

Table 1

DOCUMENT SUBMISSION RATE OF EACH CIRCUIT AND DISTRICT¹
Fiscal Year 2002 (Prior to Enactment of the PROTECT Act)

CIRCUIT District	Number of Cases	Judgment of Conviction Order				Presentence Report						Report on the Sentencing Hearing			
		Received		Not Received		Received		Not Received		Waived		Received		Not Received	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%
TOTAL	64,366	63,449	98.6	917	1.4	60,171	93.5	1,668	2.6	2,527	3.9	55,655	86.5	8,711	13.5
D.C. CIRCUIT	420	381	90.7	39	9.3	412	98.1	6	1.4	2	0.5	414	98.6	6	1.4
District of Columbia	420	381	90.7	39	9.3	412	98.1	6	1.4	2	0.5	414	98.6	6	1.4
FIRST CIRCUIT	2,019	2,007	99.4	12	0.6	1,937	95.9	63	3.1	19	0.9	1,686	83.5	333	16.5
Maine	218	216	99.1	2	0.9	218	100.0	0	0.0	0	0.0	173	79.4	45	20.6
Massachusetts	619	619	100.0	0	0.0	616	99.5	3	0.5	0	0.0	605	97.7	14	2.3
New Hampshire	130	130	100.0	0	0.0	130	100.0	0	0.0	0	0.0	130	100.0	0	0.0
Puerto Rico	902	892	98.9	10	1.1	825	91.5	58	6.4	19	2.1	652	72.3	250	27.7
Rhode Island	150	150	100.0	0	0.0	148	98.7	2	1.3	0	0.0	126	84.0	24	16.0
SECOND CIRCUIT	4,685	4,662	99.5	23	0.5	4,588	97.9	80	1.7	17	0.4	3,930	83.9	755	16.1
Connecticut	332	329	99.1	3	0.9	330	99.4	2	0.6	0	0.0	328	98.8	4	1.2
New York															
Eastern	1,723	1,713	99.4	10	0.6	1,685	97.8	35	2.0	3	0.2	1,323	76.8	400	23.2
Northern	394	393	99.7	1	0.3	357	90.6	26	6.6	11	2.8	347	88.1	47	11.9
Southern	1,608	1,602	99.6	6	0.4	1,597	99.3	9	0.6	2	0.1	1,314	81.7	294	18.3
Western	451	448	99.3	3	0.7	442	98.0	8	1.8	1	0.2	443	98.2	8	1.8
Vermont	177	177	100.0	0	0.0	177	100.0	0	0.0	0	0.0	175	98.9	2	1.1
THIRD CIRCUIT	2,766	2,754	99.6	12	0.4	2,623	94.8	124	4.5	19	0.7	2,624	94.9	142	5.1
Delaware	85	83	97.6	2	2.4	85	100.0	0	0.0	0	0.0	48	56.5	37	43.5
New Jersey	835	834	99.9	1	0.1	730	87.4	105	12.6	0	0.0	809	96.9	26	3.1
Pennsylvania															
Eastern	976	971	99.5	5	0.5	957	98.1	8	0.8	11	1.1	965	98.9	11	1.1
Middle	441	440	99.8	1	0.2	438	99.3	3	0.7	0	0.0	403	91.4	38	8.6
Western	342	340	99.4	2	0.6	329	96.2	7	2.0	6	1.8	315	92.1	27	7.9
Virgin Islands	87	86	98.9	1	1.1	84	96.6	1	1.1	2	2.3	84	96.6	3	3.4
FOURTH CIRCUIT	5,741	5,654	98.5	87	1.5	5,310	92.5	79	1.4	352	6.1	4,558	79.4	1,183	20.6
Maryland	525	518	98.7	7	1.3	521	99.2	4	0.8	0	0.0	507	96.6	18	3.4
North Carolina															
Eastern	604	596	98.7	8	1.3	529	87.6	9	1.5	66	10.9	559	92.5	45	7.5
Middle	446	445	99.8	1	0.2	442	99.1	3	0.7	1	0.2	401	89.9	45	10.1
Western	594	571	96.1	23	3.9	579	97.5	15	2.5	0	0.0	493	83.0	101	17.0
South Carolina	1,022	1,002	98.0	20	2.0	1,012	99.0	10	1.0	0	0.0	913	89.3	109	10.7
Virginia															
Eastern	1,618	1,604	99.1	14	0.9	1,318	81.5	15	0.9	285	17.6	1,007	62.2	611	37.8
Western	401	400	99.8	1	0.2	397	99.0	4	1.0	0	0.0	365	91.0	36	9.0
West Virginia															
Northern	269	265	98.5	4	1.5	266	98.9	3	1.1	0	0.0	215	79.9	54	20.1
Southern	262	253	96.6	9	3.4	246	93.9	16	6.1	0	0.0	98	37.4	164	62.6

Table 1 (cont.)

CIRCUIT District	Number of Cases	Judgment of Conviction Order				Presentence Report						Report on the Sentencing Hearing			
		Received		Not Received		Received		Not Received		Waived		Received		Not Received	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%
FIFTH CIRCUIT	12,667	12,562	99.2	105	0.8	11,738	92.7	132	1.0	797	6.3	11,276	89.0	1,391	11.0
Louisiana															
Eastern	408	352	86.3	56	13.7	362	88.7	46	11.3	0	0.0	173	42.4	235	57.6
Middle	114	114	100.0	0	0.0	113	99.1	1	0.9	0	0.0	109	95.6	5	4.4
Western	360	359	99.7	1	0.3	360	100.0	0	0.0	0	0.0	282	78.3	78	21.7
Mississippi															
Northern	165	165	100.0	0	0.0	165	100.0	0	0.0	0	0.0	163	98.8	2	1.2
Southern	364	359	98.6	5	1.4	358	98.4	5	1.4	1	0.3	351	96.4	13	3.6
Texas															
Eastern	629	629	100.0	0	0.0	619	98.4	10	1.6	0	0.0	226	35.9	403	64.1
Northern	1,039	1,037	99.8	2	0.2	995	95.8	7	0.7	37	3.6	927	89.2	112	10.8
Southern	4,595	4,582	99.7	13	0.3	4,405	95.9	31	0.7	159	3.5	4,531	98.6	64	1.4
Western	4,993	4,965	99.4	28	0.6	4,361	87.3	32	0.6	600	12.0	4,514	90.4	479	9.6
SIXTH CIRCUIT	4,749	4,697	98.9	52	1.1	4,596	96.8	77	1.6	76	1.6	4,228	89.0	521	11.0
Kentucky															
Eastern	484	470	97.1	14	2.9	457	94.4	16	3.3	11	2.3	363	75.0	121	25.0
Western	437	437	100.0	0	0.0	377	86.3	9	2.1	51	11.7	390	89.2	47	10.8
Michigan															
Eastern	770	768	99.7	2	0.3	760	98.7	9	1.2	1	0.1	709	92.1	61	7.9
Western	390	385	98.7	5	1.3	377	96.7	8	2.1	5	1.3	367	94.1	23	5.9
Ohio															
Northern	869	865	99.5	4	0.5	861	99.1	7	0.8	1	0.1	696	80.1	173	19.9
Southern	393	381	96.9	12	3.1	388	98.7	5	1.3	0	0.0	351	89.3	42	10.7
Tennessee															
Eastern	623	619	99.4	4	0.6	613	98.4	10	1.6	0	0.0	602	96.6	21	3.4
Middle	323	318	98.5	5	1.5	312	96.6	5	1.5	6	1.9	305	94.4	18	5.6
Western	460	454	98.7	6	1.3	451	98.0	8	1.7	1	0.2	445	96.7	15	3.3
SEVENTH CIRCUIT	2,813	2,794	99.3	19	0.7	2,748	97.7	50	1.8	15	0.5	2,525	89.8	288	10.2
Illinois															
Central	348	342	98.3	6	1.7	338	97.1	7	2.0	3	0.9	222	63.8	126	36.2
Northern	1,118	1,109	99.2	9	0.8	1,085	97.0	25	2.2	8	0.7	1,055	94.4	63	5.6
Southern	294	294	100.0	0	0.0	289	98.3	2	0.7	3	1.0	286	97.3	8	2.7
Indiana															
Northern	405	405	100.0	0	0.0	404	99.8	1	0.2	0	0.0	326	80.5	79	19.5
Southern	240	239	99.6	1	0.4	234	97.5	5	2.1	1	0.4	235	97.9	5	2.1
Wisconsin															
Eastern	284	281	98.9	3	1.1	275	96.8	9	3.2	0	0.0	277	97.5	7	2.5
Western	124	124	100.0	0	0.0	123	99.2	1	0.8	0	0.0	124	100.0	0	0.0
EIGHTH CIRCUIT	3,692	3,682	99.7	10	0.3	3,644	98.7	30	0.8	18	0.5	3,524	95.4	168	4.6
Arkansas															
Eastern	247	247	100.0	0	0.0	244	98.8	3	1.2	0	0.0	234	94.7	13	5.3
Western	144	143	99.3	1	0.7	143	99.3	1	0.7	0	0.0	141	97.9	3	2.1
Iowa															
Northern	358	358	100.0	0	0.0	347	96.9	8	2.2	3	0.8	350	97.8	8	2.2
Southern	291	291	100.0	0	0.0	284	97.6	2	0.7	5	1.7	282	96.9	9	3.1
Minnesota	391	389	99.5	2	0.5	391	100.0	0	0.0	0	0.0	373	95.4	18	4.6
Missouri															
Eastern	630	628	99.7	2	0.3	625	99.2	5	0.8	0	0.0	583	92.5	47	7.5
Western	654	653	99.8	1	0.2	649	99.2	4	0.6	1	0.2	631	96.5	23	3.5
Nebraska	528	526	99.6	2	0.4	518	98.1	2	0.4	8	1.5	515	97.5	13	2.5
North Dakota	147	147	100.0	0	0.0	143	97.3	3	2.0	1	0.7	136	92.5	11	7.5
South Dakota	302	300	99.3	2	0.7	300	99.3	2	0.7	0	0.0	279	92.4	23	7.6

Table 1 (cont.)

CIRCUIT District	Number of Cases	Judgment of Conviction Order				Presentence Report						Report on the Sentencing Hearing			
		Received		Not Received		Received		Not Received		Waived		Received		Not Received	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%
NINTH CIRCUIT	13,934	13,602	97.6	332	2.4	12,272	88.1	676	4.9	986	7.1	11,285	81.0	2,649	19.0
Alaska	165	148	89.7	17	10.3	164	99.4	1	0.6	0	0.0	157	95.2	8	4.8
Arizona	3,476	3,383	97.3	93	2.7	3,463	99.6	5	0.1	8	0.2	3,185	91.6	291	8.4
California															
Central	1,760	1,701	96.6	59	3.4	1,659	94.3	71	4.0	30	1.7	682	38.8	1,078	61.3
Eastern	773	768	99.4	5	0.6	755	97.7	10	1.3	8	1.0	731	94.6	42	5.4
Northern	731	715	97.8	16	2.2	715	97.8	15	2.1	1	0.1	635	86.9	96	13.1
Southern	3,902	3,802	97.4	100	2.6	2,864	73.4	144	3.7	894	22.9	3,117	79.9	785	20.1
Guam	139	139	100.0	0	0.0	129	92.8	10	7.2	0	0.0	126	90.6	13	9.4
Hawaii	375	375	100.0	0	0.0	104	27.7	263	70.1	8	2.1	356	94.9	19	5.1
Idaho	201	200	99.5	1	0.5	201	100.0	0	0.0	0	0.0	199	99.0	2	1.0
Montana	348	345	99.1	3	0.9	346	99.4	1	0.3	1	0.3	345	99.1	3	0.9
Nevada	606	593	97.9	13	2.1	574	94.7	19	3.1	13	2.1	530	87.5	76	12.5
Northern Mariana Islands	6	6	100.0	0	0.0	6	100.0	0	0.0	0	0.0	5	83.3	1	16.7
Oregon	549	548	99.8	1	0.2	528	96.2	1	0.2	20	3.6	476	86.7	73	13.3
Washington															
Eastern	305	289	94.8	16	5.2	293	96.1	9	3.0	3	1.0	274	89.8	31	10.2
Western	598	590	98.7	8	1.3	471	78.8	127	21.2	0	0.0	467	78.1	131	21.9
TENTH CIRCUIT	4,122	4,065	98.6	57	1.4	3,977	96.5	38	0.9	107	2.6	3,816	92.6	306	7.4
Colorado	573	572	99.8	1	0.2	568	99.1	5	0.9	0	0.0	551	96.2	22	3.8
Kansas	515	514	99.8	1	0.2	504	97.9	6	1.2	5	1.0	498	96.7	17	3.3
New Mexico	1,814	1,773	97.7	41	2.3	1,797	99.1	17	0.9	0	0.0	1,711	94.3	103	5.7
Oklahoma															
Eastern	83	81	97.6	2	2.4	79	95.2	4	4.8	0	0.0	81	97.6	2	2.4
Northern	153	152	99.3	1	0.7	152	99.3	1	0.7	0	0.0	145	94.8	8	5.2
Western	179	171	95.5	8	4.5	179	100.0	0	0.0	0	0.0	150	83.8	29	16.2
Utah	671	668	99.6	3	0.4	565	84.2	4	0.6	102	15.2	557	83.0	114	17.0
Wyoming	134	134	100.0	0	0.0	133	99.3	1	0.7	0	0.0	123	91.8	11	8.2
ELEVENTH CIRCUIT	6,758	6,589	97.5	169	2.5	6,326	93.6	313	4.6	119	1.8	5,789	85.7	969	14.3
Alabama															
Middle	158	156	98.7	2	1.3	156	98.7	1	0.6	1	0.6	147	93.0	11	7.0
Northern	512	498	97.3	14	2.7	501	97.9	5	1.0	6	1.2	256	50.0	256	50.0
Southern	311	310	99.7	1	0.3	308	99.0	3	1.0	0	0.0	288	92.6	23	7.4
Florida															
Middle	1,460	1,457	99.8	3	0.2	1,332	91.2	127	8.7	1	0.1	1,345	92.1	115	7.9
Northern	335	332	99.1	3	0.9	328	97.9	7	2.1	0	0.0	319	95.2	16	4.8
Southern	2,553	2,411	94.4	142	5.6	2,318	90.8	148	5.8	87	3.4	2,087	81.7	466	18.3
Georgia															
Middle	353	352	99.7	1	0.3	348	98.6	4	1.1	1	0.3	305	86.4	48	13.6
Northern	786	783	99.6	3	0.4	777	98.9	5	0.6	4	0.5	777	98.9	9	1.1
Southern	290	290	100.0	0	0.0	258	89.0	13	4.5	19	6.6	265	91.4	25	8.6

¹Descriptions of variables used in this table are provided in Appendix A.

SOURCE: U.S. Sentencing Commission, 2002 Datafile, USSCFY02.

Table 2

GUIDELINE OFFENDERS IN EACH CIRCUIT AND DISTRICT¹
Fiscal Year 2002

CIRCUIT			CIRCUIT		
District	Number	Percent	District	Number	Percent
TOTAL	64,366	100.0			
D.C. CIRCUIT	420	0.7	FIFTH CIRCUIT	12,667	19.7
District of Columbia	420	0.7	Louisiana		
			Eastern	408	0.6
			Middle	114	0.2
FIRST CIRCUIT	2,019	3.1	Western	360	0.6
Maine	218	0.3	Mississippi		
Massachusetts	619	1.0	Northern	165	0.3
New Hampshire	130	0.2	Southern	364	0.6
Puerto Rico	902	1.4	Texas		
Rhode Island	150	0.2	Eastern	629	1.0
			Northern	1,039	1.6
SECOND CIRCUIT	4,685	7.3	Southern	4,595	7.1
Connecticut	332	0.5	Western	4,993	7.8
New York					
Eastern	1,723	2.7	SIXTH CIRCUIT	4,749	7.4
Northern	394	0.6	Kentucky		
Southern	1,608	2.5	Eastern	484	0.8
Western	451	0.7	Western	437	0.7
Vermont	177	0.3	Michigan		
			Eastern	770	1.2
THIRD CIRCUIT	2,766	4.3	Western	390	0.6
Delaware	85	0.1	Ohio		
New Jersey	835	1.3	Northern	869	1.4
Pennsylvania			Southern	393	0.6
Eastern	976	1.5	Tennessee		
Middle	441	0.7	Eastern	623	1.0
Western	342	0.5	Middle	323	0.5
Virgin Islands	87	0.1	Western	460	0.7
FOURTH CIRCUIT	5,741	8.9	SEVENTH CIRCUIT	2,813	4.4
Maryland	525	0.8	Illinois		
North Carolina			Central	348	0.5
Eastern	604	0.9	Northern	1,118	1.7
Middle	446	0.7	Southern	294	0.5
Western	594	0.9	Indiana		
South Carolina	1,022	1.6	Northern	405	0.6
Virginia			Southern	240	0.4
Eastern	1,618	2.5	Wisconsin		
Western	401	0.6	Eastern	284	0.4
West Virginia			Western	124	0.2
Northern	269	0.4			
Southern	262	0.4			

Table 2 (cont.)

CIRCUIT			CIRCUIT		
District	Number	Percent	District	Number	Percent
EIGHTH CIRCUIT	3,692	5.7	TENTH CIRCUIT	4,122	6.4
Arkansas			Colorado	573	0.9
Eastern	247	0.4	Kansas	515	0.8
Western	144	0.2	New Mexico	1,814	2.8
Iowa			Oklahoma		
Northern	358	0.6	Eastern	83	0.1
Southern	291	0.5	Northern	153	0.2
Minnesota	391	0.6	Western	179	0.3
Missouri			Utah	671	1.0
Eastern	630	1.0	Wyoming	134	0.2
Western	654	1.0			
Nebraska	528	0.8	ELEVENTH CIRCUIT	6,758	10.5
North Dakota	147	0.2	Alabama		
South Dakota	302	0.5	Middle	158	0.2
			Northern	512	0.8
NINTH CIRCUIT	13,934	21.6	Southern	311	0.5
Alaska	165	0.3	Florida		
Arizona	3,476	5.4	Middle	1,460	2.3
California			Northern	335	0.5
Central	1,760	2.7	Southern	2,553	4.0
Eastern	773	1.2	Georgia		
Northern	731	1.1	Middle	353	0.5
Southern	3,902	6.1	Northern	786	1.2
Guam	139	0.2	Southern	290	0.5
Hawaii	375	0.6			
Idaho	201	0.3			
Montana	348	0.5			
Nevada	606	0.9			
Northern Mariana Islands	6	0.0			
Oregon	549	0.9			
Washington					
Eastern	305	0.5			
Western	598	0.9			

¹Descriptions of variables used in this table are provided in Appendix A.

SOURCE: U.S. Sentencing Commission, 2002 Datafile, USSCFY02.