   | 47.1  | 41.0    | 39.4   | 45.1 |
| Mean | 48.0  | 44.6    | 44.0   | 43.8 |

#### DISTRICT TREND ANALYSIS

SUBSTANTIAL ASSISTANCE SENTENCES

The greatest number of districts have clustered around substantial assistance below range rates of between ten and 39 percent in every period.

#### Rate of Substantial Assistance Sentences Heroin Trafficking Offenses Koon Period through Gall Period



#### **DISTRICT TREND ANALYSIS**

SUBSTANTIAL ASSISTANCE SENTENCES

The table lists the five districts with the highest and lowest rates of substantial assistance sentences in heroin trafficking offenses for each of the four periods.

## Districts with the Highest and Lowest Rates of Substantial Assistance Sentences Heroin Trafficking Offenses Koon Period through Gall Period

| Koon<br>Period<br>(6/13/96 - 4/3 |                        | - 2                 | PROTECT Act Booker Period Period (5/1/03 - 6/24/04) (1/12/05 - 12/10/07) |                        |                     | Gall<br>Period<br>(12/11/07-9/30/11) |                        |                     |                        |                        |                     |
|----------------------------------|------------------------|---------------------|--|------------------------|---------------------|--------------------------------------|------------------------|---------------------|------------------------|------------------------|---------------------|
| District                         | Number of<br>Offenders | % Subst.<br>Assist. | District   | Number of<br>Offenders | % Subst.<br>Assist. | District                             | Number of<br>Offenders | % Subst.<br>Assist. | District               | Number of<br>Offenders | % Subst.<br>Assist. |
|                                  |                        |                     | Distric  | ts with the            | Highest             | Rates in Each Time Perio             | d                      |                     |                        |                        |                     |
| Southern Mississippi             | 1                      | 100.0               | Northern Alabama   | 2                      | 100.0               | Southern Iowa                        | 1                      | 100.0               | Middle Georgia         | 1                      | 100.0               |
| Northern Alabama                 | 6                      | 83.3                | Alaska   | 1                      | 100.0               | Northern Alabama                     | 1                      | 100.0               | Maine                  | 20                     | 80.0                |
| Western Tennessee                | 27                     | 66.7                | Guam   | 1                      | 100.0               | Southern Alabama                     | 1                      | 100.0               | Western New York       | 66                     | 74.2                |
| Idaho                            | 3                      | 66.7                | Middle Pennsylvania  | 35                     | 68.6                | Eastern Tennessee                    | 18                     | 72.2                | Western Kentucky       | 6                      | 66.7                |
| Western Virginia                 | 30                     | 60.0                | Northern Ohio  | 12                     | 66.7                | Colorado                             | 26                     | 69.2                | Northern Oklahoma      | 8                      | 62.5                |
|                                  |                        |                     | Distric  | cts with the           | Lowest              | Rates in Each Time Perio             | d                      |                     |                        |                        |                     |
| Southern West Virginia           | 25                     | 8.0                 | Eastern Virginia   | 53                     | 9.4                 | Western Pennsylvania                 | 78                     | 10.3                | Massachusetts          | 77                     | 6.5                 |
| Rhode Island                     | 52                     | 7.7                 | Massachusetts  | 33                     | 9.1                 | Eastern Wisconsin                    | 42                     | 9.5                 | Western Oklahoma       | 17                     | 5.9                 |
| Northern West Virginia           | 17                     | 5.9                 | Oregon   | 15                     | 6.7                 | Arizona                              | 58                     | 8.6                 | Eastern Virginia       | 164                    | 5.5                 |
| Kansas                           | 18                     | 5.6                 | Northern Georgia   | 15                     | 6.7                 | Northern Georgia                     | 38                     | 7.9                 | Utah                   | 76                     | 5.3                 |
| Southern Florida                 | 1,327                  | 4.8                 | Eastern Louisiana  | 42                     | 4.8                 | Central California                   | 14                     | 7.1                 | Southern West Virginia | 45                     | 4.4                 |

Number of Offenders refers to the total number of heroin trafficking offenders sentenced during the time period. During the Koon period, four districts did not sentence any heroin trafficking offenses. During the PROTECT Act period, 26 districts did not sentence any heroin trafficking offenses. During the Booker period, 13 districts did not sentence any heroin trafficking offenses. During the Gall period, nine districts did not sentence any heroin trafficking offenses.

During the Koon period, one additional district imposed a substantial assistance sentence in 60.0 percent of heroin trafficking offenses (Virgin Islands, N=10). Eleven districts imposed at least one sentence for a heroin trafficking offense but did not impose a substantial assistance sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to 12 offenders.

During the PROTECT Act period, 18 districts imposed at least one sentence for a heroin trafficking offense but did not impose a substantial assistance sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to 13 offenders.

During the Booker period, 17 districts imposed at least one sentence for a heroin trafficking offense but did not impose a substantial assistance sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to 63 offenders.

During the Gall period, nine districts imposed at least one sentence for a heroin trafficking offense but did not impose a substantial assistance sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to 21 offenders.

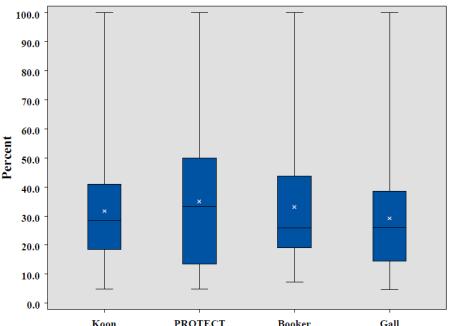
#### **DISTRICT TREND ANALYSIS**

SUBSTANTIAL ASSISTANCE SENTENCES

The number of districts reporting substantial assistance departures was smallest in the PROTECT Act period, but the variation among the middle 50 percent of districts was greatest.

Since the PROTECT Act period, the spread has decreased. While the spreads in the *Booker* and *Gall* periods were similar, the middle 50 percent of districts in the *Gall* period had generally lower substantial assistance rates than in the *Booker* period, as illustrated by the lower placement of the box along the vertical axis.

#### Spread of Rates of Substantial Assistance Sentences Heroin Trafficking Offenses Koon Period through Gall Period



|      | Koon  | IKOILCI | Dooker | Gali  |
|------|-------|---------|--------|-------|
| N    | 79.0  | 50.0    | 64.0   | 76.0  |
| Min  | 4.8   | 4.8     | 7.1    | 4.4   |
| Max  | 100.0 | 100.0   | 100.0  | 100.0 |
| Q1   | 18.4  | 13.5    | 19.0   | 14.4  |
| Q3   | 40.9  | 50.0    | 43.7   | 38.6  |
| Q2   | 28.6  | 33.3    | 25.8   | 26.0  |
| Mean | 31.7  | 35.0    | 33.1   | 29.2  |

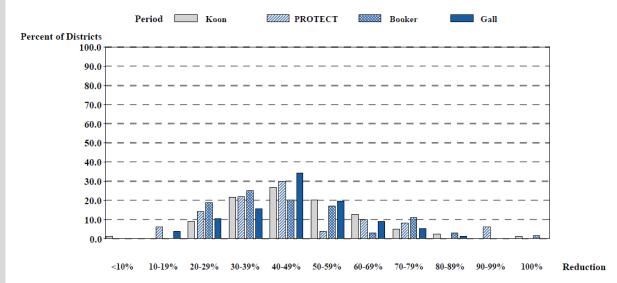
<sup>\*</sup>Click on chart for corresponding table.

#### **DISTRICT TREND ANALYSIS**

SUBSTANTIAL ASSISTANCE SENTENCES

Across the periods, most districts have averaged reductions of between 20 and 49 percent below the guideline minimum. In the *Koon, Booker*, and *Gall* periods, approximately 20 percent of districts averaged reductions of between 50 to 59 percent below the guideline minimum.

#### Extent of Reduction from Guideline Minimum Substantial Assistance Sentences Heroin Trafficking Offenses Koon Period through Gall Period

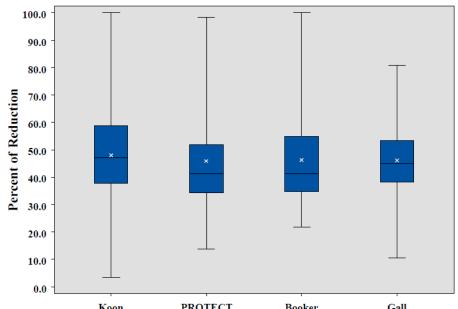


#### **DISTRICT TREND ANALYSIS**

SUBSTANTIAL ASSISTANCE SENTENCES

The spread in the extent of the reduction among the middle 50 percent of districts was smallest in the *Gall* period.

#### Spread of Average Extent of Departure Substantial Assistance Sentences Heroin Trafficking Offenses Koon Period through Gall Period



|      | Koon  | PROTECT | Booker | Gall |
|------|-------|---------|--------|------|
| N    | 79.0  | 50.0    | 64.0   | 76.0 |
| Min  | 3.5   | 13.8    | 21.7   | 10.5 |
| Max  | 100.0 | 98.3    | 100.0  | 80.8 |
| Q1   | 37.7  | 34.4    | 34.8   | 38.1 |
| Q3   | 58.8  | 51.8    | 54.8   | 53.3 |
| Q2   | 47.1  | 41.3    | 41.3   | 44.9 |
| Mean | 48.1  | 45.9    | 46.3   | 46.2 |

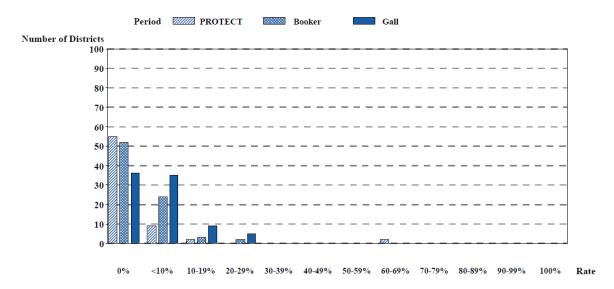
#### **DISTRICT TREND ANALYSIS**

OTHER GOVERNMENT SPONSORED BELOW RANGE SENTENCES

During the PROTECT Act, the majority of districts did not report any other government sponsored below range sentences in heroin trafficking offenses. In the *Booker* and *Gall* periods, more districts reported other government sponsored below range sentences, but generally at rates of less than ten percent.

During the *Koon* period, except for substantial assistance motions by the government, the Commission did not differentiate between court-sponsored and other types of government-sponsored below range sentences. Accordingly, there are no reported other government sponsored below range sentences for the *Koon* period.

## Rate of Other Government Sponsored Below Range Sentences Heroin Trafficking Offenses PROTECT Act Period through Gall Period



#### **DISTRICT TREND ANALYSIS**

OTHER GOVERNMENT SPONSORED BELOW RANGE SENTENCES

The table lists the five districts with the highest and lowest rates of other government sponsored below range sentences in heroin trafficking offenses for the three periods in which such data was collected.

## Districts with the Highest and Lowest Rates of Other Government Sponsored Below Range Sentences Heroin Trafficking Offenses Koon Period through Gall Period

| Peri     | Koon PROTECT Act Period Period 66 - 4/30/03) (5/1/03 - 6/24/04) |                     |                      | Booke<br>Period<br>(1/12/05 - 12 | l                   | Gall<br>Period<br>(12/11/07-9/30/11) |                        |                     |                      |                        |                     |
|----------|---|---------------------|----------------------|----------------------------------|---------------------|--------------------------------------|------------------------|---------------------|----------------------|------------------------|---------------------|
| District | Number of<br>Offenders  | % Oth Gov.<br>Spon. | District             | Number of<br>Offenders           | % Oth Gov.<br>Spon. | District                             | Number of<br>Offenders | % Oth Gov.<br>Spon. | District             | Number of<br>Offenders | % Oth Gov.<br>Spon. |
|          |   |                     | Distri               | cts with the                     | Highest             | Rates in Each Time Perio             | d                      |                     |                      |                        |                     |
|          |   |                     | Nevada               | 3                                | 66.7                | Eastern Texas                        | 26                     | 23.1                | District of Columbia | 66                     | 28.8                |
|          |   |                     | Southern California  | 13                               | 61.5                | Minnesota                            | 5                      | 20.0                | Southern Indiana     | 12                     | 25.0                |
|          |   |                     | District of Columbia | 14                               | 14.3                | District of Columbia                 | 39                     | 15.4                | Northern California  | 43                     | 23.3                |
|          |   |                     | Connecticut          | 20                               | 10.0                | Southern Texas                       | 112                    | 14.3                | Western Washington   | 66                     | 21.2                |
|          |   |                     | Northern Ohio        | 12                               | 8.3                 | Western Washington                   | 73                     | 11.0                | Southern Iowa        | 5                      | 20.0                |
|          |   |                     | Distri               | cts with the                     | Lowest 1            | Rates in Each Time Perio             | d                      |                     |                      |                        |                     |
|          |   |                     | Southern Texas       | 57                               | 3.5                 | Puerto Rico                          | 131                    | 1.5                 | Eastern New York     | 564                    | 1.4                 |
|          |   |                     | Massachusetts        | 33                               | 3.0                 | Southern Ohio                        | 67                     | 1.5                 | New Jersey           | 235                    | 1.3                 |
|          |   |                     | Middle Pennsylvania  | 35                               | 2.9                 | New Jersey                           | 284                    | 1.4                 | Eastern Virginia     | 164                    | 1.2                 |
|          |   |                     | Northern Illinois    | 40                               | 2.5                 | Eastern Pennsylvania                 | 85                     | 1.2                 | Northern Ohio        | 105                    | 1.0                 |
|          |   |                     | New Jersey           | 98                               | 2.0                 | Southern Florida                     | 293                    | 0.7                 | Eastern Pennsylvania | 139                    | 0.7                 |

Number of Offenders refers to the total number of heroin trafficking offenders sentenced during the time period. Data on other government sponsored below range sentences is unavailable for the Koon period. During the PROTECT Act period, 26 districts did not sentence any heroin trafficking offenses. During the Booker period, 13 districts did not sentence any heroin trafficking offenses. During the Gall period, nine districts did not sentence any heroin trafficking offenses.

During the PROTECT Act period, 55 districts imposed at least one sentence for a heroin trafficking offense but did not impose an other government sponsored below range sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to 207 offenders.

During the Booker period, 52 districts imposed at least one sentence for a heroin trafficking offense but did not impose an other government sponsored below range sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to 82 offenders.

During the Gall period, 36 districts imposed at least one sentence for a heroin trafficking offense but did not impose an other government sponsored below range sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to 83 offenders.

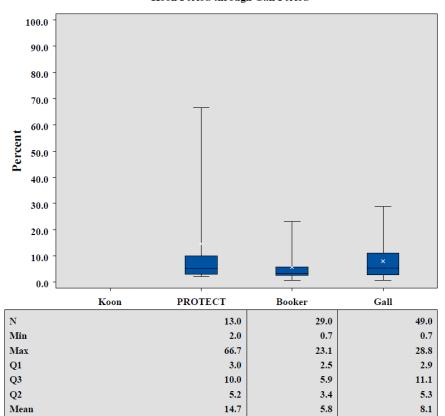
#### DISTRICT TREND ANALYSIS

OTHER GOVERNMENT SPONSORED BELOW RANGE SENTENCES

The number of districts reporting other government sponsored below range sentences increased from 13 districts in the PROTECT Act period to 29 districts in the *Booker* period, to 49 districts in the *Gall* period.

\*Click on chart for corresponding table.

## Spread of Rates of Other Government Sponsored Below Range Sentences Heroin Trafficking Offenses Koon Period through Gall Period

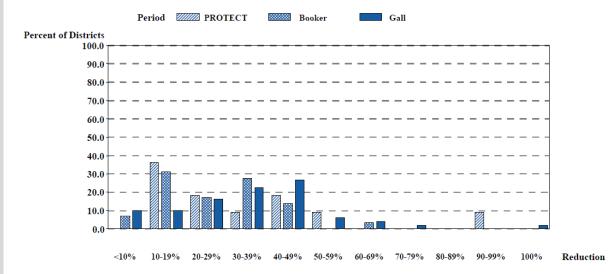


#### **DISTRICT TREND ANALYSIS**

OTHER GOVERNMENT SPONSORED BELOW RANGE SENTENCES

While over 30 percent of districts averaged reductions of between 10 and 19 percent below the guideline minimum in the PROTECT Act and *Booker* periods, more districts in the *Gall* period averaged reductions of between 30 and 49 percent below the guideline minimum.

# Extent of Reduction from Guideline Minimum Other Government Sponsored Below Range Sentences Heroin Trafficking Offenses PROTECT Act Period through Gall Period

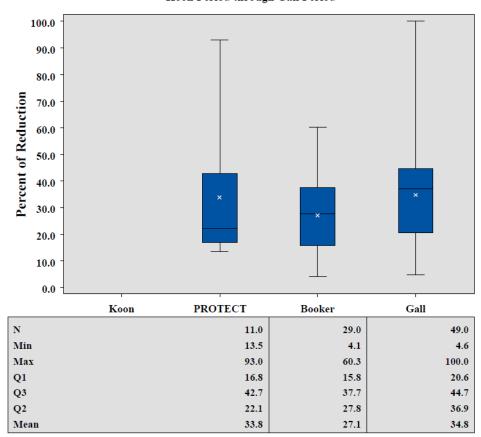


#### **DISTRICT TREND ANALYSIS**

OTHER GOVERNMENT SPONSORED BELOW RANGE SENTENCES

As illustrated by the higher placement of the box along the vertical axis, the extent of the reduction was generally larger in the *Gall* period among the middle 50 percent of districts.

# Spread of Average Extent of Departure Other Government Sponsored Below Range Sentences Heroin Trafficking Offenses Koon Period through Gall Period

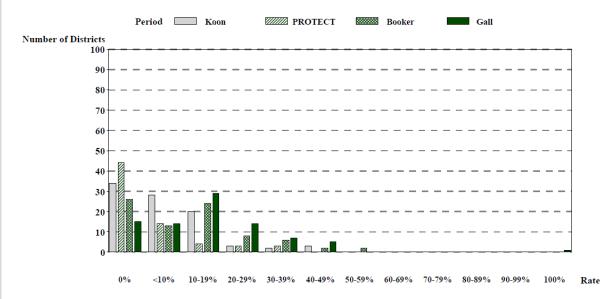


#### **DISTRICT TREND ANALYSIS**

NON-GOVERNMENT SPONSORED BELOW RANGE SENTENCES

Most districts clustered around rates of non-government sponsored below range sentences of less than ten percent to 19 percent in each period, with more districts in the *Gall* period than in the other periods also having non-government sponsored below range rates of 20 percent or higher.

## Rate of Non-Government Sponsored Below Range Sentences Heroin Trafficking Offenses Koon Period through Gall Period



#### **DISTRICT TREND ANALYSIS**

NON-GOVERNMENT SPONSORED BELOW RANGE SENTENCES

The table lists the five districts with the highest and lowest rates of non-government sponsored below range sentences in heroin trafficking offenses for each of the four periods.

## Districts with the Highest and Lowest Rates of Non-Government Sponsored Below Range Sentences Heroin Trafficking Offenses Koon Period through Gall Period

| Koon<br>Period<br>(6/13/96 - 4/30/03) |                        |                     | PROTECT Act Period (5/1/03 - 6/24/04) |                        |                     | Booker<br>Period<br>(1/12/05 - 12/10/07) |                        | Gall<br>Period<br>(12/11/07-9/30/11) |                        |                        |                     |
|---------------------------------------|------------------------|---------------------|---------------------------------------|------------------------|---------------------|--|------------------------|--------------------------------------|------------------------|------------------------|---------------------|
| District                              | Number of<br>Offenders | % Non-Gov.<br>Spon. | District                              | Number of<br>Offenders | % Non-Gov.<br>Spon. | District                                 | Number of<br>Offenders | % Non-Gov.<br>Spon.                  | District               | Number of<br>Offenders | % Non-Gov.<br>Spon. |
|                                       |                        |                     | District                              | s with the             | Highest             | Rates in Each Time Period                |                        |                                      |                        |                        |                     |
| Arizona                               | 139                    | 48.9                | Connecticut                           | 20                     | 35.0                | Middle Tennessee                         | 2                      | 50.0                                 | Middle Alabama         | 1                      | 100.0               |
| Eastern New York                      | 1,706                  | 44.4                | Arizona                               | 9                      | 33.3                | South Dakota                             | 2                      | 50.0                                 | Minnesota              | 23                     | 47.8                |
| Southern California                   | 230                    | 40.4                | Eastern New York                      | 305                    | 32.1                | Eastern New York                         | 497                    | 43.5                                 | Western Wisconsin      | 21                     | 47.6                |
| Connecticut                           | 107                    | 37.4                | Southern Ohio                         | 8                      | 25.0                | Eastern Wisconsin                        | 42                     | 40.5                                 | Southern New York      | 461                    | 47.5                |
| Idaho                                 | 3                      | 33.3                | Maryland                              | 18                     | 22.2                | Delaware                                 | 19                     | 36.8                                 | Western Oklahoma       | 17                     | 47.1                |
|                                       |                        |                     | Distric                               | ts with the            | Lowest              | Rates in Each Time Period                |                        |                                      |                        |                        |                     |
| Southern Florida                      | 1,327                  | 3.6                 | Southern Texas                        | 57                     | 3.5                 | Eastern California                       | 38                     | 5.3                                  | Eastern North Carolina | 32                     | 3.1                 |
| Eastern Pennsylvania                  | 289                    | 3.5                 | Southern Florida                      | 207                    | 2.9                 | Western Texas                            | 168                    | 4.8                                  | Northern Texas         | 33                     | 3.0                 |
| Eastern Louisiana                     | 108                    | 2.8                 | Northern West Virginia                | 37                     | 2.7                 | Eastern Missouri                         | 99                     | 4.0                                  | Eastern Kentucky       | 37                     | 2.7                 |
| Middle Pennsylvania                   | 82                     | 2.4                 | Western Texas                         | 50                     | 2.0                 | Eastern Louisiana                        | 53                     | 3.8                                  | Eastern Tennessee      | 43                     | 2.3                 |
| Eastern Virginia                      | 226                    | 1.3                 | Puerto Rico                           | 108                    | 0.9                 | Northern West Virginia                   | 36                     | 2.8                                  | Western New York       | 66                     | 1.5                 |

Number of Offenders refers to the total number of heroin trafficking offenders sentenced during the time period. During the Koon period, four districts did not sentence any heroin trafficking offenses. During the PROTECT Act period, 26 districts did not sentence any heroin trafficking offenses. During the Booker period, 13 districts did not sentence any heroin trafficking offenses. During the Gall period, nine districts did not sentence any heroin trafficking offenses.

During the Koon period, 34 districts imposed at least one sentence for a heroin trafficking offense but did not impose a non-government sponsored below range sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to 30 offenders.

During the PROTECT Act period, 44 districts imposed at least one sentence for a heroin trafficking offense but did not impose a non-government sponsored below range sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to 66 offenders.

During the Booker period, 26 districts imposed at least one sentence for a heroin trafficking offense but did not impose a non-government sponsored below range sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to 29 offenders.

During the Gall period, 15 districts imposed at least one sentence for a heroin trafficking offense but did not impose a non-government sponsored below range sentence. The total number of heroin trafficking offenders sentenced in each of those districts ranged from one to 29 offenders.

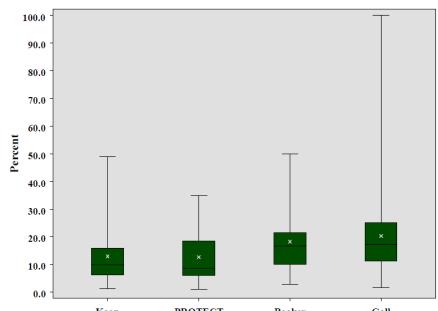
#### **DISTRICT TREND ANALYSIS**

NON-GOVERNMENT SPONSORED BELOW RANGE SENTENCES

More districts reported non-government sponsored below range sentences in heroin trafficking offenses in the *Gall* period than the other periods. The rate and the spread in the rates among the middle 50 percent of districts has generally increased over time.

\*Click on chart for corresponding table.

## Spread of Rates of Non-Government Sponsored Below Range Sentences Heroin Trafficking Offenses Koon Period through Gall Period



|      | Koon | PROTECT | Booker | Gall  |
|------|------|---------|--------|-------|
| N    | 56.0 | 24.0    | 55.0   | 70.0  |
| Min  | 1.3  | 0.9     | 2.8    | 1.5   |
| Max  | 48.9 | 35.0    | 50.0   | 100.0 |
| Q1   | 6.1  | 6.0     | 10.0   | 11.2  |
| Q3   | 15.9 | 18.3    | 21.4   | 25.0  |
| Q2   | 9.8  | 8.6     | 16.7   | 17.1  |
| Mean | 12.9 | 12.6    | 18.2   | 20.3  |

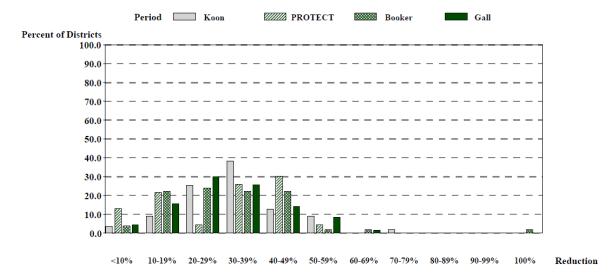
## PART C: DRUG TRAFFICKING OFFENSES HEROIN

#### **DISTRICT TREND ANALYSIS**

NON-GOVERNMENT SPONSORED BELOW RANGE SENTENCES

The majority of districts have clustered at reductions of between 20 and 49 percent below the guideline minimum in every period.

#### Extent of Reduction from Guideline Minimum Non-Government Sponsored Below Range Sentences Heroin Trafficking Offenses Koon Period through Gall Period



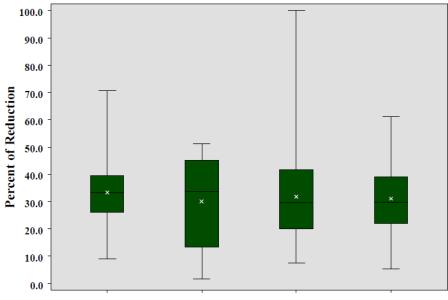
## PART C: DRUG TRAFFICKING OFFENSES HEROIN

#### **DISTRICT TREND ANALYSIS**

NON-GOVERNMENT SPONSORED BELOW RANGE SENTENCES

With fewer districts reporting non-government sponsored below range sentences in the PROTECT Act period than in the other periods, the spread in the extent of the reduction among the middle 50 percent of districts was greatest. The spread in the extent of the reduction decreased in the *Booker* period and again the *Gall* period.

#### Spread of Average Extent of Departure Non-Government Sponsored Below Range Sentences Heroin Trafficking Offenses Koon Period through Gall Period



|      | Koon | PROTECT | Booker | Gall |
|------|------|---------|--------|------|
| N    | 55.0 | 23.0    | 54.0   | 70.0 |
| Min  | 9.1  | 1.9     | 7.6    | 5.3  |
| Max  | 70.6 | 51.3    | 100.0  | 61.3 |
| Q1   | 26.0 | 13.5    | 20.0   | 22.0 |
| Q3   | 39.6 | 45.2    | 41.7   | 39.1 |
| Q2   | 33.4 | 33.6    | 29.6   | 29.8 |
| Mean | 33.4 | 30.2    | 31.8   | 31.2 |

### Appendix:

## **Heroin Trafficking Offenses**

#### I. OVERVIEW

- National Distribution of Selected Offenses by Circuit and District
- ❖ National Distribution of Primary Drug Type by Circuit and District
- Proportion of the Drug Trafficking Caseload in Each Circuit and District by Primary Drug Type

#### II. SENTENCE POSITION RELATIVE TO THE GUIDELINE RANGE

- Within Range Rates by Circuit and District
- ❖ Above Range Rates by Circuit and District
- ❖ Government Sponsored Below Range Rates by Circuit and District
  - > §5K1.1 Substantial Assistance Sentences
  - ➤ §5K3.1 Early Disposition Program Sentences
  - > Other Government Sponsored Below Range Sentences
- Non-Government Sponsored Below Range Sentences by Circuit and District
- ❖ Average Guideline Minimum and Length of Imprisonment by Circuit and District

#### III. BOX PLOTS: BELOW RANGE RATES BY DISTRICT

- ❖ Government Sponsored Below Range Rates
  - > Koon Period
  - PROTECT Act Period
  - ➤ Booker Period
  - Gall Period
    - ❖ §5K1.1 Substantial Assistance Rates
      - ➤ Koon Period
      - ➤ PROTECT Act Period
      - > Booker Period
      - ➤ Gall Period
    - Other Government Sponsored Below Range Sentences
      - ➤ PROTECT Act Period
      - > Booker Period
      - ➤ Gall Period
- ❖ Non-Government Sponsored Below Range Rates
  - ➤ Koon Period
  - > PROTECT Act Period
  - *▶* Booker Period
  - ➤ Gall Period

### National Distribution of Selected Offenses by Circuit and District Fiscal Year 2011

| CIRCUIT              |        | Firear<br>Offen |      | Frau<br>Offen |      | Alie<br>Smugg<br>Offen | ling | Illeg<br>Ent<br>Offer | ry   | Dru<br>Traffic | king | Chil<br>Pornogr<br>Produc<br>Offen | aphy<br>tion | Child<br>Pornogr<br>Non-<br>Produc<br>Offens | aphy<br>-<br>tion |
|----------------------|--------|-----------------|------|---------------|------|------------------------|------|-----------------------|------|----------------|------|------------------------------------|--------------|--|-------------------|
| District             | TOTAL  | N               | %    | N             | %    | N                      | %    | N                     | %    | N              | %    | N                                  | %            | N  | %                 |
|                      |        |                 |      |               |      |                        |      |                       |      |                |      |                                    |              |  |                   |
| TOTAL                | 76,191 | 5,896           | 7.7  | 5,898         | 7.7  | 2,546                  | 3.3  | 21,264                | 27.9 | 24,502         | 32.2 | 226                                | 0.3          | 1,632  | 2.1               |
| D.C. CIRCUIT         | 345    | 16              | 0.3  | 50            | 0.8  | 3                      | 0.1  | 15                    | 0.1  | 133            | 0.5  | 2                                  | 0.9          | 11   | 0.7               |
| District of Columbia | 345    | 16              | 0.3  | 50            | 0.8  | 3                      | 0.1  | 15                    | 0.1  | 133            | 0.5  | 2                                  | 0.9          | 11   | 0.7               |
| FIRST CIRCUIT        | 2,134  | 190             | 3.2  | 240           | 4.1  | 16                     | 0.6  | 114                   | 0.5  | 1,129          | 4.6  | 10                                 | 4.4          | 39   | 2.4               |
| Maine                | 193    | 39              | 0.7  | 17            | 0.3  | 2                      | 0.1  | 4                     | 0.0  | 70             | 0.3  | 1                                  | 0.4          | 8  | 0.5               |
| Massachusetts        | 538    | 61              | 1.0  | 85            | 1.4  | 5                      | 0.2  | 44                    | 0.2  | 192            | 0.8  | 1                                  | 0.4          | 16   | 1.0               |
| New Hampshire        | 201    | 26              | 0.4  | 25            | 0.4  | 0                      | 0.0  | 6                     | 0.0  | 85             | 0.3  | 1                                  | 0.4          | 3  | 0.2               |
| Puerto Rico          | 993    | 38              | 0.6  | 98            | 1.7  | 9                      | 0.4  | 30                    | 0.1  | 699            | 2.9  | 7                                  | 3.1          | 5  | 0.3               |
| Rhode Island         | 209    | 26              | 0.4  | 15            | 0.3  | 0                      | 0.0  | 30                    | 0.1  | 83             | 0.3  | 0                                  | 0.0          | 7  | 0.4               |
| SECOND CIRCUIT       | 4,307  | 245             | 4.2  | 556           | 9.4  | 48                     | 1.9  | 462                   | 2.2  | 1,787          | 7.3  | 14                                 | 6.2          | 114  | 7.0               |
| Connecticut          | 335    | 48              | 0.8  | 37            | 0.6  | 0                      | 0.0  | 6                     | 0.0  | 164            | 0.7  | 2                                  | 0.9          | 5  | 0.3               |
| New York             |        | .0              | 0.0  |               | 0.0  |                        | 0.0  |                       | 0.0  | 10.            | 0.7  | _                                  | 0.,          |  | 0.5               |
| Eastern              | 1,094  | 37              | 0.6  | 160           | 2.7  | 14                     | 0.5  | 146                   | 0.7  | 410            | 1.7  | 0                                  | 0.0          | 18   | 1.1               |
| Northern             | 515    | 47              | 0.8  | 26            | 0.4  | 20                     | 0.8  | 59                    | 0.3  | 243            | 1.0  | 7                                  | 3.1          | 45   | 2.8               |
| Southern             | 1,493  | 57              | 1.0  | 240           | 4.1  | 5                      | 0.8  | 164                   | 0.3  | 579            | 2.4  | 0                                  | 0.0          | 7  | 0.4               |
| Western              | 713    | 36              | 0.6  | 82            | 1.4  | 7                      | 0.2  | 80                    | 0.8  | 309            | 1.3  | 5                                  | 2.2          | 35   | 2.1               |
|                      |        | 20              | 0.0  | 11            |      | 2                      |      | 7                     |      | 82             | 0.3  | 0                                  | 0.0          | 33   | 0.2               |
| Vermont              | 157    | 20              | 0.3  | 11            | 0.2  | 2                      | 0.1  | /                     | 0.0  | 82             | 0.3  | 0                                  | 0.0          | 4  | 0.2               |
| THIRD CIRCUIT        | 2,861  | 279             | 4.7  | 413           | 7.0  | 10                     | 0.4  | 206                   | 1.0  | 953            | 3.9  | 9                                  | 4.0          | 103  | 6.3               |
| Delaware             | 112    | 24              | 0.4  | 7             | 0.1  | 0                      | 0.0  | 9                     | 0.0  | 27             | 0.1  | 1                                  | 0.4          | 6  | 0.4               |
| New Jersey           | 787    | 82              | 1.4  | 134           | 2.3  | 5                      | 0.2  | 33                    | 0.2  | 223            | 0.9  | 0                                  | 0.0          | 21   | 1.3               |
| Pennsylvania         |        |                 |      |               |      |                        |      |                       |      |                |      |                                    |              |  |                   |
| Eastern              | 957    | 100             | 1.7  | 164           | 2.8  | 2                      | 0.1  | 76                    | 0.4  | 328            | 1.3  | 0                                  | 0.0          | 23   | 1.4               |
| Middle               | 488    | 22              | 0.4  | 54            | 0.9  | 2                      | 0.1  | 45                    | 0.2  | 177            | 0.7  | 6                                  | 2.7          | 15   | 0.9               |
| Western              | 457    | 39              | 0.7  | 52            | 0.9  | 0                      | 0.0  | 36                    | 0.2  | 179            | 0.7  | 2                                  | 0.9          | 38   | 2.3               |
| Virgin Islands       | 60     | 12              | 0.2  | 2             | 0.0  | 1                      | 0.0  | 7                     | 0.0  | 19             | 0.1  | 0                                  | 0.0          | 0  | 0.0               |
| FOURTH CIRCUIT       | 5,730  | 832             | 14.1 | 596           | 10.1 | 13                     | 0.5  | 484                   | 2.3  | 2,229          | 9.1  | 35                                 | 15.5         | 141  | 8.6               |
| Maryland             | 780    | 110             | 1.9  | 87            | 1.5  | 2                      | 0.3  | 54                    | 0.3  | 251            | 1.0  | 15                                 | 6.6          | 31   | 1.9               |
| North Carolina       | 700    | 110             | 1.7  | 07            | 1.5  |                        | 0.1  | 34                    | 0.5  | 231            | 1.0  | 13                                 | 0.0          | 31   | 1.7               |
| Eastern              | 779    | 148             | 2.5  | 68            | 1.2  | 0                      | 0.0  | 56                    | 0.3  | 346            | 1.4  | 4                                  | 1.8          | 8  | 0.5               |
| Middle               | 523    | 159             | 2.7  | 22            | 0.4  | 0                      | 0.0  | 57                    | 0.3  | 153            | 0.6  | 1                                  | 0.4          | 20   | 1.2               |
| Western              | 542    | 69              |      |               |      |                        |      |                       |      | 182            |      | 5                                  | 2.2          | 7  |                   |
|                      |        |                 | 1.2  | 61            | 1.0  | 2                      | 0.1  | 66                    | 0.3  |                | 0.7  |                                    |              |  | 0.4               |
| South Carolina       | 1,009  | 172             | 2.9  | 134           | 2.3  | 4                      | 0.2  | 52                    | 0.2  | 401            | 1.6  | 1                                  | 0.4          | 23   | 1.4               |
| Virginia             |        | 7.5             | 1.0  | 1.60          | 2.0  | _                      | 0.0  | 177                   | 0.0  | 200            | 1.6  |                                    | 2.5          | 20   | 1.0               |
| Eastern              | 1,145  | 75<br>22        | 1.3  | 168           | 2.8  | 5                      | 0.2  | 175                   | 0.8  | 389            | 1.6  | 8                                  | 3.5          | 30   | 1.8               |
| Western              | 362    | 23              | 0.4  | 25            | 0.4  | 0                      | 0.0  | 7                     | 0.0  | 197            | 0.8  | 0                                  | 0.0          | 10   | 0.6               |
| West Virginia        |        |                 |      |               |      |                        |      |                       |      |                |      |                                    |              |  |                   |
| Northern             | 283    | 35              | 0.6  | 15            | 0.3  | 0                      | 0.0  | 12                    | 0.1  | 144            | 0.6  | 0                                  | 0.0          | 7  | 0.4               |
| Southern             | 307    | 41              | 0.7  | 16            | 0.3  | 0                      | 0.0  | 5                     | 0.0  | 166            | 0.7  | 1                                  | 0.4          | 5  | 0.3               |

### National Distribution of Selected Offenses by Circuit and District (cont.)

| CIRCUIT         |        | Firea:<br>Offen |      | Frat<br>Offen |      | Alie<br>Smugg<br>Offen | gling | Illeg<br>Ent<br>Offer | ry   | Dru<br>Traffic | king | Chil<br>Pornogr<br>Produc<br>Offen | aphy<br>tion | Chil<br>Pornogi<br>Nor<br>Produc<br>Offen | aphy<br>-<br>ction |
|-----------------|--------|-----------------|------|---------------|------|------------------------|-------|-----------------------|------|----------------|------|------------------------------------|--------------|---|--------------------|
| District        |        | N               | %    | N             | %    | N                      | %     | N                     | %    | N              | %    | N                                  | %            | N   | %                  |
| FIFTH CIRCUIT   | 17,799 | 707             | 12.0 | 672           | 11.4 | 1,275                  | 50.1  | 7,950                 | 37.4 | 4,956          | 20.2 | 20                                 | 8.8          | 188                                       | 11.5               |
| Louisiana       | ,      |                 |      |               |      | -,                     |       | .,                    |      | 1,500          |      |                                    |              |   |                    |
| Eastern         | 340    | 33              | 0.6  | 58            | 1.0  | 1                      | 0.0   | 19                    | 0.1  | 120            | 0.5  | 0                                  | 0.0          | 8   | 0.5                |
| Middle          | 134    | 27              | 0.5  | 29            | 0.5  | 0                      | 0.0   | 16                    | 0.1  | 37             | 0.2  | 0                                  | 0.0          | 0   | 0.0                |
| Western         | 270    | 41              | 0.7  | 40            | 0.7  | 0                      | 0.0   | 11                    | 0.1  | 60             | 0.2  | 3                                  | 1.3          | 11  | 0.7                |
| Mississippi     | -70    |                 | 0.7  | 10            | 0.7  | · ·                    | 0.0   |                       | 0.1  |                | 0.2  |                                    | 1.5          | 11  | 0.7                |
| Northern        | 181    | 32              | 0.5  | 20            | 0.3  | 0                      | 0.0   | 5                     | 0.0  | 65             | 0.3  | 1                                  | 0.4          | 18  | 1.1                |
| Southern        | 299    | 23              | 0.4  | 72            | 1.2  | 3                      | 0.1   | 22                    | 0.1  | 77             | 0.3  | 1                                  | 0.4          | 5   | 0.3                |
| Texas           | 2//    | 23              | 0.4  | 12            | 1.2  | 3                      | 0.1   | 22                    | 0.1  | ''             | 0.5  | 1                                  | 0.4          |   | 0.5                |
| Eastern         | 987    | 98              | 1.7  | 93            | 1.6  | 17                     | 0.7   | 82                    | 0.4  | 460            | 1.9  | 1                                  | 0.4          | 25  | 1.5                |
| Northern        | 897    | 86              | 1.5  | 80            | 1.4  | 9                      | 0.4   | 142                   | 0.7  | 259            | 1.1  | 7                                  | 3.1          | 42  | 2.6                |
| Southern        | 8,158  | 182             | 3.1  | 120           | 2.0  | 938                    | 36.8  | 4,656                 | 21.9 | 1,735          | 7.1  | 3                                  | 1.3          | 24  | 1.5                |
| Western         | 6,533  | 185             | 3.1  | 160           | 2.7  | 307                    | 12.1  | 2,997                 | 14.1 | 2,143          | 8.7  | 4                                  | 1.8          | 55  | 3.4                |
| Western         | 0,333  | 103             | 3.1  | 100           | 2.7  | 307                    | 12.1  | 2,991                 | 14.1 | 2,143          | 0.7  |                                    | 1.0          | 33  | 3.4                |
| SIXTH CIRCUIT   | 5,324  | 847             | 14.4 | 579           | 9.8  | 14                     | 0.5   | 416                   | 2.0  | 1,946          | 7.9  | 23                                 | 10.2         | 181                                       | 11.1               |
| Kentucky        | 3,524  | 047             | 14.4 | 317           | 7.0  | 1-1                    | 0.5   | 410                   | 2.0  | 1,540          | 1.5  | 25                                 | 10.2         | 101                                       | 11.1               |
| Eastern         | 585    | 55              | 0.9  | 51            | 0.9  | 0                      | 0.0   | 22                    | 0.1  | 259            | 1.1  | 6                                  | 2.7          | 19  | 1.2                |
| Western         | 358    | 27              | 0.5  | 52            | 0.9  | 0                      | 0.0   | 21                    | 0.1  | 158            | 0.6  |                                    | 0.0          | 10  | 0.6                |
| Michigan        | •      |                 | 0.5  |               | 0.5  | Ŭ                      | 0.0   |                       | 0.1  | 100            | 0.0  |                                    | 0.0          | 10  | 0.0                |
| Eastern         | 810    | 126             | 2.1  | 97            | 1.6  | 2                      | 0.1   | 104                   | 0.5  | 243            | 1.0  | 4                                  | 1.8          | 35  | 2.1                |
| Western         | 450    | 61              | 1.0  | 42            | 0.7  | 0                      | 0.0   | 108                   | 0.5  | 104            | 0.4  | 4                                  | 1.8          | 21  | 1.3                |
| Ohio            |        | 01              | 1.0  |               | 0.,  | Ŭ                      | 0.0   | 100                   | 0.0  | 10.            | ٠    |                                    | 1.0          |   | 1.5                |
| Northern        | 610    | 117             | 2.0  | 97            | 1.6  | 2                      | 0.1   | 25                    | 0.1  | 173            | 0.7  | 0                                  | 0.0          | 28  | 1.7                |
| Southern        | 704    | 63              | 1.1  | 78            | 1.3  | 3                      | 0.1   | 81                    | 0.4  | 269            | 1.1  | 4                                  | 1.8          | 36  | 2.2                |
| Tennessee       | 701    | 03              | 1.1  | , 0           | 1.5  | 3                      | 0.1   | 01                    | 0.1  | 207            | 1.1  |                                    | 1.0          | 30  | 2.2                |
| Eastern         | 894    | 143             | 2.4  | 53            | 0.9  | 4                      | 0.2   | 19                    | 0.1  | 474            | 1.9  | 1                                  | 0.4          | 12  | 0.7                |
| Middle          | 319    | 89              | 1.5  | 32            | 0.5  | 0                      | 0.0   | 17                    | 0.1  | 82             | 0.3  | 2                                  | 0.9          | 11  | 0.7                |
| Western         | 594    | 166             | 2.8  | 77            | 1.3  | 3                      | 0.1   | 19                    | 0.1  | 184            | 0.8  | 2                                  | 0.9          | 9   | 0.6                |
| VV CSCCIII      | 571    | 100             | 2.0  | , ,           | 1.5  | 5                      | 0.1   | 17                    | 0.1  | 101            | 0.0  | _                                  | 0.7          |   | 0.0                |
| SEVENTH CIRCUIT | 2,931  | 377             | 6.4  | 341           | 5.8  | 4                      | 0.2   | 214                   | 1.0  | 1,182          | 4.8  | 23                                 | 10.2         | 103                                       | 6.3                |
| Illinois        | -,     | •               | ***  |               |      | _                      |       |                       |      |                |      |                                    |              |   |                    |
| Central         | 353    | 54              | 0.9  | 23            | 0.4  | 2                      | 0.1   | 28                    | 0.1  | 138            | 0.6  | 6                                  | 2.7          | 27  | 1.7                |
| Northern        | 868    | 42              | 0.7  | 161           | 2.7  | 0                      | 0.0   | 105                   | 0.5  | 285            | 1.2  | 6                                  | 2.7          | 14  | 0.9                |
| Southern        | 324    | 53              | 0.9  | 28            | 0.5  | 1                      | 0.0   | 7                     | 0.0  | 139            | 0.6  | 5                                  | 2.2          | 18  | 1.1                |
| Indiana         |        |                 |      |               |      |                        |       |                       |      |                |      |                                    |              |   |                    |
| Northern        | 436    | 126             | 2.1  | 47            | 0.8  | 1                      | 0.0   | 10                    | 0.0  | 147            | 0.6  | 2                                  | 0.9          | 12  | 0.7                |
| Southern        | 279    | 18              | 0.3  | 24            | 0.4  | 0                      | 0.0   | 28                    | 0.1  | 143            | 0.6  | 2                                  | 0.9          | 15  | 0.9                |
| Wisconsin       |        |                 |      |               |      |                        |       |                       |      |                |      |                                    |              |   |                    |
| Eastern         | 487    | 65              | 1.1  | 38            | 0.6  | 0                      | 0.0   | 18                    | 0.1  | 262            | 1.1  | 1                                  | 0.4          | 14  | 0.9                |
| Western         | 184    | 19              | 0.3  | 20            | 0.3  | 0                      | 0.0   | 18                    | 0.1  | 68             | 0.3  | 1                                  | 0.4          | 3   | 0.2                |
|                 |        |                 |      |               |      |                        |       |                       |      |                |      |                                    |              |   |                    |
| EIGHTH CIRCUIT  | 4,661  | 586             | 9.9  | 434           | 7.4  | 20                     | 0.8   | 601                   | 2.8  | 1,506          | 6.1  | 37                                 | 16.4         | 210                                       | 12.9               |
| Arkansas        |        |                 |      |               |      |                        |       |                       |      |                |      |                                    |              |   |                    |
| Eastern         | 316    | 29              | 0.5  | 37            | 0.6  | 0                      | 0.0   | 52                    | 0.2  | 126            | 0.5  | 1                                  | 0.4          | 11  | 0.7                |
| Western         | 313    | 26              | 0.4  | 13            | 0.2  | 4                      | 0.2   | 94                    | 0.4  | 91             | 0.4  | 6                                  | 2.7          | 9   | 0.6                |
| Iowa            |        |                 |      |               |      |                        |       |                       |      |                |      |                                    |              |   |                    |
| Northern        | 516    | 66              | 1.1  | 22            | 0.4  | 3                      | 0.1   | 136                   | 0.6  | 184            | 0.8  | 6                                  | 2.7          | 20  | 1.2                |
| Southern        | 386    | 52              | 0.9  | 44            | 0.7  | 6                      | 0.2   | 28                    | 0.1  | 148            | 0.6  | 1                                  | 0.4          | 25  | 1.5                |
| Minnesota       | 438    | 39              | 0.7  | 61            | 1.0  | 1                      | 0.0   | 42                    | 0.2  | 159            | 0.6  | 6                                  | 2.7          | 13  | 0.8                |
| Missouri        |        |                 |      |               |      |                        |       |                       |      |                |      |                                    |              |   |                    |
| Eastern         | 810    | 124             | 2.1  | 106           | 1.8  | 2                      | 0.1   | 25                    | 0.1  | 225            | 0.9  | 7                                  | 3.1          | 54  | 3.3                |
| Western         | 639    | 162             | 2.7  | 72            | 1.2  | 2                      | 0.1   | 33                    | 0.2  | 174            | 0.7  | 7                                  | 3.1          | 27  | 1.7                |
| Nebraska        | 544    | 50              | 0.8  | 50            | 0.8  | 0                      | 0.0   | 95                    | 0.4  | 219            | 0.9  | 2                                  | 0.9          | 23  | 1.4                |
| North Dakota    | 271    | 15              | 0.3  | 6             | 0.1  | 2                      | 0.1   | 46                    | 0.2  | 76             | 0.3  | 0                                  | 0.0          | 19  | 1.2                |
| South Dakota    | 428    | 23              | 0.4  | 23            | 0.4  | 0                      | 0.0   | 50                    | 0.2  | 104            | 0.4  | 1                                  | 0.4          | 9   | 0.6                |

National Distribution of Selected Offenses by Circuit and District (cont.)

| CIRCUIT                  |        | Firea:<br>Offen |      | Frat<br>Offer |      | Alie<br>Smugg<br>Offer | gling | Illeg<br>Ent<br>Offer | ry   | Dru<br>Traffic | king | Chil<br>Pornogr<br>Produc<br>Offen | aphy<br>tion | Chil<br>Pornogi<br>Nor<br>Produc<br>Offen | caphy<br>1-<br>ction |
|--------------------------|--------|-----------------|------|---------------|------|------------------------|-------|-----------------------|------|----------------|------|------------------------------------|--------------|---|----------------------|
| District                 |        | N               | %    | N             | %    | N                      | %     | N                     | %    | N              | %    | N                                  | %            | N   | %                    |
| NINTH CIRCUIT            | 17,287 | 628             | 10.7 | 807           | 13.7 | 937                    | 36.8  | 7,211                 | 33.9 | 5,172          | 21.1 | 18                                 | 8.0          | 280                                       | 17.2                 |
| Alaska                   | 138    | 19              | 0.3  | 8             | 0.1  | 0                      | 0.0   | 4                     | 0.0  | 66             | 0.3  | 0                                  | 0.0          | 7   | 0.4                  |
| Arizona                  | 7,558  | 103             | 1.7  | 61            | 1.0  | 331                    | 13.0  | 4,542                 | 21.4 | 2,054          | 8.4  | 1                                  | 0.4          | 28  | 1.7                  |
| California               | 7,550  | 103             | 1.7  | 01            | 1.0  | 331                    | 13.0  | 4,342                 | 21.4 | 2,034          | 0.4  | 1                                  | 0.4          | 26  | 1.7                  |
| Central                  | 1,712  | 59              | 1.0  | 236           | 4.0  | 33                     | 1.3   | 439                   | 2.1  | 511            | 2.1  | 2                                  | 0.9          | 50  | 3.1                  |
| Eastern                  | 1,009  | 42              | 0.7  | 68            | 1.2  | 0                      | 0.0   | 369                   | 1.7  | 295            | 1.2  | 5                                  | 2.2          | 38  | 2.3                  |
| Northern                 | 676    | 62              | 1.1  | 104           | 1.8  | 2                      | 0.0   | 154                   | 0.7  | 159            | 0.6  | 2                                  | 0.9          | 24  | 1.5                  |
| Southern                 | 3,199  | 37              | 0.6  | 37            | 0.6  | 557                    | 21.9  | 1,129                 | 5.3  | 1,151          | 4.7  | 1                                  | 0.4          | 39  | 2.4                  |
| Guam                     | 49     | 1               | 0.0  | 12            | 0.0  | 2                      | 0.1   | 1,129                 | 0.0  | 1,131          | 0.0  | 0                                  | 0.0          | 0   | 0.0                  |
| Hawaii                   | 196    | 10              | 0.0  | 19            | 0.2  | 1                      | 0.0   | 5                     | 0.0  | 103            | 0.4  | 1                                  | 0.4          | 8   | 0.5                  |
| Idaho                    | 338    | 28              | 0.2  | 30            | 0.5  | 0                      | 0.0   | 93                    | 0.0  | 134            | 0.4  | 1                                  | 0.4          | 9   | 0.5                  |
| Montana                  | 305    | 20              | 0.3  | 24            | 0.3  | 0                      | 0.0   | 12                    | 0.4  | 116            | 0.5  | 1                                  | 0.4          | 23  | 1.4                  |
| Nevada                   | 625    | 84              | 1.4  | 66            | 1.1  | 3                      | 0.0   | 152                   | 0.7  | 131            | 0.5  | 0                                  | 0.0          | 17  | 1.0                  |
| Northern Mariana Islands | 7      | 1               | 0.0  | 0             | 0.0  | 0                      | 0.0   | 0                     | 0.0  | 2              | 0.0  | 0                                  | 0.0          | 0   | 0.0                  |
| Oregon                   | 512    | 66              | 1.1  | 50            | 0.8  | 0                      | 0.0   | 73                    | 0.3  | 155            | 0.6  | 3                                  | 1.3          | 18  | 1.1                  |
| Washington               | 312    | 00              | 1.1  | 30            | 0.0  |                        | 0.0   | 73                    | 0.5  | 133            | 0.0  |                                    | 1.5          | 10  | 1.1                  |
| Eastern                  | 353    | 40              | 0.7  | 16            | 0.3  | 2                      | 0.1   | 136                   | 0.6  | 91             | 0.4  | 0                                  | 0.0          | 5   | 0.3                  |
| Western                  | 610    | 56              | 0.7  | 76            | 1.3  | 6                      | 0.1   | 102                   | 0.5  | 192            | 0.4  | 1                                  | 0.4          | 14  | 0.9                  |
| Western                  | 010    | 30              | 0.5  | 70            | 1.5  |                        | 0.2   | 102                   | 0.5  | 1)2            | 0.0  | 1                                  | 0.4          | 17  | 0.7                  |
| TENTH CIRCUIT            | 6,371  | 489             | 8.3  | 257           | 4.4  | 111                    | 4.4   | 2,880                 | 13.5 | 1,487          | 6.1  | 12                                 | 5.3          | 96  | 5.9                  |
| Colorado                 | 622    | 86              | 1.5  | 44            | 0.7  | 4                      | 0.2   | 181                   | 0.9  | 159            | 0.6  | 0                                  | 0.0          | 8   | 0.5                  |
| Kansas                   | 653    | 82              | 1.4  | 47            | 0.8  | 12                     | 0.5   | 62                    | 0.3  | 251            | 1.0  | 2                                  | 0.9          | 16  | 1.0                  |
| New Mexico               | 3,104  | 104             | 1.8  | 17            | 0.3  | 87                     | 3.4   | 1,957                 | 9.2  | 593            | 2.4  | 0                                  | 0.0          | 16  | 1.0                  |
| Oklahoma                 | 5,104  | 104             | 1.0  | 1,            | 0.5  | 07                     | 5.4   | 1,757                 | 7.2  | 373            | 2.7  |                                    | 0.0          | 10  | 1.0                  |
| Eastern                  | 102    | 16              | 0.3  | 17            | 0.3  | 0                      | 0.0   | 5                     | 0.0  | 21             | 0.1  | 0                                  | 0.0          | 2   | 0.1                  |
| Northern                 | 192    | 31              | 0.5  | 23            | 0.4  | 0                      | 0.0   | 38                    | 0.0  | 48             | 0.2  | 0                                  | 0.0          | 3   | 0.2                  |
| Western                  | 375    | 33              | 0.6  | 43            | 0.7  | 0                      | 0.0   | 64                    | 0.2  | 114            | 0.5  | 3                                  | 1.3          | 12  | 0.7                  |
| Utah                     | 992    | 103             | 1.7  | 59            | 1.0  | 8                      | 0.3   | 490                   | 2.3  | 161            | 0.7  | 5                                  | 2.2          | 28  | 1.7                  |
| Wyoming                  | 331    | 34              | 0.6  | 7             | 0.1  | 0                      | 0.0   | 83                    | 0.4  | 140            | 0.6  | 2                                  | 0.9          | 11  | 0.7                  |
| w youning                | 331    | 34              | 0.0  | ,             | 0.1  |                        | 0.0   | 03                    | 0.4  | 140            | 0.0  |                                    | 0.7          | 11  | 0.7                  |
| ELEVENTH CIRCUIT         | 6,441  | 700             | 11.9 | 953           | 16.2 | 95                     | 3.7   | 711                   | 3.3  | 2,022          | 8.3  | 23                                 | 10.2         | 166                                       | 10.2                 |
| Alabama                  | 0,111  | 700             | 11.5 | ,,,,          | 10.2 |                        | 0.,   | /11                   | 0.0  | 2,022          | 0.0  |                                    | 10.2         | 100                                       | 10.2                 |
| Middle                   | 227    | 24              | 0.4  | 23            | 0.4  | 0                      | 0.0   | 17                    | 0.1  | 66             | 0.3  | 0                                  | 0.0          | 5   | 0.3                  |
| Northern                 | 428    | 109             | 1.8  | 58            | 1.0  | 2                      | 0.1   | 32                    | 0.2  | 84             | 0.3  | 3                                  | 1.3          | 16  | 1.0                  |
| Southern                 | 421    | 64              | 1.1  | 54            | 0.9  | 3                      | 0.1   | 10                    | 0.0  | 166            | 0.7  | 2                                  | 0.9          | 8   | 0.5                  |
| Florida                  |        | 0.              |      | ٠.            | 0.5  |                        | 0.1   | 10                    | 0.0  | 100            | 0.7  | _                                  | 0.7          |   | 0.5                  |
| Middle                   | 1,515  | 149             | 2.5  | 173           | 2.9  | 27                     | 1.1   | 302                   | 1.4  | 534            | 2.2  | 6                                  | 2.7          | 49  | 3.0                  |
| Northern                 | 363    | 49              | 0.8  | 28            | 0.5  | 1                      | 0.0   | 17                    | 0.1  | 115            | 0.5  | 1                                  | 0.4          | 16  | 1.0                  |
| Southern                 | 2,027  | 92              | 1.6  | 461           | 7.8  | 49                     | 1.9   | 161                   | 0.8  | 640            | 2.6  | 3                                  | 1.3          | 32  | 2.0                  |
| Georgia                  | _,0_,  | /-              | 1.0  | 701           | 7.0  |                        | 1.7   | 101                   | 5.0  | 310            | 2.0  |                                    | 1.5          | 52  | 0                    |
| Middle                   | 368    | 41              | 0.7  | 26            | 0.4  | 0                      | 0.0   | 43                    | 0.2  | 96             | 0.4  | 3                                  | 1.3          | 6   | 0.4                  |
| Northern                 | 649    | 71              | 1.2  | 86            | 1.5  | 13                     | 0.5   | 120                   | 0.2  | 153            | 0.6  | 3                                  | 1.3          | 22  | 1.3                  |
| Southern                 | 443    | 101             | 1.7  | 44            | 0.7  |                        | 0.0   | 9                     | 0.0  | 168            | 0.7  | 1                                  | 0.9          | 12  | 0.7                  |
| Soundin                  | 773    | 101             | 1./  | 77            | 0.7  | I G                    | 0.0   | 1 /                   | 0.0  | I 100          | 0.7  | I -                                | 0.7          | I 12                                      | 0.7                  |

### National Distribution of Primary Drug Type by Circuit and District Drug Trafficking Offenses Fiscal Year 2011

|                      |        | Powde  | er   | Cracl  | K    |           |      |         |      |       |      |
|----------------------|--------|--------|------|--------|------|-----------|------|---------|------|-------|------|
| CIRCUIT              | DRUG   | Cocaiı |      | Cocaiı |      | Methamphe |      | Marijua |      | Heroi |      |
| District             | TOTAL  | N      | %    | N      | %    | N         | %    | N       | %    | N     | %    |
| TOTAL                | 24,501 | 5,882  | 24.0 | 4,219  | 17.2 | 4,408     | 18.0 | 6,752   | 27.6 | 1,764 | 7.2  |
| D.C. CIRCUIT         | 133    | 57     | 1.0  | 42     | 1.0  | 3         | 0.1  | 4       | 0.1  | 12    | 0.7  |
| District of Columbia | 133    | 57     | 1.0  | 42     | 1.0  | 3         | 0.1  | 4       | 0.1  | 12    | 0.7  |
| FIRST CIRCUIT        | 1,129  | 639    | 10.9 | 182    | 4.3  | 15        | 0.3  | 72      | 1.1  | 124   | 7.0  |
| Maine                | 70     | 17     | 0.3  | 10     | 0.2  | 0         | 0.0  | 13      | 0.2  | 16    | 0.9  |
| Massachusetts        | 192    | 42     | 0.7  | 57     | 1.4  | 9         | 0.2  | 26      | 0.4  | 34    | 1.9  |
| New Hampshire        | 85     | 28     | 0.5  | 6      | 0.1  | 6         | 0.1  | 6       | 0.1  | 4     | 0.2  |
| Puerto Rico          | 699    | 536    | 9.1  | 80     | 1.9  | 0         | 0.0  | 23      | 0.3  | 50    | 2.8  |
| Rhode Island         | 83     | 16     | 0.3  | 29     | 0.7  | 0         | 0.0  | 4       | 0.1  | 20    | 1.1  |
| SECOND CIRCUIT       | 1,787  | 582    | 9.9  | 456    | 10.8 | 50        | 1.1  | 239     | 3.5  | 327   | 18.5 |
| Connecticut          | 164    | 45     | 0.8  | 82     | 1.9  | 0         | 0.0  | 10      | 0.1  | 25    | 1.4  |
| New York             |        |        |      |        |      |           |      |         |      |       |      |
| Eastern              | 410    | 153    | 2.6  | 73     | 1.7  | 2         | 0.0  | 38      | 0.6  | 135   | 7.7  |
| Northern             | 243    | 75     | 1.3  | 78     | 1.8  | 4         | 0.1  | 74      | 1.1  | 6     | 0.3  |
| Southern             | 579    | 190    | 3.2  | 133    | 3.2  | 28        | 0.6  | 48      | 0.7  | 134   | 7.6  |
| Western              | 309    | 88     | 1.5  | 81     | 1.9  | 14        | 0.3  | 55      | 0.8  | 22    | 1.2  |
| Vermont              | 82     | 31     | 0.5  | 9      | 0.2  | 2         | 0.0  | 14      | 0.2  | 5     | 0.3  |
| THIRD CIRCUIT        | 953    | 329    | 5.6  | 271    | 6.4  | 46        | 1.0  | 78      | 1.2  | 150   | 8.5  |
| Delaware             | 27     | 12     | 0.2  | 5      | 0.1  | 0         | 0.0  | 2       | 0.0  | 4     | 0.2  |
| New Jersey           | 223    | 108    | 1.8  | 24     | 0.6  | 4         | 0.1  | 7       | 0.1  | 60    | 3.4  |
| Pennsylvania         |        |        |      |        |      |           |      |         |      |       |      |
| Eastern              | 328    | 101    | 1.7  | 94     | 2.2  | 25        | 0.6  | 28      | 0.4  | 41    | 2.3  |
| Middle               | 177    | 40     | 0.7  | 68     | 1.6  | 16        | 0.4  | 29      | 0.4  | 21    | 1.2  |
| Western              | 179    | 62     | 1.1  | 72     | 1.7  | 1         | 0.0  | 7       | 0.1  | 24    | 1.4  |
| Virgin Islands       | 19     | 6      | 0.1  | 8      | 0.2  | 0         | 0.0  | 5       | 0.1  | 0     | 0.0  |
| FOURTH CIRCUIT       | 2,229  | 601    | 10.2 | 903    | 21.4 | 185       | 4.2  | 155     | 2.3  | 184   | 10.4 |
| Maryland             | 251    | 79     | 1.3  | 83     | 2.0  | 6         | 0.1  | 12      | 0.2  | 58    | 3.3  |
| North Carolina       |        |        |      |        |      |           |      |         |      |       |      |
| Eastern              | 346    | 90     | 1.5  | 183    | 4.3  | 28        | 0.6  | 24      | 0.4  | 13    | 0.7  |
| Middle               | 153    | 47     | 0.8  | 79     | 1.9  | 16        | 0.4  | 8       | 0.1  | 1     | 0.1  |
| Western              | 182    | 58     | 1.0  | 64     | 1.5  | 13        | 0.3  | 27      | 0.4  | 18    | 1.0  |
| South Carolina       | 401    | 126    | 2.1  | 200    | 4.7  | 24        | 0.5  | 21      | 0.3  | 10    | 0.6  |
| Virginia             |        |        |      |        |      |           |      |         |      |       |      |
| Eastern              | 389    | 123    | 2.1  | 136    | 3.2  | 20        | 0.5  | 21      | 0.3  | 44    | 2.5  |
| Western              | 197    | 28     | 0.5  | 54     | 1.3  | 62        | 1.4  | 7       | 0.1  | 15    | 0.9  |
| West Virginia        |        |        |      |        |      |           |      |         |      |       |      |
| Northern             | 144    | 31     | 0.5  | 53     | 1.3  | 15        | 0.3  | 8       | 0.1  | 21    | 1.2  |
| Southern             | 166    | 19     | 0.3  | 51     | 1.2  | 1         | 0.0  | 27      | 0.4  | 4     | 0.2  |
|                      |        |        |      |        |      |           |      |         |      |       |      |

### **National Distribution of Primary Drug Type by Circuit and District (cont.)**

| CIRCUIT         | DRUG  | Powde<br>Cocain |       | Cracl<br>Cocair |      | Methamphe | etamine | Marijua | ana  | Heroi | n    |
|-----------------|-------|-----------------|-------|-----------------|------|-----------|---------|---------|------|-------|------|
| District        | TOTAL | N               | %     | N               | %    | N         | %       | N       | %    | N     | %    |
| FIFTH CIRCUIT   | 4,955 | 1,144           | 19.4  | 458             | 10.9 | 619       | 14.0    | 2,429   | 36.0 | 194   | 11.0 |
| Louisiana       | 1,555 | 1,111           | 1,,,, | 100             | 10.5 | 01)       | 1       | -,>     | 00.0 | 171   | 11.0 |
| Eastern         | 120   | 18              | 0.3   | 57              | 1.4  | 10        | 0.2     | 2       | 0.0  | 27    | 1.5  |
| Middle          | 37    | 14              | 0.2   | 18              | 0.4  | 2         | 0.0     | 1       | 0.0  | 0     | 0.0  |
| Western         | 60    | 20              | 0.3   | 19              | 0.5  | 14        | 0.3     | 5       | 0.1  | 1     | 0.1  |
| Mississippi     |       | 20              | 0.5   | 1)              | 0.5  | 1.        | 0.5     | 5       | 0.1  | 1     | 0.1  |
| Northern        | 65    | 14              | 0.2   | 40              | 0.9  | 3         | 0.1     | 6       | 0.1  | 0     | 0.0  |
| Southern        | 77    | 30              | 0.5   | 12              | 0.3  | 6         | 0.1     | 24      | 0.4  | 2     | 0.1  |
| Texas           | ''    | 50              | 0.5   | 12              | 0.5  |           | 0.1     | 27      | 0.4  | 2     | 0.1  |
| Eastern         | 460   | 135             | 2.3   | 69              | 1.6  | 157       | 3.6     | 66      | 1.0  | 11    | 0.6  |
| Northern        | 259   | 56              | 1.0   | 49              | 1.0  | 112       | 2.5     | 24      | 0.4  | 8     | 0.5  |
| Southern        | 1,735 | 412             | 7.0   | 28              | 0.7  | 99        | 2.2     | 1,110   | 16.4 | 65    | 3.7  |
| Western         | 2,142 | 445             | 7.6   | 166             | 3.9  | 216       | 4.9     | 1,110   | 17.6 | 80    | 4.5  |
| Western         | 2,142 | 443             | 7.0   | 100             | 3.9  | 210       | 4.9     | 1,191   | 17.0 | 80    | 4.5  |
| SIXTH CIRCUIT   | 1,946 | 410             | 7.0   | 452             | 10.7 | 305       | 6.9     | 341     | 5.1  | 161   | 9.1  |
| Kentucky        | 250   | 40              | 0.7   | 4.6             | 1 1  | 1.7       | 0.4     | 41      | 0.6  | 0     | 0.5  |
| Eastern         | 259   | 40              | 0.7   | 46              | 1.1  | 17        | 0.4     | 41      | 0.6  | 9     | 0.5  |
| Western         | 158   | 24              | 0.4   | 68              | 1.6  | 19        | 0.4     | 18      | 0.3  | 0     | 0.0  |
| Michigan        | 242   | 70              | 1.0   | 50              | 1.2  | 2         | 0.1     | 50      | 0.0  | 22    | 1.0  |
| Eastern         | 243   | 73              | 1.2   | 53              | 1.3  | 3         | 0.1     | 59      | 0.9  | 22    | 1.2  |
| Western         | 104   | 26              | 0.4   | 19              | 0.5  | 2         | 0.0     | 42      | 0.6  | 10    | 0.6  |
| Ohio            | 1-0   |                 | 4.0   | ••              | 0.5  |           | 0.0     | 2.5     |      |       |      |
| Northern        | 173   | 60              | 1.0   | 23              | 0.5  | 0         | 0.0     | 37      | 0.5  | 47    | 2.7  |
| Southern        | 269   | 64              | 1.1   | 73              | 1.7  | 1         | 0.0     | 76      | 1.1  | 49    | 2.8  |
| Tennessee       |       |                 |       |                 |      |           |         |         |      |       |      |
| Eastern         | 474   | 57              | 1.0   | 123             | 2.9  | 189       | 4.3     | 21      | 0.3  | 16    | 0.9  |
| Middle          | 82    | 35              | 0.6   | 12              | 0.3  | 11        | 0.2     | 15      | 0.2  | 2     | 0.1  |
| Western         | 184   | 31              | 0.5   | 35              | 0.8  | 63        | 1.4     | 32      | 0.5  | 6     | 0.3  |
| SEVENTH CIRCUIT | 1,182 | 312             | 5.3   | 405             | 9.6  | 144       | 3.3     | 130     | 1.9  | 151   | 8.6  |
| Illinois        |       |                 |       |                 |      |           |         |         |      |       |      |
| Central         | 138   | 20              | 0.3   | 77              | 1.8  | 19        | 0.4     | 14      | 0.2  | 7     | 0.4  |
| Northern        | 285   | 99              | 1.7   | 85              | 2.0  | 7         | 0.2     | 15      | 0.2  | 59    | 3.3  |
| Southern        | 139   | 23              | 0.4   | 59              | 1.4  | 26        | 0.6     | 14      | 0.2  | 11    | 0.6  |
| Indiana         |       |                 |       |                 |      |           |         |         |      |       |      |
| Northern        | 147   | 43              | 0.7   | 42              | 1.0  | 22        | 0.5     | 33      | 0.5  | 4     | 0.2  |
| Southern        | 143   | 34              | 0.6   | 38              | 0.9  | 54        | 1.2     | 14      | 0.2  | 1     | 0.1  |
| Wisconsin       |       |                 |       |                 |      |           |         |         |      |       |      |
| Eastern         | 262   | 83              | 1.4   | 82              | 1.9  | 2         | 0.0     | 27      | 0.4  | 61    | 3.5  |
| Western         | 68    | 10              | 0.2   | 22              | 0.5  | 14        | 0.3     | 13      | 0.2  | 8     | 0.5  |
| EIGHTH CIRCUIT  | 1,506 | 175             | 3.0   | 269             | 6.4  | 768       | 17.4    | 220     | 3.3  | 24    | 1.4  |
| Arkansas        | '''   |                 | 1     |                 |      |           |         |         |      |       |      |
| Eastern         | 126   | 25              | 0.4   | 21              | 0.5  | 54        | 1.2     | 23      | 0.3  | 1     | 0.1  |
| Western         | 91    | 7               | 0.1   | 25              | 0.6  | 54        | 1.2     | 5       | 0.1  | 0     | 0.0  |
| Iowa            |       | ,               | 0.1   | -20             | 0.0  |           |         |         | 0.1  |       | 0.0  |
| Northern        | 184   | 6               | 0.1   | 27              | 0.6  | 133       | 3.0     | 16      | 0.2  | 2     | 0.1  |
| Southern        | 148   | 13              | 0.2   | 37              | 0.9  | 83        | 1.9     | 8       | 0.1  | 1     | 0.1  |
| Minnesota       | 159   | 36              | 0.6   | 15              | 0.4  | 65        | 1.5     | 32      | 0.5  | 4     | 0.2  |
| Missouri        | 137   | 50              | 0.0   | 13              | 0.4  |           | 1.5     | 34      | 0.5  | 7     | 0.2  |
| Eastern         | 225   | 41              | 0.7   | 46              | 1.1  | 66        | 1.5     | 51      | 0.8  | 14    | 0.8  |
| Western         | 174   | 25              | 0.7   | 38              | 0.9  | 62        | 1.3     | 36      | 0.8  | 0     | 0.0  |
| Nebraska        | 219   |                 | 0.4   | 38<br>45        | 1.1  | 137       | 3.1     | 25      | 0.3  | 2     | 0.0  |
|                 |       | 6               |       |                 |      |           |         |         |      |       |      |
| North Dakota    | 76    | 7               | 0.1   | 5               | 0.1  | 58<br>56  | 1.3     | 4       | 0.1  | 0     | 0.0  |
| South Dakota    | 104   | 9               | 0.2   | 10              | 0.2  | 56        | 1.3     | 20      | 0.3  | 0     | 0.0  |

**National Distribution of Primary Drug Type by Circuit and District (cont.)** 

| CIDCUIT                  | DRUG          | Powde       | I .  | Crack       |     | Methamphe | tamina | Marijua      |       | Heroi |          |
|--------------------------|---------------|-------------|------|-------------|-----|-----------|--------|--------------|-------|-------|----------|
| CIRCUIT<br>District      | DRUG<br>TOTAL | Cocaii<br>N | %    | Cocain<br>N | %   | Netnampne | %      | Marijua<br>N | ana / | N     | <u>%</u> |
| NINTH CIRCUIT            | 5,172         | 647         | 11.0 | 232         | 5.5 | 1,467     | 33.3   | 2,387        | 35.4  | 236   | 13.4     |
| Alaska                   | 66            | 11          | 0.2  | 18          | 0.4 | 21        | 0.5    | 2,307        | 0.0   | 7     | 0.4      |
| Arizona                  | 2,054         | 178         | 3.0  | 8           | 0.2 | 119       | 2.7    | 1,726        | 25.6  | 21    | 1.2      |
| California               |               | 1,0         | 3.0  |             | 0.2 |           | 2.7    | 1,720        | 20.0  |       | 1.2      |
| Central                  | 511           | 53          | 0.9  | 109         | 2.6 | 212       | 4.8    | 39           | 0.6   | 45    | 2.6      |
| Eastern                  | 295           | 30          | 0.5  | 19          | 0.5 | 110       | 2.5    | 106          | 1.6   | 14    | 0.8      |
| Northern                 | 159           | 20          | 0.3  | 20          | 0.5 | 70        | 1.6    | 24           | 0.4   | 8     | 0.5      |
| Southern                 | 1,151         | 246         | 4.2  | 14          | 0.3 | 442       | 10.0   | 366          | 5.4   | 62    | 3.5      |
| Guam                     | 12            | 0           | 0.0  | 0           | 0.0 | 11        | 0.2    | 1            | 0.0   | 0     | 0.0      |
| Hawaii                   | 103           | 2           | 0.0  | 2           | 0.0 | 76        | 1.7    | 4            | 0.1   | 15    | 0.9      |
| Idaho                    | 134           | 10          | 0.2  | 1           | 0.0 | 111       | 2.5    | 7            | 0.1   | 0     | 0.0      |
| Montana                  | 116           | 42          | 0.7  | 0           | 0.0 | 62        | 1.4    | 5            | 0.1   | 4     | 0.2      |
| Nevada                   | 131           | 12          | 0.2  | 12          | 0.3 | 75        | 1.7    | 9            | 0.1   | 15    | 0.9      |
| Northern Mariana Islands | 2             | 0           | 0.0  | 0           | 0.0 | 2         | 0.0    | 0            | 0.0   | 0     | 0.0      |
| Oregon<br>Washington     | 155           | 6           | 0.1  | 13          | 0.3 | 64        | 1.5    | 45           | 0.7   | 14    | 0.8      |
| Eastern                  | 91            | 10          | 0.2  | 3           | 0.1 | 31        | 0.7    | 35           | 0.5   | 3     | 0.2      |
| Western                  | 192           | 27          | 0.5  | 13          | 0.3 | 61        | 1.4    | 18           | 0.3   | 28    | 1.6      |
| TENTH CIRCUIT            | 1,487         | 205         | 3.5  | 169         | 4.0 | 539       | 12.2   | 416          | 6.2   | 97    | 5.5      |
| Colorado                 | 159           | 61          | 1.0  | 27          | 0.6 | 48        | 1.1    | 8            | 0.1   | 3     | 0.2      |
| Kansas                   | 251           | 37          | 0.6  | 56          | 1.3 | 96        | 2.2    | 38           | 0.6   | 9     | 0.5      |
| New Mexico               | 593           | 72          | 1.2  | 24          | 0.6 | 111       | 2.5    | 334          | 4.9   | 47    | 2.7      |
| Oklahoma                 |               |             |      |             |     |           |        |              |       |       |          |
| Eastern                  | 21            | 1           | 0.0  | 7           | 0.2 | 11        | 0.2    | 1            | 0.0   | 0     | 0.0      |
| Northern                 | 48            | 8           | 0.1  | 4           | 0.1 | 27        | 0.6    | 5            | 0.1   | 2     | 0.1      |
| Western                  | 114           | 8           | 0.1  | 45          | 1.1 | 29        | 0.7    | 3            | 0.0   | 15    | 0.9      |
| Utah                     | 161           | 4           | 0.1  | 5           | 0.1 | 98        | 2.2    | 26           | 0.4   | 19    | 1.1      |
| Wyoming                  | 140           | 14          | 0.2  | 1           | 0.0 | 119       | 2.7    | 1            | 0.0   | 2     | 0.1      |
| ELEVENTH CIRCUIT         | 2,022         | 781         | 13.3 | 380         | 9.0 | 267       | 6.1    | 281          | 4.2   | 104   | 5.9      |
| Alabama                  |               |             |      |             |     |           |        |              |       |       |          |
| Middle                   | 66            | 9           | 0.2  | 17          | 0.4 | 28        | 0.6    | 11           | 0.2   | 0     | 0.0      |
| Northern                 | 84            | 18          | 0.3  | 35          | 0.8 | 19        | 0.4    | 4            | 0.1   | 3     | 0.2      |
| Southern                 | 166           | 24          | 0.4  | 51          | 1.2 | 53        | 1.2    | 11           | 0.2   | 0     | 0.0      |
| Florida                  |               |             |      |             |     |           |        |              |       |       |          |
| Middle                   | 534           | 193         | 3.3  | 103         | 2.4 | 75        | 1.7    | 89           | 1.3   | 22    | 1.2      |
| Northern                 | 115           | 58          | 1.0  | 21          | 0.5 | 3         | 0.1    | 15           | 0.2   | 0     | 0.0      |
| Southern                 | 640           | 354         | 6.0  | 52          | 1.2 | 22        | 0.5    | 80           | 1.2   | 64    | 3.6      |
| Georgia                  |               | 20          | 0.5  | 42          | 1.0 | 1.1       | 0.2    | 0            | 0.1   | 0     | 0.0      |
| Middle<br>Northern       | 96            | 29<br>56    | 0.5  | 43          | 1.0 | 11        | 0.2    | 9            | 0.1   | 0     | 0.0      |
|                          | 153           | 56          | 1.0  | 6           | 0.1 | 45        | 1.0    | 20           | 0.3   | 13    | 0.7      |
| Southern                 | 168           | 40          | 0.7  | 52          | 1.2 | 11        | 0.2    | 42           | 0.6   | 2     | 0.1      |

#### Proportion of the Drug Trafficking Caseload in Each Circuit and District by Primary Drug Type Fiscal Year 2011

|                      |        | Powde  | er   | Cracl  | K    |           |        |         |      |       |      |
|----------------------|--------|--------|------|--------|------|-----------|--------|---------|------|-------|------|
| CIRCUIT              | DRUG   | Cocair | 1e   | Cocair | 1e   | Methamphe | tamine | Marijua | ana  | Heroi | n    |
| District             | TOTAL  | N      | %    | N      | %    | N         | %      | N       | %    | N     | %    |
| TOTAL                | 24,501 | 5,882  | 24.0 | 4,219  | 17.2 | 4,408     | 18.0   | 6,752   | 27.6 | 1,764 | 7.2  |
| D.C. CIRCUIT         | 133    | 57     | 42.9 | 42     | 31.6 | 3         | 2.3    | 4       | 3.0  | 12    | 9.0  |
| District of Columbia | 133    | 57     | 42.9 | 42     | 31.6 | 3         | 2.3    | 4       | 3.0  | 12    | 9.0  |
| FIRST CIRCUIT        | 1,129  | 639    | 56.6 | 182    | 16.1 | 15        | 1.3    | 72      | 6.4  | 124   | 11.0 |
| Maine                | 70     | 17     | 24.3 | 10     | 14.3 | 0         | 0.0    | 13      | 18.6 | 16    | 22.9 |
| Massachusetts        | 192    | 42     | 21.9 | 57     | 29.7 | 9         | 4.7    | 26      | 13.5 | 34    | 17.7 |
| New Hampshire        | 85     | 28     | 32.9 | 6      | 7.1  | 6         | 7.1    | 6       | 7.1  | 4     | 4.7  |
| Puerto Rico          | 699    | 536    | 76.7 | 80     | 11.4 | 0         | 0.0    | 23      | 3.3  | 50    | 7.2  |
| Rhode Island         | 83     | 16     | 19.3 | 29     | 34.9 | 0         | 0.0    | 4       | 4.8  | 20    | 24.1 |
| SECOND CIRCUIT       | 1,787  | 582    | 32.6 | 456    | 25.5 | 50        | 2.8    | 239     | 13.4 | 327   | 18.3 |
| Connecticut          | 164    | 45     | 27.4 | 82     | 50.0 | 0         | 0.0    | 10      | 6.1  | 25    | 15.2 |
| New York             |        |        |      |        |      |           |        |         |      |       |      |
| Eastern              | 410    | 153    | 37.3 | 73     | 17.8 | 2         | 0.5    | 38      | 9.3  | 135   | 32.9 |
| Northern             | 243    | 75     | 30.9 | 78     | 32.1 | 4         | 1.6    | 74      | 30.5 | 6     | 2.5  |
| Southern             | 579    | 190    | 32.8 | 133    | 23.0 | 28        | 4.8    | 48      | 8.3  | 134   | 23.1 |
| Western              | 309    | 88     | 28.5 | 81     | 26.2 | 14        | 4.5    | 55      | 17.8 | 22    | 7.1  |
| Vermont              | 82     | 31     | 37.8 | 9      | 11.0 | 2         | 2.4    | 14      | 17.1 | 5     | 6.1  |
| THIRD CIRCUIT        | 953    | 329    | 34.5 | 271    | 28.4 | 46        | 4.8    | 78      | 8.2  | 150   | 15.7 |
| Delaware             | 27     | 12     | 44.4 | 5      | 18.5 | 0         | 0.0    | 2       | 7.4  | 4     | 14.8 |
| New Jersey           | 223    | 108    | 48.4 | 24     | 10.8 | 4         | 1.8    | 7       | 3.1  | 60    | 26.9 |
| Pennsylvania         |        |        |      |        |      |           |        |         |      |       |      |
| Eastern              | 328    | 101    | 30.8 | 94     | 28.7 | 25        | 7.6    | 28      | 8.5  | 41    | 12.5 |
| Middle               | 177    | 40     | 22.6 | 68     | 38.4 | 16        | 9.0    | 29      | 16.4 | 21    | 11.9 |
| Western              | 179    | 62     | 34.6 | 72     | 40.2 | 1         | 0.6    | 7       | 3.9  | 24    | 13.4 |
| Virgin Islands       | 19     | 6      | 31.6 | 8      | 42.1 | 0         | 0.0    | 5       | 26.3 | 0     | 0.0  |
| FOURTH CIRCUIT       | 2,229  | 601    | 27.0 | 903    | 40.5 | 185       | 8.3    | 155     | 7.0  | 184   | 8.3  |
| Maryland             | 251    | 79     | 31.5 | 83     | 33.1 | 6         | 2.4    | 12      | 4.8  | 58    | 23.1 |
| North Carolina       |        |        |      |        |      |           |        |         |      |       |      |
| Eastern              | 346    | 90     | 26.0 | 183    | 52.9 | 28        | 8.1    | 24      | 6.9  | 13    | 3.8  |
| Middle               | 153    | 47     | 30.7 | 79     | 51.6 | 16        | 10.5   | 8       | 5.2  | 1     | 0.7  |
| Western              | 182    | 58     | 31.9 | 64     | 35.2 | 13        | 7.1    | 27      | 14.8 | 18    | 9.9  |
| South Carolina       | 401    | 126    | 31.4 | 200    | 49.9 | 24        | 6.0    | 21      | 5.2  | 10    | 2.5  |
| Virginia             |        |        |      |        |      |           |        |         |      |       |      |
| Eastern              | 389    | 123    | 31.6 | 136    | 35.0 | 20        | 5.1    | 21      | 5.4  | 44    | 11.3 |
| Western              | 197    | 28     | 14.2 | 54     | 27.4 | 62        | 31.5   | 7       | 3.6  | 15    | 7.6  |
| West Virginia        |        |        |      |        |      |           |        |         |      |       |      |
| Northern             | 144    | 31     | 21.5 | 53     | 36.8 | 15        | 10.4   | 8       | 5.6  | 21    | 14.6 |
| Southern             | 166    | 19     | 11.4 | 51     | 30.7 | 1         | 0.6    | 27      | 16.3 | 4     | 2.4  |
|                      |        |        |      |        |      |           |        |         |      |       |      |

### Proportion of the Drug Trafficking Caseload in Each Circuit and District by Primary Drug Type (cont.)

|                       |           | Powde    | er           | Cracl    | ζ.           |           |             |          |              |         |              |
|-----------------------|-----------|----------|--------------|----------|--------------|-----------|-------------|----------|--------------|---------|--------------|
| CIRCUIT               | DRUG      | Cocaiı   |              | Cocair   |              | Methamphe | etamine     | Marijua  | ana          | Hero    | in           |
| District              | TOTAL     | N        | %            | N        | %            | N         | %           | N        | %            | N       | %            |
| FIFTH CIRCUIT         | 4,955     | 1,144    | 23.1         | 458      | 9.2          | 619       | 12.5        | 2,429    | 49.0         | 194     | 3.9          |
| Louisiana             |           |          |              |          |              |           |             |          |              |         |              |
| Eastern               | 120       | 18       | 15.0         | 57       | 47.5         | 10        | 8.3         | 2        | 1.7          | 27      | 22.5         |
| Middle                | 37        | 14       | 37.8         | 18       | 48.6         | 2         | 5.4         | 1        | 2.7          | 0       | 0.0          |
| Western               | 60        | 20       | 33.3         | 19       | 31.7         | 14        | 23.3        | 5        | 8.3          | 1       | 1.7          |
| Mississippi           |           |          |              |          |              |           |             |          |              |         |              |
| Northern              | 65        | 14       | 21.5         | 40       | 61.5         | 3         | 4.6         | 6        | 9.2          | 0       | 0.0          |
| Southern              | 77        | 30       | 39.0         | 12       | 15.6         | 6         | 7.8         | 24       | 31.2         | 2       | 2.6          |
| Texas                 |           |          |              |          |              |           |             |          |              |         |              |
| Eastern               | 460       | 135      | 29.3         | 69       | 15.0         | 157       | 34.1        | 66       | 14.3         | 11      | 2.4          |
| Northern              | 259       | 56       | 21.6         | 49       | 18.9         | 112       | 43.2        | 24       | 9.3          | 8       | 3.1          |
| Southern              | 1,735     | 412      | 23.7         | 28       | 1.6          | 99        | 5.7         | 1,110    | 64.0         | 65      | 3.7          |
| Western               | 2,142     | 445      | 20.8         | 166      | 7.7          | 216       | 10.1        | 1,191    | 55.6         | 80      | 3.7          |
| SIXTH CIRCUIT         | 1,946     | 410      | 21.1         | 452      | 23.2         | 305       | 15.7        | 341      | 17.5         | 161     | 8.3          |
| Kentucky              |           |          |              |          |              |           |             |          |              |         |              |
| Eastern               | 259       | 40       | 15.4         | 46       | 17.8         | 17        | 6.6         | 41       | 15.8         | 9       | 3.5          |
| Western               | 158       | 24       | 15.2         | 68       | 43.0         | 19        | 12.0        | 18       | 11.4         | 0       | 0.0          |
| Michigan              |           |          |              |          |              |           |             |          |              |         |              |
| Eastern               | 243       | 73       | 30.0         | 53       | 21.8         | 3         | 1.2         | 59       | 24.3         | 22      | 9.1          |
| Western               | 104       | 26       | 25.0         | 19       | 18.3         | 2         | 1.9         | 42       | 40.4         | 10      | 9.6          |
| Ohio                  |           |          |              |          |              |           |             |          |              |         |              |
| Northern              | 173       | 60       | 34.7         | 23       | 13.3         | 0         | 0.0         | 37       | 21.4         | 47      | 27.2         |
| Southern              | 269       | 64       | 23.8         | 73       | 27.1         | 1         | 0.4         | 76       | 28.3         | 49      | 18.2         |
| Tennessee             |           |          |              | 100      | 27.0         | 100       | 20.0        | 2.1      |              |         | 2.4          |
| Eastern               | 474       | 57       | 12.0         | 123      | 25.9         | 189       | 39.9        | 21       | 4.4          | 16      | 3.4          |
| Middle                | 82        | 35       | 42.7         | 12       | 14.6         | 11        | 13.4        | 15       | 18.3         | 2       | 2.4          |
| Western               | 184       | 31       | 16.8         | 35       | 19.0         | 63        | 34.2        | 32       | 17.4         | 6       | 3.3          |
| SEVENTH CIRCUIT       | 1,182     | 312      | 26.4         | 405      | 34.3         | 144       | 12.2        | 130      | 11.0         | 151     | 12.8         |
| Illinois              | 120       | 20       | 14.5         |          | 55.0         | 10        | 12.0        | 1.4      | 10.1         | -       | - 1          |
| Central               | 138       | 20       | 14.5         | 77       | 55.8         | 19        | 13.8        | 14       | 10.1         | 7       | 5.1          |
| Northern              | 285       | 99       | 34.7         | 85       | 29.8         | 7         | 2.5         | 15       | 5.3          | 59      | 20.7         |
| Southern              | 139       | 23       | 16.5         | 59       | 42.4         | 26        | 18.7        | 14       | 10.1         | 11      | 7.9          |
| Indiana               | 1.47      | 42       | 20.2         | 40       | 20.6         | 22        | 15.0        | 22       | 22.4         | 4       | 2.7          |
| Northern              | 147       | 43       | 29.3         | 42       | 28.6         | 22        | 15.0        | 33       | 22.4         | 4       | 2.7          |
| Southern<br>Wisconsin | 143       | 34       | 23.8         | 38       | 26.6         | 54        | 37.8        | 14       | 9.8          | 1       | 0.7          |
|                       | 262       | 92       | 21.7         | 92       | 21.2         |           | 0.0         | 27       | 10.2         | 61      | 22.2         |
| Eastern<br>Western    | 262<br>68 | 83<br>10 | 31.7<br>14.7 | 82<br>22 | 31.3<br>32.4 | 2<br>14   | 0.8<br>20.6 | 27<br>13 | 10.3<br>19.1 | 61<br>8 | 23.3<br>11.8 |
| Western               | 00        | 10       | 14.7         | 22       | 32.4         | 14        | 20.0        | 13       | 19.1         | 0       | 11.0         |
| EIGHTH CIRCUIT        | 1,506     | 175      | 11.6         | 269      | 17.9         | 768       | 51.0        | 220      | 14.6         | 24      | 1.6          |
| Arkansas              | 126       | 25       | 10.0         | 21       | 167          | 5.4       | 12.0        | 22       | 10.2         | 1       | 0.0          |
| Eastern               | 126       | 25       | 19.8         | 21       | 16.7         | 54        | 42.9        | 23       | 18.3         | 1       | 0.8          |
| Western               | 91        | 7        | 7.7          | 25       | 27.5         | 54        | 59.3        | 5        | 5.5          | 0       | 0.0          |
| Iowa                  | 104       | (        | 2.2          | 27       | 147          | 122       | 72.2        | 16       | 0.7          | 2       | 1.1          |
| Northern<br>Southern  | 184       | 6        | 3.3          | 27<br>37 | 14.7         | 133       | 72.3        | 16       | 8.7          | 2       | 1.1<br>0.7   |
|                       | 148       | 13       | 8.8          |          | 25.0         | 83        | 56.1        | 8        | 5.4          | 1       |              |
| Minnesota             | 159       | 36       | 22.6         | 15       | 9.4          | 65        | 40.9        | 32       | 20.1         | 4       | 2.5          |
| Missouri              | 225       | A 1      | 10.3         | AC       | 20.4         | 66        | 20.2        | £ 1      | 22.7         | 1.4     | 6.2          |
| Eastern               | 225       | 41       | 18.2         | 46       | 20.4         | 66        | 29.3        | 51<br>26 | 22.7         | 14      | 6.2          |
| Western               | 174       | 25       | 14.4         | 38       | 21.8         | 62        | 35.6        | 36<br>25 | 20.7         | 0       | 0.0          |
| Nebraska              | 219       | 6        | 2.7          | 45       | 20.5         | 137       | 62.6        | 25       | 11.4         | 2       | 0.9          |
| North Dakota          | 76        | 7        | 9.2          | 5        | 6.6          | 58        | 76.3        | 4        | 5.3          | 0       | 0.0          |
| South Dakota          | 104       | 9        | 8.7          | 10       | 9.6          | 56        | 53.8        | 20       | 19.2         | 0       | 0.0          |

Proportion of the Drug Trafficking Caseload in Each Circuit and District by Primary Drug Type (cont.)

|                          |       | Powde  | er   | Crack  | K    | 1        |         |         | 1    |       |      |
|--------------------------|-------|--------|------|--------|------|----------|---------|---------|------|-------|------|
| CIRCUIT                  | DRUG  | Cocair |      | Cocair |      | Methamph | etamine | Marijua | ana  | Heroi | in   |
| District                 | TOTAL | N      | %    | N      | %    | N        | %       | N       | %    | N     | %    |
| NINTH CIRCUIT            | 5,172 | 647    | 12.5 | 232    | 4.5  | 1,467    | 28.4    | 2,387   | 46.2 | 236   | 4.6  |
| Alaska                   | 66    | 11     | 16.7 | 18     | 27.3 | 21       | 31.8    | 2       | 3.0  | 7     | 10.6 |
| Arizona                  | 2,054 | 178    | 8.7  | 8      | 0.4  | 119      | 5.8     | 1,726   | 84.0 | 21    | 1.0  |
| California               |       |        |      |        |      |          |         |         |      |       |      |
| Central                  | 511   | 53     | 10.4 | 109    | 21.3 | 212      | 41.5    | 39      | 7.6  | 45    | 8.8  |
| Eastern                  | 295   | 30     | 10.2 | 19     | 6.4  | 110      | 37.3    | 106     | 35.9 | 14    | 4.7  |
| Northern                 | 159   | 20     | 12.6 | 20     | 12.6 | 70       | 44.0    | 24      | 15.1 | 8     | 5.0  |
| Southern                 | 1,151 | 246    | 21.4 | 14     | 1.2  | 442      | 38.4    | 366     | 31.8 | 62    | 5.4  |
| Guam                     | 12    | 0      | 0.0  | 0      | 0.0  | 11       | 91.7    | 1       | 8.3  | 0     | 0.0  |
| Hawaii                   | 103   | 2      | 1.9  | 2      | 1.9  | 76       | 73.8    | 4       | 3.9  | 15    | 14.6 |
| Idaho                    | 134   | 10     | 7.5  | 1      | 0.7  | 111      | 82.8    | 7       | 5.2  | 0     | 0.0  |
| Montana                  | 116   | 42     | 36.2 | 0      | 0.0  | 62       | 53.4    | 5       | 4.3  | 4     | 3.4  |
| Nevada                   | 131   | 12     | 9.2  | 12     | 9.2  | 75       | 57.3    | 9       | 6.9  | 15    | 11.5 |
| Northern Mariana Islands | 2     | 0      | 0.0  | 0      | 0.0  | 2        | 100.0   | 0       | 0.0  | 0     | 0.0  |
| Oregon                   | 155   | 6      | 3.9  | 13     | 8.4  | 64       | 41.3    | 45      | 29.0 | 14    | 9.0  |
| Washington               |       |        |      |        |      |          |         |         |      |       |      |
| Eastern                  | 91    | 10     | 11.0 | 3      | 3.3  | 31       | 34.1    | 35      | 38.5 | 3     | 3.3  |
| Western                  | 192   | 27     | 14.1 | 13     | 6.8  | 61       | 31.8    | 18      | 9.4  | 28    | 14.6 |
|                          |       |        |      |        |      |          |         |         |      |       |      |
| TENTH CIRCUIT            | 1,487 | 205    | 13.8 | 169    | 11.4 | 539      | 36.2    | 416     | 28.0 | 97    | 6.5  |
| Colorado                 | 159   | 61     | 38.4 | 27     | 17.0 | 48       | 30.2    | 8       | 5.0  | 3     | 1.9  |
| Kansas                   | 251   | 37     | 14.7 | 56     | 22.3 | 96       | 38.2    | 38      | 15.1 | 9     | 3.6  |
| New Mexico               | 593   | 72     | 12.1 | 24     | 4.0  | 111      | 18.7    | 334     | 56.3 | 47    | 7.9  |
| Oklahoma                 |       |        |      |        |      |          |         |         |      |       |      |
| Eastern                  | 21    | 1      | 4.8  | 7      | 33.3 | 11       | 52.4    | 1       | 4.8  | 0     | 0.0  |
| Northern                 | 48    | 8      | 16.7 | 4      | 8.3  | 27       | 56.3    | 5       | 10.4 | 2     | 4.2  |
| Western                  | 114   | 8      | 7.0  | 45     | 39.5 | 29       | 25.4    | 3       | 2.6  | 15    | 13.2 |
| Utah                     | 161   | 4      | 2.5  | 5      | 3.1  | 98       | 60.9    | 26      | 16.1 | 19    | 11.8 |
| Wyoming                  | 140   | 14     | 10.0 | 1      | 0.7  | 119      | 85.0    | 1       | 0.7  | 2     | 1.4  |
| ELEVENTH CIRCUIT         | 2,022 | 781    | 38.6 | 380    | 18.8 | 267      | 13.2    | 281     | 13.9 | 104   | 5.1  |
| Alabama                  | 2,022 | 701    | 30.0 | 360    | 10.0 | 207      | 13.2    | 201     | 13.7 | 104   | 3.1  |
| Middle                   | 66    | 9      | 13.6 | 17     | 25.8 | 28       | 42.4    | 11      | 16.7 | 0     | 0.0  |
| Northern                 | 84    | 18     | 21.4 | 35     | 41.7 | 19       | 22.6    | 4       | 4.8  | 3     | 3.6  |
| Southern                 | 166   | 24     | 14.5 | 51     | 30.7 | 53       | 31.9    | 11      | 6.6  | 0     | 0.0  |
| Florida                  | 100   | 27     | 14.5 | 31     | 30.7 | 33       | 31.7    | 11      | 0.0  | U     | 0.0  |
| Middle                   | 534   | 193    | 36.1 | 103    | 19.3 | 75       | 14.0    | 89      | 16.7 | 22    | 4.1  |
| Northern                 | 115   | 58     | 50.4 | 21     | 18.3 | 3        | 2.6     | 15      | 13.0 | 0     | 0.0  |
| Southern                 | 640   | 354    | 55.3 | 52     | 8.1  | 22       | 3.4     | 80      | 12.5 | 64    | 10.0 |
| Georgia                  | 040   | 337    | 55.5 | 52     | 0.1  |          | 5.4     | 00      | 12.5 | 0-1   | 10.0 |
| Middle                   | 96    | 29     | 30.2 | 43     | 44.8 | 11       | 11.5    | 9       | 9.4  | 0     | 0.0  |
| Northern                 | 153   | 56     | 36.6 | 6      | 3.9  | 45       | 29.4    | 20      | 13.1 | 13    | 8.5  |
| Southern                 | 168   | 40     | 23.8 | 52     | 31.0 |          | 6.5     | 42      | 25.0 | 2     | 1.2  |
| Southern                 | 100   | 40     | 23.0 | 34     | 31.0 | I 11     | 0.5     | 74      | 23.0 | 2     | 1.4  |

# Rate of Within Range Sentences by Circuit and District Heroin Trafficking Offenses Koon Period through Gall Period

|                      | F      | Koon<br>Period |      | P     | TECT A     |       | P     | ooker<br>eriod |      | P     | Gall<br>eriod |       |
|----------------------|--------|----------------|------|-------|------------|-------|-------|----------------|------|-------|---------------|-------|
| CIRCUIT              |        | 6 - 04/30/     |      |       | 8 - 6/24/0 |       |       | 5 - 12/10/     |      |       | 07-9/30/      |       |
| District             | Total  | N              | %    | Total | N          | %     | Total | N              | %    | Total | N             | %     |
| TOTAL                | 10,876 | 6,571          | 60.4 | 1,779 | 1,178      | 66.2  | 4,191 | 2,158          | 51.5 | 5,956 | 2,603         | 43.7  |
| D.C. CIRCUIT         | 107    | 47             | 43.9 | 14    | 6          | 42.9  | 39    | 9              | 23.1 | 66    | 19            | 28.8  |
| District of Columbia | 107    | 47             | 43.9 | 14    | 6          | 42.9  | 39    | 9              | 23.1 | 66    | 19            | 28.8  |
| FIRST CIRCUIT        | 811    | 584            | 72.0 | 160   | 136        | 85.0  | 271   | 192            | 70.8 | 334   | 198           | 59.3  |
| Maine                | 18     | 11             | 61.1 | 6     | 3          | 50.0  | 8     | 6              | 75.0 | 20    | 2             | 10.0  |
| Massachusetts        | 295    | 160            | 54.2 | 33    | 26         | 78.8  | 102   | 66             | 64.7 | 77    | 35            | 45.5  |
| New Hampshire        | 8      | 3              | 37.5 | 3     | 1          | 33.3  | 17    | 10             | 58.8 | 9     | 4             | 44.4  |
| Puerto Rico          | 438    | 373            | 85.2 | 108   | 96         | 88.9  | 131   | 105            | 80.2 | 173   | 132           | 76.3  |
| Rhode Island         | 52     | 37             | 71.2 | 10    | 10         | 100.0 | 13    | 5              | 38.5 | 55    | 25            | 45.5  |
| SECOND CIRCUIT       | 2,973  | 1,321          | 44.4 | 525   | 304        | 57.9  | 1,157 | 463            | 40.0 | 1,268 | 358           | 28.2  |
| Connecticut          | 107    | 39             | 36.4 | 20    | 8          | 40.0  | 67    | 22             | 32.8 | 147   | 60            | 40.8  |
| New York             |        |                |      |       |            |       |       |                |      |       |               |       |
| Eastern              | 1,706  | 598            | 35.1 | 305   | 159        | 52.1  | 497   | 152            | 30.6 | 564   | 138           | 24.5  |
| Northern             | 76     | 33             | 43.4 | 5     | 4          | 80.0  | 21    | 13             | 61.9 | 22    | 14            | 63.6  |
| Southern             | 876    | 539            | 61.5 | 144   | 108        | 75.0  | 498   | 235            | 47.2 | 461   | 126           | 27.3  |
| Western              | 121    | 64             | 52.9 | 14    | 6          | 42.9  | 44    | 26             | 59.1 | 66    | 15            | 22.7  |
| Vermont              | 87     | 48             | 55.2 | 37    | 19         | 51.4  | 30    | 15             | 50.0 | 8     | 5             | 62.5  |
| THIRD CIRCUIT        | 1,178  | 636            | 54.0 | 241   | 124        | 51.5  | 568   | 232            | 40.8 | 579   | 229           | 39.6  |
| Delaware             | 7      | 3              | 42.9 | 3     | 2          | 66.7  | 19    | 8              | 42.1 | 7     | 4             | 57.1  |
| New Jersey           | 750    | 453            | 60.4 | 98    | 54         | 55.1  | 284   | 104            | 36.6 | 235   | 103           | 43.8  |
| Pennsylvania         |        |                |      |       |            |       |       |                |      |       |               |       |
| Eastern              | 289    | 113            | 39.1 | 66    | 26         | 39.4  | 85    | 18             | 21.2 | 139   | 51            | 36.7  |
| Middle               | 82     | 33             | 40.2 | 35    | 10         | 28.6  | 97    | 36             | 37.1 | 122   | 33            | 27.0  |
| Western              | 40     | 30             | 75.0 | 25    | 22         | 88.0  | 78    | 62             | 79.5 | 74    | 36            | 48.6  |
| Virgin Islands       | 10     | 4              | 40.0 | 14    | 10         | 71.4  | 5     | 4              | 80.0 | 2     | 2             | 100.0 |
| FOURTH CIRCUIT       | 699    | 459            | 65.7 | 135   | 100        | 74.1  | 255   | 170            | 66.7 | 665   | 374           | 56.2  |
| Maryland             | 177    | 86             | 48.6 | 18    | 9          | 50.0  | 98    | 41             | 41.8 | 198   | 78            | 39.4  |
| North Carolina       |        |                |      |       |            |       |       |                |      |       |               |       |
| Eastern              | 20     | 10             | 50.0 | 1     | 1          | 100.0 | 4     | 3              | 75.0 | 32    | 17            | 53.1  |
| Middle               | 8      | 5              | 62.5 | 0     | 0          | 0.0   | 0     | 0              | 0.0  | 6     | 3             | 50.0  |
| Western              | 55     | 24             | 43.6 | 3     | 3          | 100.0 | 10    | 6              | 60.0 | 51    | 27            | 52.9  |
| South Carolina       | 141    | 82             | 58.2 | 4     | 4          | 100.0 | 29    | 25             | 86.2 | 58    | 39            | 67.2  |
| Virginia             |        |                |      |       |            |       |       |                |      |       |               |       |
| Eastern              | 226    | 202            | 89.4 | 53    | 45         | 84.9  | 63    | 50             | 79.4 | 164   | 112           | 68.3  |
| Western              | 30     | 12             | 40.0 | 19    | 7          | 36.8  | 15    | 11             | 73.3 | 47    | 22            | 46.8  |
| West Virginia        | 30     |                |      |       | ,          | - 0.0 |       |                |      | • •   |               |       |
| Northern             | 17     | 15             | 88.2 | 37    | 31         | 83.8  | 36    | 34             | 94.4 | 64    | 43            | 67.2  |
| Southern             | 25     | 23             | 92.0 | 0     | 0          | 0.0   | 0     | 0              | 0.0  | 45    | 33            | 73.3  |
|                      | -20    |                |      | -     | ,          |       | -     | -              |      |       |               |       |

### **Rate of Within Range Sentences by Circuit and District (cont.)**

|                 |          | <i>Coon</i><br>eriod | _     |         | ECT A | ct    |          | ooker<br>eriod | -     |          | <i>Gall</i><br>eriod |          |
|-----------------|----------|----------------------|-------|---------|-------|-------|----------|----------------|-------|----------|----------------------|----------|
| CIRCUIT         | (6/13/96 |                      | /03)  | (5/1/03 |       | )4)   | (1/12/05 |                | /07)  | (12/11/0 |                      | 11)      |
| District        | Total    | N                    | %     | Total   | N     | %     | Total    | N              | %     | Total    | N                    | <u>%</u> |
| FIFTH CIRCUIT   | 1,030    | 769                  | 74.7  | 162     | 124   | 76.5  | 380      | 253            | 66.6  | 612      | 358                  | 58.5     |
| Louisiana       | ,        |                      |       |         |       |       |          |                |       |          |                      |          |
| Eastern         | 108      | 95                   | 88.0  | 42      | 40    | 95.2  | 53       | 42             | 79.2  | 68       | 50                   | 73.5     |
| Middle          | 0        | 0                    | 0.0   | 1       | 1     | 100.0 | 0        | 0              | 0.0   | 0        | 0                    | 0.0      |
| Western         | 3        | 3                    | 100.0 | 0       | 0     | 0.0   | 4        | 4              | 100.0 | 2        | 1                    | 50.0     |
| Mississippi     | 5        | 3                    | 100.0 | V       | Ü     | 0.0   | -        | -              | 100.0 | 2        | 1                    | 30.0     |
| Northern        | 0        | 0                    | 0.0   | 0       | 0     | 0.0   | 0        | 0              | 0.0   | 0        | 0                    | 0.0      |
| Southern        | 1        | 0                    | 0.0   | 0       | 0     | 0.0   | 3        | 2              | 66.7  | 4        | 3                    | 75.0     |
| Texas           | 1        | U                    | 0.0   | U       | U     | 0.0   | 3        | 2              | 00.7  | 7        | 3                    | 75.0     |
| Eastern         | 62       | 40                   | 64.5  | 5       | 5     | 100.0 | 26       | 16             | 61.5  | 67       | 32                   | 47.8     |
| Northern        | 121      | 101                  | 83.5  | 7       | 3     | 42.9  | 14       | 11             | 78.6  | 33       | 21                   | 63.6     |
|                 |          |                      |       |         |       |       |          |                |       |          |                      |          |
| Southern        | 393      | 283                  | 72.0  | 57      | 36    | 63.2  | 112      | 66             | 58.9  | 161      | 79                   | 49.1     |
| Western         | 342      | 247                  | 72.2  | 50      | 39    | 78.0  | 168      | 112            | 66.7  | 277      | 172                  | 62.1     |
| SIXTH CIRCUIT   | 381      | 196                  | 51.4  | 52      | 25    | 48.1  | 319      | 128            | 40.1  | 491      | 209                  | 42.6     |
| Kentucky        |          |                      |       |         |       |       |          |                |       |          |                      |          |
| Eastern         | 18       | 9                    | 50.0  | 2       | 1     | 50.0  | 27       | 11             | 40.7  | 37       | 10                   | 27.0     |
| Western         | 6        | 4                    | 66.7  | 1       | 1     | 100.0 | 4        | 3              | 75.0  | 6        | 1                    | 16.7     |
| Michigan        |          |                      |       |         |       |       |          |                |       |          |                      |          |
| Eastern         | 190      | 94                   | 49.5  | 22      | 10    | 45.5  | 36       | 15             | 41.7  | 80       | 44                   | 55.0     |
| Western         | 6        | 3                    | 50.0  | 6       | 4     | 66.7  | 36       | 18             | 50.0  | 31       | 20                   | 64.5     |
| Ohio            |          |                      |       |         |       |       |          |                |       |          |                      |          |
| Northern        | 49       | 35                   | 71.4  | 12      | 3     | 25.0  | 119      | 55             | 46.2  | 105      | 56                   | 53.3     |
| Southern        | 56       | 22                   | 39.3  | 8       | 5     | 62.5  | 67       | 18             | 26.9  | 152      | 41                   | 27.0     |
| Tennessee       |          |                      |       |         |       |       |          |                |       |          |                      |          |
| Eastern         | 15       | 10                   | 66.7  | 1       | 1     | 100.0 | 18       | 3              | 16.7  | 43       | 17                   | 39.5     |
| Middle          | 14       | 10                   | 71.4  | 0       | 0     | 0.0   | 2        | 1              | 50.0  | 21       | 11                   | 52.4     |
| Western         | 27       | 9                    | 33.3  | 0       | 0     | 0.0   | 10       | 4              | 40.0  | 16       | 9                    | 56.3     |
| SEVENTH CIRCUIT | 364      | 208                  | 57.1  | 47      | 26    | 55.3  | 217      | 117            | 53.9  | 458      | 162                  | 35.4     |
| Illinois        |          |                      |       |         |       |       |          |                |       |          |                      |          |
| Central         | 22       | 13                   | 59.1  | 0       | 0     | 0.0   | 8        | 5              | 62.5  | 18       | 7                    | 38.9     |
| Northern        | 235      | 121                  | 51.5  | 40      | 19    | 47.5  | 119      | 64             | 53.8  | 211      | 80                   | 37.9     |
| Southern        | 9        | 7                    | 77.8  | 1       | 1     | 100.0 | 6        | 3              | 50.0  | 25       | 20                   | 80.0     |
| Indiana         |          |                      |       |         |       |       |          |                |       |          |                      |          |
| Northern        | 19       | 14                   | 73.7  | 0       | 0     | 0.0   | 12       | 9              | 75.0  | 36       | 8                    | 22.2     |
| Southern        | 25       | 11                   | 44.0  | 0       | 0     | 0.0   | 18       | 7              | 38.9  | 12       | 4                    | 33.3     |
| Wisconsin       |          |                      |       | -       |       |       |          | ,              |       |          | •                    |          |
| Eastern         | 53       | 41                   | 77.4  | 3       | 3     | 100.0 | 42       | 19             | 45.2  | 135      | 33                   | 24.4     |
| Western         | 1        | 1                    | 100.0 | 3       | 3     | 100.0 | 12       | 10             | 83.3  | 21       | 10                   | 47.6     |
| EIGHTH CIRCUIT  | 239      | 129                  | 54.0  | 33      | 23    | 69.7  | 134      | 96             | 71.6  | 175      | 91                   | 52.0     |
| Arkansas        |          |                      |       |         |       |       |          |                |       |          |                      |          |
| Eastern         | 2        | 2                    | 100.0 | 0       | 0     | 0.0   | 0        | 0              | 0.0   | 4        | 3                    | 75.0     |
| Western         | 1        | 1                    | 100.0 | 0       | 0     | 0.0   | 1        | 1              | 100.0 | 0        | 0                    | 0.0      |
| Iowa            | 1        | 1                    | 100.0 | O       | U     | 0.0   | 1        | 1              | 100.0 | U        | U                    | 0.0      |
| Northern        | 1        | 0                    | 0.0   | 0       | 0     | 0.0   | 18       | 5              | 27.8  | 5        | 1                    | 20.0     |
| Southern        | 10       | 7                    | 70.0  | 0       | 0     | 0.0   | 1        | 0              | 0.0   | 5        | 1                    | 20.0     |
| Minnesota       | 10       | 6                    |       |         | 3     |       | 5        | 2              |       | 23       | 1 8                  |          |
|                 | 10       | 0                    | 60.0  | 6       | 3     | 50.0  | 3        | 2              | 40.0  | 23       | 8                    | 34.8     |
| Missouri        | 170      | 0.5                  | 50.0  | 2.5     | 1.0   | 72.0  | 00       | 70             | 70.0  | 115      |                      | 57.4     |
| Eastern         | 170      | 85                   | 50.0  | 25      | 18    | 72.0  | 99       | 79             | 79.8  | 115      | 66                   | 57.4     |
| Western         | 14       | 6                    | 42.9  | 0       | 0     | 0.0   | 3        | 3              | 100.0 | 13       | 4                    | 30.8     |
| Nebraska        | 27       | 20                   | 74.1  | 2       | 2     | 100.0 | 5        | 5              | 100.0 | 8        | 7                    | 87.5     |
| North Dakota    | 4        | 2                    | 50.0  | 0       | 0     | 0.0   | 0        | 0              | 0.0   | 1        | 1                    | 100.0    |
| South Dakota    | 0        | 0                    | 0.0   | 0       | 0     | 0.0   | 2        | 1              | 50.0  | 1        | 0                    | 0.0      |

Rate of Within Range Sentences by Circuit and District (cont.)

|                          | Koon<br>Period |           |       | PROTECT Act Period |          |       |          | ooker<br>eriod |                 |          | <i>Gall</i><br>eriod |       |
|--------------------------|----------------|-----------|-------|--------------------|----------|-------|----------|----------------|-----------------|----------|----------------------|-------|
| CIRCUIT                  | (6/13/90       | 6 - 04/30 | /03)  | (5/1/03            | - 6/24/0 | )4)   | (1/12/05 | - 12/10        | <del>/07)</del> | (12/11/0 | 7-9/30/              | 11)   |
| District                 | Total          | N         | %     | Total              | N        | %     | Total    | N              | %               | Total    | N                    | %     |
| NINTH CIRCUIT            | 1,077          | 578       | 53.7  | 106                | 60       | 56.6  | 284      | 147            | 51.8            | 677      | 224                  | 33.1  |
| Alaska                   | 7              | 5         | 71.4  | 1                  | 0        | 0.0   | 0        | 0              | 0.0             | 23       | 8                    | 34.8  |
| Arizona                  | 139            | 49        | 35.3  | 9                  | 3        | 33.3  | 58       | 18             | 31.0            | 83       | 13                   | 15.7  |
| California               |                |           |       |                    |          |       |          |                |                 |          |                      |       |
| Central                  | 92             | 64        | 69.6  | 7                  | 3        | 42.9  | 14       | 10             | 71.4            | 121      | 48                   | 39.7  |
| Eastern                  | 73             | 55        | 75.3  | 5                  | 2        | 40.0  | 38       | 32             | 84.2            | 35       | 18                   | 51.4  |
| Northern                 | 109            | 65        | 59.6  | 13                 | 10       | 76.9  | 25       | 14             | 56.0            | 43       | 17                   | 39.5  |
| Southern                 | 230            | 66        | 28.7  | 13                 | 4        | 30.8  | 38       | 10             | 26.3            | 159      | 28                   | 17.6  |
| Guam                     | 2              | 2         | 100.0 | 1                  | 0        | 0.0   | 0        | 0              | 0.0             | 0        | 0                    | 0.0   |
| Hawaii                   | 127            | 78        | 61.4  | 15                 | 5        | 33.3  | 9        | 3              | 33.3            | 16       | 4                    | 25.0  |
| Idaho                    | 3              | 0         | 0.0   | 0                  | 0        | 0.0   | 0        | 0              | 0.0             | 0        | 0                    | 0.0   |
| Montana                  | 11             | 6         | 54.5  | 2                  | 1        | 50.0  | 0        | 0              | 0.0             | 8        | 7                    | 87.5  |
| Nevada                   | 24             | 17        | 70.8  | 3                  | 1        | 33.3  | 5        | 5              | 100.0           | 29       | 24                   | 82.8  |
| Northern Mariana Islands | 1              | 1         | 100.0 | 0                  | 0        | 0.0   | 0        | 0              | 0.0             | 0        | 0                    | 0.0   |
| Oregon                   | 106            | 81        | 76.4  | 15                 | 14       | 93.3  | 21       | 13             | 61.9            | 67       | 29                   | 43.3  |
| Washington               |                |           |       |                    |          |       |          |                |                 |          |                      |       |
| Eastern                  | 27             | 21        | 77.8  | 6                  | 4        | 66.7  | 3        | 2              | 66.7            | 27       | 10                   | 37.0  |
| Western                  | 126            | 68        | 54.0  | 16                 | 13       | 81.3  | 73       | 40             | 54.8            | 66       | 18                   | 27.3  |
| TENTH CIRCUIT            | 301            | 208       | 69.1  | 57                 | 41       | 71.9  | 150      | 88             | 58.7            | 258      | 151                  | 58.5  |
| Colorado                 | 108            | 62        | 57.4  | 15                 | 8        | 53.3  | 26       | 8              | 30.8            | 12       | 7                    | 58.3  |
| Kansas                   | 18             | 17        | 94.4  | 10                 | 9        | 90.0  | 7        | 3              | 42.9            | 24       | 12                   | 50.0  |
| New Mexico               | 138            | 100       | 72.5  | 25                 | 17       | 68.0  | 80       | 56             | 70.0            | 112      | 64                   | 57.1  |
| Oklahoma                 | 130            | 100       | 72.3  | 23                 | 1,       | 00.0  | 00       | 30             | 70.0            | 112      | 04                   | 37.1  |
| Eastern                  | 1              | 1         | 100.0 | 0                  | 0        | 0.0   | 1        | 1              | 100.0           | 0        | 0                    | 0.0   |
| Northern                 | 7              | 4         | 57.1  | 0                  | 0        | 0.0   | 6        | 3              | 50.0            | 8        | 1                    | 12.5  |
| Western                  | 15             | 12        | 80.0  | 2                  | 2        | 100.0 | 2        | 1              | 50.0            | 17       | 8                    | 47.1  |
| Utah                     | 12             | 11        | 91.7  | 5                  | 5        | 100.0 | 25       | 14             | 56.0            | 76       | 53                   | 69.7  |
| Wyoming                  | 2              | 1         | 50.0  | 0                  | 0        | 0.0   | 3        | 2              | 66.7            | 9        | 6                    | 66.7  |
| ELEVENTH CIRCUIT         | 1,716          | 1,436     | 83.7  | 247                | 209      | 84.6  | 417      | 263            | 63.1            | 373      | 230                  | 61.7  |
| Alabama                  |                |           |       |                    |          |       |          |                |                 |          |                      |       |
| Middle                   | 0              | 0         | 0.0   | 0                  | 0        | 0.0   | 0        | 0              | 0.0             | 1        | 0                    | 0.0   |
| Northern                 | 6              | 1         | 16.7  | 2                  | 0        | 0.0   | 1        | 0              | 0.0             | 6        | 4                    | 66.7  |
| Southern                 | 1              | 1         | 100.0 | 0                  | 0        | 0.0   | 1        | 0              | 0.0             | 0        | 0                    | 0.0   |
| Florida                  |                |           |       |                    |          |       |          |                |                 |          |                      |       |
| Middle                   | 268            | 148       | 55.2  | 22                 | 17       | 77.3  | 82       | 37             | 45.1            | 52       | 32                   | 61.5  |
| Northern                 | 9              | 6         | 66.7  | 0                  | 0        | 0.0   | 1        | 1              | 100.0           | 0        | 0                    | 0.0   |
| Southern                 | 1,327          | 1,214     | 91.5  | 207                | 177      | 85.5  | 293      | 203            | 69.3            | 272      | 171                  | 62.9  |
| Georgia                  | •              | -         |       |                    |          |       |          |                |                 |          |                      |       |
| Middle                   | 5              | 5         | 100.0 | 0                  | 0        | 0.0   | 0        | 0              | 0.0             | 1        | 0                    | 0.0   |
| Northern                 | 85             | 50        | 58.8  | 15                 | 14       | 93.3  | 38       | 22             | 57.9            | 34       | 16                   | 47.1  |
| Southern                 | 15             | 11        | 73.3  | 1                  | 1        | 100.0 | 1        | 0              | 0.0             | 7        | 7                    | 100.0 |
|                          |                |           |       |                    |          |       |          |                | 1               |          |                      |       |

Cases missing information necessary to determine sentence position relative to the guideline range were excluded from the analysis.

# Rate of Above Range Sentences by Circuit and District Heroin Trafficking Offenses Koon Period through Gall Period

|                         | Koon<br>Period |    |      | PROTECT Act Period |   |      |          | <i>oker</i><br>riod |     |          | <i>fall</i><br>criod |      |
|-------------------------|----------------|----|------|--------------------|---|------|----------|---------------------|-----|----------|----------------------|------|
| CIRCUIT                 | (6/13/96       |    | (03) | (5/1/03            |   | 4)   | (1/12/05 |                     | 07) | (12/11/0 |                      | 1)   |
| District                | Total          | N  | %    | Total              | N | %    | Total    | N                   | %   | Total    | N                    | %    |
| TOTAL                   | 10,876         | 33 | 0.3  | 1,779              | 7 | 0.4  | 4,191    | 29                  | 0.7 | 5,956    | 76                   | 1.3  |
| D.C. CIRCUIT            | 107            | 0  | 0.0  | 14                 | 0 | 0.0  | 39       | 0                   | 0.0 | 66       | 2                    | 3.0  |
| District of Columbia    | 107            | 0  | 0.0  | 14                 | 0 | 0.0  | 39       | 0                   | 0.0 | 66       | 2                    | 3.0  |
| FIRST CIRCUIT           | 811            | 8  | 1.0  | 160                | 1 | 0.6  | 271      | 1                   | 0.4 | 334      | 6                    | 1.8  |
| Maine                   | 18             | 0  | 0.0  | 6                  | 0 | 0.0  | 8        | 0                   | 0.0 | 20       | 0                    | 0.0  |
| Massachusetts           | 295            | 5  | 1.7  | 33                 | 0 | 0.0  | 102      | 0                   | 0.0 | 77       | 3                    | 3.9  |
| New Hampshire           | 8              | 1  | 12.5 | 3                  | 1 | 33.3 | 17       | 0                   | 0.0 | 9        | 1                    | 11.1 |
| Puerto Rico             | 438            | 1  | 0.2  | 108                | 0 | 0.0  | 131      | 1                   | 0.8 | 173      | 2                    | 1.2  |
| Rhode Island            | 52             | 1  | 1.9  | 10                 | 0 | 0.0  | 13       | 0                   | 0.0 | 55       | 0                    | 0.0  |
| SECOND CIRCUIT          | 2,973          | 4  | 0.1  | 525                | 1 | 0.2  | 1,157    | 2                   | 0.2 | 1,268    | 2                    | 0.2  |
| Connecticut<br>New York | 107            | 0  | 0.0  | 20                 | 0 | 0.0  | 67       | 0                   | 0.0 | 147      | 0                    | 0.0  |
| Eastern                 | 1.706          | 1  | 0.1  | 305                | 1 | 0.3  | 497      | 2                   | 0.4 | 564      | 0                    | 0.0  |
| Northern                | 76             | 2  | 2.6  | 5                  | 0 | 0.0  | 21       | 0                   | 0.0 | 22       | 0                    | 0.0  |
| Southern                | 876            | 1  | 0.1  | 144                | 0 | 0.0  | 498      | 0                   | 0.0 | 461      | 2                    | 0.4  |
| Western                 | 121            | 0  | 0.0  | 14                 | 0 | 0.0  | 44       | 0                   | 0.0 | 66       | 0                    | 0.0  |
| Vermont                 | 87             | 0  | 0.0  | 37                 | 0 | 0.0  | 30       | 0                   | 0.0 | 8        | 0                    | 0.0  |
| Vermont                 | 07             | O  | 0.0  | 31                 | O | 0.0  | 30       | O                   | 0.0 | o        |                      | 0.0  |
| THIRD CIRCUIT           | 1,178          | 4  | 0.3  | 241                | 2 | 0.8  | 568      | 2                   | 0.4 | 579      | 8                    | 1.4  |
| Delaware                | 7              | 0  | 0.0  | 3                  | 0 | 0.0  | 19       | 0                   | 0.0 | 7        | 0                    | 0.0  |
| New Jersey              | 750            | 3  | 0.4  | 98                 | 0 | 0.0  | 284      | 0                   | 0.0 | 235      | 0                    | 0.0  |
| Pennsylvania            |                |    |      |                    |   |      |          |                     |     |          |                      |      |
| Eastern                 | 289            | 1  | 0.3  | 66                 | 0 | 0.0  | 85       | 0                   | 0.0 | 139      | 2                    | 1.4  |
| Middle                  | 82             | 0  | 0.0  | 35                 | 0 | 0.0  | 97       | 2                   | 2.1 | 122      | 4                    | 3.3  |
| Western                 | 40             | 0  | 0.0  | 25                 | 0 | 0.0  | 78       | 0                   | 0.0 | 74       | 2                    | 2.7  |
| Virgin Islands          | 10             | 0  | 0.0  | 14                 | 2 | 14.3 | 5        | 0                   | 0.0 | 2        | 0                    | 0.0  |
| FOURTH CIRCUIT          | 699            | 5  | 0.7  | 135                | 0 | 0.0  | 255      | 5                   | 2.0 | 665      | 12                   | 1.8  |
| Maryland                | 177            | 1  | 0.6  | 18                 | 0 | 0.0  | 98       | 2                   | 2.0 | 198      | 2                    | 1.0  |
| North Carolina          |                |    |      |                    |   |      |          |                     |     |          |                      |      |
| Eastern                 | 20             | 0  | 0.0  | 1                  | 0 | 0.0  | 4        | 0                   | 0.0 | 32       | 3                    | 9.4  |
| Middle                  | 8              | 0  | 0.0  | 0                  | 0 | 0.0  | 0        | 0                   | 0.0 | 6        | 0                    | 0.0  |
| Western                 | 55             | 0  | 0.0  | 3                  | 0 | 0.0  | 10       | 0                   | 0.0 | 51       | 2                    | 3.9  |
| South Carolina          | 141            | 2  | 1.4  | 4                  | 0 | 0.0  | 29       | 0                   | 0.0 | 58       | 0                    | 0.0  |
| Virginia                |                |    |      |                    |   |      |          |                     |     |          |                      |      |
| Eastern                 | 226            | 1  | 0.4  | 53                 | 0 | 0.0  | 63       | 3                   | 4.8 | 164      | 2                    | 1.2  |
| Western                 | 30             | 0  | 0.0  | 19                 | 0 | 0.0  | 15       | 0                   | 0.0 | 47       | 0                    | 0.0  |
| West Virginia           |                |    |      |                    |   |      |          |                     |     |          |                      |      |
| Northern                | 17             | 1  | 5.9  | 37                 | 0 | 0.0  | 36       | 0                   | 0.0 | 64       | 0                    | 0.0  |
| Southern                | 25             | 0  | 0.0  | 0                  | 0 | 0.0  | 0        | 0                   | 0.0 | 45       | 3                    | 6.7  |
|                         |                |    |      |                    |   |      |          |                     |     |          |                      |      |

### **Rate of Above Range Sentences by Circuit and District (cont.)**

|                 | Koon Period |   |       | PROTECT Act Period |   |     | oker<br>riod |   |      | <i>fall</i><br>eriod |    |            |
|-----------------|-------------|---|-------|--------------------|---|-----|--------------|---|------|----------------------|----|------------|
| CIRCUIT         | (6/13/96 -  |   | /03)  | (5/1/03 -          |   | 4)  | (1/12/05 -   |   | 07)  | (12/11/0             |    | 11)        |
| District        | Total       | N | %     | Total              | N | %   | Total        | N | %    | Total                | N  | <u>%</u>   |
| FIFTH CIRCUIT   | 1,030       | 1 | 0.1   | 162                | 1 | 0.6 | 380          | 4 | 1.1  | 612                  | 15 | 2.5        |
| Louisiana       | ,           |   |       |                    |   |     |              |   |      |                      |    |            |
| Eastern         | 108         | 0 | 0.0   | 42                 | 0 | 0.0 | 53           | 0 | 0.0  | 68                   | 2  | 2.9        |
| Middle          | 0           | 0 | 0.0   | 1                  | 0 | 0.0 | 0            | 0 | 0.0  | 0                    | 0  | 0.0        |
| Western         | 3           | 0 | 0.0   | 0                  | 0 | 0.0 | 4            | 0 | 0.0  | 2                    | 0  | 0.0        |
| Mississippi     |             |   |       |                    |   |     |              |   |      |                      |    |            |
| Northern        | 0           | 0 | 0.0   | 0                  | 0 | 0.0 | 0            | 0 | 0.0  | 0                    | 0  | 0.0        |
| Southern        | 1           | 0 | 0.0   | 0                  | 0 | 0.0 | 3            | 0 | 0.0  | 4                    | 0  | 0.0        |
| Texas           |             |   |       |                    |   |     |              |   |      |                      |    |            |
| Eastern         | 62          | 0 | 0.0   | 5                  | 0 | 0.0 | 26           | 1 | 3.8  | 67                   | 8  | 11.9       |
| Northern        | 121         | 0 | 0.0   | 7                  | 0 | 0.0 | 14           | 1 | 7.1  | 33                   | 2  | 6.1        |
| Southern        | 393         | 1 | 0.3   | 57                 | 0 | 0.0 | 112          | 0 | 0.0  | 161                  | 0  | 0.0        |
| Western         | 342         | 0 | 0.0   | 50                 | 1 | 2.0 | 168          | 2 | 1.2  | 277                  | 3  | 1.1        |
|                 |             |   |       |                    |   |     |              |   |      |                      |    |            |
| SIXTH CIRCUIT   | 381         | 1 | 0.3   | 52                 | 0 | 0.0 | 319          | 2 | 0.6  | 491                  | 11 | 2.2        |
| Kentucky        | 4.0         |   |       |                    |   |     | 25           |   |      | 2=                   |    | 0.4        |
| Eastern         | 18          | 0 | 0.0   | 2                  | 0 | 0.0 | 27           | 0 | 0.0  | 37                   | 3  | 8.1        |
| Western         | 6           | 0 | 0.0   | 1                  | 0 | 0.0 | 4            | 0 | 0.0  | 6                    | 0  | 0.0        |
| Michigan        |             |   |       |                    |   |     |              |   |      |                      |    |            |
| Eastern         | 190         | 0 | 0.0   | 22                 | 0 | 0.0 | 36           | 0 | 0.0  | 80                   | 2  | 2.5        |
| Western         | 6           | 0 | 0.0   | 6                  | 0 | 0.0 | 36           | 1 | 2.8  | 31                   | 2  | 6.5        |
| Ohio            |             |   |       |                    |   |     |              |   |      |                      |    |            |
| Northern        | 49          | 1 | 2.0   | 12                 | 0 | 0.0 | 119          | 1 | 0.8  | 105                  | 1  | 1.0        |
| Southern        | 56          | 0 | 0.0   | 8                  | 0 | 0.0 | 67           | 0 | 0.0  | 152                  | 2  | 1.3        |
| Tennessee       |             |   |       |                    |   |     |              |   |      |                      |    |            |
| Eastern         | 15          | 0 | 0.0   | 1                  | 0 | 0.0 | 18           | 0 | 0.0  | 43                   | 0  | 0.0        |
| Middle          | 14          | 0 | 0.0   | 0                  | 0 | 0.0 | 2            | 0 | 0.0  | 21                   | 1  | 4.8        |
| Western         | 27          | 0 | 0.0   | 0                  | 0 | 0.0 | 10           | 0 | 0.0  | 16                   | 0  | 0.0        |
| SEVENTH CIRCUIT | 364         | 2 | 0.5   | 47                 | 0 | 0.0 | 217          | 2 | 0.9  | 458                  | 4  | 0.9        |
| Illinois        |             |   |       |                    |   |     |              |   |      | 4.0                  |    | 0.0        |
| Central         | 22          | 0 | 0.0   | 0                  | 0 | 0.0 | 8            | 1 | 12.5 | 18                   | 0  | 0.0        |
| Northern        | 235         | 0 | 0.0   | 40                 | 0 | 0.0 | 119          | 0 | 0.0  | 211                  | 0  | 0.0        |
| Southern        | 9           | 0 | 0.0   | 1                  | 0 | 0.0 | 6            | 0 | 0.0  | 25                   | 2  | 8.0        |
| Indiana         |             |   |       |                    |   |     |              |   |      |                      |    |            |
| Northern        | 19          | 2 | 10.5  | 0                  | 0 | 0.0 | 12           | 0 | 0.0  | 36                   | 0  | 0.0        |
| Southern        | 25          | 0 | 0.0   | 0                  | 0 | 0.0 | 18           | 1 | 5.6  | 12                   | 0  | 0.0        |
| Wisconsin       |             |   |       |                    |   |     |              |   |      | 42.5                 |    | ^ <b>-</b> |
| Eastern         | 53          | 0 | 0.0   | 3                  | 0 | 0.0 | 42           | 0 | 0.0  | 135                  | 1  | 0.7        |
| Western         | 1           | 0 | 0.0   | 3                  | 0 | 0.0 | 12           | 0 | 0.0  | 21                   | 1  | 4.8        |
| EIGHTH CIRCUIT  | 239         | 2 | 0.8   | 33                 | 0 | 0.0 | 134          | 4 | 3.0  | 175                  | 6  | 3.4        |
| Arkansas        | 2           | ^ | 0.0   | ^                  | ^ | 0.0 | ^            | ^ | 0.0  | 4                    | ^  | 0.0        |
| Eastern         | 2           | 0 | 0.0   | 0                  | 0 | 0.0 | 0            | 0 | 0.0  | 4                    | 0  | 0.0        |
| Western         | 1           | 0 | 0.0   | 0                  | 0 | 0.0 | 1            | 0 | 0.0  | 0                    | 0  | 0.0        |
| Iowa            | 4           |   | 100.0 | 0                  | 0 | 0.0 | 10           |   | 22.2 | _                    | 2  | 60.0       |
| Northern        | 1           | 1 | 100.0 | 0                  | 0 | 0.0 | 18           | 4 | 22.2 | 5                    | 3  | 60.0       |
| Southern        | 10          | 0 | 0.0   | 0                  | 0 | 0.0 | 1            | 0 | 0.0  | 5                    | 1  | 20.0       |
| Minnesota       | 10          | 0 | 0.0   | 6                  | 0 | 0.0 | 5            | 0 | 0.0  | 23                   | 0  | 0.0        |
| Missouri        | 4=0         |   | 2     | 2.5                | ^ |     | 00           | ^ |      | 11-                  | _  |            |
| Eastern         | 170         | 1 | 0.6   | 25                 | 0 | 0.0 | 99           | 0 | 0.0  | 115                  | 1  | 0.9        |
| Western         | 14          | 0 | 0.0   | 0                  | 0 | 0.0 | 3            | 0 | 0.0  | 13                   | 0  | 0.0        |
| Nebraska        | 27          | 0 | 0.0   | 2                  | 0 | 0.0 | 5            | 0 | 0.0  | 8                    | 0  | 0.0        |
| North Dakota    | 4           | 0 | 0.0   | 0                  | 0 | 0.0 | 0            | 0 | 0.0  | 1                    | 0  | 0.0        |
| South Dakota    | 0           | 0 | 0.0   | 0                  | 0 | 0.0 | 2            | 0 | 0.0  | 1                    | 1  | 100.0      |

Rate of Above Range Sentences by Circuit and District (cont.)

|                          | <i>Koon</i><br>Period |   |          | PROTECT Act Period |   |     |            | oker<br>riod |       |          | <i>all</i><br>riod |      |
|--------------------------|-----------------------|---|----------|--------------------|---|-----|------------|--------------|-------|----------|--------------------|------|
| CIRCUIT                  | (6/13/96              |   | 03)      | (5/1/03 -          |   | 1)  | (1/12/05 - |              | (07)  | (12/11/0 |                    | 1)   |
| District                 | Total                 | N | <u>%</u> | Total              | N | %   | Total      | N            | %     | Total    | N                  | %    |
| NINTH CIRCUIT            | 1,077                 | 1 | 0.1      | 106                | 0 | 0.0 | 284        | 3            | 1.1   | 677      | 5                  | 0.7  |
| Alaska                   | 7                     | 0 | 0.0      | 1                  | 0 | 0.0 | 0          | 0            | 0.0   | 23       | 0                  | 0.0  |
| Arizona                  | 139                   | 0 | 0.0      | 9                  | 0 | 0.0 | 58         | 1            | 1.7   | 83       | 0                  | 0.0  |
| California               |                       |   |          |                    |   |     |            |              |       |          |                    |      |
| Central                  | 92                    | 0 | 0.0      | 7                  | 0 | 0.0 | 14         | 0            | 0.0   | 121      | 0                  | 0.0  |
| Eastern                  | 73                    | 0 | 0.0      | 5                  | 0 | 0.0 | 38         | 0            | 0.0   | 35       | 0                  | 0.0  |
| Northern                 | 109                   | 0 | 0.0      | 13                 | 0 | 0.0 | 25         | 0            | 0.0   | 43       | 5                  | 11.6 |
| Southern                 | 230                   | 0 | 0.0      | 13                 | 0 | 0.0 | 38         | 1            | 2.6   | 159      | 0                  | 0.0  |
| Guam                     | 2                     | 0 | 0.0      | 1                  | 0 | 0.0 | 0          | 0            | 0.0   | 0        | 0                  | 0.0  |
| Hawaii                   | 127                   | 0 | 0.0      | 15                 | 0 | 0.0 | 9          | 0            | 0.0   | 16       | 0                  | 0.0  |
| Idaho                    | 3                     | 0 | 0.0      | 0                  | 0 | 0.0 | 0          | 0            | 0.0   | 0        | 0                  | 0.0  |
| Montana                  | 11                    | 0 | 0.0      | 2                  | 0 | 0.0 | 0          | 0            | 0.0   | 8        | 0                  | 0.0  |
| Nevada                   | 24                    | 0 | 0.0      | 3                  | 0 | 0.0 | 5          | 0            | 0.0   | 29       | 0                  | 0.0  |
| Northern Mariana Islands | 1                     | 0 | 0.0      | 0                  | 0 | 0.0 | 0          | 0            | 0.0   | 0        | 0                  | 0.0  |
| Oregon                   | 106                   | 0 | 0.0      | 15                 | 0 | 0.0 | 21         | 0            | 0.0   | 67       | 0                  | 0.0  |
| Washington               |                       |   |          |                    |   |     |            |              |       |          |                    |      |
| Eastern                  | 27                    | 0 | 0.0      | 6                  | 0 | 0.0 | 3          | 0            | 0.0   | 27       | 0                  | 0.0  |
| Western                  | 126                   | 1 | 0.8      | 16                 | 0 | 0.0 | 73         | 1            | 1.4   | 66       | 0                  | 0.0  |
| TENTH CIRCUIT            | 301                   | 1 | 0.3      | 57                 | 1 | 1.8 | 150        | 0            | 0.0   | 258      | 2                  | 0.8  |
| Colorado                 | 108                   | 0 | 0.0      | 15                 | 0 | 0.0 | 26         | 0            | 0.0   | 12       | 0                  | 0.0  |
| Kansas                   | 18                    | 0 | 0.0      | 10                 | 0 | 0.0 | 7          | 0            | 0.0   | 24       | 2                  | 8.3  |
| New Mexico               | 138                   | 1 | 0.7      | 25                 | 1 | 4.0 | 80         | 0            | 0.0   | 112      | 0                  | 0.0  |
| Oklahoma                 |                       |   |          |                    |   |     |            |              |       |          |                    |      |
| Eastern                  | 1                     | 0 | 0.0      | 0                  | 0 | 0.0 | 1          | 0            | 0.0   | 0        | 0                  | 0.0  |
| Northern                 | 7                     | 0 | 0.0      | 0                  | 0 | 0.0 | 6          | 0            | 0.0   | 8        | 0                  | 0.0  |
| Western                  | 15                    | 0 | 0.0      | 2                  | 0 | 0.0 | 2          | 0            | 0.0   | 17       | 0                  | 0.0  |
| Utah                     | 12                    | 0 | 0.0      | 5                  | 0 | 0.0 | 25         | 0            | 0.0   | 76       | 0                  | 0.0  |
| Wyoming                  | 2                     | 0 | 0.0      | 0                  | 0 | 0.0 | 3          | 0            | 0.0   | 9        | 0                  | 0.0  |
| ELEVENTH CIRCUIT         | 1,716                 | 4 | 0.2      | 247                | 1 | 0.4 | 417        | 4            | 1.0   | 373      | 3                  | 0.8  |
| Alabama                  |                       |   |          |                    |   |     |            |              |       |          |                    |      |
| Middle                   | 0                     | 0 | 0.0      | 0                  | 0 | 0.0 | 0          | 0            | 0.0   | 1        | 0                  | 0.0  |
| Northern                 | 6                     | 0 | 0.0      | 2                  | 0 | 0.0 | 1          | 0            | 0.0   | 6        | 0                  | 0.0  |
| Southern                 | 1                     | 0 | 0.0      | 0                  | 0 | 0.0 | 1          | 0            | 0.0   | 0        | 0                  | 0.0  |
| Florida                  |                       |   |          |                    |   |     |            |              |       |          |                    |      |
| Middle                   | 268                   | 1 | 0.4      | 22                 | 1 | 4.5 | 82         | 1            | 1.2   | 52       | 1                  | 1.9  |
| Northern                 | 9                     | 0 | 0.0      | 0                  | 0 | 0.0 | 1          | 0            | 0.0   | 0        | 0                  | 0.0  |
| Southern                 | 1,327                 | 1 | 0.1      | 207                | 0 | 0.0 | 293        | 1            | 0.3   | 272      | 1                  | 0.4  |
| Georgia                  |                       |   |          |                    |   |     |            |              |       |          |                    |      |
| Middle                   | 5                     | 0 | 0.0      | 0                  | 0 | 0.0 | 0          | 0            | 0.0   | 1        | 0                  | 0.0  |
| Northern                 | 85                    | 2 | 2.4      | 15                 | 0 | 0.0 | 38         | 1            | 2.6   | 34       | 1                  | 2.9  |
| Southern                 | 15                    | 0 | 0.0      | 1                  | 0 | 0.0 | 1          | 1            | 100.0 | 7        | 0                  | 0.0  |

Cases missing information necessary to determine sentence position relative to the guideline range were excluded from the analysis.

# Rate of Government Sponsored Sentences by Circuit and District Heroin Trafficking Offenses Koon Period through Gall Period

| Part   Part | 711)  % 31.5  51.5  19.8  80.0  23.4  11.1         |
|---|--|
| District   Total   N  | %6<br>31.5<br>51.5<br>51.5<br>19.8<br>80.0<br>23.4 |
| TOTAL         10,876         2,618         24.1         1,779         425         23.9         4,191         1,218         29.1         5,956         1,875           D.C. CIRCUIT         107         44         41.1         14         7         50.0         39         25         64.1         66         34           FIRST CIRCUIT         811         154         19.0         160         19         11.9         271         49         18.1         334         66           Maine         18         7         38.9         6         3         50.0         8         2         25.0         20         16           Massachusetts         295         95         32.2         33         4         12.1         102         19         18.6         77         18           New Hampshire         8         3         37.5         3         1         33.3         17         6         35.3         9         1           Puerto Rico         438         45         10.3         108         11         10.2         131         18         13.7         173         22           Rhode Island         52         4         7.7 <th< th=""><th>31.5<br/>51.5<br/>51.5<br/>19.8<br/>80.0<br/>23.4</th></th<>  | 31.5<br>51.5<br>51.5<br>19.8<br>80.0<br>23.4       |
| D.C. CIRCUIT         107         44         41.1         14         7         50.0         39         25         64.1         66         34           District of Columbia         107         44         41.1         14         7         50.0         39         25         64.1         66         34           FIRST CIRCUIT         811         154         19.0         160         19         11.9         271         49         18.1         334         66           Maine         18         7         38.9         6         3         50.0         8         2         25.0         20         16           Massachusetts         295         95         32.2         33         4         12.1         102         19         18.6         77         18           New Hampshire         8         3         37.5         3         1         33.3         17         6         35.3         9         1           Puerto Rico         438         45         10.3         108         11         10.2         131         18         13.7         173         22           Rhode Island         52         4         7.7         10   | <b>51.5</b> 51.5 <b>19.8</b> 80.0 23.4             |
| District of Columbia         107         44         41.1         14         7         50.0         39         25         64.1         66         34           FIRST CIRCUIT         811         154         19.0         160         19         11.9         271         49         18.1         334         66           Maine         18         7         38.9         6         3         50.0         8         2         25.0         20         16           Massachusetts         295         95         32.2         33         4         12.1         102         19         18.6         77         18           New Hampshire         8         3         37.5         3         1         33.3         17         6         35.3         9         1           Puerto Rico         438         45         10.3         108         11         10.2         131         18         13.7         173         222           Rhode Island         52         4         7.7         10         0         0.0         13         4         30.8         55         9           SECOND CIRCUIT         2,973         682         22.9         525<  | 51.5<br><b>19.8</b><br>80.0<br>23.4                |
| FIRST CIRCUIT  811 154 19.0 160 19 11.9 271 49 18.1 334 66 Maine 18 7 38.9 6 3 50.0 8 2 25.0 20 16 Massachusetts 295 95 32.2 33 4 12.1 102 19 18.6 77 18 New Hampshire 8 3 37.5 3 11 33.3 17 6 35.3 9 11 Puerto Rico 438 45 10.3 108 11 10.2 131 18 13.7 173 222 Rhode Island 52 4 7.7 10 0 0 0.0 13 4 30.8 55 95  SECOND CIRCUIT 2,973 682 22.9 525 97 18.5 1,157 295 25.5 1,268 379 Connecticut 107 28 26.2 20 5 25.0 67 21 31.3 147 38 New York Eastern 1,706 350 20.5 305 47 15.4 497 127 25.6 564 170 Northern 76 34 44.7 5 0 0 0.0 21 6 28.6 22 6 Southern 876 202 23.1 144 23 16.0 498 118 23.7 461 114 Western 121 48 39.7 14 8 57.1 44 12 27.3 66 50 50 50 THIRD CIRCUIT 1,178 463 39.3 241 107 44.4 568 238 41.9 579 236 Pennsylvania   | 19.8<br>80.0<br>23.4                               |
| Maine         18         7         38.9         6         3         50.0         8         2         25.0         20         16           Massachusetts         295         95         32.2         33         4         12.1         102         19         18.6         77         18           New Hampshire         8         3         37.5         3         1         33.3         17         6         35.3         9         1           Puerto Rico         438         45         10.3         108         11         10.2         131         18         13.7         173         22           Rhode Island         52         4         7.7         10         0         0.0         13         4         30.8         55         9           SECOND CIRCUIT         2,973         682         22.9         525         97         18.5         1,157         295         25.5         1,268         379           Connecticut         107         28         26.2         29         5         25.0         67         21         31.3         147         38           New York         Eastern         1,706         350         20.5 <td>80.0<br/>23.4</td>  | 80.0<br>23.4                                       |
| Massachusetts         295         95         32.2         33         4         12.1         102         19         18.6         77         18           New Hampshire         8         3         37.5         3         1         33.3         17         6         35.3         9         11           Puerto Rico         438         45         10.3         108         11         10.2         131         18         13.7         173         22           Rhode Island         52         4         7.7         10         0         0.0         13         4         30.8         55         9           SECOND CIRCUIT         2,973         682         22.9         525         97         18.5         1,157         295         25.5         1,268         379           Connecticut         107         28         26.2         20         5         25.0         67         21         31.3         147         38           New York         Eastern         1,706         350         20.5         305         47         15.4         497         127         25.6         564         170           Northern         76         34  | 23.4   |
| New Hampshire         8         3         37.5         3         1         33.3         17         6         35.3         9         1           Puerto Rico         438         45         10.3         108         11         10.2         131         18         13.7         173         22           Rhode Island         52         4         7.7         10         0         0.0         13         4         30.8         55         99           SECOND CIRCUIT         2,973         682         22.9         525         97         18.5         1,157         295         25.5         1,268         379           Connecticut         107         28         26.2         20         5         25.0         67         21         31.3         147         38           New York         8         1,706         350         20.5         305         47         15.4         497         127         25.6         564         170           Northern         76         34         44.7         5         0         0.0         21         6         28.6         22         6           Southern         876         202         23.1 <td></td>  |  |
| Puerto Rico         438         45         10.3         108         11         10.2         131         18         13.7         173         22           Rhode Island         52         4         7.7         10         0         0.0         13         4         30.8         55         9           SECOND CIRCUIT         2,973         682         22.9         525         97         18.5         1,157         295         25.5         1,268         379           Connecticut         107         28         26.2         20         5         25.0         67         21         31.3         147         38           New York         Eastern         1,706         350         20.5         305         47         15.4         497         127         25.6         564         170           Northern         76         34         44.7         5         0         0.0         21         6         28.6         22         6           Southern         876         202         23.1         144         23         16.0         498         118         23.7         461         114           Western         121         48   | 11.1   |
| Rhode Island         52         4         7.7         10         0         0.0         13         4         30.8         55         9           SECOND CIRCUIT         2,973         682         22.9         525         97         18.5         1,157         295         25.5         1,268         379           Connecticut         107         28         26.2         20         5         25.0         67         21         31.3         147         38           New York         8         20         20.5         305         47         15.4         497         127         25.6         564         170           Northern         76         34         44.7         5         0         0.0         21         6         28.6         22         6           Southern         876         202         23.1         144         23         16.0         498         118         23.7         461         114           Western         121         48         39.7         14         8         57.1         44         12         27.3         66         50           Vermont         87         20         23.0         37   |  |
| SECOND CIRCUIT         2,973         682         22.9         525         97         18.5         1,157         295         25.5         1,268         379           Connecticut         107         28         26.2         20         5         25.0         67         21         31.3         147         38           New York         Eastern         1,706         350         20.5         305         47         15.4         497         127         25.6         564         170           Northern         76         34         44.7         5         0         0.0         21         6         28.6         22         6           Southern         876         202         23.1         144         23         16.0         498         118         23.7         461         114           Western         121         48         39.7         14         8         57.1         44         12         27.3         66         50           Vermont         87         20         23.0         37         14         37.8         30         11         36.7         8         1           THIRD CIRCUIT         1,178         463 </td <td>12.7</td>  | 12.7   |
| Connecticut         107         28         26.2         20         5         25.0         67         21         31.3         147         38           New York         Eastern         1,706         350         20.5         305         47         15.4         497         127         25.6         564         170           Northern         76         34         44.7         5         0         0.0         21         6         28.6         22         6           Southern         876         202         23.1         144         23         16.0         498         118         23.7         461         114           Western         121         48         39.7         14         8         57.1         44         12         27.3         66         50           Vermont         87         20         23.0         37         14         37.8         30         11         36.7         8         1           THIRD CIRCUIT         1,178         463         39.3         241         107         44.4         568         238         41.9         579         236           New Jersey         750         234  | 16.4   |
| New York         Eastern         1,706         350         20.5         305         47         15.4         497         127         25.6         564         170           Northern         76         34         44.7         5         0         0.0         21         6         28.6         22         6           Southern         876         202         23.1         144         23         16.0         498         118         23.7         461         114           Western         121         48         39.7         14         8         57.1         44         12         27.3         66         50           Vermont         87         20         23.0         37         14         37.8         30         11         36.7         8         1           THIRD CIRCUIT         1,178         463         39.3         241         107         44.4         568         238         41.9         579         236           Delaware         7         4         57.1         3         1         33.3         19         4         21.1         7         2           New Jersey         750         234         31.2  | 29.9   |
| Eastern         1,706         350         20.5         305         47         15.4         497         127         25.6         564         170           Northern         76         34         44.7         5         0         0.0         21         6         28.6         22         6           Southern         876         202         23.1         144         23         16.0         498         118         23.7         461         114           Western         121         48         39.7         14         8         57.1         44         12         27.3         66         50           Vermont         87         20         23.0         37         14         37.8         30         11         36.7         8         1           THIRD CIRCUIT         1,178         463         39.3         241         107         44.4         568         238         41.9         579         236           Delaware         7         4         57.1         3         1         33.3         19         4         21.1         7         2           New Jersey         750         234         31.2         98  | 25.9   |
| Northern         76         34         44.7         5         0         0.0         21         6         28.6         22         6           Southern         876         202         23.1         144         23         16.0         498         118         23.7         461         114           Western         121         48         39.7         14         8         57.1         44         12         27.3         66         50           Vermont         87         20         23.0         37         14         37.8         30         11         36.7         8         1           THIRD CIRCUIT         1,178         463         39.3         241         107         44.4         568         238         41.9         579         236           Delaware         7         4         57.1         3         1         33.3         19         4         21.1         7         2           New Jersey         750         234         31.2         98         36         36.7         284         126         44.4         235         83           Pennsylvania   |  |
| Southern         876         202         23.1         144         23         16.0         498         118         23.7         461         114           Western         121         48         39.7         14         8         57.1         44         12         27.3         66         50           Vermont         87         20         23.0         37         14         37.8         30         11         36.7         8         1           THIRD CIRCUIT         1,178         463         39.3         241         107         44.4         568         238         41.9         579         236           Delaware         7         4         57.1         3         1         33.3         19         4         21.1         7         2           New Jersey         750         234         31.2         98         36         36.7         284         126         44.4         235         83           Pennsylvania         12         23         31.2         23         36.7         284         126         44.4         235         83   | 30.1   |
| Western         121         48         39.7         14         8         57.1         44         12         27.3         66         50           Vermont         87         20         23.0         37         14         37.8         30         11         36.7         8         1           THIRD CIRCUIT         1,178         463         39.3         241         107         44.4         568         238         41.9         579         236           Delaware         7         4         57.1         3         1         33.3         19         4         21.1         7         2           New Jersey         750         234         31.2         98         36         36.7         284         126         44.4         235         83           Pennsylvania         8         36         36.7         284         126         44.4         235         83   | 27.3   |
| Vermont         87         20         23.0         37         14         37.8         30         11         36.7         8         1           THIRD CIRCUIT         1,178         463         39.3         241         107         44.4         568         238         41.9         579         236           Delaware         7         4         57.1         3         1         33.3         19         4         21.1         7         2           New Jersey         750         234         31.2         98         36         36.7         284         126         44.4         235         83           Pennsylvania         8         1         37         2         4         4         2         35         83   | 24.7   |
| THIRD CIRCUIT         1,178         463         39.3         241         107         44.4         568         238         41.9         579         236           Delaware         7         4         57.1         3         1         33.3         19         4         21.1         7         2           New Jersey         750         234         31.2         98         36         36.7         284         126         44.4         235         83           Pennsylvania         8         238         41.9         44.4         235         83  | 75.8   |
| Delaware       7       4       57.1       3       1       33.3       19       4       21.1       7       2         New Jersey       750       234       31.2       98       36       36.7       284       126       44.4       235       83         Pennsylvania       83       84 <td< td=""><td>12.5</td></td<>  | 12.5   |
| New Jersey 750 234 31.2 98 36 36.7 284 126 44.4 235 83 Pennsylvania   | 40.8   |
| Pennsylvania  | 28.6   |
|   | 35.3   |
| Eastern 280 165 571 66 40 606 85 40 576 130 58  |  |
| Lastelli 289 103 37.1 00 40 00.0 83 49 37.0 139 36  | 41.7   |
| Middle 82 47 57.3 35 25 71.4 97 50 51.5 122 70  | 57.4   |
| Western 40 7 17.5 25 3 12.0 78 8 10.3 74 23   | 31.1   |
| Virgin Islands 10 6 60.0 14 2 14.3 5 1 20.0 2 0   | 0.0  |
| FOURTH CIRCUIT 699 201 28.8 135 27 20.0 255 50 19.6 665 161   | 24.2   |
| Maryland 177 69 39.0 18 5 27.8 98 37 37.8 198 82  | 41.4   |
| North Carolina  |  |
| Eastern 20 10 50.0 1 0 0.0 4 1 25.0 32 11   | 34.4   |
| Middle 8 3 37.5 0 0 0.0 0 0.0 6 2   | 33.3   |
| Western 55 29 52.7 3 0 0.0 10 3 30.0 51 15  | 29.4   |
| South Carolina 141 49 34.8 4 0 0.0 29 4 13.8 58 12  | 20.7   |
| Virginia  |  |
| Eastern 226 20 8.8 53 5 9.4 63 0 0.0 164 11   | 6.7  |
| Western 30 18 60.0 19 12 63.2 15 4 26.7 47 19   | 40.4   |
| West Virginia   |  |
| Northern 17 1 5.9 37 5 13.5 36 1 2.8 64 7   | 10.9   |
| Southern 25 2 8.0 0 0 0.0 0 0.0 45 2  | 4.4  |

### **Rate of Government Sponsored Sentences by Circuit and District (cont.)**

|                 | Koon     |         |               | PROTECT Act |          |      | oker     |       |       | Gall  |                   |             |
|-----------------|----------|---------|---------------|-------------|----------|------|----------|-------|-------|-------|-------------------|-------------|
|                 |          | eriod   |               |             | eriod    |      |          | eriod |       |       | eriod             |             |
| CIRCUIT         | (6/13/96 | - 04/30 | <del></del> _ |             | - 6/24/0 |      | (1/12/05 |       |       |       | <b>)7-9/30/</b> 1 |             |
| District        | Total    | N       | %             | Total       | N        | %    | Total    | N     | %     | Total | N                 | <b>%</b>    |
| FIFTH CIRCUIT   | 1,030    | 190     | 18.4          | 162         | 34       | 21.0 | 380      | 104   | 27.4  | 612   | 167               | 27.3        |
| Louisiana       |          |         |               |             |          |      |          |       |       |       |                   |             |
| Eastern         | 108      | 10      | 9.3           | 42          | 2        | 4.8  | 53       | 9     | 17.0  | 68    | 12                | 17.6        |
| Middle          | 0        | 0       | 0.0           | 1           | 0        | 0.0  | 0        | 0     | 0.0   | 0     | 0                 | 0.0         |
| Western         | 3        | 0       | 0.0           | 0           | 0        | 0.0  | 4        | 0     | 0.0   | 2     | 1                 | 50.0        |
| Mississippi     |          |         |               |             |          |      |          |       |       |       |                   |             |
| Northern        | 0        | 0       | 0.0           | 0           | 0        | 0.0  | 0        | 0     | 0.0   | 0     | 0                 | 0.0         |
| Southern        | 1        | 1       | 100.0         | 0           | 0        | 0.0  | 3        | 0     | 0.0   | 4     | 1                 | 25.0        |
| Texas           |          |         |               |             |          |      |          |       |       |       |                   |             |
| Eastern         | 62       | 17      | 27.4          | 5           | 0        | 0.0  | 26       | 9     | 34.6  | 67    | 20                | 29.9        |
| Northern        | 121      | 13      | 10.7          | 7           | 4        | 57.1 | 14       | 2     | 14.3  | 33    | 9                 | 27.3        |
| Southern        | 393      | 84      | 21.4          | 57          | 19       | 33.3 | 112      | 38    | 33.9  | 161   | 53                | 32.9        |
| Western         | 342      | 65      | 19.0          | 50          | 9        | 18.0 | 168      | 46    | 27.4  | 277   | 71                | 25.6        |
| SIXTH CIRCUIT   | 381      | 162     | 42.5          | 52          | 24       | 46.2 | 319      | 142   | 44.5  | 491   | 197               | 40.1        |
| Kentucky        |          |         |               |             |          |      |          |       |       |       |                   |             |
| Eastern         | 18       | 9       | 50.0          | 2           | 1        | 50.0 | 27       | 16    | 59.3  | 37    | 23                | 62.2        |
| Western         | 6        | 2       | 33.3          | 1           | 0        | 0.0  | 4        | 1     | 25.0  | 6     | 5                 | 83.3        |
| Michigan        |          |         |               |             |          |      |          |       |       |       |                   |             |
| Eastern         | 190      | 86      | 45.3          | 22          | 12       | 54.5 | 36       | 17    | 47.2  | 80    | 18                | 22.5        |
| Western         | 6        | 2       | 33.3          | 6           | 1        | 16.7 | 36       | 10    | 27.8  | 31    | 4                 | 12.9        |
| Ohio            |          |         |               |             |          |      |          |       |       |       |                   |             |
| Northern        | 49       | 9       | 18.4          | 12          | 9        | 75.0 | 119      | 39    | 32.8  | 105   | 34                | 32.4        |
| Southern        | 56       | 28      | 50.0          | 8           | 1        | 12.5 | 67       | 41    | 61.2  | 152   | 76                | 50.0        |
| Tennessee       |          |         |               |             |          |      |          |       |       |       |                   |             |
| Eastern         | 15       | 5       | 33.3          | 1           | 0        | 0.0  | 18       | 13    | 72.2  | 43    | 25                | 58.1        |
| Middle          | 14       | 3       | 21.4          | 0           | 0        | 0.0  | 2        | 0     | 0.0   | 21    | 7                 | 33.3        |
| Western         | 27       | 18      | 66.7          | 0           | 0        | 0.0  | 10       | 5     | 50.0  | 16    | 5                 | 31.3        |
| SEVENTH CIRCUIT | 364      | 126     | 34.6          | 47          | 18       | 38.3 | 217      | 49    | 22.6  | 458   | 172               | 37.6        |
| Illinois        |          |         | 40.0          |             |          |      |          | ^     | 0.0   | 4.0   |                   |             |
| Central         | 22       | 9       | 40.9          | 0           | 0        | 0.0  | 8        | 0     | 0.0   | 18    | 8                 | 44.4        |
| Northern        | 235      | 89      | 37.9          | 40          | 18       | 45.0 | 119      | 31    | 26.1  | 211   | 65                | 30.8        |
| Southern        | 9        | 2       | 22.2          | 1           | 0        | 0.0  | 6        | 2     | 33.3  | 25    | 2                 | 8.0         |
| Indiana         | 10       |         | 4.5.0         |             | •        |      |          |       | 25.0  | 2.6   |                   | <b>50.0</b> |
| Northern        | 19       | 3       | 15.8          | 0           | 0        | 0.0  | 12       | 3     | 25.0  | 36    | 21                | 58.3        |
| Southern        | 25       | 13      | 52.0          | 0           | 0        | 0.0  | 18       | 7     | 38.9  | 12    | 7                 | 58.3        |
| Wisconsin       | 50       | 1.0     | 10.0          | 2           | 0        | 0.0  | 10       |       | 1.4.2 | 105   | 60                | 51.1        |
| Eastern         | 53       | 10      | 18.9          | 3           | 0        | 0.0  | 42       | 6     | 14.3  | 135   | 69                | 51.1        |
| Western         | 1        | 0       | 0.0           | 3           | 0        | 0.0  | 12       | 0     | 0.0   | 21    | 0                 | 0.0         |
| EIGHTH CIRCUIT  | 239      | 88      | 36.8          | 33          | 9        | 27.3 | 134      | 27    | 20.1  | 175   | 47                | 26.9        |
| Arkansas        |          |         |               |             |          |      |          |       |       |       |                   |             |
| Eastern         | 2        | 0       | 0.0           | 0           | 0        | 0.0  | 0        | 0     | 0.0   | 4     | 1                 | 25.0        |
| Western         | 1        | 0       | 0.0           | 0           | 0        | 0.0  | 1        | 0     | 0.0   | 0     | 0                 | 0.0         |
| Iowa            |          |         |               |             |          |      |          |       |       |       |                   |             |
| Northern        | 1        | 0       | 0.0           | 0           | 0        | 0.0  | 18       | 8     | 44.4  | 5     | 0                 | 0.0         |
| Southern        | 10       | 2       | 20.0          | 0           | 0        | 0.0  | 1        | 1     | 100.0 | 5     | 3                 | 60.0        |
| Minnesota       | 10       | 2       | 20.0          | 6           | 2        | 33.3 | 5        | 2     | 40.0  | 23    | 4                 | 17.4        |
| Missouri        |          |         |               |             |          |      |          |       |       |       |                   |             |
| Eastern         | 170      | 73      | 42.9          | 25          | 7        | 28.0 | 99       | 16    | 16.2  | 115   | 32                | 27.8        |
| Western         | 14       | 7       | 50.0          | 0           | 0        | 0.0  | 3        | 0     | 0.0   | 13    | 7                 | 53.8        |
| Nebraska        | 27       | 3       | 11.1          | 2           | 0        | 0.0  | 5        | 0     | 0.0   | 8     | 0                 | 0.0         |
| North Dakota    | 4        | 1       | 25.0          | 0           | 0        | 0.0  | 0        | 0     | 0.0   | 1     | 0                 | 0.0         |
| South Dakota    | 0        | 0       | 0.0           | 0           | 0        | 0.0  | 2        | 0     | 0.0   | 1     | 0                 | 0.0         |

Rate of Government Sponsored Sentences by Circuit and District (cont.)

| Koon                     |              |          |      | PROT    |          | oker       |          |         | Fall  |          |         |       |
|--------------------------|--------------|----------|------|---------|----------|------------|----------|---------|-------|----------|---------|-------|
|                          |              | eriod    |      |         | eriod    |            |          | riod    |       |          | eriod   |       |
| CIRCUIT                  | (6/13/96     | - 04/30/ | (03) | (5/1/03 | - 6/24/0 | <u>)4)</u> | (1/12/05 | - 12/10 | /07)  | (12/11/0 | 7-9/30/ | 11)   |
| District                 | Total        | N        | %    | Total   | N        | %          | Total    | N       | %     | Total    | N       | %     |
| NINTH CIRCUIT            | 1,077        | 254      | 23.6 | 106     | 40       | 37.7       | 284      | 98      | 34.5  | 677      | 313     | 46.2  |
| Alaska                   | 7            | 2        | 28.6 | 1       | 1        | 100.0      | 0        | 0       | 0.0   | 23       | 12      | 52.2  |
| Arizona                  | 139          | 22       | 15.8 | 9       | 3        | 33.3       | 58       | 31      | 53.4  | 83       | 54      | 65.1  |
| California               |              |          |      |         |          |            |          |         |       |          |         |       |
| Central                  | 92           | 15       | 16.3 | 7       | 4        | 57.1       | 14       | 1       | 7.1   | 121      | 30      | 24.8  |
| Eastern                  | 73           | 11       | 15.1 | 5       | 3        | 60.0       | 38       | 4       | 10.5  | 35       | 14      | 40.0  |
| Northern                 | 109          | 25       | 22.9 | 13      | 2        | 15.4       | 25       | 11      | 44.0  | 43       | 18      | 41.9  |
| Southern                 | 230          | 71       | 30.9 | 13      | 9        | 69.2       | 38       | 20      | 52.6  | 159      | 109     | 68.6  |
| Guam                     | 2            | 0        | 0.0  | 1       | 1        | 100.0      | 0        | 0       | 0.0   | 0        | 0       | 0.0   |
| Hawaii                   | 127          | 42       | 33.1 | 15      | 9        | 60.0       | 9        | 6       | 66.7  | 16       | 9       | 56.3  |
| Idaho                    | 3            | 2        | 66.7 | 0       | 0        | 0.0        | 0        | 0       | 0.0   | 0        | 0       | 0.0   |
| Montana                  | 11           | 3        | 27.3 | 2       | 1        | 50.0       | 0        | 0       | 0.0   | 8        | 1       | 12.5  |
| Nevada                   | 24           | 4        | 16.7 | 3       | 2        | 66.7       | 5        | 0       | 0.0   | 29       | 5       | 17.2  |
| Northern Mariana Islands | 1            | 0        | 0.0  | 0       | 0        | 0.0        | 0        | 0       | 0.0   | 0        | 0       | 0.0   |
| Oregon                   | 106          | 16       | 15.1 | 15      | 1        | 6.7        | 21       | 6       | 28.6  | 67       | 31      | 46.3  |
| Washington               |              |          |      |         |          |            |          |         |       |          |         |       |
| Eastern                  | 27           | 3        | 11.1 | 6       | 2        | 33.3       | 3        | 0       | 0.0   | 27       | 8       | 29.6  |
| Western                  | 126          | 38       | 30.2 | 16      | 2        | 12.5       | 73       | 19      | 26.0  | 66       | 22      | 33.3  |
| TENTH CIRCUIT            | 301          | 54       | 17.9 | 57      | 12       | 21.1       | 150      | 50      | 33.3  | 258      | 58      | 22.5  |
| Colorado                 | 108          | 27       | 25.0 | 15      | 7        | 46.7       | 26       | 18      | 69.2  | 12       | 4       | 33.3  |
| Kansas                   | 18           | 1        | 5.6  | 10      | 1        | 10.0       | 7        | 3       | 42.9  | 24       | 10      | 41.7  |
| New Mexico               | 138          | 19       | 13.8 | 25      | 4        | 16.0       | 80       | 17      | 21.3  | 112      | 27      | 24.1  |
| Oklahoma                 |              |          |      |         |          |            |          |         |       |          |         |       |
| Eastern                  | 1            | 0        | 0.0  | 0       | 0        | 0.0        | 1        | 0       | 0.0   | 0        | 0       | 0.0   |
| Northern                 | 7            | 3        | 42.9 | 0       | 0        | 0.0        | 6        | 3       | 50.0  | 8        | 5       | 62.5  |
| Western                  | 15           | 3        | 20.0 | 2       | 0        | 0.0        | 2        | 1       | 50.0  | 17       | 1       | 5.9   |
| Utah                     | 12           | 0        | 0.0  | 5       | 0        | 0.0        | 25       | 8       | 32.0  | 76       | 8       | 10.5  |
| Wyoming                  | 2            | 1        | 50.0 | 0       | 0        | 0.0        | 3        | 0       | 0.0   | 9        | 3       | 33.3  |
| ELEVENTH CIRCUIT         | 1,716        | 200      | 11.7 | 247     | 31       | 12.6       | 417      | 91      | 21.8  | 373      | 45      | 12.1  |
| Alabama                  |              |          |      |         |          |            |          |         |       |          |         |       |
| Middle                   | 0            | 0        | 0.0  | 0       | 0        | 0.0        | 0        | 0       | 0.0   | 1        | 0       | 0.0   |
| Northern                 | 6            | 5        | 83.3 | 2       | 2        | 100.0      | 1        | 1       | 100.0 | 6        | 2       | 33.3  |
| Southern                 | 1            | 0        | 0.0  | 0       | 0        | 0.0        | 1        | 1       | 100.0 | 0        | 0       | 0.0   |
| Florida                  |              |          |      |         |          |            |          |         |       |          |         |       |
| Middle                   | 268          | 105      | 39.2 | 22      | 4        | 18.2       | 82       | 28      | 34.1  | 52       | 8       | 15.4  |
| Northern                 | 9            | 3        | 33.3 | 0       | 0        | 0.0        | 1        | 0       | 0.0   | 0        | 0       | 0.0   |
| Southern                 | 1,327        | 64       | 4.8  | 207     | 24       | 11.6       | 293      | 56      | 19.1  | 272      | 27      | 9.9   |
| Georgia                  | <b>7</b> - · |          |      |         |          |            |          |         |       |          |         |       |
| Middle                   | 5            | 0        | 0.0  | 0       | 0        | 0.0        | 0        | 0       | 0.0   | 1        | 1       | 100.0 |
| Northern                 | 85           | 19       | 22.4 | 15      | 1        | 6.7        | 38       | 5       | 13.2  | 34       | 7       | 20.6  |
| Southern                 | 15           | 4        | 26.7 | 1       | 0        | 0.0        | 1        | 0       | 0.0   | 7        | 0       | 0.0   |
| ~ ~ ********             | 13           | •        | -0.7 |         | 0        | 5.0        |          | J       | 5.0   | ,        | Ü       | 0.0   |

Cases missing information necessary to determine sentence position relative to the guideline range were excluded from the analysis.

# Rate of §5K1.1 Substantial Assistance Sentences by Circuit and District Heroin Trafficking Offenses \*Koon Period through Gall Period\*

|                      | Koon Period (6/13/96 - 04/30/03) |            |      | PROTECT Act Period (5/1/03 - 6/24/04) |          |      |          | <i>ooker</i><br>eriod |      |         | <i>Gall</i><br>eriod |      |
|----------------------|----------------------------------|------------|------|---------------------------------------|----------|------|----------|-----------------------|------|---------|----------------------|------|
| CIRCUIT              | (6/13/90                         | 6 - 04/30/ | (03) | (5/1/03                               | - 6/24/0 | 4)   | (1/12/05 | 5 - 12/10/            | (07) | (12/11/ | 07-9/30/             | 11)  |
| District             | Total                            | N          | %    | Total                                 | N        | %    | Total    | N                     | %    | Total   | N                    | %    |
| TOTAL                | 10,876                           | 2,600      | 23.9 | 1,779                                 | 383      | 21.5 | 4,191    | 1,058                 | 25.2 | 5,956   | 1,485                | 24.9 |
| D.C. CIRCUIT         | 107                              | 43         | 40.2 | 14                                    | 5        | 35.7 | 39       | 19                    | 48.7 | 66      | 15                   | 22.7 |
| District of Columbia | 107                              | 43         | 40.2 | 14                                    | 5        | 35.7 | 39       | 19                    | 48.7 | 66      | 15                   | 22.7 |
| FIRST CIRCUIT        | 811                              | 152        | 18.7 | 160                                   | 18       | 11.3 | 271      | 42                    | 15.5 | 334     | 46                   | 13.8 |
| Maine                | 18                               | 7          | 38.9 | 6                                     | 3        | 50.0 | 8        | 2                     | 25.0 | 20      | 16                   | 80.0 |
| Massachusetts        | 295                              | 95         | 32.2 | 33                                    | 3        | 9.1  | 102      | 16                    | 15.7 | 77      | 5                    | 6.5  |
| New Hampshire        | 8                                | 3          | 37.5 | 3                                     | 1        | 33.3 | 17       | 5                     | 29.4 | 9       | 0                    | 0.0  |
| Puerto Rico          | 438                              | 43         | 9.8  | 108                                   | 11       | 10.2 | 131      | 16                    | 12.2 | 173     | 17                   | 9.8  |
| Rhode Island         | 52                               | 4          | 7.7  | 10                                    | 0        | 0.0  | 13       | 3                     | 23.1 | 55      | 8                    | 14.5 |
| SECOND CIRCUIT       | 2,973                            | 679        | 22.8 | 525                                   | 78       | 14.9 | 1,157    | 265                   | 22.9 | 1,268   | 356                  | 28.1 |
| Connecticut          | 107                              | 28         | 26.2 | 20                                    | 3        | 15.0 | 67       | 21                    | 31.3 | 147     | 35                   | 23.8 |
| New York             |                                  |            |      |                                       |          |      |          |                       |      |         |                      |      |
| Eastern              | 1,706                            | 347        | 20.3 | 305                                   | 31       | 10.2 | 497      | 114                   | 22.9 | 564     | 161                  | 28.5 |
| Northern             | 76                               | 34         | 44.7 | 5                                     | 0        | 0.0  | 21       | 6                     | 28.6 | 22      | 6                    | 27.3 |
| Southern             | 876                              | 202        | 23.1 | 144                                   | 23       | 16.0 | 498      | 102                   | 20.5 | 461     | 104                  | 22.6 |
| Western              | 121                              | 48         | 39.7 | 14                                    | 7        | 50.0 | 44       | 11                    | 25.0 | 66      | 49                   | 74.2 |
| Vermont              | 87                               | 20         | 23.0 | 37                                    | 14       | 37.8 | 30       | 11                    | 36.7 | 8       | 1                    | 12.5 |
| THIRD CIRCUIT        | 1,178                            | 463        | 39.3 | 241                                   | 104      | 43.2 | 568      | 230                   | 40.5 | 579     | 211                  | 36.4 |
| Delaware             | 7                                | 4          | 57.1 | 3                                     | 1        | 33.3 | 19       | 4                     | 21.1 | 7       | 1                    | 14.3 |
| New Jersey           | 750                              | 234        | 31.2 | 98                                    | 34       | 34.7 | 284      | 122                   | 43.0 | 235     | 80                   | 34.0 |
| Pennsylvania         |                                  |            |      |                                       |          |      |          |                       |      |         |                      |      |
| Eastern              | 289                              | 165        | 57.1 | 66                                    | 40       | 60.6 | 85       | 48                    | 56.5 | 139     | 57                   | 41.0 |
| Middle               | 82                               | 47         | 57.3 | 35                                    | 24       | 68.6 | 97       | 47                    | 48.5 | 122     | 53                   | 43.4 |
| Western              | 40                               | 7          | 17.5 | 25                                    | 3        | 12.0 | 78       | 8                     | 10.3 | 74      | 20                   | 27.0 |
| Virgin Islands       | 10                               | 6          | 60.0 | 14                                    | 2        | 14.3 | 5        | 1                     | 20.0 | 2       | 0                    | 0.0  |
| FOURTH CIRCUIT       | 699                              | 201        | 28.8 | 135                                   | 27       | 20.0 | 255      | 44                    | 17.3 | 665     | 139                  | 20.9 |
| Maryland             | 177                              | 69         | 39.0 | 18                                    | 5        | 27.8 | 98       | 32                    | 32.7 | 198     | 63                   | 31.8 |
| North Carolina       |                                  |            |      |                                       |          |      |          |                       |      |         |                      |      |
| Eastern              | 20                               | 10         | 50.0 | 1                                     | 0        | 0.0  | 4        | 1                     | 25.0 | 32      | 11                   | 34.4 |
| Middle               | 8                                | 3          | 37.5 | 0                                     | 0        | 0.0  | 0        | 0                     | 0.0  | 6       | 2                    | 33.3 |
| Western              | 55                               | 29         | 52.7 | 3                                     | 0        | 0.0  | 10       | 3                     | 30.0 | 51      | 15                   | 29.4 |
| South Carolina       | 141                              | 49         | 34.8 | 4                                     | 0        | 0.0  | 29       | 4                     | 13.8 | 58      | 11                   | 19.0 |
| Virginia             |                                  |            |      |                                       |          |      |          |                       |      |         |                      |      |
| Eastern              | 226                              | 20         | 8.8  | 53                                    | 5        | 9.4  | 63       | 0                     | 0.0  | 164     | 9                    | 5.5  |
| Western              | 30                               | 18         | 60.0 | 19                                    | 12       | 63.2 | 15       | 4                     | 26.7 | 47      | 19                   | 40.4 |
| West Virginia        |                                  |            |      |                                       |          |      |          |                       |      |         |                      |      |
| Northern             | 17                               | 1          | 5.9  | 37                                    | 5        | 13.5 | 36       | 0                     | 0.0  | 64      | 7                    | 10.9 |
| Southern             | 25                               | 2          | 8.0  | 0                                     | 0        | 0.0  | 0        | 0                     | 0.0  | 45      | 2                    | 4.4  |

Rate of §5K1.1 Substantial Assistance Sentences by Circuit and District (cont.)

|                 | Koon<br>Period |     |  | PROTECT Act Period |    |              | <i>oker</i><br>criod |     |                 | <i>Gall</i><br>eriod |           |       |
|-----------------|----------------|-----|--|--------------------|----|--------------|----------------------|-----|-----------------|----------------------|-----------|-------|
| CIRCUIT         | (6/13/96       |     | /03)   | (5/1/03            |    | (4)          | (1/12/05             |     | <del>/07)</del> |                      | 07-9/30/1 | 11)   |
| District        | Total          | N   | <del>/////////////////////////////////////</del> | Total              | N  | <del>%</del> | Total                | N   | <del>%</del>    | Total                | N         | %     |
| FIFTH CIRCUIT   | 1,030          | 189 | 18.3   | 162                | 30 | 18.5         | 380                  | 73  | 19.2            | 612                  | 121       | 19.8  |
| Louisiana       | ,              |     |  |                    |    |              |                      |     |                 |                      |           |       |
| Eastern         | 108            | 10  | 9.3  | 42                 | 2  | 4.8          | 53                   | 7   | 13.2            | 68                   | 9         | 13.2  |
| Middle          | 0              | 0   | 0.0  | 1                  | 0  | 0.0          | 0                    | 0   | 0.0             | 0                    | 0         | 0.0   |
| Western         | 3              | 0   | 0.0  | 0                  | 0  | 0.0          | 4                    | 0   | 0.0             | 2                    | 1         | 50.0  |
| Mississippi     |                |     |  |                    |    |              |                      |     |                 |                      |           |       |
| Northern        | 0              | 0   | 0.0  | 0                  | 0  | 0.0          | 0                    | 0   | 0.0             | 0                    | 0         | 0.0   |
| Southern        | 1              | 1   | 100.0  | 0                  | 0  | 0.0          | 3                    | 0   | 0.0             | 4                    | 1         | 25.0  |
| Texas           |                |     |  |                    |    |              |                      |     |                 |                      |           |       |
| Eastern         | 62             | 17  | 27.4   | 5                  | 0  | 0.0          | 26                   | 3   | 11.5            | 67                   | 11        | 16.4  |
| Northern        | 121            | 13  | 10.7   | 7                  | 4  | 57.1         | 14                   | 2   | 14.3            | 33                   | 9         | 27.3  |
| Southern        | 393            | 83  | 21.1   | 57                 | 17 | 29.8         | 112                  | 22  | 19.6            | 161                  | 37        | 23.0  |
| Western         | 342            | 65  | 19.0   | 50                 | 7  | 14.0         | 168                  | 39  | 23.2            | 277                  | 53        | 19.1  |
| Western         | 312            | 05  | 17.0   | 20                 | ,  | 11.0         | 100                  | 37  | 23.2            | 277                  | 55        | 17.1  |
| SIXTH CIRCUIT   | 381            | 162 | 42.5   | 52                 | 23 | 44.2         | 319                  | 136 | 42.6            | 491                  | 185       | 37.7  |
| Kentucky        |                |     |  |                    |    |              |                      |     |                 |                      |           |       |
| Eastern         | 18             | 9   | 50.0   | 2                  | 1  | 50.0         | 27                   | 16  | 59.3            | 37                   | 23        | 62.2  |
| Western         | 6              | 2   | 33.3   | 1                  | 0  | 0.0          | 4                    | 1   | 25.0            | 6                    | 4         | 66.7  |
| Michigan        |                |     |  |                    |    |              |                      |     |                 |                      |           |       |
| Eastern         | 190            | 86  | 45.3   | 22                 | 12 | 54.5         | 36                   | 17  | 47.2            | 80                   | 18        | 22.5  |
| Western         | 6              | 2   | 33.3   | 6                  | 1  | 16.7         | 36                   | 9   | 25.0            | 31                   | 3         | 9.7   |
| Ohio            | Ţ              | _   |  | _                  | _  |              |                      |     |                 |                      |           | , , , |
| Northern        | 49             | 9   | 18.4   | 12                 | 8  | 66.7         | 119                  | 35  | 29.4            | 105                  | 33        | 31.4  |
| Southern        | 56             | 28  | 50.0   | 8                  | 1  | 12.5         | 67                   | 40  | 59.7            | 152                  | 68        | 44.7  |
| Tennessee       |                |     | 0.0  |                    | •  | 12.0         | 0,                   |     | .,,             | 102                  |           |       |
| Eastern         | 15             | 5   | 33.3   | 1                  | 0  | 0.0          | 18                   | 13  | 72.2            | 43                   | 25        | 58.1  |
| Middle          | 14             | 3   | 21.4   | 0                  | 0  | 0.0          | 2                    | 0   | 0.0             | 21                   | 6         | 28.6  |
| Western         | 27             | 18  | 66.7   | 0                  | 0  | 0.0          | 10                   | 5   | 50.0            | 16                   | 5         | 31.3  |
| Western         | 21             | 10  | 00.7   | v                  | v  | 0.0          | 10                   |     | 30.0            | 10                   | J         | 51.5  |
| SEVENTH CIRCUIT | 364            | 126 | 34.6   | 47                 | 17 | 36.2         | 217                  | 44  | 20.3            | 458                  | 152       | 33.2  |
| Illinois        |                |     |  |                    |    |              |                      |     |                 |                      |           |       |
| Central         | 22             | 9   | 40.9   | 0                  | 0  | 0.0          | 8                    | 0   | 0.0             | 18                   | 8         | 44.4  |
| Northern        | 235            | 89  | 37.9   | 40                 | 17 | 42.5         | 119                  | 28  | 23.5            | 211                  | 60        | 28.4  |
| Southern        | 9              | 2   | 22.2   | 1                  | 0  | 0.0          | 6                    | 2   | 33.3            | 25                   | 2         | 8.0   |
| Indiana         |                |     |  |                    |    |              |                      |     |                 |                      |           |       |
| Northern        | 19             | 3   | 15.8   | 0                  | 0  | 0.0          | 12                   | 3   | 25.0            | 36                   | 19        | 52.8  |
| Southern        | 25             | 13  | 52.0   | 0                  | 0  | 0.0          | 18                   | 7   | 38.9            | 12                   | 4         | 33.3  |
| Wisconsin       |                |     |  |                    |    |              |                      |     |                 |                      |           |       |
| Eastern         | 53             | 10  | 18.9   | 3                  | 0  | 0.0          | 42                   | 4   | 9.5             | 135                  | 59        | 43.7  |
| Western         | 1              | 0   | 0.0  | 3                  | 0  | 0.0          | 12                   | 0   | 0.0             | 21                   | 0         | 0.0   |
| EIGHTH CIRCUIT  | 239            | 84  | 35.1   | 33                 | 9  | 27.3         | 134                  | 24  | 17.9            | 175                  | 39        | 22.3  |
| Arkansas        | 23)            | 04  | 33.1   | 33                 |    | 27.5         | 134                  | 24  | 17.5            | 175                  | 3)        | 22.5  |
| Eastern         | 2              | 0   | 0.0  | 0                  | 0  | 0.0          | 0                    | 0   | 0.0             | 4                    | 1         | 25.0  |
| Western         | 1              | 0   | 0.0  | 0                  | 0  | 0.0          | 1                    | 0   | 0.0             | 0                    | 0         | 0.0   |
| Iowa            | -              | Ü   | 0.0  | Ü                  | Ü  | 0.0          | -                    |     | 0.0             | v                    | · ·       | 0.0   |
| Northern        | 1              | 0   | 0.0  | 0                  | 0  | 0.0          | 18                   | 8   | 44.4            | 5                    | 0         | 0.0   |
| Southern        | 10             | 2   | 20.0   | 0                  | 0  | 0.0          | 1                    | 1   | 100.0           | 5                    | 2         | 40.0  |
| Minnesota       | 10             | 2   | 20.0   | 6                  | 2  | 33.3         | 5                    | 1   | 20.0            | 23                   | 3         | 13.0  |
| Missouri        | 10             | _   | 20.0   | O                  | _  | 55.5         | 3                    | 1   | 20.0            | 23                   | 5         | 15.0  |
| Eastern         | 170            | 69  | 40.6   | 25                 | 7  | 28.0         | 99                   | 14  | 14.1            | 115                  | 27        | 23.5  |
| Western         | 14             | 7   | 50.0   | 0                  | 0  | 0.0          | 3                    | 0   | 0.0             | 13                   | 6         | 46.2  |
| Nebraska        | 27             | 3   | 11.1   | 2                  | 0  | 0.0          | 5                    | 0   | 0.0             | 8                    | 0         | 0.0   |
| North Dakota    | 4              | 1   | 25.0   | 0                  | 0  | 0.0          | 0                    | 0   | 0.0             | 1                    | 0         | 0.0   |
| South Dakota    | 0              | 0   | 0.0  | 0                  | 0  | 0.0          | 2                    | 0   | 0.0             | 1                    | 0         | 0.0   |
| South Dunotti   | U              | U   | 0.0  | U                  | U  | 0.0          | 4                    | U   | 0.0             | 1                    | U         | 0.0   |

Rate of §5K1.1 Substantial Assistance Sentences by Circuit and District (cont.)

|                          | Koon     |          |      | PROTECT Act |          | Booker |          |         | (     | fall     |         |       |
|--------------------------|----------|----------|------|-------------|----------|--------|----------|---------|-------|----------|---------|-------|
|                          | P        | eriod    |      | Pe          | eriod    |        | Pe       | riod    |       | Pe       | eriod   |       |
| CIRCUIT                  | (6/13/96 | - 04/30/ | (03) | (5/1/03     | - 6/24/( | )4)    | (1/12/05 | - 12/10 | /07)  | (12/11/0 | 7-9/30/ | 11)   |
| District                 | Total    | N        | %    | Total       | N        | %      | Total    | N       | %     | Total    | N       | %     |
| NINTH CIRCUIT            | 1,077    | 247      | 22.9 | 106         | 29       | 27.4   | 284      | 51      | 18.0  | 677      | 145     | 21.4  |
| Alaska                   | 7        | 2        | 28.6 | 1           | 1        | 100.0  | 0        | 0       | 0.0   | 23       | 11      | 47.8  |
| Arizona                  | 139      | 18       | 12.9 | 9           | 3        | 33.3   | 58       | 5       | 8.6   | 83       | 10      | 12.0  |
| California               |          |          |      |             |          |        |          |         |       |          |         |       |
| Central                  | 92       | 15       | 16.3 | 7           | 4        | 57.1   | 14       | 1       | 7.1   | 121      | 21      | 17.4  |
| Eastern                  | 73       | 10       | 13.7 | 5           | 3        | 60.0   | 38       | 4       | 10.5  | 35       | 13      | 37.1  |
| Northern                 | 109      | 25       | 22.9 | 13          | 2        | 15.4   | 25       | 10      | 40.0  | 43       | 8       | 18.6  |
| Southern                 | 230      | 71       | 30.9 | 13          | 0        | 0.0    | 38       | 8       | 21.1  | 159      | 36      | 22.6  |
| Guam                     | 2        | 0        | 0.0  | 1           | 1        | 100.0  | 0        | 0       | 0.0   | 0        | 0       | 0.0   |
| Hawaii                   | 127      | 42       | 33.1 | 15          | 9        | 60.0   | 9        | 6       | 66.7  | 16       | 9       | 56.3  |
| Idaho                    | 3        | 2        | 66.7 | 0           | 0        | 0.0    | 0        | 0       | 0.0   | 0        | 0       | 0.0   |
| Montana                  | 11       | 3        | 27.3 | 2           | 1        | 50.0   | 0        | 0       | 0.0   | 8        | 1       | 12.5  |
| Nevada                   | 24       | 4        | 16.7 | 3           | 0        | 0.0    | 5        | 0       | 0.0   | 29       | 4       | 13.8  |
| Northern Mariana Islands | 1        | 0        | 0.0  | 0           | 0        | 0.0    | 0        | 0       | 0.0   | 0        | 0       | 0.0   |
| Oregon                   | 106      | 14       | 13.2 | 15          | 1        | 6.7    | 21       | 6       | 28.6  | 67       | 20      | 29.9  |
| Washington               |          |          |      |             |          |        |          |         |       |          |         |       |
| Eastern                  | 27       | 3        | 11.1 | 6           | 2        | 33.3   | 3        | 0       | 0.0   | 27       | 4       | 14.8  |
| Western                  | 126      | 38       | 30.2 | 16          | 2        | 12.5   | 73       | 11      | 15.1  | 66       | 8       | 12.1  |
| TENTH CIRCUIT            | 301      | 54       | 17.9 | 57          | 12       | 21.1   | 150      | 43      | 28.7  | 258      | 38      | 14.7  |
| Colorado                 | 108      | 27       | 25.0 | 15          | 7        | 46.7   | 26       | 18      | 69.2  | 12       | 4       | 33.3  |
| Kansas                   | 18       | 1        | 5.6  | 10          | 1        | 10.0   | 7        | 3       | 42.9  | 24       | 8       | 33.3  |
| New Mexico               | 138      | 19       | 13.8 | 25          | 4        | 16.0   | 80       | 10      | 12.5  | 112      | 14      | 12.5  |
| Oklahoma                 |          |          |      |             |          |        |          |         |       |          |         |       |
| Eastern                  | 1        | 0        | 0.0  | 0           | 0        | 0.0    | 1        | 0       | 0.0   | 0        | 0       | 0.0   |
| Northern                 | 7        | 3        | 42.9 | 0           | 0        | 0.0    | 6        | 3       | 50.0  | 8        | 5       | 62.5  |
| Western                  | 15       | 3        | 20.0 | 2           | 0        | 0.0    | 2        | 1       | 50.0  | 17       | 1       | 5.9   |
| Utah                     | 12       | 0        | 0.0  | 5           | 0        | 0.0    | 25       | 8       | 32.0  | 76       | 4       | 5.3   |
| Wyoming                  | 2        | 1        | 50.0 | 0           | 0        | 0.0    | 3        | 0       | 0.0   | 9        | 2       | 22.2  |
| ELEVENTH CIRCUIT         | 1,716    | 200      | 11.7 | 247         | 31       | 12.6   | 417      | 87      | 20.9  | 373      | 38      | 10.2  |
| Alabama                  |          |          |      |             |          |        |          |         |       |          |         |       |
| Middle                   | 0        | 0        | 0.0  | 0           | 0        | 0.0    | 0        | 0       | 0.0   | 1        | 0       | 0.0   |
| Northern                 | 6        | 5        | 83.3 | 2           | 2        | 100.0  | 1        | 1       | 100.0 | 6        | 2       | 33.3  |
| Southern                 | 1        | 0        | 0.0  | 0           | 0        | 0.0    | 1        | 1       | 100.0 | 0        | 0       | 0.0   |
| Florida                  |          |          |      |             |          |        |          |         |       |          |         |       |
| Middle                   | 268      | 105      | 39.2 | 22          | 4        | 18.2   | 82       | 28      | 34.1  | 52       | 8       | 15.4  |
| Northern                 | 9        | 3        | 33.3 | 0           | 0        | 0.0    | 1        | 0       | 0.0   | 0        | 0       | 0.0   |
| Southern                 | 1,327    | 64       | 4.8  | 207         | 24       | 11.6   | 293      | 54      | 18.4  | 272      | 22      | 8.1   |
| Georgia                  |          |          |      |             |          |        |          |         |       |          |         |       |
| Middle                   | 5        | 0        | 0.0  | 0           | 0        | 0.0    | 0        | 0       | 0.0   | 1        | 1       | 100.0 |
| Northern                 | 85       | 19       | 22.4 | 15          | 1        | 6.7    | 38       | 3       | 7.9   | 34       | 5       | 14.7  |
| Southern                 | 15       | 4        | 26.7 | 1           | 0        | 0.0    | 1        | 0       | 0.0   | 7        | 0       | 0.0   |

Cases missing information necessary to determine sentence position relative to the guideline range were excluded from the analysis.

# Rate of §5K3.1 Early Disposition Program Sentences by Circuit and District Heroin Trafficking Offenses \*Koon Period through \*Gall Period\*\*

|                      | Koon<br>Period |     |     | PROTECT Act Period |   |     |          | <i>oker</i><br>riod |     |       | <i>Gall</i><br>eriod |     |
|----------------------|----------------|-----|-----|--------------------|---|-----|----------|---------------------|-----|-------|----------------------|-----|
| CIRCUIT              | (6/13/96       |     | 03) | (5/1/03 -          |   | 4)  | (1/12/05 |                     | 07) |       | 7-9/30/1             | 1)  |
| District             | Total          | N   | %   | Total              | N | %   | Total    | N                   | %   | Total | N                    | %   |
| TOTAL                | 10,876         | n/a | n/a | 1,779              | 1 | 0.1 | 4,191    | 38                  | 0.9 | 5,956 | 117                  | 2.0 |
| D.C. CIRCUIT         | 107            | n/a | n/a | 14                 | 0 | 0.0 | 39       | 0                   | 0.0 | 66    | 0                    | 0.0 |
| District of Columbia | 107            | n/a | n/a | 14                 | 0 | 0.0 | 39       | 0                   | 0.0 | 66    | 0                    | 0.0 |
| FIRST CIRCUIT        | 811            | n/a | n/a | 160                | 0 | 0.0 | 271      | 0                   | 0.0 | 334   | 0                    | 0.0 |
| Maine                | 18             | n/a | n/a | 6                  | 0 | 0.0 | 8        | 0                   | 0.0 | 20    | 0                    | 0.0 |
| Massachusetts        | 295            | n/a | n/a | 33                 | 0 | 0.0 | 102      | 0                   | 0.0 | 77    | 0                    | 0.0 |
| New Hampshire        | 8              | n/a | n/a | 3                  | 0 | 0.0 | 17       | 0                   | 0.0 | 9     | 0                    | 0.0 |
| Puerto Rico          | 438            | n/a | n/a | 108                | 0 | 0.0 | 131      | 0                   | 0.0 | 173   | 0                    | 0.0 |
| Rhode Island         | 52             | n/a | n/a | 10                 | 0 | 0.0 | 13       | 0                   | 0.0 | 55    | 0                    | 0.0 |
| SECOND CIRCUIT       | 2,973          | n/a | n/a | 525                | 0 | 0.0 | 1,157    | 0                   | 0.0 | 1,268 | 1                    | 0.1 |
| Connecticut          | 107            | n/a | n/a | 20                 | 0 | 0.0 | 67       | 0                   | 0.0 | 147   | 0                    | 0.0 |
| New York             |                |     |     |                    |   |     |          |                     |     |       |                      |     |
| Eastern              | 1,706          | n/a | n/a | 305                | 0 | 0.0 | 497      | 0                   | 0.0 | 564   | 1                    | 0.2 |
| Northern             | 76             | n/a | n/a | 5                  | 0 | 0.0 | 21       | 0                   | 0.0 | 22    | 0                    | 0.0 |
| Southern             | 876            | n/a | n/a | 144                | 0 | 0.0 | 498      | 0                   | 0.0 | 461   | 0                    | 0.0 |
| Western              | 121            | n/a | n/a | 14                 | 0 | 0.0 | 44       | 0                   | 0.0 | 66    | 0                    | 0.0 |
| Vermont              | 87             | n/a | n/a | 37                 | 0 | 0.0 | 30       | 0                   | 0.0 | 8     | 0                    | 0.0 |
| THIRD CIRCUIT        | 1,178          | n/a | n/a | 241                | 0 | 0.0 | 568      | 0                   | 0.0 | 579   | 0                    | 0.0 |
| Delaware             | 7              | n/a | n/a | 3                  | 0 | 0.0 | 19       | 0                   | 0.0 | 7     | 0                    | 0.0 |
| New Jersey           | 750            | n/a | n/a | 98                 | 0 | 0.0 | 284      | 0                   | 0.0 | 235   | 0                    | 0.0 |
| Pennsylvania         |                |     |     |                    |   |     |          |                     |     |       |                      |     |
| Eastern              | 289            | n/a | n/a | 66                 | 0 | 0.0 | 85       | 0                   | 0.0 | 139   | 0                    | 0.0 |
| Middle               | 82             | n/a | n/a | 35                 | 0 | 0.0 | 97       | 0                   | 0.0 | 122   | 0                    | 0.0 |
| Western              | 40             | n/a | n/a | 25                 | 0 | 0.0 | 78       | 0                   | 0.0 | 74    | 0                    | 0.0 |
| Virgin Islands       | 10             | n/a | n/a | 14                 | 0 | 0.0 | 5        | 0                   | 0.0 | 2     | 0                    | 0.0 |
| FOURTH CIRCUIT       | 699            | n/a | n/a | 135                | 0 | 0.0 | 255      | 0                   | 0.0 | 665   | 0                    | 0.0 |
| Maryland             | 177            | n/a | n/a | 18                 | 0 | 0.0 | 98       | 0                   | 0.0 | 198   | 0                    | 0.0 |
| North Carolina       |                |     |     |                    |   |     |          |                     |     |       |                      |     |
| Eastern              | 20             | n/a | n/a | 1                  | 0 | 0.0 | 4        | 0                   | 0.0 | 32    | 0                    | 0.0 |
| Middle               | 8              | n/a | n/a | 0                  | 0 | 0.0 | 0        | 0                   | 0.0 | 6     | 0                    | 0.0 |
| Western              | 55             | n/a | n/a | 3                  | 0 | 0.0 | 10       | 0                   | 0.0 | 51    | 0                    | 0.0 |
| South Carolina       | 141            | n/a | n/a | 4                  | 0 | 0.0 | 29       | 0                   | 0.0 | 58    | 0                    | 0.0 |
| Virginia             |                |     |     |                    |   |     |          |                     |     |       |                      |     |
| Eastern              | 226            | n/a | n/a | 53                 | 0 | 0.0 | 63       | 0                   | 0.0 | 164   | 0                    | 0.0 |
| Western              | 30             | n/a | n/a | 19                 | 0 | 0.0 | 15       | 0                   | 0.0 | 47    | 0                    | 0.0 |
| West Virginia        |                |     |     |                    |   |     |          |                     |     |       |                      |     |
| Northern             | 17             | n/a | n/a | 37                 | 0 | 0.0 | 36       | 0                   | 0.0 | 64    | 0                    | 0.0 |
| Southern             | 25             | n/a | n/a | 0                  | 0 | 0.0 | 0        | 0                   | 0.0 | 45    | 0                    | 0.0 |

Rate of §5K3.1 Early Disposition Program Sentences by Circuit and District (cont.)

|                 | Koon                        |          |       | PROTECT Act |           |     | Boo      | oker     |     | G        | all      |     |
|-----------------|-----------------------------|----------|-------|-------------|-----------|-----|----------|----------|-----|----------|----------|-----|
|                 | Period (6/13/96 - 04/30/03) |          |       | Pe          | riod      |     | Pe       | riod     |     | Pe       | riod     |     |
| CIRCUIT         | (6/13/96                    | - 04/30/ | 03)   | (5/1/03 -   | - 6/24/04 | 4)  | (1/12/05 | - 12/10/ | 07) | (12/11/0 | 7-9/30/1 | 1)  |
| District        | Total                       | N        | %     | Total       | N         | %   | Total    | N        | %   | Total    | N        | %   |
| FIFTH CIRCUIT   | 1,030                       | n/a      | n/a   | 162         | 0         | 0.0 | 380      | 0        | 0.0 | 612      | 1        | 0.2 |
| Louisiana       |                             |          |       |             |           |     |          |          |     |          |          |     |
| Eastern         | 108                         | n/a      | n/a   | 42          | 0         | 0.0 | 53       | 0        | 0.0 | 68       | 0        | 0.0 |
| Middle          | 0                           | n/a      | n/a   | 1           | 0         | 0.0 | 0        | 0        | 0.0 | 0        | 0        | 0.0 |
| Western         | 3                           | n/a      | n/a   | 0           | 0         | 0.0 | 4        | 0        | 0.0 | 2        | 0        | 0.0 |
| Mississippi     |                             |          |       |             |           |     |          |          |     |          |          |     |
| Northern        | 0                           | n/a      | n/a   | 0           | 0         | 0.0 | 0        | 0        | 0.0 | 0        | 0        | 0.0 |
| Southern        | 1                           | n/a      | n/a   | 0           | 0         | 0.0 | 3        | 0        | 0.0 | 4        | 0        | 0.0 |
| Texas           |                             |          |       |             |           |     |          |          |     |          |          |     |
| Eastern         | 62                          | n/a      | n/a   | 5           | 0         | 0.0 | 26       | 0        | 0.0 | 67       | 0        | 0.0 |
| Northern        | 121                         | n/a      | n/a   | 7           | 0         | 0.0 | 14       | 0        | 0.0 | 33       | 0        | 0.0 |
| Southern        | 393                         | n/a      | n/a   | 57          | 0         | 0.0 | 112      | 0        | 0.0 | 161      | 0        | 0.0 |
| Western         | 342                         | n/a      | n/a   | 50          | 0         | 0.0 | 168      | 0        | 0.0 | 277      | 1        | 0.4 |
|                 |                             |          |       |             |           |     |          |          |     |          |          |     |
| SIXTH CIRCUIT   | 381                         | n/a      | n/a   | 52          | 0         | 0.0 | 319      | 0        | 0.0 | 491      | 0        | 0.0 |
| Kentucky        |                             |          |       |             |           |     |          |          |     |          |          |     |
| Eastern         | 18                          | n/a      | n/a   | 2           | 0         | 0.0 | 27       | 0        | 0.0 | 37       | 0        | 0.0 |
| Western         | 6                           | n/a      | n/a   | 1           | 0         | 0.0 | 4        | 0        | 0.0 | 6        | 0        | 0.0 |
| Michigan        |                             |          |       |             |           |     |          |          |     |          |          |     |
| Eastern         | 190                         | n/a      | n/a   | 22          | 0         | 0.0 | 36       | 0        | 0.0 | 80       | 0        | 0.0 |
| Western         | 6                           | n/a      | n/a   | 6           | 0         | 0.0 | 36       | 0        | 0.0 | 31       | 0        | 0.0 |
| Ohio            | O                           | 11/α     | 11/ a | O           | U         | 0.0 | 30       | Ü        | 0.0 | 31       | Ü        | 0.0 |
| Northern        | 49                          | n/a      | n/a   | 12          | 0         | 0.0 | 119      | 0        | 0.0 | 105      | 0        | 0.0 |
| Southern        | 56                          | n/a      | n/a   | 8           | 0         | 0.0 | 67       | 0        | 0.0 | 152      | 0        | 0.0 |
| Tennessee       | 30                          | 11/a     | 11/a  | 0           | U         | 0.0 | 07       | U        | 0.0 | 132      | U        | 0.0 |
| Eastern         | 15                          | **/*     | # /a  | 1           | 0         | 0.0 | 1.0      | 0        | 0.0 | 43       | 0        | 0.0 |
|                 |                             | n/a      | n/a   | 1           | 0         |     | 18       |          |     |          |          | 0.0 |
| Middle          | 14                          | n/a      | n/a   | 0           | 0         | 0.0 | 2        | 0        | 0.0 | 21       | 0        | 0.0 |
| Western         | 27                          | n/a      | n/a   | 0           | 0         | 0.0 | 10       | 0        | 0.0 | 16       | 0        | 0.0 |
| SEVENTH CIRCUIT | 364                         | n/a      | n/a   | 47          | 0         | 0.0 | 217      | 0        | 0.0 | 458      | 0        | 0.0 |
| Illinois        |                             | 11/11    | 11/11 | • /         | Ů         | 0.0 | 21,      | Ů        | 0.0 | 100      | v        | 0.0 |
| Central         | 22                          | n/a      | n/a   | 0           | 0         | 0.0 | 8        | 0        | 0.0 | 18       | 0        | 0.0 |
| Northern        | 235                         | n/a      | n/a   | 40          | 0         | 0.0 | 119      | 0        | 0.0 | 211      | 0        | 0.0 |
| Southern        | 9                           | n/a      | n/a   | 1           | 0         | 0.0 | 6        | 0        | 0.0 | 25       | 0        | 0.0 |
| Indiana         | ,                           | 11/α     | 11/ a | 1           | U         | 0.0 | O        | U        | 0.0 | 23       | U        | 0.0 |
| Northern        | 19                          | n/a      | n/a   | 0           | 0         | 0.0 | 12       | 0        | 0.0 | 36       | 0        | 0.0 |
| Southern        | 25                          | n/a      |       | 0           | 0         | 0.0 | 18       | 0        | 0.0 | 12       | 0        | 0.0 |
| Wisconsin       | 23                          | 11/a     | n/a   | U           | U         | 0.0 | 10       | U        | 0.0 | 12       | U        | 0.0 |
|                 | 52                          | /-       | /-    | 2           | 0         | 0.0 | 42       | 0        | 0.0 | 125      | 0        | 0.0 |
| Eastern         | 53                          | n/a      | n/a   | 3           | 0         | 0.0 | 42       | 0        | 0.0 | 135      | 0        | 0.0 |
| Western         | 1                           | n/a      | n/a   | 3           | 0         | 0.0 | 12       | 0        | 0.0 | 21       | 0        | 0.0 |
| EIGHTH CIRCUIT  | 239                         | n/a      | n/a   | 33          | 0         | 0.0 | 134      | 0        | 0.0 | 175      | 0        | 0.0 |
| Arkansas        | 20)                         | 11/ 44   | 11/4  | 33          | Ū         | 0.0 | 154      | Ū        | 0.0 | 175      | Ü        | 0.0 |
| Eastern         | 2                           | n/a      | n/a   | 0           | 0         | 0.0 | 0        | 0        | 0.0 | 4        | 0        | 0.0 |
| Western         | 1                           | n/a      | n/a   | 0           | 0         | 0.0 | 1        | 0        | 0.0 | 0        | 0        | 0.0 |
| Iowa            | 1                           | 11/α     | 11/4  | O           | U         | 0.0 | 1        | Ü        | 0.0 | O        | Ü        | 0.0 |
| Northern        | 1                           | n/a      | n/a   | 0           | 0         | 0.0 | 18       | 0        | 0.0 | 5        | 0        | 0.0 |
| Southern        | 10                          | n/a      | n/a   | 0           | 0         | 0.0 | 1        | 0        | 0.0 | 5        | 0        | 0.0 |
|                 |                             |          |       |             |           |     |          |          |     |          |          |     |
| Minnesota       | 10                          | n/a      | n/a   | 6           | 0         | 0.0 | 5        | 0        | 0.0 | 23       | 0        | 0.0 |
| Missouri        | 150                         | ,        | ,     | 2.5         | ^         |     | 00       | ^        |     | 115      | ^        | 0.0 |
| Eastern         | 170                         | n/a      | n/a   | 25          | 0         | 0.0 | 99       | 0        | 0.0 | 115      | 0        | 0.0 |
| Western         | 14                          | n/a      | n/a   | 0           | 0         | 0.0 | 3        | 0        | 0.0 | 13       | 0        | 0.0 |
| Nebraska        | 27                          | n/a      | n/a   | 2           | 0         | 0.0 | 5        | 0        | 0.0 | 8        | 0        | 0.0 |
| North Dakota    | 4                           | n/a      | n/a   | 0           | 0         | 0.0 | 0        | 0        | 0.0 | 1        | 0        | 0.0 |
| South Dakota    | 0                           | n/a      | n/a   | 0           | 0         | 0.0 | 2        | 0        | 0.0 | 1        | 0        | 0.0 |

Rate of §5K3.1 Early Disposition Program Sentences by Circuit and District (cont.)

|                          | K        | PROT     | Bo  | oker    |           | Gall |          |          |      |          |         |      |  |
|--------------------------|----------|----------|-----|---------|-----------|------|----------|----------|------|----------|---------|------|--|
|                          | Pe       | eriod    |     | Pe      | riod      |      | Pe       | riod     |      | Period   |         |      |  |
| CIRCUIT                  | (6/13/96 | - 04/30/ | 03) | (5/1/03 | - 6/24/04 | 4)   | (1/12/05 | - 12/10/ | (07) | (12/11/0 | 7-9/30/ | 11)  |  |
| District                 | Total    | N        | %   | Total   | N         | %    | Total    | N        | %    | Total    | N       | %    |  |
| NINTH CIRCUIT            | 1,077    | n/a      | n/a | 106     | 1         | 0.9  | 284      | 38       | 13.4 | 677      | 112     | 16.5 |  |
| Alaska                   | 7        | n/a      | n/a | 1       | 0         | 0.0  | 0        | 0        | 0.0  | 23       | 0       | 0.0  |  |
| Arizona                  | 139      | n/a      | n/a | 9       | 0         | 0.0  | 58       | 26       | 44.8 | 83       | 44      | 53.0 |  |
| California               |          |          |     |         |           |      |          |          |      |          |         |      |  |
| Central                  | 92       | n/a      | n/a | 7       | 0         | 0.0  | 14       | 0        | 0.0  | 121      | 0       | 0.0  |  |
| Eastern                  | 73       | n/a      | n/a | 5       | 0         | 0.0  | 38       | 0        | 0.0  | 35       | 0       | 0.0  |  |
| Northern                 | 109      | n/a      | n/a | 13      | 0         | 0.0  | 25       | 0        | 0.0  | 43       | 0       | 0.0  |  |
| Southern                 | 230      | n/a      | n/a | 13      | 1         | 7.7  | 38       | 12       | 31.6 | 159      | 68      | 42.8 |  |
| Guam                     | 2        | n/a      | n/a | 1       | 0         | 0.0  | 0        | 0        | 0.0  | 0        | 0       | 0.0  |  |
| Hawaii                   | 127      | n/a      | n/a | 15      | 0         | 0.0  | 9        | 0        | 0.0  | 16       | 0       | 0.0  |  |
| Idaho                    | 3        | n/a      | n/a | 0       | 0         | 0.0  | 0        | 0        | 0.0  | 0        | 0       | 0.0  |  |
| Montana                  | 11       | n/a      | n/a | 2       | 0         | 0.0  | 0        | 0        | 0.0  | 8        | 0       | 0.0  |  |
| Nevada                   | 24       | n/a      | n/a | 3       | 0         | 0.0  | 5        | 0        | 0.0  | 29       | 0       | 0.0  |  |
| Northern Mariana Islands | 1        | n/a      | n/a | 0       | 0         | 0.0  | 0        | 0        | 0.0  | 0        | 0       | 0.0  |  |
| Oregon                   | 106      | n/a      | n/a | 15      | 0         | 0.0  | 21       | 0        | 0.0  | 67       | 0       | 0.0  |  |
| Washington               |          |          |     |         |           |      |          |          |      |          |         |      |  |
| Eastern                  | 27       | n/a      | n/a | 6       | 0         | 0.0  | 3        | 0        | 0.0  | 27       | 0       | 0.0  |  |
| Western                  | 126      | n/a      | n/a | 16      | 0         | 0.0  | 73       | 0        | 0.0  | 66       | 0       | 0.0  |  |
| TENTH CIRCUIT            | 301      | n/a      | n/a | 57      | 0         | 0.0  | 150      | 0        | 0.0  | 258      | 3       | 1.2  |  |
| Colorado                 | 108      | n/a      | n/a | 15      | 0         | 0.0  | 26       | 0        | 0.0  | 12       | 0       | 0.0  |  |
| Kansas                   | 18       | n/a      | n/a | 10      | 0         | 0.0  | 7        | 0        | 0.0  | 24       | 0       | 0.0  |  |
| New Mexico               | 138      | n/a      | n/a | 25      | 0         | 0.0  | 80       | 0        | 0.0  | 112      | 3       | 2.7  |  |
| Oklahoma                 |          |          |     |         |           |      |          |          |      |          |         |      |  |
| Eastern                  | 1        | n/a      | n/a | 0       | 0         | 0.0  | 1        | 0        | 0.0  | 0        | 0       | 0.0  |  |
| Northern                 | 7        | n/a      | n/a | 0       | 0         | 0.0  | 6        | 0        | 0.0  | 8        | 0       | 0.0  |  |
| Western                  | 15       | n/a      | n/a | 2       | 0         | 0.0  | 2        | 0        | 0.0  | 17       | 0       | 0.0  |  |
| Utah                     | 12       | n/a      | n/a | 5       | 0         | 0.0  | 25       | 0        | 0.0  | 76       | 0       | 0.0  |  |
| Wyoming                  | 2        | n/a      | n/a | 0       | 0         | 0.0  | 3        | 0        | 0.0  | 9        | 0       | 0.0  |  |
| ELEVENTH CIRCUIT         | 1,716    | n/a      | n/a | 247     | 0         | 0.0  | 417      | 0        | 0.0  | 373      | 0       | 0.0  |  |
| Alabama                  |          |          |     |         |           |      |          |          |      |          |         |      |  |
| Middle                   | 0        | n/a      | n/a | 0       | 0         | 0.0  | 0        | 0        | 0.0  | 1        | 0       | 0.0  |  |
| Northern                 | 6        | n/a      | n/a | 2       | 0         | 0.0  | 1        | 0        | 0.0  | 6        | 0       | 0.0  |  |
| Southern                 | 1        | n/a      | n/a | 0       | 0         | 0.0  | 1        | 0        | 0.0  | 0        | 0       | 0.0  |  |
| Florida                  |          |          |     |         |           |      |          |          |      |          |         |      |  |
| Middle                   | 268      | n/a      | n/a | 22      | 0         | 0.0  | 82       | 0        | 0.0  | 52       | 0       | 0.0  |  |
| Northern                 | 9        | n/a      | n/a | 0       | 0         | 0.0  | 1        | 0        | 0.0  | 0        | 0       | 0.0  |  |
| Southern                 | 1,327    | n/a      | n/a | 207     | 0         | 0.0  | 293      | 0        | 0.0  | 272      | 0       | 0.0  |  |
| Georgia                  |          |          |     |         |           |      |          |          |      |          |         |      |  |
| Middle                   | 5        | n/a      | n/a | 0       | 0         | 0.0  | 0        | 0        | 0.0  | 1        | 0       | 0.0  |  |
| Northern                 | 85       | n/a      | n/a | 15      | 0         | 0.0  | 38       | 0        | 0.0  | 34       | 0       | 0.0  |  |
| Southern                 | 15       | n/a      | n/a | 1       | 0         | 0.0  | 1        | 0        | 0.0  | 7        | 0       | 0.0  |  |

Cases missing information necessary to determine sentence position relative to the guideline range were excluded from the analysis.

# Rate of Other Government Sponsored Below Range Sentences by Circuit and District Heroin Trafficking Offenses Koon Period through Gall Period

|                      | Pe     | Koon Period (6/13/96 - 04/30/03) |     |       |    | PROTECT Act Period (5/1/03 - 6/24/04) |          |     |      | Gall Period (12/11/07-9/30/11) |     |          |
|----------------------|--------|----------------------------------|-----|-------|----|---------------------------------------|----------|-----|------|--------------------------------|-----|----------|
| CIRCUIT              |        |                                  |     |       |    |                                       | (1/12/05 |     |      | `                              |     |          |
| District             | Total  | N                                | %   | Total | N  | %                                     | Total    | N   | %    | Total                          | N   | <b>%</b> |
| TOTAL                | 10,876 | n/a                              | n/a | 1,779 | 41 | 2.3                                   | 4,191    | 122 | 2.9  | 5,956                          | 273 | 4.6      |
| D.C. CIRCUIT         | 107    | n/a                              | n/a | 14    | 2  | 14.3                                  | 39       | 6   | 15.4 | 66                             | 19  | 28.8     |
| District of Columbia | 107    | n/a                              | n/a | 14    | 2  | 14.3                                  | 39       | 6   | 15.4 | 66                             | 19  | 28.8     |
| FIRST CIRCUIT        | 811    | n/a                              | n/a | 160   | 1  | 0.6                                   | 271      | 7   | 2.6  | 334                            | 20  | 6.0      |
| Maine                | 18     | n/a                              | n/a | 6     | 0  | 0.0                                   | 8        | 0   | 0.0  | 20                             | 0   | 0.0      |
| Massachusetts        | 295    | n/a                              | n/a | 33    | 1  | 3.0                                   | 102      | 3   | 2.9  | 77                             | 13  | 16.9     |
| New Hampshire        | 8      | n/a                              | n/a | 3     | 0  | 0.0                                   | 17       | 1   | 5.9  | 9                              | 1   | 11.1     |
| Puerto Rico          | 438    | n/a                              | n/a | 108   | 0  | 0.0                                   | 131      | 2   | 1.5  | 173                            | 5   | 2.9      |
| Rhode Island         | 52     | n/a                              | n/a | 10    | 0  | 0.0                                   | 13       | 1   | 7.7  | 55                             | 1   | 1.8      |
| SECOND CIRCUIT       | 2,973  | n/a                              | n/a | 525   | 19 | 3.6                                   | 1,157    | 30  | 2.6  | 1,268                          | 22  | 1.7      |
| Connecticut          | 107    | n/a                              | n/a | 20    | 2  | 10.0                                  | 67       | 0   | 0.0  | 147                            | 3   | 2.0      |
| New York             |        |                                  |     |       |    |                                       |          |     |      |                                |     |          |
| Eastern              | 1,706  | n/a                              | n/a | 305   | 16 | 5.2                                   | 497      | 13  | 2.6  | 564                            | 8   | 1.4      |
| Northern             | 76     | n/a                              | n/a | 5     | 0  | 0.0                                   | 21       | 0   | 0.0  | 22                             | 0   | 0.0      |
| Southern             | 876    | n/a                              | n/a | 144   | 0  | 0.0                                   | 498      | 16  | 3.2  | 461                            | 10  | 2.2      |
| Western              | 121    | n/a                              | n/a | 14    | 1  | 7.1                                   | 44       | 1   | 2.3  | 66                             | 1   | 1.5      |
| Vermont              | 87     | n/a                              | n/a | 37    | 0  | 0.0                                   | 30       | 0   | 0.0  | 8                              | 0   | 0.0      |
| THIRD CIRCUIT        | 1,178  | n/a                              | n/a | 241   | 3  | 1.2                                   | 568      | 8   | 1.4  | 579                            | 25  | 4.3      |
| Delaware             | 7      | n/a                              | n/a | 3     | 0  | 0.0                                   | 19       | 0   | 0.0  | 7                              | 1   | 14.3     |
| New Jersey           | 750    | n/a                              | n/a | 98    | 2  | 2.0                                   | 284      | 4   | 1.4  | 235                            | 3   | 1.3      |
| Pennsylvania         |        |                                  |     |       |    |                                       |          |     |      |                                |     |          |
| Eastern              | 289    | n/a                              | n/a | 66    | 0  | 0.0                                   | 85       | 1   | 1.2  | 139                            | 1   | 0.7      |
| Middle               | 82     | n/a                              | n/a | 35    | 1  | 2.9                                   | 97       | 3   | 3.1  | 122                            | 17  | 13.9     |
| Western              | 40     | n/a                              | n/a | 25    | 0  | 0.0                                   | 78       | 0   | 0.0  | 74                             | 3   | 4.1      |
| Virgin Islands       | 10     | n/a                              | n/a | 14    | 0  | 0.0                                   | 5        | 0   | 0.0  | 2                              | 0   | 0.0      |
| FOURTH CIRCUIT       | 699    | n/a                              | n/a | 135   | 0  | 0.0                                   | 255      | 6   | 2.4  | 665                            | 22  | 3.3      |
| Maryland             | 177    | n/a                              | n/a | 18    | 0  | 0.0                                   | 98       | 5   | 5.1  | 198                            | 19  | 9.6      |
| North Carolina       |        |                                  |     |       |    |                                       |          |     |      |                                |     |          |
| Eastern              | 20     | n/a                              | n/a | 1     | 0  | 0.0                                   | 4        | 0   | 0.0  | 32                             | 0   | 0.0      |
| Middle               | 8      | n/a                              | n/a | 0     | 0  | 0.0                                   | 0        | 0   | 0.0  | 6                              | 0   | 0.0      |
| Western              | 55     | n/a                              | n/a | 3     | 0  | 0.0                                   | 10       | 0   | 0.0  | 51                             | 0   | 0.0      |
| South Carolina       | 141    | n/a                              | n/a | 4     | 0  | 0.0                                   | 29       | 0   | 0.0  | 58                             | 1   | 1.7      |
| Virginia             |        |                                  |     |       |    |                                       |          |     |      |                                |     |          |
| Eastern              | 226    | n/a                              | n/a | 53    | 0  | 0.0                                   | 63       | 0   | 0.0  | 164                            | 2   | 1.2      |
| Western              | 30     | n/a                              | n/a | 19    | 0  | 0.0                                   | 15       | 0   | 0.0  | 47                             | 0   | 0.0      |
| West Virginia        |        |                                  |     |       |    |                                       |          |     |      |                                |     |          |
| Northern             | 17     | n/a                              | n/a | 37    | 0  | 0.0                                   | 36       | 1   | 2.8  | 64                             | 0   | 0.0      |
| Southern             | 25     | n/a                              | n/a | 0     | 0  | 0.0                                   | 0        | 0   | 0.0  | 45                             | 0   | 0.0      |

### Rate of Other Government Sponsored Below Range Sentences by Circuit and District (cont.)

|                 | K        | PROTI              | ECT Ac | t         |     | <i>oker</i><br>riod |          | <i>Gall</i><br>Period |      |                    |     |             |
|-----------------|----------|--------------------|--------|-----------|-----|---------------------|----------|-----------------------|------|--------------------|-----|-------------|
| CIRCUIT         | (6/13/96 | eriod<br>- 04/30/0 | 03)    | (5/1/03 - |     | 4)                  | (1/12/05 |                       | (07) | (12/11/07-9/30/11) |     |             |
| District        | Total    | N                  | %      | Total     | N   | %                   | Total    | N                     | %    | Total              | N   | %           |
| FIFTH CIRCUIT   | 1,030    | n/a                | n/a    | 162       | 4   | 2.5                 | 380      | 31                    | 8.2  | 612                | 45  | 7.4         |
| Louisiana       |          |                    |        |           |     |                     |          |                       |      |                    |     |             |
| Eastern         | 108      | n/a                | n/a    | 42        | 0   | 0.0                 | 53       | 2                     | 3.8  | 68                 | 3   | 4.4         |
| Middle          | 0        | n/a                | n/a    | 1         | 0   | 0.0                 | 0        | 0                     | 0.0  | 0                  | 0   | 0.0         |
| Western         | 3        | n/a                | n/a    | 0         | 0   | 0.0                 | 4        | 0                     | 0.0  | 2                  | 0   | 0.0         |
| Mississippi     |          |                    |        |           |     |                     |          |                       |      |                    |     |             |
| Northern        | 0        | n/a                | n/a    | 0         | 0   | 0.0                 | 0        | 0                     | 0.0  | 0                  | 0   | 0.0         |
| Southern        | 1        | n/a                | n/a    | 0         | 0   | 0.0                 | 3        | 0                     | 0.0  | 4                  | 0   | 0.0         |
| Texas           |          |                    |        |           |     |                     |          |                       |      |                    |     |             |
| Eastern         | 62       | n/a                | n/a    | 5         | 0   | 0.0                 | 26       | 6                     | 23.1 | 67                 | 9   | 13.4        |
| Northern        | 121      | n/a                | n/a    | 7         | 0   | 0.0                 | 14       | 0                     | 0.0  | 33                 | 0   | 0.0         |
| Southern        | 393      | n/a                | n/a    | 57        | 2   | 3.5                 | 112      | 16                    | 14.3 | 161                | 16  | 9.9         |
| Western         | 342      | n/a                | n/a    | 50        | 2   | 4.0                 | 168      | 7                     | 4.2  | 277                | 17  | 6.1         |
| Western         | 342      | 11/ a              | 11/ a  | 30        | ۷   | 4.0                 | 100      | /                     | 4.2  | 211                | 1 / | 0.1         |
| SIXTH CIRCUIT   | 381      | n/a                | n/a    | 52        | 1   | 1.9                 | 319      | 6                     | 1.9  | 491                | 12  | 2.4         |
| Kentucky        |          |                    |        |           |     |                     |          |                       |      |                    |     |             |
| Eastern         | 18       | n/a                | n/a    | 2         | 0   | 0.0                 | 27       | 0                     | 0.0  | 37                 | 0   | 0.0         |
| Western         | 6        | n/a                | n/a    | 1         | 0   | 0.0                 | 4        | 0                     | 0.0  | 6                  | 1   | 16.7        |
| Michigan        |          |                    |        |           |     |                     |          |                       |      |                    |     |             |
| Eastern         | 190      | n/a                | n/a    | 22        | 0   | 0.0                 | 36       | 0                     | 0.0  | 80                 | 0   | 0.0         |
| Western         | 6        | n/a                | n/a    | 6         | 0   | 0.0                 | 36       | 1                     | 2.8  | 31                 | 1   | 3.2         |
| Ohio            | Ů        | 11/4               | 11/ 00 | · ·       | Ü   | 0.0                 | 30       | •                     | 2.0  | 51                 | •   | J. <b>_</b> |
| Northern        | 49       | n/a                | n/a    | 12        | 1   | 8.3                 | 119      | 4                     | 3.4  | 105                | 1   | 1.0         |
| Southern        | 56       | n/a                | n/a    | 8         | 0   | 0.0                 | 67       | 1                     | 1.5  | 152                | 8   | 5.3         |
| Tennessee       | 50       | 11/а               | 11/ a  | 8         | U   | 0.0                 | 07       | 1                     | 1.5  | 132                | 0   | 3.3         |
| Eastern         | 15       | 2/0                | 7/0    | 1         | 0   | 0.0                 | 10       | 0                     | 0.0  | 43                 | 0   | 0.0         |
|                 |          | n/a                | n/a    | 1         |     |                     | 18       |                       |      |                    |     | 0.0         |
| Middle          | 14       | n/a                | n/a    | 0         | 0   | 0.0                 | 2        | 0                     | 0.0  | 21                 | 1   | 4.8         |
| Western         | 27       | n/a                | n/a    | 0         | 0   | 0.0                 | 10       | 0                     | 0.0  | 16                 | 0   | 0.0         |
| SEVENTH CIRCUIT | 364      | n/a                | n/a    | 47        | 1   | 2.1                 | 217      | 5                     | 2.3  | 458                | 20  | 4.4         |
| Illinois        |          |                    |        |           |     |                     |          |                       |      |                    |     |             |
| Central         | 22       | n/a                | n/a    | 0         | 0   | 0.0                 | 8        | 0                     | 0.0  | 18                 | 0   | 0.0         |
| Northern        | 235      | n/a                | n/a    | 40        | 1   | 2.5                 | 119      | 3                     | 2.5  | 211                | 5   | 2.4         |
| Southern        | 9        | n/a                | n/a    | 1         | 0   | 0.0                 | 6        | 0                     | 0.0  | 25                 | 0   | 0.0         |
| Indiana         |          |                    |        |           |     |                     |          |                       |      |                    |     |             |
| Northern        | 19       | n/a                | n/a    | 0         | 0   | 0.0                 | 12       | 0                     | 0.0  | 36                 | 2   | 5.6         |
| Southern        | 25       | n/a                | n/a    | 0         | 0   | 0.0                 | 18       | 0                     | 0.0  | 12                 | 3   | 25.0        |
| Wisconsin       | 23       | 11/4               | 11/ 4  | · ·       | · · | 0.0                 | 10       | Ü                     | 0.0  | 12                 | 5   | 23.0        |
| Eastern         | 53       | n/a                | n/a    | 3         | 0   | 0.0                 | 42       | 2                     | 4.8  | 135                | 10  | 7.4         |
| Western         | 1        | n/a                | n/a    | 3         | 0   | 0.0                 | 12       | 0                     | 0.0  | 21                 | 0   | 0.0         |
|                 |          |                    |        |           |     |                     |          |                       |      |                    |     |             |
| EIGHTH CIRCUIT  | 239      | n/a                | n/a    | 33        | 0   | 0.0                 | 134      | 3                     | 2.2  | 175                | 8   | 4.6         |
| Arkansas        | 2        | ,                  | ,      | 0         | 0   | 0.0                 | 0        | 0                     | 0.0  | 4                  | 0   | 0.0         |
| Eastern         | 2        | n/a                | n/a    | 0         | 0   | 0.0                 | 0        | 0                     | 0.0  | 4                  | 0   | 0.0         |
| Western         | 1        | n/a                | n/a    | 0         | 0   | 0.0                 | 1        | 0                     | 0.0  | 0                  | 0   | 0.0         |
| Iowa            |          |                    |        |           |     |                     |          |                       |      |                    |     |             |
| Northern        | 1        | n/a                | n/a    | 0         | 0   | 0.0                 | 18       | 0                     | 0.0  | 5                  | 0   | 0.0         |
| Southern        | 10       | n/a                | n/a    | 0         | 0   | 0.0                 | 1        | 0                     | 0.0  | 5                  | 1   | 20.0        |
| Minnesota       | 10       | n/a                | n/a    | 6         | 0   | 0.0                 | 5        | 1                     | 20.0 | 23                 | 1   | 4.3         |
| Missouri        |          |                    |        |           |     |                     |          |                       |      |                    |     |             |
| Eastern         | 170      | n/a                | n/a    | 25        | 0   | 0.0                 | 99       | 2                     | 2.0  | 115                | 5   | 4.3         |
| Western         | 14       | n/a                | n/a    | 0         | 0   | 0.0                 | 3        | 0                     | 0.0  | 13                 | 1   | 7.7         |
| Nebraska        | 27       | n/a                | n/a    | 2         | 0   | 0.0                 | 5        | 0                     | 0.0  | 8                  | 0   | 0.0         |
| North Dakota    | 4        | n/a                | n/a    | 0         | 0   | 0.0                 | 0        | 0                     | 0.0  | 1                  | 0   | 0.0         |
| South Dakota    | 0        | n/a                | n/a    | 0         | 0   | 0.0                 | 2        | 0                     | 0.0  | 1                  | 0   | 0.0         |
|                 |          |                    |        |           |     |                     |          |                       | •    |                    |     |             |

Rate of Other Government Sponsored Below Range Sentences by Circuit and District (cont.)

|                          | K<br>Pe  | PROTECT Act Period |     |         |    | oker<br>riod |            | <i>Gall</i><br>Period |      |          |    |      |
|--------------------------|----------|--------------------|-----|---------|----|--------------|------------|-----------------------|------|----------|----|------|
| CIRCUIT                  | (6/13/96 |                    | 03) | (5/1/03 |    | 4)           | (1/12/05 - |                       | 07)  | (12/11/0 |    | 11)  |
| District                 | Total    | N                  | %   | Total   | N  | %            | Total      | N                     | %    | Total    | N  | %    |
| NINTH CIRCUIT            | 1,077    | n/a                | n/a | 106     | 10 | 9.4          | 284        | 9                     | 3.2  | 677      | 56 | 8.3  |
| Alaska                   | 7        | n/a                | n/a | 1       | 0  | 0.0          | 0          | 0                     | 0.0  | 23       | 1  | 4.3  |
| Arizona                  | 139      | n/a                | n/a | 9       | 0  | 0.0          | 58         | 0                     | 0.0  | 83       | 0  | 0.0  |
| California               |          |                    |     |         |    |              |            |                       |      |          |    |      |
| Central                  | 92       | n/a                | n/a | 7       | 0  | 0.0          | 14         | 0                     | 0.0  | 121      | 9  | 7.4  |
| Eastern                  | 73       | n/a                | n/a | 5       | 0  | 0.0          | 38         | 0                     | 0.0  | 35       | 1  | 2.9  |
| Northern                 | 109      | n/a                | n/a | 13      | 0  | 0.0          | 25         | 1                     | 4.0  | 43       | 10 | 23.3 |
| Southern                 | 230      | n/a                | n/a | 13      | 8  | 61.5         | 38         | 0                     | 0.0  | 159      | 5  | 3.1  |
| Guam                     | 2        | n/a                | n/a | 1       | 0  | 0.0          | 0          | 0                     | 0.0  | 0        | 0  | 0.0  |
| Hawaii                   | 127      | n/a                | n/a | 15      | 0  | 0.0          | 9          | 0                     | 0.0  | 16       | 0  | 0.0  |
| Idaho                    | 3        | n/a                | n/a | 0       | 0  | 0.0          | 0          | 0                     | 0.0  | 0        | 0  | 0.0  |
| Montana                  | 11       | n/a                | n/a | 2       | 0  | 0.0          | 0          | 0                     | 0.0  | 8        | 0  | 0.0  |
| Nevada                   | 24       | n/a                | n/a | 3       | 2  | 66.7         | 5          | 0                     | 0.0  | 29       | 1  | 3.4  |
| Northern Mariana Islands | 1        | n/a                | n/a | 0       | 0  | 0.0          | 0          | 0                     | 0.0  | 0        | 0  | 0.0  |
| Oregon                   | 106      | n/a                | n/a | 15      | 0  | 0.0          | 21         | 0                     | 0.0  | 67       | 11 | 16.4 |
| Washington               |          |                    |     |         |    |              |            |                       |      |          |    |      |
| Eastern                  | 27       | n/a                | n/a | 6       | 0  | 0.0          | 3          | 0                     | 0.0  | 27       | 4  | 14.8 |
| Western                  | 126      | n/a                | n/a | 16      | 0  | 0.0          | 73         | 8                     | 11.0 | 66       | 14 | 21.2 |
| TENTH CIRCUIT            | 301      | n/a                | n/a | 57      | 0  | 0.0          | 150        | 7                     | 4.7  | 258      | 17 | 6.6  |
| Colorado                 | 108      | n/a                | n/a | 15      | 0  | 0.0          | 26         | 0                     | 0.0  | 12       | 0  | 0.0  |
| Kansas                   | 18       | n/a                | n/a | 10      | 0  | 0.0          | 7          | 0                     | 0.0  | 24       | 2  | 8.3  |
| New Mexico               | 138      | n/a                | n/a | 25      | 0  | 0.0          | 80         | 7                     | 8.8  | 112      | 10 | 8.9  |
| Oklahoma                 |          |                    |     |         |    |              |            |                       |      |          |    |      |
| Eastern                  | 1        | n/a                | n/a | 0       | 0  | 0.0          | 1          | 0                     | 0.0  | 0        | 0  | 0.0  |
| Northern                 | 7        | n/a                | n/a | 0       | 0  | 0.0          | 6          | 0                     | 0.0  | 8        | 0  | 0.0  |
| Western                  | 15       | n/a                | n/a | 2       | 0  | 0.0          | 2          | 0                     | 0.0  | 17       | 0  | 0.0  |
| Utah                     | 12       | n/a                | n/a | 5       | 0  | 0.0          | 25         | 0                     | 0.0  | 76       | 4  | 5.3  |
| Wyoming                  | 2        | n/a                | n/a | 0       | 0  | 0.0          | 3          | 0                     | 0.0  | 9        | 1  | 11.1 |
| ELEVENTH CIRCUIT         | 1,716    | n/a                | n/a | 247     | 0  | 0.0          | 417        | 4                     | 1.0  | 373      | 7  | 1.9  |
| Alabama                  |          |                    |     |         |    |              |            |                       |      |          |    |      |
| Middle                   | 0        | n/a                | n/a | 0       | 0  | 0.0          | 0          | 0                     | 0.0  | 1        | 0  | 0.0  |
| Northern                 | 6        | n/a                | n/a | 2       | 0  | 0.0          | 1          | 0                     | 0.0  | 6        | 0  | 0.0  |
| Southern                 | 1        | n/a                | n/a | 0       | 0  | 0.0          | 1          | 0                     | 0.0  | 0        | 0  | 0.0  |
| Florida                  |          |                    |     |         |    |              |            |                       |      |          |    |      |
| Middle                   | 268      | n/a                | n/a | 22      | 0  | 0.0          | 82         | 0                     | 0.0  | 52       | 0  | 0.0  |
| Northern                 | 9        | n/a                | n/a | 0       | 0  | 0.0          | 1          | 0                     | 0.0  | 0        | 0  | 0.0  |
| Southern                 | 1,327    | n/a                | n/a | 207     | 0  | 0.0          | 293        | 2                     | 0.7  | 272      | 5  | 1.8  |
| Georgia                  |          |                    |     |         |    |              |            |                       |      |          |    |      |
| Middle                   | 5        | n/a                | n/a | 0       | 0  | 0.0          | 0          | 0                     | 0.0  | 1        | 0  | 0.0  |
| Northern                 | 85       | n/a                | n/a | 15      | 0  | 0.0          | 38         | 2                     | 5.3  | 34       | 2  | 5.9  |
| Southern                 | 15       | n/a                | n/a | 1       | 0  | 0.0          | 1          | 0                     | 0.0  | 7        | 0  | 0.0  |

Cases missing information necessary to determine sentence position relative to the guideline range were excluded from the analysis.

SOURCE: U.S. Sentencing Commission, 2011 Booker Report Datafiles.

# Rate of Non-Government Sponsored Below Range Sentences by Circuit and District Heroin Trafficking Offenses Koon Period through Gall Period

|                      |        | Koon Period (6/13/96 - 04/30/03) |      |       |          | PROTECT Act Period |          |                   |      | <i>Gall</i><br>Period |           |      |  |
|----------------------|--------|----------------------------------|------|-------|----------|--------------------|----------|-------------------|------|-----------------------|-----------|------|--|
| CIRCUIT              |        |                                  | (03) |       | - 6/24/0 | 4)                 | (1/12/05 | eriod<br>- 12/10/ | 07)  |                       | 07-9/30/1 | 11)  |  |
| District             | Total  | N                                | %    | Total | N        | %                  | Total    | N                 | %    | Total                 | N         | %    |  |
| TOTAL                | 10,876 | 1,654                            | 15.2 | 1,779 | 169      | 9.5                | 4,191    | 786               | 18.8 | 5,956                 | 1,402     | 23.5 |  |
| D.C. CIRCUIT         | 107    | 16                               | 15.0 | 14    | 1        | 7.1                | 39       | 5                 | 12.8 | 66                    | 11        | 16.7 |  |
| District of Columbia | 107    | 16                               | 15.0 | 14    | 1        | 7.1                | 39       | 5                 | 12.8 | 66                    | 11        | 16.7 |  |
| FIRST CIRCUIT        | 811    | 65                               | 8.0  | 160   | 4        | 2.5                | 271      | 29                | 10.7 | 334                   | 64        | 19.2 |  |
| Maine                | 18     | 0                                | 0.0  | 6     | 0        | 0.0                | 8        | 0                 | 0.0  | 20                    | 2         | 10.0 |  |
| Massachusetts        | 295    | 35                               | 11.9 | 33    | 3        | 9.1                | 102      | 17                | 16.7 | 77                    | 21        | 27.3 |  |
| New Hampshire        | 8      | 1                                | 12.5 | 3     | 0        | 0.0                | 17       | 1                 | 5.9  | 9                     | 3         | 33.3 |  |
| Puerto Rico          | 438    | 19                               | 4.3  | 108   | 1        | 0.9                | 131      | 7                 | 5.3  | 173                   | 17        | 9.8  |  |
| Rhode Island         | 52     | 10                               | 19.2 | 10    | 0        | 0.0                | 13       | 4                 | 30.8 | 55                    | 21        | 38.2 |  |
| SECOND CIRCUIT       | 2,973  | 966                              | 32.5 | 525   | 123      | 23.4               | 1,157    | 397               | 34.3 | 1,268                 | 529       | 41.7 |  |
| Connecticut          | 107    | 40                               | 37.4 | 20    | 7        | 35.0               | 67       | 24                | 35.8 | 147                   | 49        | 33.3 |  |
| New York             |        |                                  |      |       |          |                    |          |                   |      |                       |           |      |  |
| Eastern              | 1,706  | 757                              | 44.4 | 305   | 98       | 32.1               | 497      | 216               | 43.5 | 564                   | 256       | 45.4 |  |
| Northern             | 76     | 7                                | 9.2  | 5     | 1        | 20.0               | 21       | 2                 | 9.5  | 22                    | 2         | 9.1  |  |
| Southern             | 876    | 134                              | 15.3 | 144   | 13       | 9.0                | 498      | 145               | 29.1 | 461                   | 219       | 47.5 |  |
| Western              | 121    | 9                                | 7.4  | 14    | 0        | 0.0                | 44       | 6                 | 13.6 | 66                    | 1         | 1.5  |  |
| Vermont              | 87     | 19                               | 21.8 | 37    | 4        | 10.8               | 30       | 4                 | 13.3 | 8                     | 2         | 25.0 |  |
| THIRD CIRCUIT        | 1,178  | 75                               | 6.4  | 241   | 8        | 3.3                | 568      | 96                | 16.9 | 579                   | 106       | 18.3 |  |
| Delaware             | 7      | 0                                | 0.0  | 3     | 0        | 0.0                | 19       | 7                 | 36.8 | 7                     | 1         | 14.3 |  |
| New Jersey           | 750    | 60                               | 8.0  | 98    | 8        | 8.2                | 284      | 54                | 19.0 | 235                   | 49        | 20.9 |  |
| Pennsylvania         |        |                                  |      |       |          |                    |          |                   |      |                       |           |      |  |
| Eastern              | 289    | 10                               | 3.5  | 66    | 0        | 0.0                | 85       | 18                | 21.2 | 139                   | 28        | 20.1 |  |
| Middle               | 82     | 2                                | 2.4  | 35    | 0        | 0.0                | 97       | 9                 | 9.3  | 122                   | 15        | 12.3 |  |
| Western              | 40     | 3                                | 7.5  | 25    | 0        | 0.0                | 78       | 8                 | 10.3 | 74                    | 13        | 17.6 |  |
| Virgin Islands       | 10     | 0                                | 0.0  | 14    | 0        | 0.0                | 5        | 0                 | 0.0  | 2                     | 0         | 0.0  |  |
| FOURTH CIRCUIT       | 699    | 34                               | 4.9  | 135   | 8        | 5.9                | 255      | 30                | 11.8 | 665                   | 118       | 17.7 |  |
| Maryland             | 177    | 21                               | 11.9 | 18    | 4        | 22.2               | 98       | 18                | 18.4 | 198                   | 36        | 18.2 |  |
| North Carolina       |        |                                  |      |       |          |                    |          |                   |      |                       |           |      |  |
| Eastern              | 20     | 0                                | 0.0  | 1     | 0        | 0.0                | 4        | 0                 | 0.0  | 32                    | 1         | 3.1  |  |
| Middle               | 8      | 0                                | 0.0  | 0     | 0        | 0.0                | 0        | 0                 | 0.0  | 6                     | 1         | 16.7 |  |
| Western              | 55     | 2                                | 3.6  | 3     | 0        | 0.0                | 10       | 1                 | 10.0 | 51                    | 7         | 13.7 |  |
| South Carolina       | 141    | 8                                | 5.7  | 4     | 0        | 0.0                | 29       | 0                 | 0.0  | 58                    | 7         | 12.1 |  |
| Virginia             |        |                                  |      |       |          |                    |          |                   |      |                       |           |      |  |
| Eastern              | 226    | 3                                | 1.3  | 53    | 3        | 5.7                | 63       | 10                | 15.9 | 164                   | 39        | 23.8 |  |
| Western              | 30     | 0                                | 0.0  | 19    | 0        | 0.0                | 15       | 0                 | 0.0  | 47                    | 6         | 12.8 |  |
| West Virginia        |        |                                  |      |       |          |                    |          |                   |      |                       |           |      |  |
| Northern             | 17     | 0                                | 0.0  | 37    | 1        | 2.7                | 36       | 1                 | 2.8  | 64                    | 14        | 21.9 |  |
| Southern             | 25     | 0                                | 0.0  | 0     | 0        | 0.0                | 0        | 0                 | 0.0  | 45                    | 7         | 15.6 |  |

### Rate of Non-Government Sponsored Below Range Sentences by Circuit and District (cont.)

|                 |          | oon<br>riod |                | PROT      |   |      | Во       | <i>oker</i><br>riod |                | <i>Gall</i><br>Period |         |          |  |
|-----------------|----------|-------------|----------------|-----------|---|------|----------|---------------------|----------------|-----------------------|---------|----------|--|
| CIRCUIT         | (6/13/96 |             | 03)            | (5/1/03 - |   | 4)   | (1/12/05 |                     | (07)           | (12/11/0              |         | 11)      |  |
| District        | Total    | N           | <del>03)</del> | Total     | N | %    | Total    | N                   | <del>07)</del> | Total                 | N       | <u>%</u> |  |
| FIFTH CIRCUIT   | 1,030    | 70          | 6.8            | 162       | 3 | 1.9  | 380      | 19                  | 5.0            | 612                   | 72      | 11.8     |  |
| Louisiana       | 1,000    |             |                | 102       |   | 11,5 | 200      |                     |                | V12                   |         | 1110     |  |
| Eastern         | 108      | 3           | 2.8            | 42        | 0 | 0.0  | 53       | 2                   | 3.8            | 68                    | 4       | 5.9      |  |
| Middle          | 0        | 0           | 0.0            | 1         | 0 | 0.0  | 0        | 0                   | 0.0            | 0                     | 0       | 0.0      |  |
| Western         | 3        | 0           | 0.0            | 0         | 0 | 0.0  | 4        | 0                   | 0.0            | 2                     | 0       | 0.0      |  |
| Mississippi     | 5        | O           | 0.0            | V         | V | 0.0  | -        | O                   | 0.0            | 2                     | O       | 0.0      |  |
| Northern        | 0        | 0           | 0.0            | 0         | 0 | 0.0  | 0        | 0                   | 0.0            | 0                     | 0       | 0.0      |  |
| Southern        | 1        | 0           | 0.0            | 0         | 0 | 0.0  | 3        | 1                   | 33.3           | 4                     | 0       | 0.0      |  |
| Texas           | 1        | U           | 0.0            | O         | U | 0.0  | 3        | 1                   | 33.3           | 4                     | U       | 0.0      |  |
| Eastern         | 62       | 5           | 8.1            | 5         | 0 | 0.0  | 26       | 0                   | 0.0            | 67                    | 7       | 10.4     |  |
| Northern        | 121      | 7           | 5.8            | 7         | 0 | 0.0  | 14       | 0                   | 0.0            | 33                    |         | 3.0      |  |
| Southern        | 393      | 25          | 6.4            | 57        | 2 | 3.5  | 112      |                     | 7.1            | 33<br>161             | 1<br>29 |          |  |
|                 |          |             |                |           |   |      |          | 8                   |                |                       |         | 18.0     |  |
| Western         | 342      | 30          | 8.8            | 50        | 1 | 2.0  | 168      | 8                   | 4.8            | 277                   | 31      | 11.2     |  |
| SIXTH CIRCUIT   | 381      | 22          | 5.8            | 52        | 3 | 5.8  | 319      | 47                  | 14.7           | 491                   | 74      | 15.1     |  |
| Kentucky        | 10       | ^           |                | •         | _ |      |          | ^                   |                |                       |         |          |  |
| Eastern         | 18       | 0           | 0.0            | 2         | 0 | 0.0  | 27       | 0                   | 0.0            | 37                    | 1       | 2.7      |  |
| Western         | 6        | 0           | 0.0            | 1         | 0 | 0.0  | 4        | 0                   | 0.0            | 6                     | 0       | 0.0      |  |
| Michigan        |          |             |                |           |   |      |          |                     |                |                       |         |          |  |
| Eastern         | 190      | 10          | 5.3            | 22        | 0 | 0.0  | 36       | 4                   | 11.1           | 80                    | 16      | 20.0     |  |
| Western         | 6        | 1           | 16.7           | 6         | 1 | 16.7 | 36       | 7                   | 19.4           | 31                    | 5       | 16.1     |  |
| Ohio            |          |             |                |           |   |      |          |                     |                |                       |         |          |  |
| Northern        | 49       | 4           | 8.2            | 12        | 0 | 0.0  | 119      | 24                  | 20.2           | 105                   | 14      | 13.3     |  |
| Southern        | 56       | 6           | 10.7           | 8         | 2 | 25.0 | 67       | 8                   | 11.9           | 152                   | 33      | 21.7     |  |
| Tennessee       |          |             |                |           |   |      |          |                     |                |                       |         |          |  |
| Eastern         | 15       | 0           | 0.0            | 1         | 0 | 0.0  | 18       | 2                   | 11.1           | 43                    | 1       | 2.3      |  |
| Middle          | 14       | 1           | 7.1            | 0         | 0 | 0.0  | 2        | 1                   | 50.0           | 21                    | 2       | 9.5      |  |
| Western         | 27       | 0           | 0.0            | 0         | 0 | 0.0  | 10       | 1                   | 10.0           | 16                    | 2       | 12.5     |  |
| SEVENTH CIRCUIT | 364      | 28          | 7.7            | 47        | 3 | 6.4  | 217      | 49                  | 22.6           | 458                   | 120     | 26.2     |  |
| Illinois        |          |             |                |           |   |      |          |                     |                |                       |         |          |  |
| Central         | 22       | 0           | 0.0            | 0         | 0 | 0.0  | 8        | 2                   | 25.0           | 18                    | 3       | 16.7     |  |
| Northern        | 235      | 25          | 10.6           | 40        | 3 | 7.5  | 119      | 24                  | 20.2           | 211                   | 66      | 31.3     |  |
| Southern        | 9        | 0           | 0.0            | 1         | 0 | 0.0  | 6        | 1                   | 16.7           | 25                    | 1       | 4.0      |  |
| Indiana         |          |             |                |           |   |      |          |                     |                |                       |         |          |  |
| Northern        | 19       | 0           | 0.0            | 0         | 0 | 0.0  | 12       | 0                   | 0.0            | 36                    | 7       | 19.4     |  |
| Southern        | 25       | 1           | 4.0            | 0         | 0 | 0.0  | 18       | 3                   | 16.7           | 12                    | 1       | 8.3      |  |
| Wisconsin       |          |             |                |           |   |      |          |                     |                |                       |         |          |  |
| Eastern         | 53       | 2           | 3.8            | 3         | 0 | 0.0  | 42       | 17                  | 40.5           | 135                   | 32      | 23.7     |  |
| Western         | 1        | 0           | 0.0            | 3         | 0 | 0.0  | 12       | 2                   | 16.7           | 21                    | 10      | 47.6     |  |
| EIGHTH CIRCUIT  | 239      | 20          | 8.4            | 33        | 1 | 3.0  | 134      | 7                   | 5.2            | 175                   | 31      | 17.7     |  |
| Arkansas        |          |             |                |           |   |      |          |                     |                |                       |         |          |  |
| Eastern         | 2        | 0           | 0.0            | 0         | 0 | 0.0  | 0        | 0                   | 0.0            | 4                     | 0       | 0.0      |  |
| Western         | 1        | 0           | 0.0            | 0         | 0 | 0.0  | 1        | 0                   | 0.0            | 0                     | 0       | 0.0      |  |
| Iowa            |          |             |                |           |   |      |          |                     |                |                       |         |          |  |
| Northern        | 1        | 0           | 0.0            | 0         | 0 | 0.0  | 18       | 1                   | 5.6            | 5                     | 1       | 20.0     |  |
| Southern        | 10       | 1           | 10.0           | 0         | 0 | 0.0  | 1        | 0                   | 0.0            | 5                     | 0       | 0.0      |  |
| Minnesota       | 10       | 2           | 20.0           | 6         | 1 | 16.7 | 5        | 1                   | 20.0           | 23                    | 11      | 47.8     |  |
| Missouri        |          |             |                |           |   |      |          |                     |                |                       |         |          |  |
| Eastern         | 170      | 11          | 6.5            | 25        | 0 | 0.0  | 99       | 4                   | 4.0            | 115                   | 16      | 13.9     |  |
| Western         | 14       | 1           | 7.1            | 0         | 0 | 0.0  | 3        | 0                   | 0.0            | 13                    | 2       | 15.4     |  |
| Nebraska        | 27       | 4           | 14.8           | 2         | 0 | 0.0  | 5        | 0                   | 0.0            | 8                     | 1       | 12.5     |  |
| North Dakota    | 4        | 1           | 25.0           | 0         | 0 | 0.0  | 0        | 0                   | 0.0            | 1                     | 0       | 0.0      |  |
| South Dakota    | 0        | 0           | 0.0            | 0         | 0 | 0.0  | 2        | 1                   | 50.0           | 1                     | 0       | 0.0      |  |
| South Danota    | U        | U           | 0.0            | U         | U | 0.0  | ∠        | 1                   | 50.0           | 1                     | U       | 0.0      |  |

Rate of Non-Government Sponsored Below Range Sentences by Circuit and District (cont.)

|                          | K<br>Pe  | PROTECT Act Period |      |           |   | <i>oker</i><br>riod |          | <i>Gall</i><br>Period |      |                    |     |       |
|--------------------------|----------|--------------------|------|-----------|---|---------------------|----------|-----------------------|------|--------------------|-----|-------|
| CIRCUIT                  | (6/13/96 |                    | (03) | (5/1/03 - |   | 4)                  | (1/12/05 |                       | (07) | (12/11/07-9/30/11) |     |       |
| District                 | Total    | N                  | %    | Total     | N | %                   | Total    | N                     | %    | Total              | N   | %     |
| NINTH CIRCUIT            | 1,077    | 244                | 22.7 | 106       | 6 | 5.7                 | 284      | 36                    | 12.7 | 677                | 135 | 19.9  |
| Alaska                   | 7        | 0                  | 0.0  | 1         | 0 | 0.0                 | 0        | 0                     | 0.0  | 23                 | 3   | 13.0  |
| Arizona                  | 139      | 68                 | 48.9 | 9         | 3 | 33.3                | 58       | 8                     | 13.8 | 83                 | 16  | 19.3  |
| California               |          |                    |      |           |   |                     |          |                       |      |                    |     |       |
| Central                  | 92       | 13                 | 14.1 | 7         | 0 | 0.0                 | 14       | 3                     | 21.4 | 121                | 43  | 35.5  |
| Eastern                  | 73       | 7                  | 9.6  | 5         | 0 | 0.0                 | 38       | 2                     | 5.3  | 35                 | 3   | 8.6   |
| Northern                 | 109      | 19                 | 17.4 | 13        | 1 | 7.7                 | 25       | 0                     | 0.0  | 43                 | 3   | 7.0   |
| Southern                 | 230      | 93                 | 40.4 | 13        | 0 | 0.0                 | 38       | 7                     | 18.4 | 159                | 22  | 13.8  |
| Guam                     | 2        | 0                  | 0.0  | 1         | 0 | 0.0                 | 0        | 0                     | 0.0  | 0                  | 0   | 0.0   |
| Hawaii                   | 127      | 7                  | 5.5  | 15        | 1 | 6.7                 | 9        | 0                     | 0.0  | 16                 | 3   | 18.8  |
| Idaho                    | 3        | 1                  | 33.3 | 0         | 0 | 0.0                 | 0        | 0                     | 0.0  | 0                  | 0   | 0.0   |
| Montana                  | 11       | 2                  | 18.2 | 2         | 0 | 0.0                 | 0        | 0                     | 0.0  | 8                  | 0   | 0.0   |
| Nevada                   | 24       | 3                  | 12.5 | 3         | 0 | 0.0                 | 5        | 0                     | 0.0  | 29                 | 0   | 0.0   |
| Northern Mariana Islands | 1        | 0                  | 0.0  | 0         | 0 | 0.0                 | 0        | 0                     | 0.0  | 0                  | 0   | 0.0   |
| Oregon                   | 106      | 9                  | 8.5  | 15        | 0 | 0.0                 | 21       | 2                     | 9.5  | 67                 | 7   | 10.4  |
| Washington               |          |                    |      |           |   |                     |          |                       |      |                    |     |       |
| Eastern                  | 27       | 3                  | 11.1 | 6         | 0 | 0.0                 | 3        | 1                     | 33.3 | 27                 | 9   | 33.3  |
| Western                  | 126      | 19                 | 15.1 | 16        | 1 | 6.3                 | 73       | 13                    | 17.8 | 66                 | 26  | 39.4  |
| TENTH CIRCUIT            | 301      | 38                 | 12.6 | 57        | 3 | 5.3                 | 150      | 12                    | 8.0  | 258                | 47  | 18.2  |
| Colorado                 | 108      | 19                 | 17.6 | 15        | 0 | 0.0                 | 26       | 0                     | 0.0  | 12                 | 1   | 8.3   |
| Kansas                   | 18       | 0                  | 0.0  | 10        | 0 | 0.0                 | 7        | 1                     | 14.3 | 24                 | 0   | 0.0   |
| New Mexico               | 138      | 18                 | 13.0 | 25        | 3 | 12.0                | 80       | 7                     | 8.8  | 112                | 21  | 18.8  |
| Oklahoma                 |          |                    |      |           |   |                     |          |                       |      |                    |     |       |
| Eastern                  | 1        | 0                  | 0.0  | 0         | 0 | 0.0                 | 1        | 0                     | 0.0  | 0                  | 0   | 0.0   |
| Northern                 | 7        | 0                  | 0.0  | 0         | 0 | 0.0                 | 6        | 0                     | 0.0  | 8                  | 2   | 25.0  |
| Western                  | 15       | 0                  | 0.0  | 2         | 0 | 0.0                 | 2        | 0                     | 0.0  | 17                 | 8   | 47.1  |
| Utah                     | 12       | 1                  | 8.3  | 5         | 0 | 0.0                 | 25       | 3                     | 12.0 | 76                 | 15  | 19.7  |
| Wyoming                  | 2        | 0                  | 0.0  | 0         | 0 | 0.0                 | 3        | 1                     | 33.3 | 9                  | 0   | 0.0   |
| ELEVENTH CIRCUIT         | 1,716    | 76                 | 4.4  | 247       | 6 | 2.4                 | 417      | 59                    | 14.1 | 373                | 95  | 25.5  |
| Alabama                  |          |                    |      |           |   |                     |          |                       |      |                    |     |       |
| Middle                   | 0        | 0                  | 0.0  | 0         | 0 | 0.0                 | 0        | 0                     | 0.0  | 1                  | 1   | 100.0 |
| Northern                 | 6        | 0                  | 0.0  | 2         | 0 | 0.0                 | 1        | 0                     | 0.0  | 6                  | 0   | 0.0   |
| Southern                 | 1        | 0                  | 0.0  | 0         | 0 | 0.0                 | 1        | 0                     | 0.0  | 0                  | 0   | 0.0   |
| Florida                  |          |                    |      |           |   |                     |          |                       |      |                    |     |       |
| Middle                   | 268      | 14                 | 5.2  | 22        | 0 | 0.0                 | 82       | 16                    | 19.5 | 52                 | 11  | 21.2  |
| Northern                 | 9        | 0                  | 0.0  | 0         | 0 | 0.0                 | 1        | 0                     | 0.0  | 0                  | 0   | 0.0   |
| Southern                 | 1,327    | 48                 | 3.6  | 207       | 6 | 2.9                 | 293      | 33                    | 11.3 | 272                | 73  | 26.8  |
| Georgia                  | -        | ^                  |      | ^         | ^ |                     | ^        | 0                     |      | 4                  | 0   | 0.0   |
| Middle                   | 5        | 0                  | 0.0  | 0         | 0 | 0.0                 | 0        | 0                     | 0.0  | 1                  | 0   | 0.0   |
| Northern                 | 85       | 14                 | 16.5 | 15        | 0 | 0.0                 | 38       | 10                    | 26.3 | 34                 | 10  | 29.4  |
| Southern                 | 15       | 0                  | 0.0  | 1         | 0 | 0.0                 | 1        | 0                     | 0.0  | 7                  | 0   | 0.0   |

Cases missing information necessary to determine sentence position relative to the guideline range were excluded from the analysis.

# Average Guideline Minimum and Length of Imprisonment by Circuit and District Heroin Trafficking Offenses \*Koon\*\* Period through \*Gall\*\* Period\*\*

|                      |         |                      | OTECT AC       | et     |                      | <i>Booker</i><br>Period |        | <i>Gall</i><br>Period |                |       |                      |          |
|----------------------|---------|----------------------|----------------|--------|----------------------|-------------------------|--------|-----------------------|----------------|-------|----------------------|----------|
| CIRCUIT              | (6/13/9 | 96 - 04/30/          | 03)            | (5/1/0 | 03 - 6/24/0          | 4)                      | (1/12/ | 05 - 12/10/           | (07)           | (12/1 | 1/07-9/30/           | 11)      |
|                      |         | Mean                 |                | (      | Mean                 |                         |        | Mean                  |                |       | Mean                 |          |
| District             | Total   | Guideline<br>Minimum | Mean<br>Months | Total  | Guideline<br>Minimum | Mean<br>Months          | Total  | Guideline<br>Minimum  | Mean<br>Months | Total | Guideline<br>Minimum | Months   |
| TOTAL                | 10,906  | 74                   | 60             | 1,784  | 78                   | 65                      | 4,197  | 86                    | 67             | 5,956 | 91                   | 70       |
| D.C. CIRCUIT         | 108     | 108                  | 66             | 14     | 174                  | 121                     | 39     | 145                   | 85             | 66    | 146                  | 91       |
| District of Columbia | 108     | 108                  | 66             | 14     | 174                  | 121                     | 39     | 145                   | 85             | 66    | 146                  | 91       |
| FIRST CIRCUIT        | 812     | 69                   | 60             | 160    | 71                   | 66                      | 273    | 90                    | 77             | 334   | 86                   | 75       |
| Maine                | 18      | 46                   | 35             | 6      | 56                   | 38                      | 8      | 93                    | 90             | 20    | 82                   | 62       |
| Massachusetts        | 296     | 85                   | 65             | 33     | 77                   | 62                      | 103    | 87                    | 69             | 77    | 85                   | 63       |
| New Hampshire        | 8       | 85                   | 69             | 3      | 73                   | 87                      | 17     | 93                    | 70             | 9     | 24                   | 22       |
| Puerto Rico          | 438     | 59                   | 56             | 108    | 67                   | 65                      | 132    | 90                    | 82             | 173   | 93                   | 88       |
| Rhode Island         | 52      | 71                   | 66             | 10     | 105                  | 101                     | 13     | 106                   | 90             | 55    | 74                   | 61       |
| SECOND CIRCUIT       | 2,985   | 71                   | 49             | 528    | 68                   | 52                      | 1,159  | 82                    | 54             | 1,268 | 84                   | 50       |
| Connecticut          | 107     | 121                  | 87             | 20     | 87                   | 59                      | 67     | 102                   | 62             | 147   | 88                   | 57       |
| New York             |         |                      |                |        |                      |                         |        |                       |                |       |                      |          |
| Eastern              | 1,709   | 57                   | 37             | 307    | 54                   | 40                      | 497    | 58                    | 35             | 564   | 68                   | 37       |
| Northern             | 77      | 52                   | 36             | 5      | 16                   | 17                      | 21     | 60                    | 55             | 22    | 69                   | 62       |
| Southern             | 881     | 98                   | 71             | 145    | 96                   | 76                      | 500    | 108                   | 74             | 461   | 106                  | 63       |
| Western              | 122     | 56                   | 44             | 14     | 58                   | 44                      | 44     | 68                    | 57             | 66    | 64                   | 42       |
| Vermont              | 89      | 51                   | 36             | 37     | 80                   | 55                      | 30     | 61                    | 39             | 8     | 102                  | 91       |
| THIRD CIRCUIT        | 1,180   | 79                   | 59             | 241    | 86                   | 65                      | 568    | 89                    | 62             | 579   | 93                   | 71       |
| Delaware             | 7       | 66                   | 44             | 3      | 35                   | 29                      | 19     | 60                    | 46             | 7     | 71                   | 55       |
| New Jersey           | 752     | 71                   | 57             | 98     | 72                   | 53                      | 284    | 78                    | 53             | 235   | 84                   | 63       |
| Pennsylvania         |         |                      |                |        |                      |                         |        |                       |                |       |                      |          |
| Eastern              | 289     | 89                   | 57             | 66     | 106                  | 70                      | 85     | 147                   | 78             | 139   | 98                   | 70       |
| Middle               | 82      | 113                  | 79             | 35     | 84                   | 64                      | 97     | 68                    | 54             | 122   | 83                   | 68       |
| Western              | 40      | 89                   | 72             | 25     | 122                  | 119                     | 78     | 97                    | 88             | 74    | 127                  | 101      |
| Virgin Islands       | 10      | 80                   | 62             | 14     | 40                   | 46                      | 5      | 83                    | 81             | 2     | 109                  | 109      |
| FOURTH CIRCUIT       | 699     | 109                  | 93             | 135    | 118                  | 110                     | 255    | 113                   | 104            | 665   | 110                  | 96       |
| Maryland             | 177     | 127                  | 103            | 18     | 200                  | 184                     | 98     | 144                   | 119            | 198   | 131                  | 101      |
| North Carolina       |         |                      |                |        |                      |                         |        |                       | -              |       |                      |          |
| Eastern              | 20      | 110                  | 91             | 1      | 97                   | 97                      | 4      | 105                   | 99             | 32    | 129                  | 125      |
| Middle               | 8       | 81                   | 71             | 0      | 0                    | 0                       | 0      | 0                     | 0              | 6     | 94                   | 84       |
| Western              | 55      | 118                  | 77             | 3      | 48                   | 42                      | 10     | 106                   | 96             | 51    | 94                   |          |
| South Carolina       | 141     | 79                   | 65             | 4      | 105                  | 105                     | 29     | 90                    | 89             | 58    | 106                  | 94       |
| Virginia             | 141     | 1)                   | 03             | 7      | 103                  | 103                     | 2)     | 70                    | 67             | 36    | 100                  | 74       |
| Eastern              | 226     | 117                  | 115            | 53     | 145                  | 139                     | 63     | 115                   | 122            | 164   | 121                  | 114      |
| Western              | 30      | 152                  | 85             | 19     | 115                  | 85                      | 15     | 92                    | 87             | 47    | 121                  |          |
| West Virginia        | 30      | 132                  | 0.5            | 17     | 113                  | 63                      | 13     | 72                    | 0/             | 4/    | 120                  | 102      |
| Northern             | 17      | 63                   | 78             | 37     | 50                   | 48                      | 36     | 57                    | 57             | 64    | 50                   | 15       |
| Southern             | 25      | 40                   | 38             | 0      | 0                    | 48                      | 0      | 0                     | 0              | 45    | 65                   | 45<br>63 |
| Souniem              | 23      | 40                   | 30             | U      | U                    | U                       | I 0    | U                     | U              | 43    | 03                   | 03       |

### Average Guideline Minimum and Length of Imprisonment by Circuit and District (cont.)

|                          | Koon<br>Period |             |      | PROTECT Act Period |             |                |                | <i>Booker</i><br>Period |      | <i>Gall</i><br>Period |            |      |  |
|--------------------------|----------------|-------------|------|--------------------|-------------|----------------|----------------|-------------------------|------|-----------------------|------------|------|--|
| CIRCUIT                  |                | 96 - 04/30/ | /03) |                    | 03 - 6/24/0 | (4)            |                | 05 - 12/10/             | (07) | (12/1                 | 1/07-9/30/ | 11)  |  |
| emeeri                   | (0/15/         | Mean        |      | (3/1/              | Mean        | <del>(1)</del> | (1/12/         | Mean                    |      | (12/1                 | Mean       |      |  |
|                          |                | Guideline   | Mean |                    | Guideline   | Mean           |                | Guideline               |      |                       | Guideline  | Mean |  |
| District                 | Total          | Minimum     |      | Total              | Minimum     | Months         | Total          | Minimum                 |      | Total                 | Minimum    |      |  |
| FIFTH CIRCUIT            | 1,032          | 69          | 64   | 163                | 73          | 69             | 380            | 88                      | 80   | 612                   | 90         | 78   |  |
| Louisiana                |                |             |      |                    |             |                |                |                         |      |                       |            |      |  |
| Eastern                  | 109            | 49          | 53   | 42                 | 64          | 67             | 53             | 69                      | 67   | 68                    | 121        | 121  |  |
| Middle                   | 0              | 0           | 0    | 1                  | 121         | 135            | 0              | 0                       | 0    | 0                     | 0          | 0    |  |
| Western                  | 3              | 40          | 43   | 0                  | 0           | 0              | 4              | 124                     | 127  | 2                     | 139        | 134  |  |
| Mississippi              |                |             |      |                    |             |                |                |                         |      |                       |            |      |  |
| Northern                 | 0              | 0           | 0    | 0                  | 0           | 0              | 0              | 0                       | 0    | 0                     | 0          | 0    |  |
| Southern                 | 1              | 57          | 55   | 0                  | 0           | 0              | 3              | 109                     | 90   | 4                     | 66         | 65   |  |
| Texas                    |                |             |      |                    |             |                |                |                         |      |                       |            |      |  |
| Eastern                  | 62             | 99          | 86   | 5                  | 28          | 35             | 26             | 99                      | 87   | 67                    | 110        | 95   |  |
| Northern                 | 121            | 81          | 79   | 7                  | 84          | 74             | 14             | 70                      | 79   | 33                    | 99         | 97   |  |
| Southern                 | 393            | 67          | 61   | 58                 | 75          | 66             | 112            | 87                      | 78   | 161                   | 91         | 78   |  |
| Western                  | 343            | 68          | 62   | 50                 | 80          | 76             | 168            | 93                      | 83   | 277                   | 75         | 62   |  |
| Western                  | 343            | 00          | 02   | 30                 | 80          | 70             | 100            | 93                      | 0.5  | 2//                   | 13         | 02   |  |
| SIXTH CIRCUIT            | 381            | 81          | 61   | 53                 | 87          | 69             | 319            | 66                      | 52   | 491                   | 82         | 63   |  |
| Kentucky                 |                |             |      |                    |             |                |                |                         |      |                       |            |      |  |
| Eastern                  | 18             | 65          | 50   | 2                  | 130         | 113            | 27             | 46                      | 33   | 37                    | 59         | 45   |  |
| Western                  | 6              | 50          | 41   | 1                  | 37          | 43             | 4              | 44                      | 41   | 6                     | 95         | 50   |  |
| Michigan                 |                |             |      |                    |             |                |                |                         |      |                       |            |      |  |
| Eastern                  | 190            | 81          | 61   | 23                 | 57          | 38             | 36             | 94                      | 72   | 80                    | 74         | 60   |  |
| Western                  | 6              | 67          | 66   | 6                  | 104         | 97             | 36             | 96                      | 90   | 31                    | 113        | 106  |  |
| Ohio                     |                |             |      |                    |             |                |                |                         |      |                       |            |      |  |
| Northern                 | 49             | 65          | 58   | 12                 | 109         | 73             | 119            | 47                      | 37   | 105                   | 86         | 66   |  |
| Southern                 | 56             | 78          | 55   | 8                  | 119         | 117            | 67             | 83                      | 59   | 152                   | 85         | 60   |  |
| Tennessee                |                |             |      |                    |             |                |                |                         |      |                       |            |      |  |
| Eastern                  | 15             | 121         | 105  | 1                  | 120         | 120            | 18             | 67                      | 53   | 43                    | 74         | 60   |  |
| Middle                   | 14             | 73          | 66   | 0                  | 0           | 0              | 2              | 95                      | 99   | 21                    | 78         | 63   |  |
| Western                  | 27             | 122         | 69   | 0                  | 0           | 0              | 10             | 21                      | 15   | 16                    | 87         | 61   |  |
| Western                  | 21             | 122         | 07   | U                  | U           | O              | 10             | 21                      | 13   | 10                    | 07         | 01   |  |
| SEVENTH CIRCUIT Illinois | 365            | 99          | 78   | 47                 | 85          | 71             | 217            | 95                      | 85   | 458                   | 120        | 92   |  |
| Central                  | 22             | 112         | 86   | 0                  | 0           | 0              | 8              | 145                     | 191  | 18                    | 156        | 134  |  |
| Northern                 | 236            | 106         | 79   | 40                 | 80          | 61             | 119            | 91                      | 75   | 211                   | 130        | 99   |  |
| Southern                 | 9              | 65          | 60   | 1                  | 30          | 30             | 6              | 159                     | 131  | 25                    | 160        | 162  |  |
| Indiana                  |                |             |      |                    |             |                |                |                         |      |                       |            |      |  |
| Northern                 | 19             | 29          | 36   | 0                  | 0           | 0              | 12             | 50                      | 45   | 36                    | 72         | 55   |  |
| Southern                 | 25             | 128         | 107  | 0                  | 0           | 0              | 18             | 123                     | 117  | 12                    | 119        | 90   |  |
| Wisconsin                | 23             | 120         | 107  | U                  | O           | U              | 10             | 123                     | 11/  | 12                    | 117        | 70   |  |
| Eastern                  | 53             | 79          | 75   | 3                  | 94          | 104            | 42             | 78                      | 69   | 135                   | 107        | 70   |  |
| Western                  | 1              | 110         | 120  | 3                  | 163         | 187            | 12             | 130                     | 135  | 21                    | 110        | 101  |  |
| western                  | 1              | 110         | 120  | 3                  | 103         | 10/            | 12             | 130                     | 155  | 21                    | 110        | 101  |  |
| EIGHTH CIRCUIT           | 239            | 93          | 76   | 33                 | 97          | 84             | 135            | 97                      | 93   | 175                   | 93         | 75   |  |
| Arkansas                 |                |             |      |                    |             |                |                |                         |      |                       |            |      |  |
| Eastern                  | 2              | 95          | 95   | 0                  | 0           | 0              | 0              | 0                       | 0    | 4                     | 65         | 40   |  |
| Western                  | 1              | 120         | 120  | 0                  | 0           | 0              | 1              | 46                      | 46   | 0                     | 0          | 0    |  |
| Iowa                     |                |             |      |                    |             |                |                |                         |      |                       |            |      |  |
| Northern                 | 1              | 30          | 46   | 0                  | 0           | 0              | 18             | 146                     | 147  | 5                     | 35         | 67   |  |
| Southern                 | 10             | 88          | 88   | 0                  | 0           | 0              | 1              | 240                     | 180  | 5                     | 105        | 62   |  |
| Minnesota                | 10             | 46          | 41   | 6                  | 43          | 33             | 5              | 80                      | 61   | 23                    | 62         | 53   |  |
| Missouri                 |                |             |      |                    |             |                |                |                         |      |                       |            |      |  |
| Eastern                  | 170            | 103         | 83   | 25                 | 113         | 98             | 100            | 87                      | 83   | 115                   | 98         | 80   |  |
| Western                  | 14             | 34          | 23   | 0                  | 0           | 0              | 3              | 64                      | 64   | 13                    | 144        | 83   |  |
| Nebraska                 | 27             | 83          | 75   | 2                  | 58          | 58             | 5              | 119                     | 119  | 8                     | 89         | 87   |  |
| North Dakota             | 4              | 35          | 25   | 0                  | 0           | 0              | 0              | 0                       | 0    | 1                     | 33         | 33   |  |
| South Dakota             | 0              | 0           | 0    | 0                  | 0           | 0              | 2              | 151                     | 106  | 1                     | 10         | 60   |  |
| South Dakota             | U              | 0           | ٠Į   | U                  | U           | U              | I <sup>∠</sup> | 131                     | 100  | I I                   | 10         | 00   |  |

Average Guideline Minimum and Length of Imprisonment by Circuit and District (cont.)

|                          | Koon Period |                   |                  |        | OTECT A           | ct   |         | <i>Booker</i><br>Period |      | Gall Period |                   |      |  |
|--------------------------|-------------|-------------------|------------------|--------|-------------------|------|---------|-------------------------|------|-------------|-------------------|------|--|
| CIRCUIT                  | (6/13/9     | 96 - 04/30/       | /03)             | (5/1/0 | 03 - 6/24/0       | 4)   | (1/12/0 | 05 - 12/10/             | (07) | (12/1)      | 1/07-9/30/        | 11)  |  |
| •                        |             | Mean<br>Guideline | Mean             |        | Mean<br>Guideline | Mean |         | Mean<br>Guideline       | Mean | ·           | Mean<br>Guideline | Mean |  |
| District                 | Total       | Minimum           |                  | Total  | Minimum           |      | Total   | Minimum                 |      | Total       | Minimum           |      |  |
| NINTH CIRCUIT            | 1,085       | 69                | 57               | 106    | 69                | 58   | 285     | 70                      | 57   | 677         | 78                | 59   |  |
| Alaska                   | 7           | 88                | 80               | 1      | 18                | 10   | 0       | 0                       | 0    | 23          | 86                | 67   |  |
| Arizona                  | 139         | 66                | 51               | 9      | 70                | 59   | 58      | 59                      | 50   | 83          | 69                | 51   |  |
| California               |             |                   |                  |        |                   |      |         |                         |      |             |                   |      |  |
| Central                  | 96          | 79                | 73               | 7      | 87                | 59   | 14      | 55                      | 46   | 121         | 73                | 59   |  |
| Eastern                  | 74          | 89                | 81               | 5      | 74                | 56   | 38      | 66                      | 61   | 35          | 78                | 65   |  |
| Northern                 | 110         | 71                | 59               | 13     | 72                | 66   | 25      | 101                     | 79   | 43          | 107               | 86   |  |
| Southern                 | 230         | 67                | 48               | 13     | 62                | 45   | 39      | 64                      | 48   | 159         | 67                | 49   |  |
| Guam                     | 3           | 11                | 12               | 1      | 120               | 97   | 0       | 0                       | 0    | 0           | 0                 | 0    |  |
| Hawaii                   | 128         | 51                | 43               | 15     | 72                | 50   | 9       | 94                      | 80   | 16          | 64                | 46   |  |
| Idaho                    | 3           | 44                | 28               | 0      | 0                 | 0    | 0       | 0                       | 0    | 0           | 0                 | 0    |  |
| Montana                  | 11          | 73                | 62               | 2      | 18                | 16   | 0       | 0                       | 0    | 8           | 104               | 99   |  |
| Nevada                   | 24          | 65                | 60               | 3      | 66                | 57   | 5       | 61                      | 63   | 29          | 48                | 46   |  |
| Northern Mariana Islands | 1           | 168               | 210              | 0      | 0                 | 0    | 0       | 0                       | 0    | 0           | 0                 | 0    |  |
| Oregon                   | 106         | 67                | 63               | 15     | 58                | 57   | 21      | 65                      | 48   | 67          | 105               | 75   |  |
| Washington               |             |                   |                  |        |                   |      |         |                         |      |             |                   |      |  |
| Eastern                  | 27          | 66                | 62               | 6      | 74                | 67   | 3       | 67                      | 63   | 27          | 66                | 50   |  |
| Western                  | 126         | 76                | 58               | 16     | 78                | 72   | 73      | 76                      | 59   | 66          | 88                | 63   |  |
| TENTH CIRCUIT            | 302         | 46                | 39               | 57     | 53                | 51   | 150     | 73                      | 59   | 258         | 76                | 62   |  |
| Colorado                 | 108         | 30                | 23               | 15     | 38                | 30   | 26      | 66                      | 45   | 12          | 52                | 40   |  |
| Kansas                   | 18          | 81                | 84               | 10     | 82                | 84   | 7       | 98                      | 85   | 24          | 143               | 119  |  |
| New Mexico               | 138         | 50                | 43               | 25     | 42                | 40   | 80      | 66                      | 54   | 112         | 64                | 46   |  |
| Oklahoma                 |             |                   |                  |        |                   |      |         |                         |      |             |                   |      |  |
| Eastern                  | 1           | 24                | 28               | 0      | 0                 | 0    | 1       | 57                      | 70   | 0           | 0                 | 0    |  |
| Northern                 | 7           | 86                | 63               | 0      | 0                 | 0    | 6       | 98                      | 90   | 8           | 67                | 45   |  |
| Western                  | 15          | 53                | 52               | 2      | 76                | 84   | 2       | 38                      | 29   | 17          | 86                | 77   |  |
| Utah                     | 13          | 51                | 46               | 5      | 87                | 90   | 25      | 82                      | 63   | 76          | 73                | 66   |  |
| Wyoming                  | 2           | 84                | 53               | 0      | 0                 | 0    | 3       | 181                     | 176  | 9           | 88                | 86   |  |
| ELEVENTH CIRCUIT         | 1,718       | 64                | 60               | 247    | 74                | 67   | 417     | 84                      | 72   | 373         | 87                | 78   |  |
| Alabama                  | -,          |                   |                  |        |                   |      |         |                         |      |             |                   |      |  |
| Middle                   | 0           | 0                 | 0                | 0      | 0                 | 0    | 0       | 0                       | 0    | 1           | 188               | 80   |  |
| Northern                 | 6           | 113               | 72               | 2      | 117               | 64   | 1       | 168                     | 90   | 6           | 110               | 92   |  |
| Southern                 | 1           | 57                | 71               | 0      | 0                 | 0    | 1       | 120                     | 22   | 0           | 0                 | 0    |  |
| Florida                  |             |                   |                  |        |                   |      | _       |                         |      |             |                   |      |  |
| Middle                   | 268         | 86                | 78               | 22     | 76                | 74   | 82      | 98                      | 80   | 52          | 76                | 72   |  |
| Northern                 | 9           | 39                | 38               | 0      | 0                 | 0    | 1       | 108                     | 121  | 0           | 0                 | 0    |  |
| Southern                 | 1,329       | 58                | 56               | 207    | 73                | 67   | 293     | 79                      | 70   | 272         | 84                | 76   |  |
| Georgia                  | 1,527       | 20                | 50               | 201    | , 5               | 0,   | 273     | 1)                      | , ,  | 212         | 04                | , 0  |  |
| Middle                   | 5           | 66                | 68               | 0      | 0                 | 0    | 0       | 0                       | 0    | 1           | 37                | 15   |  |
| Northern                 | 85          | 76                | 66               | 15     | 66                | 65   | 38      | 89                      | 75   | 34          | 107               | 94   |  |
| Southern                 | 15          | 69                | 74               | 13     | 108               | 120  | 1       | 70                      | 120  | 7           | 131               | 137  |  |
| Journalin                | 13          | 0)                | / <del>-</del> 1 | 1      | 100               | 120  | 1       | , 0                     | 120  | ,           | 131               | 157  |  |

Sentences of probation only are included as zero (0) months of imprisonment. Life sentences and other sentences exceeding 470 months are capped at 470 months. This analysis includes time of confinement as described in USSG §5C1.1 (Imposition of a Term of Imprisonment). Some cases were excluded due to missing or indeterminable sentencing information

Guideline minimums account for applicable statutory mandatory penalties. Guideline minimums of life and other guideline minimums exceeding 470 months are capped at 470 months.

# Spread of Rates of Government Sponsored Below Range Sentences Heroin Trafficking Offenses

|                         | Koon    |   |  |
|-------------------------|---------|---|--|
|                         |         | Period  |  |
|                         |         | (6/13/96 - 4/30/03)   |  |
| District                | Percent | Number of Govt<br>Sponsored Below<br>Range Sentences<br>Imposed | Total Number of<br>Heroin Trafficking<br>Sentences Imposed |
| Mississippi, Southern   | 100.0   | 1   | 1  |
| Alabama, Northern       | 83.3    | 5   | 6  |
| Tennessee, Western      | 66.7    | 18  | 27   |
| Idaho                   | 66.7    | 2   | 3  |
| Virginia, Western       | 60.0    | 18  | 30   |
| Virgin Islands          | 60.0    | 6   | 10   |
| Pennsylvania, Middle    | 57.3    | 47  | 82   |
| Delaware                | 57.1    | 4   | 7  |
| Pennsylvania, Eastern   | 57.1    | 165   | 289  |
| North Carolina, Western | 52.7    | 29  | 55   |
| Indiana, Southern       | 52.0    | 13  | 25   |
| Ohio, Southern          | 50.0    | 28  | 56   |
| North Carolina, Eastern | 50.0    | 10  | 20   |
| Kentucky, Eastern       | 50.0    | 9   | 18   |
| Missouri, Western       | 50.0    | 7   | 14   |
| Wyoming                 | 50.0    | 1   | 2  |
| Michigan, Eastern       | 45.3    | 86  | 190  |
| New York, Northern      | 44.7    | 34  | 76   |
| Missouri, Eastern       | 42.9    | 73  | 170  |
| Oklahoma, Northern      | 42.9    | 3   | 7  |
| District of Columbia    | 41.1    | 44  | 107  |
| Illinois, Central       | 40.9    | 9   | 22   |
| New York, Western       | 39.7    | 48  | 121  |
| Florida, Middle         | 39.2    | 105   | 268  |
| Maryland                | 39.0    | 69  | 177  |
| Maine                   | 38.9    | 7   | 18   |
| Illinois, Northern      | 37.9    | 89  | 235  |
| New Hampshire           | 37.5    | 3   | 8  |
| North Carolina, Middle  | 37.5    | 3   | 8  |
| South Carolina          | 34.8    | 49  | 141  |
| Tennessee, Eastern      | 33.3    | 5   | 15   |
| Florida, Northern       | 33.3    | 3   | 9  |
| Kentucky, Western       | 33.3    | 2   | 6  |
| Michigan, Western       | 33.3    | 2   | 6  |
| Hawaii                  | 33.1    | 42  | 127  |
| Massachusetts           | 32.2    | 95  | 295  |
| New Jersey              | 31.2    | 234   | 750  |
| California, Southern    | 30.9    | 71  | 230  |
| Washington, Western     | 30.2    | 38  | 126  |
| Alaska                  | 28.6    | 2   | 7  |
| Texas, Eastern          | 27.4    | 17  | 62   |
| Montana                 | 27.3    | 3   | 11   |
|                         |         |   |  |

#### Spread of Rates of Government Sponsored Below Range Sentences Heroin Trafficking Offenses (cont.)

Koon
Period
(6/13/96 - 4/30/03)

|                          | (6/13/96 - 4/30/03) |                       |                        |
|--------------------------|---------------------|-----------------------|------------------------|
|                          |                     | <b>Number of Govt</b> |                        |
|                          |                     | Sponsored Below       | <b>Total Number of</b> |
|                          |                     | Range Sentences       | Heroin Trafficking     |
| District                 | Percent             | Imposed               | Sentences Imposed      |
| Georgia, Southern        | 26.7                | 4                     | 15                     |
| Connecticut              | 26.2                | 28                    | 107                    |
| Colorado                 | 25.0                | 27                    | 108                    |
| North Dakota             | 25.0                | 1                     | 4                      |
| New York, Southern       | 23.1                | 202                   | 876                    |
| Vermont                  | 23.0                | 20                    | 87                     |
| California, Northern     | 22.9                | 25                    | 109                    |
| Georgia, Northern        | 22.4                | 19                    | 85                     |
| Illinois, Southern       | 22.2                | 2                     | 9                      |
| Tennessee, Middle        | 21.4                | 3                     | 14                     |
| Texas, Southern          | 21.4                | 84                    | 393                    |
| New York, Eastern        | 20.5                | 350                   | 1,706                  |
| Oklahoma, Western        | 20.0                | 3                     | 15                     |
| Iowa, Southern           | 20.0                | 2                     | 10                     |
| Minnesota                | 20.0                | 2                     | 10                     |
| Texas, Western           | 19.0                | 65                    | 342                    |
| Wisconsin, Eastern       | 18.9                | 10                    | 53                     |
| Ohio, Northern           | 18.4                | 9                     | 49                     |
| Pennsylvania, Western    | 17.5                | 7                     | 40                     |
| Nevada                   | 16.7                | 4                     | 24                     |
| California, Central      | 16.3                | 15                    | 92                     |
| Arizona                  | 15.8                | 22                    | 139                    |
| Indiana, Northern        | 15.8                | 3                     | 19                     |
| Oregon                   | 15.1                | 16                    | 106                    |
| California, Eastern      | 15.1                | 11                    | 73                     |
| New Mexico               | 13.8                | 19                    | 138                    |
| Nebraska                 | 11.1                | 3                     | 27                     |
| Washington, Eastern      | 11.1                | 3                     | 27                     |
| Texas, Northern          | 10.7                | 13                    | 121                    |
| Puerto Rico              | 10.3                | 45                    | 438                    |
| Louisiana, Eastern       | 9.3                 | 10                    | 108                    |
| Virginia, Eastern        | 8.8                 | 20                    | 226                    |
| West Virginia, Southern  | 8.0                 | 2                     | 25                     |
| Rhode Island             | 7.7                 | 4                     | 52                     |
| West Virginia, Northern  | 5.9                 | 1                     | 17                     |
| Kansas                   | 5.6                 | 1                     | 18                     |
| Florida, Southern        | 4.8                 | 64                    | 1,327                  |
| Utah                     | 0.0                 | 0                     | 12                     |
| Georgia, Middle          | 0.0                 | 0                     | 5                      |
| Louisiana, Western       | 0.0                 | 0                     | 3                      |
| Arkansas, Eastern        | 0.0                 | 0                     | 2                      |
| Guam                     | 0.0                 | 0                     | 2                      |
| Wisconsin, Western       | 0.0                 | 0                     | 1                      |
| Arkansas, Western        | 0.0                 | 0                     | 1                      |
| Iowa, Northern           | 0.0                 | 0                     | 1                      |
| Northern Mariana Islands | 0.0                 | 0                     | 1                      |
| Oklahoma, Eastern        | 0.0                 | 0                     | 1                      |
| Alabama, Southern        | 0.0                 | 0                     | 1                      |
| *                        |                     |                       |                        |

The following districts had no heroin trafficking offenses in the selected time period: Middle Louisiana, Northern Mississippi, South Dakota, and Middle Alabama.

### Spread of Rates of Government Sponsored Below Range Sentences Heroin Trafficking Offenses

| PROTECT | Act |  |
|---------|-----|--|
| Period  |     |  |

|                         |         | Period                |                        |
|-------------------------|---------|-----------------------|------------------------|
|                         |         | (5/1/03 - 6/24/04)    |                        |
|                         |         | <b>Number of Govt</b> |                        |
|                         |         | Sponsored Below       | <b>Total Number of</b> |
|                         |         | Range Sentences       | Heroin Trafficking     |
| District                | Percent | Imposed               | Sentences Imposed      |
|                         |         |                       |                        |
| Alabama, Northern       | 100.0   | 2                     | 2                      |
| Alaska                  | 100.0   | 1                     | 1                      |
| Guam                    | 100.0   | 1                     | 1                      |
| Ohio, Northern          | 75.0    | 9                     | 12                     |
| Pennsylvania, Middle    | 71.4    | 25                    | 35                     |
| California, Southern    | 69.2    | 9                     | 13                     |
| Nevada                  | 66.7    | 2                     | 3                      |
| Virginia, Western       | 63.2    | 12                    | 19                     |
| Pennsylvania, Eastern   | 60.6    | 40                    | 66                     |
| Hawaii                  | 60.0    | 9                     | 15                     |
| California, Eastern     | 60.0    | 3                     | 5                      |
| New York, Western       | 57.1    | 8                     | 14                     |
| Texas, Northern         | 57.1    | 4                     | 7                      |
| California, Central     | 57.1    | 4                     | 7                      |
| Michigan, Eastern       | 54.5    | 12                    | 22                     |
| District of Columbia    | 50.0    | 7                     | 14                     |
| Maine                   | 50.0    | 3                     | 6                      |
| Kentucky, Eastern       | 50.0    | 1                     | 2                      |
| Montana                 | 50.0    | 1                     | 2                      |
| Colorado                | 46.7    | 7                     | 15                     |
| Illinois, Northern      | 45.0    | 18                    | 40                     |
| Vermont                 | 37.8    | 14                    | 37                     |
| New Jersey              | 36.7    | 36                    | 98                     |
| Texas, Southern         | 33.3    | 19                    | 57                     |
| Arizona                 | 33.3    | 3                     | 9                      |
| Minnesota               | 33.3    | 2                     | 6                      |
| Washington, Eastern     | 33.3    | 2                     | 6                      |
| New Hampshire           | 33.3    | 1                     | 3                      |
| Delaware                | 33.3    | 1                     | 3                      |
| Missouri, Eastern       | 28.0    | 7                     | 25                     |
| Maryland                | 27.8    | 5                     | 18                     |
| Connecticut             | 25.0    | 5                     | 20                     |
| Florida, Middle         | 18.2    | 4                     | 22                     |
| Texas, Western          | 18.0    | 9                     | 50                     |
| Michigan, Western       | 16.7    | 1                     | 6                      |
| New Mexico              | 16.0    | 4                     | 25                     |
| New York, Southern      | 16.0    | 23                    | 144                    |
| New York, Eastern       | 15.4    | 47                    | 305                    |
| California, Northern    | 15.4    | 2                     | 13                     |
| Virgin Islands          | 14.3    | 2                     | 14                     |
| West Virginia, Northern | 13.5    | 5                     |                        |
| Washington, Western     | 12.5    | 2                     |                        |
|                         | 12.3    | 2                     | 10                     |

#### Spread of Rates of Government Sponsored Below Range Sentences Heroin Trafficking Offenses (cont.)

# PROTECT Act Period

|                         |         | (5/1/03 - 6/24/04)  |  |
|-------------------------|---------|---|--|
| District                | Percent | Number of Govt<br>Sponsored Below<br>Range Sentences<br>Imposed | Total Number of<br>Heroin Trafficking<br>Sentences Imposed |
| Ohio, Southern          | 12.5    | 1   | 8  |
| Massachusetts           | 12.1    | 4   | 33   |
| Pennsylvania, Western   | 12.0    | 3   | 25   |
| Florida, Southern       | 11.6    | 24  | 207  |
| Puerto Rico             | 10.2    | 11  | 108  |
| Kansas                  | 10.0    | 1   | 10   |
| Virginia, Eastern       | 9.4     | 5   | 53   |
| Oregon                  | 6.7     | 1   | 15   |
| Georgia, Northern       | 6.7     | 1   | 15   |
| Louisiana, Eastern      | 4.8     | 2   | 42   |
| Rhode Island            | 0.0     | 0   | 10   |
| New York, Northern      | 0.0     | 0   | 5  |
| Texas, Eastern          | 0.0     | 0   | 5  |
| Utah                    | 0.0     | 0   | 5  |
| South Carolina          | 0.0     | 0   | 4  |
| North Carolina, Western | 0.0     | 0   | 3  |
| Wisconsin, Eastern      | 0.0     | 0   | 3  |
| Wisconsin, Western      | 0.0     | 0   | 3  |
| Nebraska                | 0.0     | 0   | 2  |
| Oklahoma, Western       | 0.0     | 0   | 2  |
| North Carolina, Eastern | 0.0     | 0   | 1  |
| Louisiana, Middle       | 0.0     | 0   | 1  |
| Kentucky, Western       | 0.0     | 0   | 1  |
| Tennessee, Eastern      | 0.0     | 0   | 1  |
| Illinois, Southern      | 0.0     | 0   | 1  |
| Georgia, Southern       | 0.0     | 0   | 1  |

The following districts had no heroin trafficking offenses in the selected time period: Middle North Carolina, Southern West Virginia, Western Louisiana, Northern Mississippi, Southern Mississippi, Middle Tennessee, Western Tennessee, Central Illinois, Northern Indiana, Southern Indiana, Eastern Arkansas, Western Arkansas, Northern Iowa, Southern Iowa, Western Missouri, North Dakota, South Dakota, Idaho, Northern Mariana Islands, Eastern Oklahoma, Northern Oklahoma, Wyoming, Middle Alabama, Southern Alabama, Northern Florida, and Middle Georgia.

# Spread of Rates of Government Sponsored Below Range Sentences Heroin Trafficking Offenses

|                         |         | Booker<br>Period  |  |
|-------------------------|---------|---|--|
| District                | Percent | (1/12/05 - 12/10/07)<br>Number of Govt<br>Sponsored Below<br>Range Sentences<br>Imposed | Total Number of<br>Heroin Trafficking<br>Sentences Imposed |
| Iowa, Southern          | 100.0   | 1   | 1  |
| Alabama, Northern       | 100.0   | 1   | 1  |
| Alabama, Southern       | 100.0   | 1   | 1  |
| Tennessee, Eastern      | 72.2    | 13  | 18   |
| Colorado                | 69.2    | 18  | 26   |
| Hawaii                  | 66.7    | 6   | 9  |
| District of Columbia    | 64.1    | 25  | 39   |
| Ohio, Southern          | 61.2    | 41  | 67   |
| Kentucky, Eastern       | 59.3    | 16  | 27   |
| Pennsylvania, Eastern   | 57.6    | 49  | 85   |
| Arizona                 | 53.4    | 31  | 58   |
| California, Southern    | 52.6    | 20  | 38   |
| Pennsylvania, Middle    | 51.5    | 50  | 97   |
| Tennessee, Western      | 50.0    | 5   | 10   |
| Oklahoma, Northern      | 50.0    | 3   | 6  |
| Oklahoma, Western       | 50.0    | 1   | 2  |
| Michigan, Eastern       | 47.2    | 17  | 36   |
| Iowa, Northern          | 44.4    | 8   | 18   |
| New Jersey              | 44.4    | 126   | 284  |
| California, Northern    | 44.0    | 11  | 25   |
| Kansas                  | 42.9    | 3   | 7  |
| Minnesota               | 40.0    | 2   | 5  |
| Indiana, Southern       | 38.9    | 7   | 18   |
| Maryland                | 37.8    | 37  | 98   |
| Vermont                 | 36.7    | 11  | 30   |
| New Hampshire           | 35.3    | 6   | 17   |
| Texas, Eastern          | 34.6    | 9   | 26   |
| Florida, Middle         | 34.1    | 28  | 82   |
| Texas, Southern         | 33.9    | 38  | 112  |
| Illinois, Southern      | 33.3    | 2   | 6  |
| Ohio, Northern          | 32.8    | 39  | 119  |
| Utah                    | 32.0    | 8   | 25   |
| Connecticut             | 31.3    | 21  | 67   |
| Rhode Island            | 30.8    | 4   | 13   |
| North Carolina, Western | 30.0    | 3   | 10   |
| New York, Northern      | 28.6    | 6   | 21   |
| Oregon                  | 28.6    | 6   | 21   |
| Michigan, Western       | 27.8    | 10  | 36   |
| Texas, Western          | 27.4    | 46  | 168  |
| New York, Western       | 27.3    | 12  | 44   |
| Virginia, Western       | 26.7    | 4   | 15   |
| Illinois, Northern      | 26.1    | 31  | 119  |

#### Spread of Rates of Government Sponsored Below Range Sentences Heroin Trafficking Offenses (cont.)

**Booker** Period

|                              | reriou  |                        |                        |
|------------------------------|---------|------------------------|------------------------|
|                              |         | (1/12/05 - 12/10/07)   |                        |
|                              |         | <b>Number of Govt</b>  |                        |
|                              |         | <b>Sponsored Below</b> | <b>Total Number of</b> |
|                              |         | Range Sentences        | Heroin Trafficking     |
| District                     | Percent | Imposed                | Sentences Imposed      |
| Washington, Western          | 26.0    | 19                     | 73                     |
| New York, Eastern            | 25.6    | 127                    | 497                    |
| Indiana, Northern            | 25.0    | 3                      | 12                     |
| Maine                        | 25.0    | 2                      | 8                      |
| North Carolina, Eastern      | 25.0    | 1                      | 4                      |
| Kentucky, Western            | 25.0    | 1                      | 4                      |
| New York, Southern           | 23.7    | 118                    | 498                    |
| New Mexico                   | 21.3    | 17                     | 80                     |
| Delaware                     | 21.3    | 4                      | 19                     |
| Virgin Islands               | 20.0    | 1                      | 5                      |
| Florida, Southern            | 19.1    | 56                     | 293                    |
| Massachusetts                | 18.6    | 19                     | 102                    |
| Louisiana, Eastern           | 17.0    | 9                      | 53                     |
| Missouri, Eastern            | 16.2    | 16                     | 99                     |
| Wisconsin, Eastern           | 14.3    | 6                      | 42                     |
| Texas, Northern              | 14.3    | 2                      | 14                     |
| South Carolina               | 13.8    | 4                      | 29                     |
| Puerto Rico                  | 13.7    | 18                     | 131                    |
| Georgia, Northern            | 13.7    | 5                      | 38                     |
| California, Eastern          | 10.5    | 4                      | 38                     |
| Pennsylvania, Western        | 10.3    | 8                      | 78                     |
| California, Central          | 7.1     | 1                      | 14                     |
| West Virginia, Northern      | 2.8     | 1                      | 36                     |
| Virginia, Eastern            | 0.0     | 0                      | 63                     |
| Wisconsin, Western           | 0.0     | 0                      | 12                     |
| Illinois, Central            | 0.0     | 0                      | 8                      |
| Nebraska                     | 0.0     | 0                      | 5                      |
| Nevada                       | 0.0     | 0                      | 5                      |
| Louisiana, Western           | 0.0     | 0                      | 4                      |
|                              | 0.0     | 0                      | 3                      |
| Mississippi, Southern        | 0.0     | 0                      | 3                      |
| Missouri, Western            | 0.0     | 0                      | 3                      |
| Washington, Eastern          | 0.0     | 0                      | 3                      |
| Wyoming<br>Tennessee, Middle |         | 0                      | 2                      |
|                              | 0.0     |                        |                        |
| South Dakota                 | 0.0     | 0                      | 2                      |
| Arkansas, Western            | 0.0     | 0                      | 1                      |
| Oklahoma, Eastern            | 0.0     | 0                      | 1                      |
| Florida, Northern            | 0.0     | 0                      | 1                      |
| Georgia, Southern            | 0.0     | 0                      | 1                      |

The following districts had no heroin trafficking offenses in the selected time period: Middle North Carolina, Southern West Virginia, Middle Louisiana, Northern Mississippi, Eastern Arkansas, North Dakota, Alaska, Guam, Idaho, Montana, Northern Mariana Islands, Middle Alabama, and Middle Georgia.

### Spread of Rates of Government Sponsored Below Range Sentences Heroin Trafficking Offenses

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|--------|--|
| Pariod |  |

|                         |         | Gail                 |                    |
|-------------------------|---------|----------------------|--------------------|
|                         |         | Period               |                    |
|                         |         | (12/11/07 - 9/30/11) |                    |
|                         |         | Number of Govt       |                    |
|                         |         | Sponsored Below      | Total Number of    |
|                         |         | _                    |                    |
| D1 . 1 .                | D 4     | Range Sentences      | Heroin Trafficking |
| District                | Percent | Imposed              | Sentences Imposed  |
| Georgia, Middle         | 100.0   | 1                    | 1                  |
| Kentucky, Western       | 83.3    | 5                    | 6                  |
| Maine                   | 80.0    | 16                   | 20                 |
| New York, Western       | 75.8    | 50                   | 66                 |
| California, Southern    | 68.6    | 109                  | 159                |
| Arizona                 | 65.1    | 54                   | 83                 |
| Oklahoma, Northern      | 62.5    | 5                    | 8                  |
| Kentucky, Eastern       | 62.2    | 23                   | 37                 |
| Iowa, Southern          | 60.0    | 3                    | 5                  |
| Indiana, Northern       | 58.3    | 21                   | 36                 |
| Indiana, Southern       | 58.3    | 7                    | 12                 |
| Tennessee, Eastern      | 58.1    | 25                   | 43                 |
| Pennsylvania, Middle    | 57.4    | 70                   | 122                |
| * *                     | 56.3    | 9                    |                    |
| Hawaii                  |         | 7                    | 16                 |
| Missouri, Western       | 53.8    |                      | 13                 |
| Alaska                  | 52.2    | 12                   | 23                 |
| District of Columbia    | 51.5    | 34                   | 66                 |
| Wisconsin, Eastern      | 51.1    | 69                   | 135                |
| Ohio, Southern          | 50.0    | 76                   | 152                |
| Louisiana, Western      | 50.0    | 1                    | 2                  |
| Oregon                  | 46.3    | 31                   | 67                 |
| Illinois, Central       | 44.4    | 8                    | 18                 |
| California, Northern    | 41.9    | 18                   | 43                 |
| Pennsylvania, Eastern   | 41.7    | 58                   | 139                |
| Kansas                  | 41.7    | 10                   | 24                 |
| Maryland                | 41.4    | 82                   | 198                |
| Virginia, Western       | 40.4    | 19                   | 47                 |
| California, Eastern     | 40.0    | 14                   | 35                 |
| New Jersey              | 35.3    | 83                   | 235                |
| North Carolina, Eastern | 34.4    | 11                   | 32                 |
| Washington, Western     | 33.3    | 22                   | 66                 |
| Tennessee, Middle       | 33.3    | 7                    | 21                 |
| Colorado                | 33.3    | 4                    | 12                 |
| Wyoming                 | 33.3    | 3                    | 9                  |
| North Carolina, Middle  | 33.3    | 2                    | 6                  |
| Alabama, Northern       | 33.3    | 2                    | 6                  |
| Texas, Southern         | 32.9    | 53                   | 161                |
| Ohio, Northern          | 32.4    | 34                   | 105                |
| Tennessee, Western      | 31.3    | 5                    | 16                 |
| Pennsylvania, Western   | 31.1    | 23                   | 74                 |
| Illinois, Northern      | 30.8    | 65                   | 211                |
| New York, Eastern       | 30.1    | 170                  | 564                |
| •                       |         |                      |                    |

#### Spread of Rates of Government Sponsored Below Range Sentences Heroin Trafficking Offenses (cont.)

Gall Period

|                         | Period  |                      |                        |
|-------------------------|---------|----------------------|------------------------|
|                         |         | (12/11/07 - 9/30/11) |                        |
|                         |         | Number of Govt       |                        |
|                         |         | Sponsored Below      | <b>Total Number of</b> |
|                         |         | Range Sentences      | Heroin Trafficking     |
| District                | Percent | Imposed              | Sentences Imposed      |
| Texas, Eastern          | 29.9    | 20                   | 67                     |
| Washington, Eastern     | 29.6    | 8                    | 27                     |
| North Carolina, Western | 29.4    | 15                   | 51                     |
| Delaware                | 28.6    | 2                    | 7                      |
| Missouri, Eastern       | 27.8    | 32                   | 115                    |
| Texas, Northern         | 27.3    | 9                    | 33                     |
| New York, Northern      | 27.3    | 6                    | 22                     |
| Connecticut             | 25.9    | 38                   | 147                    |
| Texas, Western          | 25.6    | 71                   | 277                    |
| Mississippi, Southern   | 25.0    | 1                    | 4                      |
| Arkansas, Eastern       | 25.0    | 1                    | 4                      |
| California, Central     | 24.8    | 30                   | 121                    |
| New York, Southern      | 24.7    | 114                  | 461                    |
| New Mexico              | 24.1    | 27                   | 112                    |
| Massachusetts           | 23.4    | 18                   | 77                     |
| Michigan, Eastern       | 22.5    | 18                   | 80                     |
| South Carolina          | 20.7    | 12                   | 58                     |
| Georgia, Northern       | 20.6    | 7                    | 34                     |
| Louisiana, Eastern      | 17.6    | 12                   | 68                     |
| Minnesota               | 17.4    | 4                    | 23                     |
| Nevada                  | 17.2    | 5                    | 29                     |
| Rhode Island            | 16.4    | 9                    | 55                     |
| Florida, Middle         | 15.4    | 8                    | 52                     |
| Michigan, Western       | 12.9    | 4                    | 31                     |
| Puerto Rico             | 12.7    | 22                   | 173                    |
| Vermont                 | 12.5    | 1                    | 8                      |
| Montana                 | 12.5    | 1                    | 8                      |
| New Hampshire           | 11.1    | 1                    | 9                      |
| West Virginia, Northern | 10.9    | 7                    | 64                     |
| Utah                    | 10.5    | 8                    | 76                     |
| Florida, Southern       | 9.9     | 27                   | 272                    |
| Illinois, Southern      | 8.0     | 2                    | 25                     |
| Virginia, Eastern       | 6.7     | 11                   | 164                    |
| Oklahoma, Western       | 5.9     | 1                    | 17                     |
| West Virginia, Southern | 4.4     | 2                    | 45                     |
| Wisconsin, Western      | 0.0     | 0                    | 21                     |
| Nebraska                | 0.0     | 0                    | 8                      |
| Georgia, Southern       | 0.0     | 0                    | 7                      |
| Iowa, Northern          | 0.0     | 0                    | 5                      |
| Virgin Islands          | 0.0     | 0                    | 2                      |
| North Dakota            | 0.0     | 0                    | 1                      |
| South Dakota            | 0.0     | 0                    | 1                      |
| Alabama, Middle         | 0.0     | 0                    | 1                      |

The following districts had no heroin trafficking offenses in the selected time period: Middle Louisiana, Northern Mississippi, Western Arkansas, Guam, Idaho, Northern Mariana Islands, Eastern Oklahoma, Southern Alabama, and Northern Florida.

# Spread of Rates of §5K1.1 Substantial Assistance Sentences Heroin Trafficking Offenses

| Koon                |  |
|---------------------|--|
| Period              |  |
| (6/13/96 - 4/30/03) |  |

| <b>-</b>                | <b>D</b> | Number of §5K1.1  | Total Number of<br>Heroin Trafficking |
|-------------------------|----------|-------------------|---------------------------------------|
| District                | Percent  | Sentences Imposed | Sentences Imposed                     |
| Mississippi, Southern   | 100.0    | 1                 | 1                                     |
| Alabama, Northern       | 83.3     | 5                 | 6                                     |
| Tennessee, Western      | 66.7     | 18                | 27                                    |
| Idaho                   | 66.7     | 2                 | 3                                     |
| Virginia, Western       | 60.0     | 18                | 30                                    |
| Virgin Islands          | 60.0     | 6                 | 10                                    |
| Pennsylvania, Middle    | 57.3     | 47                | 82                                    |
| Delaware                | 57.1     | 4                 | 7                                     |
| Pennsylvania, Eastern   | 57.1     | 165               | 289                                   |
| North Carolina, Western | 52.7     | 29                | 55                                    |
| Indiana, Southern       | 52.0     | 13                | 25                                    |
| Ohio, Southern          | 50.0     | 28                | 56                                    |
| North Carolina, Eastern | 50.0     | 10                | 20                                    |
| Kentucky, Eastern       | 50.0     | 9                 | 18                                    |
| Missouri, Western       | 50.0     | 7                 | 14                                    |
| Wyoming                 | 50.0     | 1                 | 2                                     |
| Michigan, Eastern       | 45.3     | 86                | 190                                   |
| New York, Northern      | 44.7     | 34                | 76                                    |
| Oklahoma, Northern      | 42.9     | 3                 | 7                                     |
| Illinois, Central       | 40.9     | 9                 | 22                                    |
| Missouri, Eastern       | 40.6     | 69                | 170                                   |
| District of Columbia    | 40.2     | 43                | 107                                   |
| New York, Western       | 39.7     | 48                | 121                                   |
| Florida, Middle         | 39.2     | 105               | 268                                   |
| Maryland                | 39.0     | 69                | 177                                   |
| Maine                   | 38.9     | 7                 | 18                                    |
| Illinois, Northern      | 37.9     | 89                | 235                                   |
| New Hampshire           | 37.5     | 3                 | 8                                     |
| North Carolina, Middle  | 37.5     | 3                 | 8                                     |
| South Carolina          | 34.8     | 49                | 141                                   |
| Tennessee, Eastern      | 33.3     | 5                 | 15                                    |
| Florida, Northern       | 33.3     | 3                 | 9                                     |
| Kentucky, Western       | 33.3     | 2                 | 6                                     |
| Michigan, Western       | 33.3     | 2                 | 6                                     |
| Hawaii                  | 33.1     | 42                | 127                                   |
| Massachusetts           | 32.2     | 95                | 295                                   |
| New Jersey              | 31.2     | 234               | 750                                   |
| California, Southern    | 30.9     | 71                | 230                                   |
| Washington, Western     | 30.2     | 38                | 126                                   |
| Alaska                  | 28.6     | 2                 | 7                                     |
| Texas, Eastern          | 27.4     | 17                | 62                                    |
| Montana                 | 27.3     | 3                 | 11                                    |

#### Spread of Rates of §5K1.1 Substantial Assistance Sentences Heroin Trafficking Offenses (cont.)

Koon Period (6/13/96 - 4/30/03)

| District                            | Percent | Number of §5K1.1<br>Sentences Imposed | Total Number of<br>Heroin Trafficking<br>Sentences Imposed |
|-------------------------------------|---------|---------------------------------------|--|
| Georgia, Southern                   | 26.7    | 4                                     | 15   |
| Connecticut                         | 26.2    | 28                                    | 107  |
| Colorado                            | 25.0    | 27                                    | 108  |
| North Dakota                        | 25.0    | 1                                     | 4  |
| New York, Southern                  | 23.1    | 202                                   | 876  |
| Vermont                             | 23.0    | 202                                   | 87   |
| California, Northern                | 22.9    | 25                                    | 109  |
| Georgia, Northern                   | 22.4    | 19                                    | 85   |
| Illinois, Southern                  | 22.4    | 2                                     | 9  |
| Tennessee, Middle                   | 21.4    | 3                                     | 14   |
| Texas, Southern                     | 21.4    | 83                                    | 393  |
|                                     | 20.3    | 347                                   |  |
| New York, Eastern                   | 20.0    | 347                                   | 1,706  |
| Oklahoma, Western<br>Iowa, Southern | 20.0    | 2                                     | 15<br>10   |
|                                     |         | 2                                     |  |
| Minnesota                           | 20.0    | 65                                    | 10   |
| Texas, Western                      | 19.0    | 10                                    | 342  |
| Wisconsin, Eastern                  | 18.9    | 9                                     | 53<br>49   |
| Ohio, Northern                      | 18.4    |                                       |  |
| Pennsylvania, Western               | 17.5    | 7                                     | 40   |
| Nevada                              | 16.7    | 4                                     | 24   |
| California, Central                 | 16.3    | 15                                    | 92   |
| Indiana, Northern                   | 15.8    | 3                                     | 19   |
| New Mexico                          | 13.8    | 19                                    | 138  |
| California, Eastern                 | 13.7    | 10                                    | 73   |
| Oregon                              | 13.2    | 14                                    | 106  |
| Arizona                             | 12.9    | 18                                    | 139  |
| Nebraska                            | 11.1    | 3                                     | 27   |
| Washington, Eastern                 | 11.1    | 3                                     | 27   |
| Texas, Northern                     | 10.7    | 13                                    | 121  |
| Puerto Rico                         | 9.8     | 43                                    | 438  |
| Louisiana, Eastern                  | 9.3     | 10                                    | 108  |
| Virginia, Eastern                   | 8.8     | 20                                    | 226  |
| West Virginia, Southern             | 8.0     | 2                                     | 25   |
| Rhode Island                        | 7.7     | 4                                     | 52   |
| West Virginia, Northern             | 5.9     | 1                                     | 17   |
| Kansas                              | 5.6     | 1                                     | 18   |
| Florida, Southern                   | 4.8     | 64                                    | 1,327  |
| Utah                                | 0.0     | 0                                     | 12   |
| Georgia, Middle                     | 0.0     | 0                                     | 5  |
| Louisiana, Western                  | 0.0     | 0                                     | 3  |
| Arkansas, Eastern                   | 0.0     | 0                                     | 2  |
| Guam                                | 0.0     | 0                                     | 2  |
| Wisconsin, Western                  | 0.0     | 0                                     | 1  |
| Arkansas, Western                   | 0.0     | 0                                     | 1  |
| Iowa, Northern                      | 0.0     | 0                                     | 1  |
| Northern Mariana Islands            | 0.0     | 0                                     | 1  |
| Oklahoma, Eastern                   | 0.0     | 0                                     | 1  |
| Alabama, Southern                   | 0.0     | 0                                     | 1  |

The following districts had no heroin trafficking offenses in the selected time period: Middle Louisiana, Northern Mississippi, South Dakota, and Middle Alabama.

#### Spread of Rates of §5K1.1 Substantial Assistance Sentences Heroin Trafficking Offenses

# PROTECT Act Period (5/1/03 - 6/24/04)

**Total Number of** Number of §5K1.1 **Heroin Trafficking** Percent **Sentences Imposed Sentences Imposed** District Alabama, Northern 100.0 2 Alaska 100.0 1 1 Guam 100.0 1 1 68.6 35 Pennsylvania, Middle 24 Ohio, Northern 66.7 8 12 Virginia, Western 63.2 12 19 Pennsylvania, Eastern 60.6 40 66 Hawaii 60.0 9 15 3 5 California, Eastern 60.0 Texas, Northern 57.1 4 7 California, Central 57.1 4 7 22 Michigan, Eastern 54.5 12 New York, Western 50.0 7 14 3 Maine 50.0 6 Kentucky, Eastern 50.0 1 2 2 Montana 50.0 1 Colorado 46.7 7 15 17 40 Illinois, Northern 42.5 37 14 Vermont 37.8 District of Columbia 35.7 5 14 New Jersey 34.7 34 98 Arizona 33.3 3 9 Minnesota 33.3 2 6 Washington, Eastern 33.3 2 6 New Hampshire 33.3 1 3 3 Delaware 33.3 1 57 Texas, Southern 29.8 17 Missouri, Eastern 28.0 7 25 5 Maryland 27.8 18 Florida, Middle 18.2 4 22 Michigan, Western 16.7 1 6 New Mexico 16.0 4 25 New York, Southern 16.0 23 144 California, Northern 15.4 2 13 Connecticut 15.0 3 20 2 Virgin Islands 14.3 14 7 Texas, Western 14.0 50 West Virginia, Northern 13.5 5 37 2 16 Washington, Western 12.5 Ohio, Southern 1 8 12.5 25 3 Pennsylvania, Western 12.0 24 207 Florida, Southern 11.6

#### Spread of Rates of §5K1.1 Substantial Assistance Sentences Heroin Trafficking Offenses (cont.)

#### PROTECT Act Period

(5/1/03 - 6/24/04)

| District                | Percent | Number of §5K1.1<br>Sentences Imposed | Total Number of<br>Heroin Trafficking<br>Sentences Imposed |
|-------------------------|---------|---------------------------------------|--|
| Puerto Rico             | 10.2    | 11                                    | 108  |
| New York, Eastern       | 10.2    | 31                                    | 305  |
| Kansas                  | 10.0    | 1                                     | 10   |
| Virginia, Eastern       | 9.4     | 5                                     | 53   |
| Massachusetts           | 9.1     | 3                                     | 33   |
| Oregon                  | 6.7     | 1                                     | 15   |
| Georgia, Northern       | 6.7     | 1                                     | 15   |
| Louisiana, Eastern      | 4.8     | 2                                     | 42   |
| California, Southern    | 0.0     | 0                                     | 13   |
| Rhode Island            | 0.0     | 0                                     | 10   |
| New York, Northern      | 0.0     | 0                                     | 5  |
| Texas, Eastern          | 0.0     | 0                                     | 5  |
| Utah                    | 0.0     | 0                                     | 5  |
| South Carolina          | 0.0     | 0                                     | 4  |
| North Carolina, Western | 0.0     | 0                                     | 3  |
| Wisconsin, Eastern      | 0.0     | 0                                     | 3  |
| Wisconsin, Western      | 0.0     | 0                                     | 3  |
| Nevada                  | 0.0     | 0                                     | 3  |
| Nebraska                | 0.0     | 0                                     | 2  |
| Oklahoma, Western       | 0.0     | 0                                     | 2  |
| North Carolina, Eastern | 0.0     | 0                                     | 1  |
| Louisiana, Middle       | 0.0     | 0                                     | 1  |
| Kentucky, Western       | 0.0     | 0                                     | 1  |
| Tennessee, Eastern      | 0.0     | 0                                     | 1  |
| Illinois, Southern      | 0.0     | 0                                     | 1  |
| Georgia, Southern       | 0.0     | 0                                     | 1  |

The following districts had no heroin trafficking offenses in the selected time period: Middle North Carolina, Southern West Virginia, Western Louisiana, Northern Mississippi, Southern Mississippi, Middle Tennessee, Western Tennessee, Central Illinois, Northern Indiana, Southern Indiana, Eastern Arkansas, Western Arkansas, Northern Iowa, Southern Iowa, Western Missouri, North Dakota, South Dakota, Idaho, Northern Mariana Islands, Eastern Oklahoma, Northern Oklahoma, Wyoming, Middle Alabama, Southern Alabama, Northern Florida, and Middle Georgia.

# Spread of Rates of §5K1.1 Substantial Assistance Sentences Heroin Trafficking Offenses

# Booker Period (1/12/05 - 12/10/07)

| District                | Percent | Number of §5K1.1<br>Sentences Imposed | Total Number of<br>Heroin Trafficking<br>Sentences Imposed |
|-------------------------|---------|---------------------------------------|--|
| Iowa, Southern          | 100.0   | 1                                     | 1  |
| Alabama, Northern       | 100.0   | 1                                     | 1  |
| Alabama, Southern       | 100.0   | 1                                     | 1  |
| Tennessee, Eastern      | 72.2    | 13                                    | 18   |
| Colorado                | 69.2    | 18                                    | 26   |
| Hawaii                  | 66.7    | 6                                     | 9  |
| Ohio, Southern          | 59.7    | 40                                    | 67   |
| Kentucky, Eastern       | 59.3    | 16                                    | 27   |
| Pennsylvania, Eastern   | 56.5    | 48                                    | 85   |
| Tennessee, Western      | 50.0    | 5                                     | 10   |
| Oklahoma, Northern      | 50.0    | 3                                     | 6  |
| Oklahoma, Western       | 50.0    | 1                                     | 2  |
| District of Columbia    | 48.7    | 19                                    | 39   |
| Pennsylvania, Middle    | 48.5    | 47                                    | 97   |
| Michigan, Eastern       | 47.2    | 17                                    | 36   |
| Iowa, Northern          | 44.4    | 8                                     | 18   |
| New Jersey              | 43.0    | 122                                   | 284  |
| Kansas                  | 42.9    | 3                                     | 7  |
| California, Northern    | 40.0    | 10                                    | 25   |
| Indiana, Southern       | 38.9    | 7                                     | 18   |
| Vermont                 | 36.7    | 11                                    | 30   |
| Florida, Middle         | 34.1    | 28                                    | 82   |
| Illinois, Southern      | 33.3    | 2                                     | 6  |
| Maryland                | 32.7    | 32                                    | 98   |
| Utah                    | 32.0    | 8                                     | 25   |
| Connecticut             | 31.3    | 21                                    | 67   |
| North Carolina, Western | 30.0    | 3                                     | 10   |
| Ohio, Northern          | 29.4    | 35                                    | 119  |
| New Hampshire           | 29.4    | 5                                     | 17   |
| New York, Northern      | 28.6    | 6                                     | 21   |
| Oregon                  | 28.6    | 6                                     | 21   |
| Virginia, Western       | 26.7    | 4                                     | 15   |
| New York, Western       | 25.0    | 11                                    | 44   |
| Michigan, Western       | 25.0    | 9                                     | 36   |
| Indiana, Northern       | 25.0    | 3                                     | 12   |
| Maine                   | 25.0    | 2                                     | 8  |
| North Carolina, Eastern | 25.0    | 1                                     | 4  |
| Kentucky, Western       | 25.0    | 1                                     | 4  |
| Illinois, Northern      | 23.5    | 28                                    | 119  |
| Texas, Western          | 23.2    | 39                                    | 168  |
| Rhode Island            | 23.1    | 3                                     | 13   |
| New York, Eastern       | 22.9    | 114                                   | 497  |

#### Spread of Rates of §5K1.1 Substantial Assistance Sentences Heroin Trafficking Offenses (cont.)

Booker Period (1/12/05 - 12/10/07)

|                         |         |                   | <b>Total Number of</b>    |
|-------------------------|---------|-------------------|---------------------------|
|                         |         | Number of §5K1.1  | <b>Heroin Trafficking</b> |
| District                | Percent | Sentences Imposed | Sentences Imposed         |
| California, Southern    | 21.1    | 8                 | 38                        |
| Delaware                | 21.1    | 4                 | 19                        |
| New York, Southern      | 20.5    | 102               | 498                       |
| Virgin Islands          | 20.0    | 1                 | 5                         |
| Minnesota               | 20.0    | 1                 | 5                         |
| Texas, Southern         | 19.6    | 22                | 112                       |
| Florida, Southern       | 18.4    | 54                | 293                       |
| Massachusetts           | 15.7    | 16                | 102                       |
| Washington, Western     | 15.1    | 11                | 73                        |
| Texas, Northern         | 14.3    | 2                 | 14                        |
| Missouri, Eastern       | 14.1    | 14                | 99                        |
| South Carolina          | 13.8    | 4                 | 29                        |
| Louisiana, Eastern      | 13.2    | 7                 | 53                        |
| New Mexico              | 12.5    | 10                | 80                        |
| Puerto Rico             | 12.2    | 16                | 131                       |
| Texas, Eastern          | 11.5    | 3                 | 26                        |
| California, Eastern     | 10.5    | 4                 | 38                        |
| Pennsylvania, Western   | 10.3    | 8                 | 78                        |
| Wisconsin, Eastern      | 9.5     | 4                 | 42                        |
| Arizona                 | 8.6     | 5                 | 58                        |
| Georgia, Northern       | 7.9     | 3                 | 38                        |
| California, Central     | 7.1     | 1                 | 14                        |
| Virginia, Eastern       | 0.0     | 0                 | 63                        |
| West Virginia, Northern | 0.0     | 0                 | 36                        |
| Wisconsin, Western      | 0.0     | 0                 | 12                        |
| Illinois, Central       | 0.0     | 0                 | 8                         |
| Nebraska                | 0.0     | 0                 | 5                         |
| Nevada                  | 0.0     | 0                 | 5                         |
| Louisiana, Western      | 0.0     | 0                 | 4                         |
| Mississippi, Southern   | 0.0     | 0                 | 3                         |
| Missouri, Western       | 0.0     | 0                 | 3                         |
| Washington, Eastern     | 0.0     | 0                 | 3                         |
| Wyoming                 | 0.0     | 0                 | 3                         |
| Tennessee, Middle       | 0.0     | 0                 | 2                         |
| South Dakota            | 0.0     | 0                 | 2                         |
| Arkansas, Western       | 0.0     | 0                 | 1                         |
| Oklahoma, Eastern       | 0.0     | 0                 | 1                         |
| Florida, Northern       | 0.0     | 0                 | 1                         |
| Georgia, Southern       | 0.0     | 0                 | 1                         |

The following districts had no heroin trafficking offenses in the selected time period: Middle North Carolina, Southern West Virginia, Middle Louisiana, Northern Mississippi, Eastern Arkansas, North Dakota, Alaska, Guam, Idaho, Montana, Northern Mariana Islands, Middle Alabama, and Middle Georgia.

# Spread of Rates of §5K1.1 Substantial Assistance Sentences Heroin Trafficking Offenses

| Gall                 |  |
|----------------------|--|
| Period               |  |
| (12/11/07 - 9/30/11) |  |
|                      |  |

| District                | Percent | Number of §5K1.1<br>Sentences Imposed | Total Number of<br>Heroin Trafficking<br>Sentences Imposed |
|-------------------------|---------|---------------------------------------|--|
| Georgia, Middle         | 100.0   | 1                                     | 1  |
| Maine                   | 80.0    | 16                                    | 20   |
| New York, Western       | 74.2    | 49                                    | 66   |
| Kentucky, Western       | 66.7    | 4                                     | 6  |
| Oklahoma, Northern      | 62.5    | 5                                     | 8  |
| Kentucky, Eastern       | 62.2    | 23                                    | 37   |
| Tennessee, Eastern      | 58.1    | 25                                    | 43   |
| Hawaii                  | 56.3    | 9                                     | 16   |
| Indiana, Northern       | 52.8    | 19                                    | 36   |
| Louisiana, Western      | 50.0    | 1                                     | 2  |
| Alaska                  | 47.8    | 11                                    | 23   |
| Missouri, Western       | 46.2    | 6                                     | 13   |
| Ohio, Southern          | 44.7    | 68                                    | 152  |
| Illinois, Central       | 44.4    | 8                                     | 18   |
| Wisconsin, Eastern      | 43.7    | 59                                    | 135  |
| Pennsylvania, Middle    | 43.4    | 53                                    | 122  |
| Pennsylvania, Eastern   | 41.0    | 57                                    | 139  |
| Virginia, Western       | 40.4    | 19                                    | 47   |
| Iowa, Southern          | 40.0    | 2                                     | 5  |
| California, Eastern     | 37.1    | 13                                    | 35   |
| North Carolina, Eastern | 34.4    | 11                                    | 32   |
| New Jersey              | 34.0    | 80                                    | 235  |
| Kansas                  | 33.3    | 8                                     | 24   |
| Indiana, Southern       | 33.3    | 4                                     | 12   |
| Colorado                | 33.3    | 4                                     | 12   |
| North Carolina, Middle  | 33.3    | 2                                     | 6  |
| Alabama, Northern       | 33.3    | 2                                     | 6  |
| Maryland                | 31.8    | 63                                    | 198  |
| Ohio, Northern          | 31.4    | 33                                    | 105  |
| Tennessee, Western      | 31.3    | 5                                     | 16   |
| Oregon                  | 29.9    | 20                                    | 67   |
| North Carolina, Western | 29.4    | 15                                    | 51   |
| Tennessee, Middle       | 28.6    | 6                                     | 21   |
| New York, Eastern       | 28.5    | 161                                   | 564  |
| Illinois, Northern      | 28.4    | 60                                    | 211  |
| Texas, Northern         | 27.3    | 9                                     | 33   |
| New York, Northern      | 27.3    | 6                                     | 22   |
| Pennsylvania, Western   | 27.0    | 20                                    | 74   |
| Mississippi, Southern   | 25.0    | 1                                     | 4  |
| Arkansas, Eastern       | 25.0    | 1                                     | 4  |
| Connecticut             | 23.8    | 35                                    | 147  |
| Missouri, Eastern       | 23.5    | 27                                    | 115  |

#### Spread of Rates of §5K1.1 Substantial Assistance Sentences Heroin Trafficking Offenses (cont.)

*Gall* Period (12/11/07 - 9/30/11)

|                         |         | Number of §5K1.1  | Total Number of<br>Heroin Trafficking |
|-------------------------|---------|-------------------|---------------------------------------|
| District                | Percent | Sentences Imposed | Sentences Imposed                     |
| Texas, Southern         | 23.0    | 37                | 161                                   |
| District of Columbia    | 22.7    | 15                | 66                                    |
| California, Southern    | 22.6    | 36                | 159                                   |
| New York, Southern      | 22.6    | 104               | 461                                   |
| Michigan, Eastern       | 22.5    | 18                | 80                                    |
| Wyoming                 | 22.2    | 2                 | 9                                     |
| Texas, Western          | 19.1    | 53                | 277                                   |
| South Carolina          | 19.0    | 11                | 58                                    |
| California, Northern    | 18.6    | 8                 | 43                                    |
| California, Central     | 17.4    | 21                | 121                                   |
| Texas, Eastern          | 16.4    | 11                | 67                                    |
| Florida, Middle         | 15.4    | 8                 | 52                                    |
| Washington, Eastern     | 14.8    | 4                 | 27                                    |
| Georgia, Northern       | 14.7    | 5                 | 34                                    |
| Rhode Island            | 14.5    | 8                 | 55                                    |
| Delaware                | 14.3    | 1                 | 7                                     |
| Nevada                  | 13.8    | 4                 | 29                                    |
| Louisiana, Eastern      | 13.2    | 9                 | 68                                    |
| Minnesota               | 13.0    | 3                 | 23                                    |
| New Mexico              | 12.5    | 14                | 112                                   |
| Vermont                 | 12.5    | 1                 | 8                                     |
| Montana                 | 12.5    | 1                 | 8                                     |
| Washington, Western     | 12.1    | 8                 | 66                                    |
| Arizona                 | 12.0    | 10                | 83                                    |
| West Virginia, Northern | 10.9    | 7                 | 64                                    |
| Puerto Rico             | 9.8     | 17                | 173                                   |
| Michigan, Western       | 9.7     | 3                 | 31                                    |
| Florida, Southern       | 8.1     | 22                | 272                                   |
| Illinois, Southern      | 8.0     | 2                 | 25                                    |
| Massachusetts           | 6.5     | 5                 | 77                                    |
| Oklahoma, Western       | 5.9     | 1                 | 17                                    |
| Virginia, Eastern       | 5.5     | 9                 | 164                                   |
| Utah                    | 5.3     | 4                 | 76                                    |
| West Virginia, Southern | 4.4     | 2                 | 45                                    |
| Wisconsin, Western      | 0.0     | 0                 | 21                                    |
| New Hampshire           | 0.0     | 0                 | 9                                     |
| Nebraska                | 0.0     | 0                 | 8                                     |
| Georgia, Southern       | 0.0     | 0                 | 7                                     |
| Iowa, Northern          | 0.0     | 0                 | 5                                     |
| Virgin Islands          | 0.0     | 0                 | 2                                     |
| North Dakota            | 0.0     | 0                 | 1                                     |
| South Dakota            | 0.0     | 0                 | 1                                     |
| Alabama, Middle         | 0.0     | 0                 | 1                                     |

The following districts had no heroin trafficking offenses in the selected time period: Middle Louisiana, Northern Mississippi, Western Arkansas, Guam, Idaho, Northern Mariana Islands, Eastern Oklahoma, Southern Alabama, and Northern Florida.

#### Spread of Rates of Other Government Sponsored Below Range Sentences Heroin Trafficking Offenses

|                         | PROTECT Act  Period  (5/1/03 - 6/24/04) |   |  |
|-------------------------|---|---|--|
| District                | Percent                                 | Number of Other<br>Govt Sponsored<br>Below Range<br>Sentences Imposed | Total Number of<br>Heroin Trafficking<br>Sentences Imposed |
| Nevada                  | 66.7                                    | 2   | 3  |
| California, Southern    | 61.5                                    | 8   | 13   |
| District of Columbia    | 14.3                                    | 2   | 14   |
| Connecticut             | 10.0                                    | 2   | 20   |
| Ohio, Northern          | 8.3                                     | 1   | 12   |
| New York, Western       | 7.1                                     | 1   | 14   |
| New York, Eastern       | 5.2                                     | 16  | 305  |
| Texas, Western          | 4.0                                     | 2   | 50   |
| Texas, Southern         | 3.5                                     | 2   | 57   |
| Massachusetts           | 3.0                                     | 1   | 33   |
| Pennsylvania, Middle    | 2.9                                     | 1   | 35   |
| Illinois, Northern      | 2.5                                     | 1   | 40   |
| New Jersey              | 2.0                                     | 2   | 98   |
| Florida, Southern       | 0.0                                     | 0   | 207  |
| New York, Southern      | 0.0                                     | 0   | 144  |
| Puerto Rico             | 0.0                                     | 0   | 108  |
| Pennsylvania, Eastern   | 0.0                                     | 0   | 66   |
| Virginia, Eastern       | 0.0                                     | 0   | 53   |
| Louisiana, Eastern      | 0.0                                     | 0   | 42   |
| Vermont                 | 0.0                                     | 0   | 37   |
| West Virginia, Northern | 0.0                                     | 0   | 37   |
| Pennsylvania, Western   | 0.0                                     | 0   | 25   |
| Missouri, Eastern       | 0.0                                     | 0   | 25   |
| New Mexico              | 0.0                                     | 0   | 25   |
| Michigan, Eastern       | 0.0                                     | 0   | 22   |
| Florida, Middle         | 0.0                                     | 0   | 22   |
| Virginia, Western       | 0.0                                     | 0   | 19   |
| Maryland                | 0.0                                     | 0   | 18   |
| Washington, Western     | 0.0                                     | 0   | 16   |
| Hawaii                  | 0.0                                     | 0   | 15   |
| Oregon                  | 0.0                                     | 0   | 15   |
| Colorado                | 0.0                                     | 0   | 15   |
| Georgia, Northern       | 0.0                                     | 0   | 15   |
| Virgin Islands          | 0.0                                     | 0   |  |
| California, Northern    | 0.0                                     | 0   | 13   |
| Rhode Island            | 0.0                                     | 0   | 10   |
| Kansas                  | 0.0                                     | 0   | 10   |
| Arizona                 | 0.0                                     | 0   | 9  |
| Ohio, Southern          | 0.0                                     | 0   | 8  |
| Texas, Northern         | 0.0                                     | 0   | 7  |
| California Control      | 0.0                                     | 0   | 7  |

0.0

0.0

California, Central

Maine

#### Spread of Rates of Other Government Sponsored Below Range Sentences Heroin Trafficking Offenses (cont.)

#### PROTECT Act Period

|                         | (5/1/03 - 6/24/04) |   |  |
|-------------------------|--------------------|---|--|
| District                | Percent            | Number of Other<br>Govt Sponsored<br>Below Range<br>Sentences Imposed | Total Number of<br>Heroin Trafficking<br>Sentences Imposed |
| Michigan, Western       | 0.0                | 0   | 6  |
| Minnesota               | 0.0                | 0   | 6  |
| Washington, Eastern     | 0.0                | 0   | 6  |
| New York, Northern      | 0.0                | 0   | 5  |
| Texas, Eastern          | 0.0                | 0   | 5  |
| California, Eastern     | 0.0                | 0   | 5  |
| Utah                    | 0.0                | 0   | 5  |
| South Carolina          | 0.0                | 0   | 4  |
| New Hampshire           | 0.0                | 0   | 3  |
| Delaware                | 0.0                | 0   | 3  |
| North Carolina, Western | 0.0                | 0   | 3  |
| Wisconsin, Eastern      | 0.0                | 0   | 3  |
| Wisconsin, Western      | 0.0                | 0   | 3  |
| Kentucky, Eastern       | 0.0                | 0   | 2  |
| Nebraska                | 0.0                | 0   | 2  |
| Montana                 | 0.0                | 0   | 2  |
| Oklahoma, Western       | 0.0                | 0   | 2  |
| Alabama, Northern       | 0.0                | 0   | 2  |
| North Carolina, Eastern | 0.0                | 0   | 1  |
| Louisiana, Middle       | 0.0                | 0   | 1  |
| Kentucky, Western       | 0.0                | 0   | 1  |
| Tennessee, Eastern      | 0.0                | 0   | 1  |
| Illinois, Southern      | 0.0                | 0   | 1  |
| Alaska                  | 0.0                | 0   | 1  |
| Guam                    | 0.0                | 0   | 1  |
| Georgia, Southern       | 0.0                | 0   | 1  |

The following districts had no heroin trafficking offenses in the selected time period: Middle North Carolina, Southern West Virginia, Western Louisiana, Northern Mississippi, Southern Mississippi, Middle Tennessee, Western Tennessee, Central Illinois, Northern Indiana, Southern Indiana, Eastern Arkansas, Western Arkansas, Northern Iowa, Southern Iowa, Western Missouri, North Dakota, South Dakota, Idaho, Northern Mariana Islands, Eastern Oklahoma, Northern Oklahoma, Wyoming, Middle Alabama, Southern Alabama, Northern Florida, and Middle Georgia.

#### Spread of Rates of Other Government Sponsored Below Range Sentences Heroin Trafficking Offenses

Booker

|                         |         | Dooker                 |                        |
|-------------------------|---------|------------------------|------------------------|
|                         |         | Period                 |                        |
|                         |         | (1/12/05 - 12/10/07)   |                        |
|                         |         | <b>Number of Other</b> |                        |
|                         |         | <b>Govt Sponsored</b>  | <b>Total Number of</b> |
|                         |         | Below Range            | Heroin Trafficking     |
| District                | Percent | Sentences Imposed      | Sentences Imposed      |
| Texas, Eastern          | 23.1    | 6                      | 26                     |
| Minnesota               | 20.0    | 1                      | 5                      |
| District of Columbia    | 15.4    | 6                      | 39                     |
| Texas, Southern         | 14.3    | 16                     | 112                    |
| Washington, Western     | 11.0    | 8                      | 73                     |
| New Mexico              | 8.8     | 7                      | 80                     |
| Rhode Island            | 7.7     | 1                      | 13                     |
| New Hampshire           | 5.9     | 1                      | 17                     |
| Georgia, Northern       | 5.3     | 2                      | 38                     |
| Maryland                | 5.1     | 5                      | 98                     |
| Wisconsin, Eastern      | 4.8     | 2                      | 42                     |
| Texas, Western          | 4.2     | 7                      | 168                    |
| California, Northern    | 4.0     | 1                      | 25                     |
| Louisiana, Eastern      | 3.8     | 2                      | 53                     |
| Ohio, Northern          | 3.4     | 4                      | 119                    |
| New York, Southern      | 3.2     | 16                     | 498                    |
| Pennsylvania, Middle    | 3.1     | 3                      | 97                     |
| Massachusetts           | 2.9     | 3                      | 102                    |
| West Virginia, Northern | 2.8     | 1                      | 36                     |
| Michigan, Western       | 2.8     | 1                      | 36                     |
| New York, Eastern       | 2.6     | 13                     | 497                    |
| Illinois, Northern      | 2.5     | 3                      | 119                    |
| New York, Western       | 2.3     | 1                      | 44                     |
| Missouri, Eastern       | 2.0     | 2                      | 99                     |
| Puerto Rico             | 1.5     | 2                      | 131                    |
| Ohio, Southern          | 1.5     | 1                      | 67                     |
| New Jersey              | 1.4     | 4                      | 284                    |
| Pennsylvania, Eastern   | 1.2     | 1                      | 85                     |
| Florida, Southern       | 0.7     | 2                      |                        |
| Florida, Middle         | 0.0     | 0                      | 82                     |
| Pennsylvania, Western   | 0.0     | 0                      | 78                     |
| Connecticut             | 0.0     | 0                      | 67                     |
| Virginia, Eastern       | 0.0     | 0                      | 63                     |
| Arizona                 | 0.0     | 0                      | 58                     |
| California, Eastern     | 0.0     | 0                      |                        |
| California, Southern    | 0.0     | 0                      | 38                     |
| Michigan, Eastern       | 0.0     | 0                      |                        |
| Vermont                 | 0.0     | 0                      |                        |
| South Carolina          | 0.0     | 0                      |                        |
| Kentucky, Eastern       | 0.0     | 0                      |                        |
| Colorado                | 0.0     | 0                      |                        |
| Utah                    | 0.0     | 0                      |                        |
| ~                       | 0.0     | 0                      | 23                     |

#### Spread of Rates of Other Government Sponsored Below Range Sentences Heroin Trafficking Offenses (cont.)

Booker Period

| -                       | reriou (4/40/07)     |                    |                        |
|-------------------------|----------------------|--------------------|------------------------|
|                         | (1/12/05 - 12/10/07) |                    |                        |
|                         |                      | Number of Other    |                        |
|                         |                      | Govt Sponsored     | <b>Total Number of</b> |
|                         |                      | <b>Below Range</b> | Heroin Trafficking     |
| District                | Percent              | Sentences Imposed  | Sentences Imposed      |
| New York, Northern      | 0.0                  | 0                  | 21                     |
| Oregon                  | 0.0                  | 0                  | 21                     |
| Delaware                | 0.0                  | 0                  | 19                     |
| Tennessee, Eastern      | 0.0                  | 0                  | 18                     |
| Indiana, Southern       | 0.0                  | 0                  | 18                     |
| Iowa, Northern          | 0.0                  | 0                  | 18                     |
| Virginia, Western       | 0.0                  | 0                  | 15                     |
| Texas, Northern         | 0.0                  | 0                  | 14                     |
| California, Central     | 0.0                  | 0                  | 14                     |
| Indiana, Northern       | 0.0                  | 0                  | 12                     |
| Wisconsin, Western      | 0.0                  | 0                  | 12                     |
| North Carolina, Western | 0.0                  | 0                  | 10                     |
| Tennessee, Western      | 0.0                  | 0                  | 10                     |
| Hawaii                  | 0.0                  | 0                  | 9                      |
| Maine                   | 0.0                  | 0                  | 8                      |
| Illinois, Central       | 0.0                  | 0                  | 8                      |
| Kansas                  | 0.0                  | 0                  | 7                      |
| Illinois, Southern      | 0.0                  | 0                  | 6                      |
| Oklahoma, Northern      | 0.0                  | 0                  | 6                      |
| Virgin Islands          | 0.0                  | 0                  | 5                      |
| Nebraska                | 0.0                  | 0                  | 5                      |
| Nevada                  | 0.0                  | 0                  | 5                      |
| North Carolina, Eastern | 0.0                  | 0                  | 4                      |
| Louisiana, Western      | 0.0                  | 0                  | 4                      |
| Kentucky, Western       | 0.0                  | 0                  | 4                      |
| Mississippi, Southern   | 0.0                  | 0                  | 3                      |
| Missouri, Western       | 0.0                  | 0                  | 3                      |
| Washington, Eastern     | 0.0                  | 0                  | 3                      |
| Wyoming                 | 0.0                  | 0                  | 3                      |
| Tennessee, Middle       | 0.0                  | 0                  | 2                      |
| South Dakota            | 0.0                  | 0                  | 2                      |
| Oklahoma, Western       | 0.0                  | 0                  | 2                      |
| Arkansas, Western       | 0.0                  | 0                  | 1                      |
| Iowa, Southern          | 0.0                  | 0                  | 1                      |
| Oklahoma, Eastern       | 0.0                  | 0                  | 1                      |
| Alabama, Northern       | 0.0                  | 0                  | 1                      |
| Alabama, Southern       | 0.0                  | 0                  | 1                      |
| Florida, Northern       | 0.0                  | 0                  | 1                      |
| Georgia, Southern       | 0.0                  | 0                  | 1                      |
| <i>-</i> ,              |                      |                    |                        |

The following districts had no heroin trafficking offenses in the selected time period: Middle North Carolina, Southern West Virginia, Middle Louisiana, Northern Mississippi, Eastern Arkansas, North Dakota, Alaska, Guam, Idaho, Montana, Northern Mariana Islands, Middle Alabama, and Middle Georgia.

#### **Spread of Rates of Other Government Sponsored Below Range Sentences Heroin Trafficking Offenses**

|                       |         | Gall                 |                        |
|-----------------------|---------|----------------------|------------------------|
|                       |         | Period               |                        |
|                       |         | (12/11/07 - 9/30/11) |                        |
|                       |         | Number of Other      |                        |
|                       |         | Govt Sponsored       | <b>Total Number of</b> |
|                       |         | Below Range          | Heroin Trafficking     |
| District              | Percent | Sentences Imposed    | Sentences Imposed      |
| District of Columbia  | 28.8    | 19                   | 66                     |
| Indiana, Southern     | 25.0    | 3                    | 12                     |
| California, Northern  | 23.3    | 10                   | 43                     |
| Washington, Western   | 21.2    | 14                   | 66                     |
| Iowa, Southern        | 20.0    | 1                    | 5                      |
| Massachusetts         | 16.9    | 13                   | 77                     |
| Kentucky, Western     | 16.7    | 1                    | 6                      |
| Oregon                | 16.4    | 11                   | 67                     |
| Washington, Eastern   | 14.8    | 4                    | 27                     |
| Delaware              | 14.3    | 1                    | 7                      |
| Pennsylvania, Middle  | 13.9    | 17                   | 122                    |
| Texas, Eastern        | 13.4    | 9                    | 67                     |
| New Hampshire         | 11.1    | 1                    | 9                      |
| Wyoming               | 11.1    | 1                    | 9                      |
| Texas, Southern       | 9.9     | 16                   | 161                    |
| Maryland              | 9.6     | 19                   | 198                    |
| New Mexico            | 8.9     | 10                   | 112                    |
| Kansas                | 8.3     | 2                    | 24                     |
| Missouri, Western     | 7.7     | 1                    | 13                     |
| California, Central   | 7.4     | 9                    | 121                    |
| Wisconsin, Eastern    | 7.4     | 10                   | 135                    |
| Texas, Western        | 6.1     | 17                   | 277                    |
| Georgia, Northern     | 5.9     | 2                    | 34                     |
| Indiana, Northern     | 5.6     | 2                    | 36                     |
| Ohio, Southern        | 5.3     | 8                    | 152                    |
| Utah                  | 5.3     | 4                    | 76                     |
| Tennessee, Middle     | 4.8     | 1                    | 21                     |
| Louisiana, Eastern    | 4.4     | 3                    | 68                     |
| Missouri, Eastern     | 4.3     | 5                    | 115                    |
| Minnesota             | 4.3     | 1                    | 23                     |
| Alaska                | 4.3     | 1                    | 23                     |
| Pennsylvania, Western | 4.1     | 3                    | 74                     |
| Nevada                | 3.4     | 1                    | 29                     |
| Michigan, Western     | 3.2     | 1                    | 31                     |
| California, Southern  | 3.1     | 5                    | 159                    |
| Puerto Rico           | 2.9     | 5                    | 173                    |
| California, Eastern   | 2.9     | 1                    | 35                     |
| Illinois, Northern    | 2.4     | 5                    | 211                    |
| New York, Southern    | 2.2     | 10                   | 461                    |
| Connecticut           | 2.0     | 3                    | 147                    |
| Florida, Southern     | 1.8     | 5                    | 272                    |
| Rhode Island          | 1.8     | 1                    | 55                     |

#### Spread of Rates of Other Government Sponsored Below Range Sentences Heroin Trafficking Offenses (cont.)

Gall Period

|   | Period               |                       |                    |
|---|----------------------|-----------------------|--------------------|
|   | (12/11/07 - 9/30/11) |                       |                    |
|   |                      | Number of Other       |                    |
|   |                      | <b>Govt Sponsored</b> | Total Number of    |
|   |                      | Below Range           | Heroin Trafficking |
| District                                    | Percent              | Sentences Imposed     | Sentences Imposed  |
| South Carolina                              | 1.7                  | 1                     | 58                 |
| New York, Western                           | 1.5                  | 1                     | 66                 |
| New York, Eastern                           | 1.4                  | 8                     | 564                |
| New Jersey                                  | 1.3                  | 3                     | 235                |
| Virginia, Eastern                           | 1.2                  | 2                     | 164                |
| Ohio, Northern                              | 1.0                  | 1                     | 105                |
| Pennsylvania, Eastern                       | 0.7                  | 1                     | 139                |
| Arizona                                     | 0.0                  | 0                     | 83                 |
| Michigan, Eastern                           | 0.0                  | 0                     | 80                 |
| West Virginia, Northern                     | 0.0                  | 0                     | 64                 |
| Florida, Middle                             | 0.0                  | 0                     | 52                 |
| North Carolina, Western                     | 0.0                  | 0                     | 51                 |
| Virginia, Western                           | 0.0                  | 0                     | 47                 |
| West Virginia, Southern                     | 0.0                  | 0                     | 45                 |
| Tennessee, Eastern                          | 0.0                  | 0                     | 43                 |
| Kentucky, Eastern                           | 0.0                  | 0                     | 37                 |
| Texas, Northern                             | 0.0                  | 0                     | 33                 |
| North Carolina, Eastern                     | 0.0                  | 0                     | 32                 |
| Illinois, Southern                          | 0.0                  | 0                     | 25                 |
| New York, Northern                          | 0.0                  | 0                     | 22                 |
| Wisconsin, Western                          | 0.0                  | 0                     | 21                 |
| Maine                                       | 0.0                  | 0                     | 20                 |
| Illinois, Central                           | 0.0                  | 0                     | 18                 |
| Oklahoma, Western                           | 0.0                  | 0                     |                    |
| Tennessee, Western                          | 0.0                  | 0                     | 16                 |
| Hawaii                                      | 0.0                  | 0                     | 16                 |
| Colorado                                    | 0.0                  | 0                     | 12                 |
| Vermont                                     | 0.0                  | 0                     | 8                  |
| Nebraska                                    | 0.0                  | 0                     | 8                  |
| Montana                                     | 0.0                  | 0                     | 8                  |
| Oklahoma, Northern                          | 0.0                  | 0                     | 8 7                |
| Georgia, Southern                           | 0.0                  |                       |                    |
| North Carolina, Middle<br>Alabama, Northern | 0.0<br>0.0           | 0                     | 6                  |
| Iowa, Northern                              | 0.0                  | 0                     | 5                  |
| Mississippi, Southern                       | 0.0                  | 0                     | 4                  |
| Arkansas, Eastern                           | 0.0                  | 0                     | •                  |
| Virgin Islands                              | 0.0                  |                       |                    |
| Louisiana, Western                          | 0.0                  | 0                     |                    |
| North Dakota                                | 0.0                  |                       |                    |
| South Dakota                                | 0.0                  | 0                     |                    |
| Alabama, Middle                             | 0.0                  |                       |                    |
| Georgia, Middle                             | 0.0                  |                       |                    |
| 5555 Bia, 1111aa15                          | 0.0                  | O                     | 1                  |

The following districts had no heroin trafficking offenses in the selected time period: Middle Louisiana, Northern Mississippi, Western Arkansas, Guam, Idaho, Northern Mariana Islands, Eastern Oklahoma, Southern Alabama, and Northern Florida.

#### Spread of Rates of Non-Government Sponsored Below Range Sentences Heroin Trafficking Offenses

Koon

|                       | $\Lambda 00n$ |                     |                        |
|-----------------------|---------------|---------------------|------------------------|
|                       |               | Period              |                        |
|                       |               | (6/13/96 - 4/30/03) |                        |
|                       |               | Number of Non-      |                        |
|                       |               | Govt Sponsored      | <b>Total Number of</b> |
|                       |               | _                   |                        |
|                       |               | Below Range         | Heroin Trafficking     |
| District              | Percent       | Sentences Imposed   | Sentences Imposed      |
| Arizona               | 48.9          | 68                  | 139                    |
| New York, Eastern     | 44.4          | 757                 | 1,706                  |
| California, Southern  | 40.4          | 93                  | 230                    |
| Connecticut           | 37.4          | 40                  | 107                    |
| Idaho                 | 33.3          | 1                   | 3                      |
| North Dakota          | 25.0          | 1                   | 4                      |
| Vermont               | 21.8          | 19                  | 87                     |
| Minnesota             | 20.0          | 2                   | 10                     |
| Rhode Island          |               |                     |                        |
|                       | 19.2          | 10                  | 52                     |
| Montana               | 18.2          | 2                   | 11                     |
| Colorado              | 17.6          | 19                  | 108                    |
| California, Northern  | 17.4          | 19                  | 109                    |
| Michigan, Western     | 16.7          | 1                   | 6                      |
| Georgia, Northern     | 16.5          | 14                  | 85                     |
| New York, Southern    | 15.3          | 134                 | 876                    |
| Washington, Western   | 15.1          | 19                  | 126                    |
| District of Columbia  | 15.0          | 16                  | 107                    |
| Nebraska              | 14.8          | 4                   | 27                     |
| California, Central   | 14.1          | 13                  | 92                     |
| New Mexico            | 13.0          | 18                  | 138                    |
| Nevada                | 12.5          | 3                   | 24                     |
| New Hampshire         | 12.5          | 1                   | 8                      |
| Massachusetts         | 11.9          | 35                  | 295                    |
| Maryland              | 11.9          | 21                  | 177                    |
| Washington, Eastern   | 11.1          | 3                   | 27                     |
| Ohio, Southern        | 10.7          | 6                   | 56                     |
| Illinois, Northern    | 10.6          | 25                  | 235                    |
| Iowa, Southern        | 10.0          | 1                   | 10                     |
| California, Eastern   | 9.6           | 7                   | 73                     |
| New York, Northern    | 9.2           | 7                   | 76                     |
| Texas, Western        | 8.8           | 30                  | 342                    |
| Oregon                | 8.5           | 9                   | 106                    |
| Utah                  | 8.3           | 1                   | 12                     |
| Ohio, Northern        | 8.2           | 4                   | 49                     |
| Texas, Eastern        | 8.1           | 5                   | 62                     |
| New Jersey            | 8.0           | 60                  | 750                    |
| Pennsylvania, Western | 7.5           | 3                   | 40                     |
| New York, Western     | 7.4           | 9                   | 121                    |
| Tennessee, Middle     | 7.1           | 1                   | 14                     |
| Missouri, Western     | 7.1           | 1                   | 14                     |
| Missouri, Eastern     | 6.5           | 11                  | 170                    |
| Texas, Southern       | 6.4           | 25                  | 393                    |
| •                     |               |                     |                        |

#### Spread of Rates of Non-Government Sponsored Below Range Sentences Heroin Trafficking Offenses (cont.)

Koon Period

|                          | Period              |                       |                        |
|--------------------------|---------------------|-----------------------|------------------------|
|                          | (6/13/96 - 4/30/03) |                       |                        |
|                          |                     | Number of Non-        |                        |
|                          |                     | <b>Govt Sponsored</b> | <b>Total Number of</b> |
|                          |                     | Below Range           | Heroin Trafficking     |
| District                 | Percent             | Sentences Imposed     | Sentences Imposed      |
|                          |                     |                       |                        |
| Texas, Northern          | 5.8                 |                       | 121                    |
| South Carolina           | 5.1                 |                       | 141                    |
| Hawaii                   | 5.5                 |                       | 127                    |
| Michigan, Eastern        | 5.3                 |                       | 190                    |
| Florida, Middle          | 5.2                 |                       | 268                    |
| Puerto Rico              | 4.3                 |                       | 438                    |
| Indiana, Southern        | 4.0                 |                       | 25                     |
| Wisconsin, Eastern       | 3.8                 |                       | 53                     |
| North Carolina, Western  | 3.0                 |                       | 55                     |
| Florida, Southern        | 3.0                 |                       | 1,327                  |
| Pennsylvania, Eastern    | 3.3                 |                       | 289                    |
| Louisiana, Eastern       | 2.8                 |                       | 108                    |
| Pennsylvania, Middle     | 2.4                 |                       | 82                     |
| Virginia, Eastern        | 1.3                 |                       | 226                    |
| Virginia, Western        | 0.0                 |                       | 30                     |
| Tennessee, Western       | 0.0                 |                       | 27                     |
| West Virginia, Southern  | 0.0                 |                       | 25                     |
| Illinois, Central        | 0.0                 |                       | 22                     |
| North Carolina, Eastern  | 0.0                 | 0                     | 20                     |
| Indiana, Northern        | 0.0                 | 0                     | 19                     |
| Maine                    | 0.0                 | 0                     | 18                     |
| Kentucky, Eastern        | 0.0                 | 0                     | 18                     |
| Kansas                   | 0.0                 | 0                     | 18                     |
| West Virginia, Northern  | 0.0                 | 0                     | 17                     |
| Tennessee, Eastern       | 0.0                 | 0                     | 15                     |
| Oklahoma, Western        | 0.0                 | 0                     | 15                     |
| Georgia, Southern        | 0.0                 | 0                     | 15                     |
| Virgin Islands           | 0.0                 | 0                     | 10                     |
| Illinois, Southern       | 0.0                 | 0                     | 9                      |
| Florida, Northern        | 0.0                 | 0                     | 9                      |
| North Carolina, Middle   | 0.0                 | 0                     | 8                      |
| Delaware                 | 0.0                 | 0                     | 7                      |
| Alaska                   | 0.0                 | 0                     | 7                      |
| Oklahoma, Northern       | 0.0                 | 0                     | 7                      |
| Kentucky, Western        | 0.0                 | 0                     | 6                      |
| Alabama, Northern        | 0.0                 | 0                     | 6                      |
| Georgia, Middle          | 0.0                 | 0                     | 5                      |
| Louisiana, Western       | 0.0                 | 0                     | 3                      |
| Arkansas, Eastern        | 0.0                 | 0                     | 2                      |
| Guam                     | 0.0                 | 0                     | 2                      |
| Wyoming                  | 0.0                 | 0                     | 2                      |
| Mississippi, Southern    | 0.0                 |                       | 1                      |
| Wisconsin, Western       | 0.0                 |                       | 1                      |
| Arkansas, Western        | 0.0                 |                       | 1                      |
| Iowa, Northern           | 0.0                 |                       | 1                      |
| Northern Mariana Islands | 0.0                 |                       |                        |
| Oklahoma, Eastern        | 0.0                 |                       | 1                      |
| Alabama, Southern        | 0.0                 |                       | 1                      |
|                          | 0.0                 | •                     | 1                      |

The following districts had no heroin trafficking offenses in the selected time period: Middle Louisiana, Northern Mississippi, South Dakota, and Middle Alabama.

#### Spread of Rates of Non-Government Sponsored Below Range Sentences Heroin Trafficking Offenses

|                         | PROTECT Act |                    |                    |
|-------------------------|-------------|--------------------|--------------------|
|                         |             | Period             |                    |
|                         |             | (5/1/03 - 6/24/04) |                    |
|                         |             | Number of Non-     |                    |
|                         |             | Govt Sponsored     | Total Number of    |
|                         |             | <b>Below Range</b> | Heroin Trafficking |
| District                | Percent     | Sentences Imposed  | Sentences Imposed  |
| Connecticut             | 35.0        | 7                  | 20                 |
| Arizona                 | 33.3        | 3                  | 9                  |
| New York, Eastern       | 32.1        | 98                 | 305                |
| Ohio, Southern          | 25.0        | 2                  | 8                  |
| Maryland                | 22.2        | 4                  | 18                 |
| New York, Northern      | 20.0        | 1                  | 5                  |
| Michigan, Western       | 16.7        | 1                  | 6                  |
| Minnesota               | 16.7        | 1                  | 6                  |
| New Mexico              | 12.0        | 3                  | 25                 |
| Vermont                 | 10.8        | 4                  | 37                 |
| Massachusetts           | 9.1         | 3                  | 33                 |
| New York, Southern      | 9.0         | 13                 | 144                |
| New Jersey              | 8.2         | 8                  | 98                 |
| California, Northern    | 7.7         | 1                  | 13                 |
| Illinois, Northern      | 7.5         | 3                  | 40                 |
| District of Columbia    | 7.1         | 1                  | 14                 |
| Hawaii                  | 6.7         | 1                  | 15                 |
| Washington, Western     | 6.3         | 1                  | 16                 |
| Virginia, Eastern       | 5.7         | 3                  | 53                 |
| Texas, Southern         | 3.5         | 2                  | 57                 |
| Florida, Southern       | 2.9         | 6                  | 207                |
| West Virginia, Northern | 2.7         | 1                  | 37                 |
| Texas, Western          | 2.0         | 1                  | 50                 |
| Puerto Rico             | 0.9         | 1                  | 108                |
| Pennsylvania, Eastern   | 0.9         | 0                  | 66                 |
| Louisiana, Eastern      | 0.0         | 0                  | 42                 |
| Pennsylvania, Middle    | 0.0         | 0                  | 35                 |
| Pennsylvania, Western   | 0.0         | 0                  | 25                 |
| =                       |             |                    |                    |
| Missouri, Eastern       | 0.0         | 0                  | 25                 |
| Michigan, Eastern       | 0.0         | 0                  | 22                 |
| Florida, Middle         | 0.0         | 0                  | 22                 |
| Virginia, Western       | 0.0         | 0                  | 19                 |
| Oregon                  | 0.0         | 0                  | 15                 |
| Colorado                | 0.0         | 0                  | 15                 |
| Georgia, Northern       | 0.0         | 0                  | 15                 |
| New York, Western       | 0.0         | 0                  | 14                 |
| Virgin Islands          | 0.0         | 0                  | 14                 |
| California, Southern    | 0.0         | 0                  | 13                 |
| Ohio, Northern          | 0.0         | 0                  | 12                 |
| Rhode Island            | 0.0         | 0                  | 10                 |
| Kansas                  | 0.0         | 0                  | 10                 |

Texas, Northern

0.0

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#### Spread of Rates of Non-Government Sponsored Below Range Sentences Heroin Trafficking Offenses (cont.)

PROTECT Act Period

|                         | (5/1/03 - 6/24/04) |                       |                        |
|-------------------------|--------------------|-----------------------|------------------------|
| <del>-</del>            |                    | Number of Non-        |                        |
|                         |                    | <b>Govt Sponsored</b> | <b>Total Number of</b> |
|                         |                    | Below Range           | Heroin Trafficking     |
| District                | Percent            | Sentences Imposed     | Sentences Imposed      |
| California, Central     | 0.0                | 0                     | 7                      |
| Maine                   | 0.0                | 0                     | 6                      |
| Washington, Eastern     | 0.0                | 0                     | 6                      |
| Texas, Eastern          | 0.0                | 0                     | 5                      |
| California, Eastern     | 0.0                | 0                     | 5                      |
| Utah                    | 0.0                | 0                     | 5                      |
| South Carolina          | 0.0                | 0                     | 4                      |
| New Hampshire           | 0.0                | 0                     | 3                      |
| Delaware                | 0.0                | 0                     | 3                      |
| North Carolina, Western | 0.0                | 0                     | 3                      |
| Wisconsin, Eastern      | 0.0                | 0                     | 3                      |
| Wisconsin, Western      | 0.0                | 0                     | 3                      |
| Nevada                  | 0.0                | 0                     | 3                      |
| Kentucky, Eastern       | 0.0                | 0                     | 2                      |
| Nebraska                | 0.0                | 0                     | 2                      |
| Montana                 | 0.0                | 0                     | 2                      |
| Oklahoma, Western       | 0.0                | 0                     | 2                      |
| Alabama, Northern       | 0.0                | 0                     | 2                      |
| North Carolina, Eastern | 0.0                | 0                     | 1                      |
| Louisiana, Middle       | 0.0                | 0                     | 1                      |
| Kentucky, Western       | 0.0                | 0                     | 1                      |
| Tennessee, Eastern      | 0.0                | 0                     | 1                      |
| Illinois, Southern      | 0.0                | 0                     | 1                      |
| Alaska                  | 0.0                | 0                     | 1                      |
| Guam                    | 0.0                | 0                     | 1                      |
| Georgia, Southern       | 0.0                | 0                     | 1                      |

The following districts had no heroin trafficking offenses in the selected time period: Middle North Carolina, Southern West Virginia, Western Louisiana, Northern Mississippi, Southern Mississippi, Middle Tennessee, Western Tennessee, Central Illinois, Northern Indiana, Southern Indiana, Eastern Arkansas, Western Arkansas, Northern Iowa, Southern Iowa, Western Missouri, North Dakota, South Dakota, Idaho, Northern Mariana Islands, Eastern Oklahoma, Northern Oklahoma, Wyoming, Middle Alabama, Southern Alabama, Northern Florida, and Middle Georgia.

#### Spread of Rates of Non-Government Sponsored Below Range Sentences Heroin Trafficking Offenses

Booker

|                         | Booker     |                       |                        |
|-------------------------|------------|-----------------------|------------------------|
|                         |            | Period                |                        |
|                         |            | (1/12/05 - 12/10/07)  |                        |
| •                       |            | Number of Non-        |                        |
|                         |            | <b>Govt Sponsored</b> | <b>Total Number of</b> |
|                         |            | Below Range           | Heroin Trafficking     |
| District                | Percent    | Sentences Imposed     | Sentences Imposed      |
| District                | 1 CI CCIII | Sentences Imposed     | Schrences Imposeu      |
| Tennessee, Middle       | 50.0       | 1                     | 2                      |
| South Dakota            | 50.0       | 1                     | 2                      |
| New York, Eastern       | 43.5       | 216                   | 497                    |
| Wisconsin, Eastern      | 40.5       | 17                    | 42                     |
| Delaware                | 36.8       | 7                     | 19                     |
| Connecticut             | 35.8       | 24                    | 67                     |
| Mississippi, Southern   | 33.3       | 1                     | 3                      |
| Washington, Eastern     | 33.3       | 1                     | 3                      |
| Wyoming                 | 33.3       | 1                     | 3                      |
| Rhode Island            | 30.8       | 4                     | 13                     |
| New York, Southern      | 29.1       | 145                   | 498                    |
| Georgia, Northern       | 26.3       | 10                    | 38                     |
| Illinois, Central       | 25.0       | 2                     | 8                      |
| California, Central     | 21.4       | 3                     | 14                     |
| Pennsylvania, Eastern   | 21.2       | 18                    | 85                     |
| Ohio, Northern          | 20.2       | 24                    | 119                    |
| Illinois, Northern      | 20.2       | 24                    | 119                    |
| Minnesota               | 20.0       | 1                     | 5                      |
| Florida, Middle         | 19.5       | 16                    | 82                     |
| Michigan, Western       | 19.4       | 7                     | 36                     |
| New Jersey              | 19.0       | 54                    | 284                    |
| California, Southern    | 18.4       | 7                     | 38                     |
| Maryland                | 18.4       | 18                    | 98                     |
| Washington, Western     | 17.8       | 13                    | 73                     |
| Massachusetts           | 16.7       | 17                    | 102                    |
|                         |            |                       |                        |
| Indiana, Southern       | 16.7       | 3                     | 18                     |
| Wisconsin, Western      | 16.7       | 2                     | 12                     |
| Illinois, Southern      | 16.7       | 1                     | 6                      |
| Virginia, Eastern       | 15.9       | 10                    | 63                     |
| Kansas                  | 14.3       | 1                     | 7                      |
| Arizona                 | 13.8       | 8                     | 58                     |
| New York, Western       | 13.6       | 6                     | 44                     |
| Vermont                 | 13.3       | 4                     | 30                     |
| District of Columbia    | 12.8       | 5                     | 39                     |
| Utah                    | 12.0       | 3                     | 25                     |
| Ohio, Southern          | 11.9       | 8                     | 67                     |
| Florida, Southern       | 11.3       | 33                    | 293                    |
| Michigan, Eastern       | 11.1       | 4                     |                        |
| Tennessee, Eastern      | 11.1       | 2                     | 18                     |
| Pennsylvania, Western   | 10.3       | 8                     | 78                     |
| North Carolina, Western | 10.0       | 1                     | 10                     |
| Tennessee, Western      | 10.0       | 1                     | 10                     |
|                         |            |                       |                        |

#### Spread of Rates of Non-Government Sponsored Below Range Sentences Heroin Trafficking Offenses (cont.)

**Booker** Period

|                         |         | (1/12/05 - 12/10/07)  |                        |
|-------------------------|---------|-----------------------|------------------------|
|                         |         | Number of Non-        |                        |
|                         |         | <b>Govt Sponsored</b> | <b>Total Number of</b> |
|                         |         | Below Range           | Heroin Trafficking     |
| District                | Percent | Sentences Imposed     | Sentences Imposed      |
| New York, Northern      | 9.5     | 2                     | 21                     |
| Oregon                  | 9.5     | 2                     | 21                     |
| Pennsylvania, Middle    | 9.3     | 9                     | 97                     |
| New Mexico              | 8.8     | 7                     | 80                     |
| Texas, Southern         | 7.1     | 8                     | 112                    |
| New Hampshire           | 5.9     | 1                     | 17                     |
| Iowa, Northern          | 5.6     | 1                     | 18                     |
| Puerto Rico             | 5.3     | 7                     | 131                    |
| California, Eastern     | 5.3     | 2                     | 38                     |
| Texas, Western          | 4.8     | 8                     | 168                    |
| Missouri, Eastern       | 4.0     | 4                     | 99                     |
| Louisiana, Eastern      | 3.8     | 2                     | 53                     |
| West Virginia, Northern | 2.8     | 1                     | 36                     |
| South Carolina          | 0.0     | 0                     | 29                     |
| Kentucky, Eastern       | 0.0     | 0                     | 27                     |
| Texas, Eastern          | 0.0     | 0                     | 26                     |
| Colorado                | 0.0     | 0                     | 26                     |
| California, Northern    | 0.0     | 0                     | 25                     |
| Virginia, Western       | 0.0     | 0                     | 15                     |
| Texas, Northern         | 0.0     | 0                     | 14                     |
| Indiana, Northern       | 0.0     | 0                     | 12                     |
| Hawaii                  | 0.0     | 0                     | 9                      |
| Maine                   | 0.0     | 0                     | 8                      |
| Oklahoma, Northern      | 0.0     | 0                     | 6                      |
| Virgin Islands          | 0.0     | 0                     | 5                      |
| Nebraska                | 0.0     | 0                     | 5                      |
| Nevada                  | 0.0     | 0                     | 5                      |
| North Carolina, Eastern | 0.0     | 0                     | 4                      |
| Louisiana, Western      | 0.0     | 0                     | 4                      |
| Kentucky, Western       | 0.0     | 0                     | 4                      |
| Missouri, Western       | 0.0     | 0                     | 3                      |
| Oklahoma, Western       | 0.0     | 0                     | 2                      |
| Arkansas, Western       | 0.0     | 0                     | 1                      |
| Iowa, Southern          | 0.0     | 0                     | 1                      |
| Oklahoma, Eastern       | 0.0     |                       | 1                      |
| Alabama, Northern       | 0.0     | 0                     | 1                      |
| Alabama, Southern       | 0.0     | 0                     | 1                      |
| Florida, Northern       | 0.0     | 0                     | 1                      |
| Georgia, Southern       | 0.0     | 0                     | 1                      |

The following districts had no heroin trafficking offenses in the selected time period: Middle North Carolina, Southern West Virginia, Middle Louisiana, Northern Mississippi, Eastern Arkansas, North Dakota, Alaska., Guam, Idaho, Montana, Northern Mariana Islands, Middle Alabama, and Middle Georgia.

### Spread of Rates of Non-Government Sponsored Below Range Sentences Heroin Trafficking Offenses

| Percent   Perc |                                       |         | Gall              |                   |
|--|---------------------------------------|---------|-------------------|-------------------|
| District         Percent         Number of Non-Govt Sponsored Below Range Below Range Below Range Below Range Sentences Imposed         Total Number of Heroin Trafficking Sentences Imposed           Alabama, Middle         100.0         1         2           Minnesota         47.8         11         23           Wisconsin, Western         47.5         219         461           New York, Southern         47.1         8         17           New York, Eastern         47.1         25         56           Washington, Western         47.1         25         66           Rode Island         38.2         21         55           California, Central         35.5         43         121           Connecticut         33.3         9         27           New Hampshire         33.3         9         27           New Hampshire         33.3         9         27           New Hampshire         31.3         66         211           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         27           Florida, Southern         25.0         2         8           Oklahoma, Northern         21.7         33  |                                       |         | Period            |                   |
| District         Percent         Number of Non-Govt Sponsored Below Range Below Range Below Range Below Range Sentences Imposed         Total Number of Heroin Trafficking Sentences Imposed           Alabama, Middle         100.0         1         2           Minnesota         47.8         11         23           Wisconsin, Western         47.5         219         461           New York, Southern         47.1         8         17           New York, Eastern         47.1         25         56           Washington, Western         47.1         25         66           Rode Island         38.2         21         55           California, Central         35.5         43         121           Connecticut         33.3         9         27           New Hampshire         33.3         9         27           New Hampshire         33.3         9         27           New Hampshire         31.3         66         211           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         27           Florida, Southern         25.0         2         8           Oklahoma, Northern         21.7         33  |                                       |         |                   |                   |
| District         Percent         Govt Sponsore Below Range Below Range Below Range Below Range Sentences Imposed         Total Number of Heroin Trafficking Sentences Imposed           Alabama, Middle Minnesota         47.8         11         23           Wisconsin, Western         47.6         10         21           New York, Southern         47.1         8         176           Oklahoma, Western         45.4         256         564           Washington, Western         35.4         25         564           Washington, Western         35.2         21         55           Rhode Island         38.2         22         25           Connecticut         33.3         3         12           Connecticut         33.3         3         9         227           New Hampshire         33.3         3         9         27           New Hampshire         31.3         66         201         34           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         25.8         3         3         16           Wisconsin, Eastern         23.8         3         16  |                                       |         |                   |                   |
| District         Percent         Below Range Sentences Imposed         Heroin Trafficking Sentences Imposed           Alabama, Middle         100.0         1         1           Minnesota         47.8         111         23           Wisconsin, Western         47.5         219         461           Oklahoma, Western         47.1         8         17           New York, Eastern         45.4         256         564           Washington, Western         39.4         26         66           Rhode Island         38.2         21         55           California, Central         35.5         43         121           Connecticut         33.3         49         147           Washington, Eastern         33.3         3         9         17           New Hampshire         33.3         3         9         147           Washington, Eastern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         25.0         2         8           Virginia, Eastern         25.0         2         8           Virginia, Eastern         25.0         2         16   |                                       |         |                   | Total Number of   |
| District         Percent         Sentences Imposed         Sentences Imposed           Alabama, Middle         100.0         1         2           Minnesota         47.8         11         23           Wisconsin, Western         47.5         219         461           Oklaboma, Western         47.1         8         17           New York, Eastern         45.4         256         666           Rhode Island         38.2         21         55           California, Central         35.5         43         121           Connecticut         33.3         49         147           Washington, Eastern         33.3         9         27           New Hampshire         33.3         9         27           New Hampshire         33.3         3         9         27           New Hampshire         33.3         6         211         34           Massachusetts         27.3         21         77           Florida, Southern         25.0         2         8           Vermont         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern   |                                       |         | -                 |                   |
| Alabama, Middle         100.0         1         1           Minnesota         47.8         11         23           Wisconsin, Western         47.6         10         21           New York, Southern         47.5         219         461           Oklahoma, Western         47.1         8         17           New York, Eastern         45.4         256         564           Washington, Western         39.4         26         66           Rhode Island         38.2         21         55           California, Central         35.5         43         121           Connecticut         33.3         49         147           Washington, Eastern         33.3         3         9         27           New Hampshire         31.3         66         211         10         34           Georgia, Northern         31.3         66         211         77         10         34           Massachusetts         27.3         21         77         75         10         34         22         8           Oklahoma, Northern         25.0         2         8         Nember of the security of the securit   | D                                     | D 4     | _                 | _                 |
| Minnesota         47.8         11         23           Wisconsin, Western         47.6         10         21           New York, Southern         47.5         219         461           Oklahoma, Western         47.1         8         17           New York, Eastern         45.4         256         564           Washington, Western         39.4         26         66           Rhode Island         38.2         21         55           California, Central         35.5         43         121           Connecticut         33.3         49         147           Washington, Eastern         33.3         9         27           West Hampshire         31.3         66         211           Georgia, Northern         31.3         66         211           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         25.0         2         8           Oklahoma, Northern         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern         21.7         33  | District                              | Percent | Sentences Imposed | Sentences Imposed |
| Wisconsin, Westerm         47.6         10         21           New York, Southern         47.5         219         461           Oklahoma, Western         47.1         8         17           New York, Eastern         45.4         256         564           Washington, Western         39.4         26         66           Rhode Island         38.2         21         55           California, Central         35.5         43         121           Connecticut         33.3         49         147           Washington, Eastern         33.3         9         27           New Hampshire         31.3         66         211           Georgia, Northern         31.3         66         211           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         26.8         73         272           Vermont         25.0         2         8           Oklahoma, Northern         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern         21.7         33  | Alabama, Middle                       | 100.0   | 1                 | 1                 |
| New York, Southern         47.5         219         461           Oklahoma, Western         47.1         8         17           New York, Eastern         45.4         256         564           Washington, Western         39.4         26         66           Rhode Island         38.2         21         55           California, Central         35.5         43         121           Connecticut         33.3         49         147           Washington, Eastern         33.3         9         27           New Hampshire         33.3         3         9         27           New Hampshire         31.3         66         211         66         211         66         211         66         211         66         211         66         211         66         211         66         211         66         211         66         211         66         211         66         211         66         211         67         77         78         66         211         77         78         66         211         62         8         78         272         22         8         8         78         272         22 <t< td=""><td>Minnesota</td><td>47.8</td><td>11</td><td>23</td></t<>   | Minnesota                             | 47.8    | 11                | 23                |
| Oklahoma, Western         47.1         8         17           New York, Eastern         45.4         256         564           Washington, Western         39.4         26         66           Rhode Island         38.2         21         55           California, Central         35.5         43         121           Connecticut         33.3         49         147           Washington, Eastern         33.3         9         27           New Hampshire         33.3         9         27           New Hampshire         33.3         3         9           Illinois, Northern         31.3         66         211           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         26.8         73         272           Vermont         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern         23.8         39         164           Wisconsin, Eastern         21.7         33         152           Florida, Middle         21.2         11 <t< td=""><td>Wisconsin, Western</td><td>47.6</td><td>10</td><td>21</td></t<>   | Wisconsin, Western                    | 47.6    | 10                | 21                |
| Oklahoma, Western         47.1         8         17           New York, Eastern         45.4         256         564           Washington, Western         39.4         26         66           Rhode Island         38.2         21         55           California, Central         35.5         43         121           Connecticut         33.3         49         147           Washington, Eastern         33.3         9         27           New Hampshire         33.3         9         27           New Hampshire         33.3         66         211           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         26.8         73         272           Vermont         25.0         2         8           Oklahoma, Northern         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern         23.7         32         135           West Virginia, Northern         21.7         33         152           Florida, Middle         21.2         11  | New York, Southern                    | 47.5    | 219               | 461               |
| Washington, Western         39.4         26         66           Rhode Island         38.2         21         55           California, Central         35.5         43         121           Connecticut         33.3         49         147           Washington, Eastern         33.3         9         27           New Hampshire         31.3         66         211           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         26.8         73         272           Vermont         25.0         2         8           Oklahoma, Northern         25.0         2         8           Oklahoma, Northern         25.0         2         8           Wirginia, Eastern         23.8         39         164           Wisconsin, Eastern         23.7         32         135           West Virginia, Northern         21.9         14         64           Ohio, Southern         21.7         33         152           Florida, Middle         21.2         11         52           New Jersey         20.9         49   |                                       | 47.1    | 8                 | 17                |
| Rhode Island         38.2         21         55           California, Central         35.5         43         121           Connecticut         33.3         49         147           Washington, Eastern         33.3         9         27           New Hampshire         33.3         3         9           Illinois, Northern         31.3         66         211           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         26.8         73         272           Vermont         25.0         2         8           Oklahoma, Northern         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern         23.7         32         135           West Virginia, Northern         21.9         14         64           Ohio, Southern         21.7         33         152           Florida, Middle         21.2         11         52           New Jersey         20.9         49         235           Pennsylvania, Eastern         20.0         16         <  | New York, Eastern                     | 45.4    | 256               | 564               |
| Rhode Island         38.2         21         55           California, Central         35.5         43         121           Connecticut         33.3         49         147           Washington, Eastern         33.3         9         27           New Hampshire         33.3         3         9           Illinois, Northern         31.3         66         211           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         26.8         73         272           Vermont         25.0         2         8           Oklahoma, Northern         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern         23.7         32         135           West Virginia, Northern         21.9         14         64           Ohio, Southern         21.7         33         152           Florida, Middle         21.2         11         52           New Jersey         20.9         49         235           Pennsylvania, Eastern         20.0         16         <  | Washington, Western                   | 39.4    | 26                | 66                |
| Connecticut         33.3         49         147           Washington, Eastern         33.3         9         27           New Hampshire         33.3         3         9           Illinois, Northern         31.3         66         211           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         26.8         73         272           Vermont         25.0         2         8           Oklahoma, Northern         25.0         2         8           Oklahoma, Northern         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern         23.7         32         135           West Virginia, Northern         21.9         14         64           Ohio, Southern         21.7         33         152           Florida, Middle         21.2         11         5           New Jersey         20.9         49         235           Pennsylvania, Eastern         20.0         16         80           Iowa, Northern         20.0         1         5  | _                                     | 38.2    | 21                | 55                |
| Connecticut         33.3         49         147           Washington, Eastern         33.3         9         27           New Hampshire         33.3         3         9           Illinois, Northern         31.3         66         211           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         26.8         73         272           Vermont         25.0         2         8           Oklahoma, Northern         25.0         2         8           Oklahoma, Northern         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern         23.7         32         135           West Virginia, Northern         21.9         14         64           Ohio, Southern         21.7         33         152           Florida, Middle         21.2         11         5           New Jersey         20.9         49         235           Pennsylvania, Eastern         20.0         16         80           Iowa, Northern         20.0         1         5  | California, Central                   | 35.5    | 43                | 121               |
| New Hampshire         33.3         3         9           Illinois, Northern         31.3         66         211           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         26.8         73         272           Vermont         25.0         2         8           Oklahoma, Northern         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern         23.7         32         135           West Virginia, Northern         21.9         14         64           Ohio, Southern         21.7         33         152           Florida, Middle         21.2         11         52           New Jersey         20.9         49         235           Pennsylvania, Eastern         20.1         28         139           Michigan, Eastern         20.0         16         80           Iowa, Northern         19.7         15         76           Indiana, Northern         19.4         7         36           Arizona         19.3         16         83 </td <td>· · · · · · · · · · · · · · · · · · ·</td> <td>33.3</td> <td>49</td> <td>147</td>   | · · · · · · · · · · · · · · · · · · · | 33.3    | 49                | 147               |
| New Hampshire         33.3         3         9           Illinois, Northern         31.3         66         211           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         26.8         73         272           Vermont         25.0         2         8           Oklahoma, Northern         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern         23.7         32         135           West Virginia, Northern         21.9         14         64           Ohio, Southern         21.7         33         152           Florida, Middle         21.2         11         52           New Jersey         20.9         49         235           Pennsylvania, Eastern         20.1         28         139           Michigan, Eastern         20.0         16         80           Iowa, Northern         19.7         15         76           Utah         19.7         15         76           Indiana, Northern         19.4         7         36   | Washington, Eastern                   | 33.3    | 9                 | 27                |
| Illinois, Northern         31.3         66         211           Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         26.8         73         272           Vermont         25.0         2         8           Oklahoma, Northern         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern         23.7         32         135           West Virginia, Northern         21.9         14         64           Ohio, Southern         21.7         33         152           Florida, Middle         21.2         11         52           New Jersey         20.9         49         235           Pennsylvania, Eastern         20.1         28         139           Michigan, Eastern         20.0         16         80           Iowa, Northern         20.0         16         80           Iowa, Northern         19.4         7         36           Indiana, Northern         19.4         7         36           Arizona         19.3         16         83  |                                       | 33.3    | 3                 | 9                 |
| Georgia, Northern         29.4         10         34           Massachusetts         27.3         21         77           Florida, Southern         26.8         73         272           Vermont         25.0         2         8           Oklahoma, Northern         25.0         2         8           Oklahoma, Northern         23.8         39         164           Wisconsin, Eastern         23.7         32         135           West Virginia, Northern         21.9         14         64           Ohio, Southern         21.7         33         152           Florida, Middle         21.2         11         52           New Jersey         20.9         49         235           Pennsylvania, Eastern         20.1         28         139           Michigan, Eastern         20.0         16         80           Iowa, Northern         20.0         1         5           Utah         19.7         15         76           Indiana, Northern         19.4         7         36           Arizona         19.3         16         83           New Mexico         18.8         3         16  | •                                     | 31.3    | 66                | 211               |
| Massachusetts         27.3         21         77           Florida, Southern         26.8         73         272           Vermont         25.0         2         8           Oklahoma, Northern         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern         23.7         32         135           West Virginia, Northern         21.9         14         64           Ohio, Southern         21.7         33         152           Florida, Middle         21.2         11         52           New Jersey         20.9         49         235           Pennsylvania, Eastern         20.1         28         139           Michigan, Eastern         20.0         16         80           Iowa, Northern         19.7         15         76           Indiana, Northern         19.4         7         36           Arizona         19.3         16         83           New Mexico         18.8         21         112           Hawaii         18.8         3         16           Maryland         18.2         36         198  |                                       | 29.4    | 10                | 34                |
| Vermont         25.0         2         8           Oklahoma, Northern         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern         23.7         32         135           West Virginia, Northern         21.9         14         64           Ohio, Southern         21.7         33         152           Florida, Middle         21.2         11         52           New Jersey         20.9         49         235           Pennsylvania, Eastern         20.1         28         139           Michigan, Eastern         20.0         16         80           Iowa, Northern         20.0         1         5           Utah         19.7         15         76           Indiana, Northern         19.4         7         36           Arizona         19.3         16         83           New Mexico         18.8         21         112           Hawaii         18.8         3         16           Maryland         18.2         36         198           Texas, Southern         17.6         13         74           D  | <u> </u>                              | 27.3    | 21                | 77                |
| Oklahoma, Northern         25.0         2         8           Virginia, Eastern         23.8         39         164           Wisconsin, Eastern         23.7         32         135           West Virginia, Northern         21.9         14         64           Ohio, Southern         21.7         33         152           Florida, Middle         21.2         11         52           New Jersey         20.9         49         235           Pennsylvania, Eastern         20.1         28         139           Michigan, Eastern         20.0         16         80           Iowa, Northern         20.0         1         5           Utah         19.7         15         76           Indiana, Northern         19.4         7         36           Arizona         19.3         16         83           New Mexico         18.8         21         112           Hawaii         18.8         21         112           Hawaii         18.2         36         198           Texas, Southern         18.0         29         161           Pennsylvania, Western         17.6         13         74      <  | Florida, Southern                     | 26.8    | 73                | 272               |
| Virginia, Eastern       23.8       39       164         Wisconsin, Eastern       23.7       32       135         West Virginia, Northern       21.9       14       64         Ohio, Southern       21.7       33       152         Florida, Middle       21.2       11       52         New Jersey       20.9       49       235         Pennsylvania, Eastern       20.1       28       139         Michigan, Eastern       20.0       16       80         Iowa, Northern       20.0       1       5         Utah       19.7       15       76         Indiana, Northern       19.4       7       36         Arizona       19.3       16       83         New Mexico       18.8       21       112         Hawaii       18.8       3       16         Maryland       18.2       36       198         Texas, Southern       18.0       29       161         Pennsylvania, Western       17.6       13       74         District of Columbia       16.7       11       66         Illinois, Central       16.7       3       18   | Vermont                               | 25.0    | 2                 | 8                 |
| Virginia, Eastern       23.8       39       164         Wisconsin, Eastern       23.7       32       135         West Virginia, Northern       21.9       14       64         Ohio, Southern       21.7       33       152         Florida, Middle       21.2       11       52         New Jersey       20.9       49       235         Pennsylvania, Eastern       20.1       28       139         Michigan, Eastern       20.0       16       80         Iowa, Northern       20.0       1       5         Utah       19.7       15       76         Indiana, Northern       19.4       7       36         Arizona       19.3       16       83         New Mexico       18.8       21       112         Hawaii       18.8       3       16         Maryland       18.2       36       198         Texas, Southern       18.0       29       161         Pennsylvania, Western       17.6       13       74         District of Columbia       16.7       11       66         Illinois, Central       16.7       3       18   | Oklahoma, Northern                    | 25.0    | 2                 | 8                 |
| Wisconsin, Eastern       23.7       32       135         West Virginia, Northern       21.9       14       64         Ohio, Southern       21.7       33       152         Florida, Middle       21.2       11       52         New Jersey       20.9       49       235         Pennsylvania, Eastern       20.1       28       139         Michigan, Eastern       20.0       16       80         Iowa, Northern       20.0       1       5         Utah       19.7       15       76         Indiana, Northern       19.4       7       36         Arizona       19.3       16       83         New Mexico       18.8       21       112         Hawaii       18.8       3       16         Maryland       18.2       36       198         Texas, Southern       18.0       29       161         Pennsylvania, Western       17.6       13       74         District of Columbia       16.7       11       66         Illinois, Central       16.7       3       18   |                                       | 23.8    | 39                | 164               |
| Ohio, Southern       21.7       33       152         Florida, Middle       21.2       11       52         New Jersey       20.9       49       235         Pennsylvania, Eastern       20.1       28       139         Michigan, Eastern       20.0       16       80         Iowa, Northern       20.0       1       5         Utah       19.7       15       76         Indiana, Northern       19.4       7       36         Arizona       19.3       16       83         New Mexico       18.8       21       112         Hawaii       18.8       3       16         Maryland       18.2       36       198         Texas, Southern       18.0       29       161         Pennsylvania, Western       17.6       13       74         District of Columbia       16.7       11       66         Illinois, Central       16.7       3       18   | _                                     | 23.7    | 32                | 135               |
| Ohio, Southern       21.7       33       152         Florida, Middle       21.2       11       52         New Jersey       20.9       49       235         Pennsylvania, Eastern       20.1       28       139         Michigan, Eastern       20.0       16       80         Iowa, Northern       20.0       1       5         Utah       19.7       15       76         Indiana, Northern       19.4       7       36         Arizona       19.3       16       83         New Mexico       18.8       21       112         Hawaii       18.8       3       16         Maryland       18.2       36       198         Texas, Southern       18.0       29       161         Pennsylvania, Western       17.6       13       74         District of Columbia       16.7       11       66         Illinois, Central       16.7       3       18   | West Virginia, Northern               | 21.9    | 14                | 64                |
| New Jersey       20.9       49       235         Pennsylvania, Eastern       20.1       28       139         Michigan, Eastern       20.0       16       80         Iowa, Northern       20.0       1       5         Utah       19.7       15       76         Indiana, Northern       19.4       7       36         Arizona       19.3       16       83         New Mexico       18.8       21       112         Hawaii       18.8       3       16         Maryland       18.2       36       198         Texas, Southern       18.0       29       161         Pennsylvania, Western       17.6       13       74         District of Columbia       16.7       11       66         Illinois, Central       16.7       3       18   | =                                     | 21.7    | 33                | 152               |
| Pennsylvania, Eastern       20.1       28       139         Michigan, Eastern       20.0       16       80         Iowa, Northern       20.0       1       5         Utah       19.7       15       76         Indiana, Northern       19.4       7       36         Arizona       19.3       16       83         New Mexico       18.8       21       112         Hawaii       18.8       3       16         Maryland       18.2       36       198         Texas, Southern       18.0       29       161         Pennsylvania, Western       17.6       13       74         District of Columbia       16.7       11       66         Illinois, Central       16.7       3       18  | Florida, Middle                       | 21.2    | 11                | 52                |
| Michigan, Eastern       20.0       16       80         Iowa, Northern       20.0       1       5         Utah       19.7       15       76         Indiana, Northern       19.4       7       36         Arizona       19.3       16       83         New Mexico       18.8       21       112         Hawaii       18.8       3       16         Maryland       18.2       36       198         Texas, Southern       18.0       29       161         Pennsylvania, Western       17.6       13       74         District of Columbia       16.7       11       66         Illinois, Central       16.7       3       18  | New Jersey                            | 20.9    | 49                | 235               |
| Iowa, Northern         20.0         1         5           Utah         19.7         15         76           Indiana, Northern         19.4         7         36           Arizona         19.3         16         83           New Mexico         18.8         21         112           Hawaii         18.8         3         16           Maryland         18.2         36         198           Texas, Southern         18.0         29         161           Pennsylvania, Western         17.6         13         74           District of Columbia         16.7         11         66           Illinois, Central         16.7         3         18   | Pennsylvania, Eastern                 | 20.1    | 28                | 139               |
| Utah     19.7     15     76       Indiana, Northern     19.4     7     36       Arizona     19.3     16     83       New Mexico     18.8     21     112       Hawaii     18.8     3     16       Maryland     18.2     36     198       Texas, Southern     18.0     29     161       Pennsylvania, Western     17.6     13     74       District of Columbia     16.7     11     66       Illinois, Central     16.7     3     18   | Michigan, Eastern                     | 20.0    | 16                | 80                |
| Indiana, Northern       19.4       7       36         Arizona       19.3       16       83         New Mexico       18.8       21       112         Hawaii       18.8       3       16         Maryland       18.2       36       198         Texas, Southern       18.0       29       161         Pennsylvania, Western       17.6       13       74         District of Columbia       16.7       11       66         Illinois, Central       16.7       3       18   | Iowa, Northern                        | 20.0    | 1                 | 5                 |
| Arizona     19.3     16     83       New Mexico     18.8     21     112       Hawaii     18.8     3     16       Maryland     18.2     36     198       Texas, Southern     18.0     29     161       Pennsylvania, Western     17.6     13     74       District of Columbia     16.7     11     66       Illinois, Central     16.7     3     18   | Utah                                  | 19.7    | 15                | 76                |
| New Mexico       18.8       21       112         Hawaii       18.8       3       16         Maryland       18.2       36       198         Texas, Southern       18.0       29       161         Pennsylvania, Western       17.6       13       74         District of Columbia       16.7       11       66         Illinois, Central       16.7       3       18  | Indiana, Northern                     | 19.4    | 7                 | 36                |
| Hawaii     18.8     3     16       Maryland     18.2     36     198       Texas, Southern     18.0     29     161       Pennsylvania, Western     17.6     13     74       District of Columbia     16.7     11     66       Illinois, Central     16.7     3     18   | Arizona                               | 19.3    | 16                | 83                |
| Maryland         18.2         36         198           Texas, Southern         18.0         29         161           Pennsylvania, Western         17.6         13         74           District of Columbia         16.7         11         66           Illinois, Central         16.7         3         18  | New Mexico                            | 18.8    | 21                | 112               |
| Texas, Southern         18.0         29         161           Pennsylvania, Western         17.6         13         74           District of Columbia         16.7         11         66           Illinois, Central         16.7         3         18   | Hawaii                                | 18.8    | 3                 | 16                |
| Pennsylvania, Western         17.6         13         74           District of Columbia         16.7         11         66           Illinois, Central         16.7         3         18   | Maryland                              | 18.2    | 36                | 198               |
| District of Columbia         16.7         11         66           Illinois, Central         16.7         3         18  | Texas, Southern                       | 18.0    | 29                | 161               |
| Illinois, Central 16.7 3 18  | Pennsylvania, Western                 | 17.6    | 13                | 74                |
|  | District of Columbia                  | 16.7    | 11                | 66                |
| North Carolina, Middle 16.7 1 6  | Illinois, Central                     | 16.7    | 3                 | 18                |
|  | North Carolina, Middle                |         |                   | 6                 |
| Michigan, Western 16.1 5 31  |                                       | 16.1    | 5                 | 31                |
| West Virginia, Southern 15.6 7 45  |                                       |         | 7                 | 45                |
| Missouri, Western 15.4 2 13  |                                       |         |                   | 13                |
| Delaware 14.3 1 7  | Delaware                              | 14.3    | 1                 | 7                 |

#### Spread of Rates of Non-Government Sponsored Below Range Sentences Heroin Trafficking Offenses (cont.)

Gall Period

| -                       | Period               |                       |                           |
|-------------------------|----------------------|-----------------------|---------------------------|
|                         | (12/11/07 - 9/30/11) |                       |                           |
|                         |                      | Number of Non-        |                           |
|                         |                      | <b>Govt Sponsored</b> | <b>Total Number of</b>    |
|                         |                      | Below Range           | <b>Heroin Trafficking</b> |
| District                | Percent              | Sentences Imposed     | Sentences Imposed         |
| Missouri, Eastern       | 13.9                 | 16                    | 115                       |
| California, Southern    | 13.8                 | 22                    | 159                       |
| North Carolina, Western | 13.7                 | 7                     | 51                        |
| Ohio, Northern          | 13.3                 | 14                    | 105                       |
| Alaska                  | 13.0                 | 3                     | 23                        |
| Virginia, Western       | 12.8                 | 6                     | 47                        |
| Tennessee, Western      | 12.5                 | 2                     | 16                        |
| Nebraska                | 12.5                 | 1                     | 8                         |
| Pennsylvania, Middle    | 12.3                 | 15                    | 122                       |
| South Carolina          | 12.1                 | 7                     | 58                        |
| Texas, Western          | 11.2                 | 31                    | 277                       |
| Texas, Eastern          | 10.4                 | 7                     | 67                        |
| Oregon                  | 10.4                 | 7                     | 67                        |
| Maine                   | 10.0                 | 2                     | 20                        |
| Puerto Rico             | 9.8                  | 17                    | 173                       |
| Tennessee, Middle       | 9.5                  | 2                     | 21                        |
| New York, Northern      | 9.1                  | 2                     | 22                        |
| California, Eastern     | 8.6                  | 3                     | 35                        |
| Indiana, Southern       | 8.3                  | 1                     | 12                        |
| Colorado                | 8.3                  | 1                     | 12                        |
| California, Northern    | 7.0                  | 3                     | 43                        |
| Louisiana, Eastern      | 5.9                  | 4                     | 68                        |
| Illinois, Southern      | 4.0                  | 1                     | 25                        |
| North Carolina, Eastern | 3.1                  | 1                     | 32                        |
| Texas, Northern         | 3.0                  | 1                     | 33                        |
| Kentucky, Eastern       | 2.7                  | 1                     | 37                        |
| Tennessee, Eastern      | 2.3                  | 1                     | 43                        |
| New York, Western       | 1.5                  | 1                     | 66                        |
| Nevada                  | 0.0                  | 0                     | 29                        |
| Kansas                  | 0.0                  | 0                     | 24                        |
| Wyoming                 | 0.0                  | 0                     | 9                         |
| Montana                 | 0.0                  | 0                     | 8                         |
| Georgia, Southern       | 0.0                  | 0                     | 7                         |
| Kentucky, Western       | 0.0                  | 0                     | 6                         |
| Alabama, Northern       | 0.0                  | 0                     | 6                         |
| Iowa, Southern          | 0.0                  | 0                     | 5                         |
| Mississippi, Southern   | 0.0                  | 0                     | 4                         |
| Arkansas, Eastern       | 0.0                  | 0                     | 4                         |
| Virgin Islands          | 0.0                  | 0                     | 2                         |
| Louisiana, Western      | 0.0                  | 0                     | 2                         |
| North Dakota            | 0.0                  | 0                     | 1                         |
| South Dakota            | 0.0                  | 0                     | 1                         |
| Georgia, Middle         | 0.0                  | 0                     | 1                         |

The following districts had no heroin trafficking offenses in the selected time period: Middle Louisiana, Northern Mississippi, Western Arkansas, Guam, Idaho, Northern Mariana Islands, Eastern Oklahoma, Southern Alabama, and Northern Florida.